

SELECTED FCM FINANCIAL DATA AS OF  
July 31, 2009  
FROM REPORTS FILED BY  
August 31, 2009

	Futures Commission Merchant	B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Seg Required 4d(a)(2)	Customer Amount Pt. 30 Required
		(a)	(b)			(c)	(d)	(e)	(f)
1	3DFOREX LLC	N	NFA	07/31/2009	9,150,558	500,000	8,650,558	0	0
2	ACM USA LLC	N	NFA	07/31/2009	780,486	500,000	280,486	0	0
3	ADM INVESTOR SERVICES INC	N	CBOT	07/31/2009	163,715,027	78,606,337	85,108,690	1,889,912,022	59,751,417
4	ADVANCED MARKETS LLC	N	NFA	07/31/2009	20,695,075	20,000,000	695,075	0	0
5	ADVANTAGE FUTURES LLC	N	CME	07/31/2009	15,263,515	5,730,678	9,532,837	312,184,693	20,843,654
6	AIG CLEARING CORPORATION	N	NYME	07/31/2009	225,385,974	1,079,100	224,306,874	0	0
7	ALARON TRADING CORPORATION	N	NFA	07/31/2009	1,431,448	500,000	931,448	0	0
8	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC*	Y	NFA	06/30/2009	20,380,910	6,459,884	13,921,026	0	0
9	ALPARI (US) LLC	N	NFA	07/31/2009	21,245,007	20,000,000	1,245,007	0	0
10	AMERIPRISE ADVISOR SERVICES INC	Y	NFA	07/31/2009	77,724,296	6,178,874	71,545,422	0	0
11	BANC OF AMERICA SECURITIES LLC	Y	CME	07/31/2009	2,554,401,591	97,240,581	2,457,161,010	0	0
12	BARCLAYS CAPITAL INC	Y	NYME	07/31/2009	6,059,885,217	506,660,722	5,553,224,495	5,249,738,044	1,645,161,660
13	BGC FINANCIAL LP	Y	NFA	07/31/2009	47,774,033	500,000	47,274,033	0	0
14	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	07/31/2009	272,186,335	222,664,492	49,521,843	2,024,107,071	556,333,665
15	BNP PARIBAS SECURITIES CORP	Y	CBOT	07/31/2009	1,388,952,446	81,884,487	1,307,067,959	311,774,707	0
16	CADENT FINANCIAL SERVICES LLC	N	CME	07/31/2009	2,384,593	2,374,351	10,242	85,914,416	1,524,018
17	CANTOR FITZGERALD & CO	Y	CBOT	07/31/2009	197,920,230	3,402,679	194,517,551	16,515,370	0
18	CAPITAL MARKET SERVICES LLC	N	NFA	07/31/2009	25,682,836	20,000,000	5,682,836	0	0
19	CIBC WORLD MARKETS CORP	Y	CME	07/31/2009	1,270,370,697	1,500,000	1,268,870,697	0	0
20	CITIGROUP GLOBAL MARKETS INC	Y	CBOT	07/31/2009	9,190,137,529	732,109,188	8,458,028,341	11,200,671,383	705,794,586
21	COMMONWEALTH FOREIGN EXCHANGE INC	N	NFA	07/31/2009	970,102	500,000	470,102	0	0
22	COUNTRY HEDGING INC	N	NFA	07/31/2009	14,564,702	7,720,097	6,844,605	94,169,810	22,158
23	CREDIT SUISSE SECURITIES (USA) LLC	Y	CBOT	07/31/2009	9,688,953,070	606,794,421	9,082,158,649	3,339,937,771	1,357,452,030
24	CROSSLAND LLC	N	CBOT	07/31/2009	5,787,623	4,453,878	1,333,745	46,349,781	39,112,511
25	CUNNINGHAM COMMODITIES LLC	N	CBOT	07/31/2009	3,408,769	500,000	2,908,769	23,296,678	24,216
26	CX CAPITAL MARKETS LLC	N	NFA	07/31/2009	786,449	500,000	286,449	5,993,805	0
27	DAIWA SECURITIES AMERICA INC	Y	CME	07/31/2009	260,192,145	1,056,951	259,135,194	8,555,941	46,698
28	DEUTSCHE BANK SECURITIES INC	Y	CBOT	07/31/2009	7,417,945,774	456,365,534	6,961,580,240	10,093,672,214	1,376,233,644
29	DORMAN TRADING LLC	N	CME	07/31/2009	8,151,998	804,911	7,347,087	83,007,360	42,213
30	EAGLE MARKET MAKERS INC	N	CBOT	07/31/2009	6,379,226	500,000	5,879,226	4,173,402	0
31	EASY FOREX US LTD	N	NFA	07/31/2009	20,712,028	20,000,000	712,028	0	0
32	ELECTRONIC BROKERAGE SYSTEMS LLC	Y	NFA	07/31/2009	9,565,058	542,598	9,022,460	0	0
33	ENSKILDA FUTURES LTD	N	CME	07/31/2009	23,744,716	11,512,933	12,231,783	190,044,620	0
34	FARR FINANCIAL INC	N	NFA	07/31/2009	933,016	582,277	350,739	32,050,426	17,180
35	FCSTONE LLC	N	CME	07/31/2009	74,822,456	36,371,187	38,451,269	793,270,452	8,379,549
36	FOREX CAPITAL MARKETS LLC	N	NFA	07/31/2009	65,161,426	20,000,000	45,161,426	0	0
37	FOREX CLUB FINANCIAL COMPANY INC	N	NFA	07/31/2009	24,640,392	20,000,000	4,640,392	0	0
38	FORTIS CLEARING AMERICAS LLC	Y	CBOT	07/31/2009	200,776,925	50,215,133	150,561,792	1,029,726,530	87,642,533
39	FRIEDBERG MERCANTILE GROUP INC	N	NFA	07/31/2009	3,371,710	500,000	2,871,710	4,054,244	0
40	FRONTIER FUTURES INC	N	NFA	07/31/2009	1,183,270	646,924	536,346	23,482,480	0
41	FUTURES TECH LLC	N	NFA	07/31/2009	875,052	500,000	375,052	0	0

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		(a)	(b)			(c)	(d)	4d(a)(2)	Pt. 30 Required
								(e)	(f)
42	FX SOLUTIONS LLC	N	NFA	07/31/2009	47,266,539	20,000,000	27,266,539	0	0
43	GAIN CAPITAL GROUP LLC	N	NFA	07/31/2009	87,048,766	20,000,000	67,048,766	0	0
44	GENESIS FUTURES LLC	N	NFA	07/31/2009	762,958	500,000	262,958	0	0
45	GFS FOREX & FUTURES INC	N	NFA	07/31/2009	3,719,623	500,000	3,219,623	0	0
46	GILDER GAGNON HOWE & CO LLC	Y	NFA	07/31/2009	16,159,429	500,000	15,659,429	0	0
47	GLOBAL FUTURES & FOREX LTD	N	NFA	07/31/2009	85,132,157	20,000,000	65,132,157	365,680	191,706
48	GOLDMAN SACHS & CO	Y	CBOT	07/31/2009	13,728,990,587	1,864,711,393	11,864,279,194	19,101,728,396	7,885,802,393
49	GOLDMAN SACHS EXECUTION & CLEARING LP	Y	CME	07/31/2009	1,877,564,819	92,714,872	1,784,849,947	1,003,346,452	27,376,298
50	HOTSPOT FXR LLC	N	NFA	07/31/2009	6,224,997	500,000	5,724,997	0	0
51	HSBC SECURITIES USA INC	Y	CME	07/31/2009	1,410,634,952	76,245,328	1,334,389,624	809,541,602	101,973,778
52	I TRADE FX LLC	N	NFA	07/31/2009	1,156,672	500,000	656,672	0	0
53	ICAP FUTURES LLC	N	NFA	07/31/2009	14,614,291	500,000	14,114,291	0	0
54	IG MARKETS INC	N	NFA	07/31/2009	30,938,067	20,000,000	10,938,067	0	0
55	IKON GLOBAL MARKETS INC	N	NFA	07/31/2009	20,391,084	20,000,000	391,084	1,458,613	272,387
56	INSTINET LLC	Y	NFA	07/31/2009	112,626,631	1,500,000	111,126,631	0	0
57	INTEGRATED BROKERAGE SERVICES LLC	N	NFA	07/31/2009	1,385,438	500,000	885,438	0	0
58	INTERACTIVE BROKERS LLC	Y	NFA	07/31/2009	734,800,532	68,757,865	666,042,667	355,131,972	118,024,805
59	INTERBANK FX LLC	N	NFA	07/31/2009	35,183,101	20,000,000	15,183,101	0	0
60	ITG DERIVATIVES LLC	Y	NFA	07/31/2009	2,970,158	500,000	2,470,158	0	0
61	JP MORGAN CLEARING CORP	Y	CME	07/31/2009	5,307,290,278	1,483,978,002	3,823,312,276	638,914,071	141,738,570
62	JP MORGAN FUTURES INC	N	NYME	07/31/2009	2,186,583,338	1,158,959,673	1,027,623,665	15,538,939,691	2,139,075,372
63	JP MORGAN SECURITIES INC	Y	NFA	07/31/2009	7,706,741,123	558,684,484	7,148,056,639	0	0
64	LADENBURG THALMANN & CO INC	Y	NFA	07/31/2009	4,025,344	500,000	3,525,344	0	0
65	LEK SECURITIES CORPORATION	Y	NFA	07/31/2009	12,958,476	1,515,362	11,443,114	167,319	0
66	LIMESTONE TRADING LLC	N	NFA	07/31/2009	14,049,789	500,000	13,549,789	0	0
67	LINN GROUP THE	N	NFA	07/31/2009	2,165,607	630,702	1,534,905	32,661,201	824
68	LPL FINANCIAL CORPORATION	Y	NFA	07/31/2009	111,840,204	5,707,288	106,132,916	0	0
69	MACQUARIE FUTURES USA INC	N	CBOT	07/31/2009	75,176,527	37,547,436	37,629,091	647,603,962	894,165
70	MAREX USA LIMITED	N	NFA	07/31/2009	746,851	500,000	246,851	0	0
71	MB TRADING FUTURES INC	N	NFA	07/31/2009	21,703,719	20,000,000	1,703,719	0	0
72	MBF CLEARING CORP	N	NYME	07/31/2009	8,156,719	1,555,579	6,601,140	88,300,662	7,572,034
73	MCVEAN TRADING & INVESTMENTS LLC	N	NFA	07/31/2009	7,924,370	1,998,523	5,925,847	570,454,328	0
74	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	07/31/2009	5,085,068,038	618,747,908	4,466,320,130	8,522,236,593	1,236,369,879
75	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	07/31/2009	1,144,037,691	84,483,927	1,059,553,764	901,321,179	59,061,879
76	MF GLOBAL INC	Y	CME	07/31/2009	617,952,547	351,070,339	266,882,208	7,180,533,948	448,483,088
77	MG FINANCIAL LLC	N	NFA	07/31/2009	5,025,929	500,000	4,525,929	0	0
78	MID CO COMMODITIES INC	N	NFA	07/31/2009	11,404,290	2,274,038	9,130,252	18,789,352	0
79	MITSUBISHI UFJ SECURITIES USA INC	Y	NFA	07/31/2009	70,147,053	1,177,661	68,969,392	0	0
80	MITSUMI BUSSAN COMMODITIES USA INC	N	NYME	07/31/2009	7,307,819	2,377,990	4,929,829	0	0
81	MIZUHO SECURITIES USA INC	Y	CME	07/31/2009	320,780,134	49,477,809	271,302,325	710,230,653	336,355,375
82	MORGAN KEEGAN & COMPANY INC	Y	NFA	07/31/2009	375,925,539	9,005,807	366,919,732	0	0
83	MORGAN STANLEY & CO INCORPORATED	Y	CME	07/31/2009	9,960,598,769	919,001,329	9,041,597,440	4,646,807,576	3,653,847,874

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				<b>Date</b>	<b>Net Capital</b>	<b>Requirement</b>	<b>Net Capital</b>	<b>Seg Required</b>	<b>Amount</b>
		<b>(a)</b>	<b>(b)</b>			<b>(c)</b>	<b>(d)</b>	<b>4d(a)(2)</b>	<b>Pt. 30 Required</b>
								<b>(e)</b>	<b>(f)</b>
84	MORGAN STANLEY SMITH BARNEY LLC	Y	NFA	07/31/2009	165,422,344	500,000	164,922,344	0	0
85	NATIXIS SECURITIES NORTH AMERICA INC	Y	NFA	07/31/2009	220,048,279	500,000	219,548,279	0	0
86	NEUBERGER BERMAN LLC	Y	NFA	07/31/2009	55,328,306	1,500,000	53,828,306	0	0
87	NEWEDGE USA LLC	Y	NYME	07/31/2009	2,424,571,690	1,297,396,911	1,127,174,779	18,370,148,204	7,476,416,948
88	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	07/31/2009	727,478,473	5,593,441	721,885,032	0	0
89	OANDA CORPORATION	N	NFA	07/31/2009	162,004,186	20,000,000	142,004,186	0	0
90	OPEN E CRY LLC	N	NFA	07/31/2009	2,980,470	853,522	2,126,948	68,060,521	824,185
91	OPPENHEIMER & CO INC	Y	NFA	07/31/2009	165,333,265	20,939,800	144,393,465	19,566,949	0
92	OPTIONSXPRESS INC	Y	NFA	07/31/2009	82,502,206	9,513,273	72,988,933	54,193,204	843,620
93	PENSON GHCO	N	CBOT	07/31/2009	21,279,749	14,124,041	7,155,708	385,886,422	23,189,783
94	PEREGRINE FINANCIAL GROUP INC	N	NFA	07/31/2009	32,496,349	20,000,000	12,496,349	315,010,556	32,916,514
95	PIONEER FUTURES INC	N	NYME	07/31/2009	1,246,834	500,000	746,834	0	0
96	PRUDENTIAL BACHE COMMODITIES LLC	N	CBOT	07/31/2009	236,027,000	178,321,040	57,705,960	2,798,030,000	367,493,000
97	PUMA FINANCIAL LLC	N	NFA	07/31/2009	1,174,210	750,000	424,210	5,418,644	0
98	RAND FINANCIAL SERVICES INC	N	CME	07/31/2009	57,213,294	7,527,430	49,685,864	118,097,500	30,840,900
99	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	07/31/2009	304,820,076	25,442,851	279,377,225	0	0
100	RBC CAPITAL MARKETS CORPORATION	Y	CME	07/31/2009	1,098,264,149	111,978,675	986,285,474	843,782,711	724,693,443
101	RBS SECURITIES INC	Y	CBOT	07/31/2009	2,082,426,000	173,846,000	1,908,580,000	1,326,298,000	77,424,000
102	RJ OBRIEN ASSOCIATES LLC	N	CME	07/31/2009	108,548,310	61,853,318	46,694,992	1,949,950,592	31,939,107
103	ROBBINS FUTURES INC	N	NFA	07/31/2009	573,830	500,000	73,830	2,742,227	0
104	ROSENTHAL COLLINS GROUP LLC	N	CME	07/31/2009	56,169,174	34,645,912	21,523,262	1,140,643,395	28,668,825
105	ROSENTHAL GLOBAL SECURITIES LLC	Y	NFA	07/31/2009	46,536,001	988,526	45,547,475	0	0
106	SANFORD C BERNSTEIN & CO LLC	Y	NFA	07/31/2009	145,884,392	9,421,451	136,462,941	0	0
107	SANTANDER INVESTMENT SECURITIES INC	Y	NFA	07/31/2009	79,492,485	821,239	78,671,246	0	0
108	SHAY GRAIN CLEARING COMPANY	N	KCBT	07/31/2009	2,570,117	704,343	1,865,774	13,183,953	0
109	SMW TRADING COMPANY INC	N	CME	07/31/2009	32,491,391	500,000	31,991,391	4,113,391	0
110	STEPHENS INC	Y	NFA	07/31/2009	59,289,832	11,364,782	47,925,050	0	0
111	STERLING COMMODITIES CORP	N	NYME	07/31/2009	8,208,936	634,123	7,574,813	29,219,266	916,674
112	TCA FUTURES LLC	N	NFA	07/31/2009	849,962	500,000	349,962	0	0
113	TD AMERITRADE INC	Y	NFA	07/31/2009	160,610,462	500,000	160,110,462	0	0
114	TENCO INC	N	CBOT	07/31/2009	45,995,057	7,117,550	38,877,507	141,017,929	0
115	TERRA NOVA FINANCIAL LLC	Y	NFA	07/31/2009	11,837,510	1,500,000	10,337,510	0	0
116	TIMBER HILL LLC	Y	CME	07/31/2009	1,191,266,821	20,061,539	1,171,205,282	238,637,722	0
117	TOWER RESEARCH CAPITAL EUROPE LLC	N	NFA	07/31/2009	2,546,049	500,000	2,046,049	0	0
118	TRADELINK LLC	Y	CBOT	07/31/2009	24,723,951	500,000	24,223,951	0	0
119	TRADESTATION SECURITIES INC	Y	NFA	07/31/2009	93,642,589	1,647,072	91,995,517	0	0
120	TRADITION SECURITIES AND FUTURES INC	N	NFA	07/31/2009	801,825	500,000	301,825	0	0
121	TRANSMARKET GROUP LLC	Y	CBOT	07/31/2009	14,711,435	500,000	14,211,435	0	0
122	TRILAND USA INC	N	NYME	07/31/2009	41,890,773	10,830,395	31,060,378	122,595,012	9,738,855
123	UBS FINANCIAL SERVICES INC	Y	NFA	07/31/2009	911,229,296	89,681,792	821,547,504	150,064,941	2,319,308
124	UBS SECURITIES LLC	Y	CBOT	07/31/2009	12,886,163,035	993,767,282	11,892,395,753	11,809,477,175	7,235,495,404
125	VELOCITY FUTURES LLC	N	NFA	07/31/2009	1,005,681	500,000	505,681	33,323,034	1,102,541

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126	VISION FINANCIAL MARKETS LLC	Y	CME	07/31/2009	23,705,519	7,792,300	15,913,219	264,193,445	888,749
127	WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	Y	NFA	07/31/2009	17,598,304	2,854,295	14,744,009	0	0
128	WELLS FARGO ADVISORS LLC	Y	NFA	07/31/2009	695,490,095	1,500,000	693,990,095	0	0
129	WELLS FARGO SECURITIES LLC	Y	CME	07/31/2009	2,144,580,876	1,000,000	2,143,580,876	0	0
130	WHITE COMMERCIAL CORPORATION	N	NFA	07/31/2009	2,249,695	500,000	1,749,695	0	0
131	WSD FINANCIAL USA INC	N	NFA	07/31/2009	1,251,620	500,000	751,620	0	0
132	YORK BUSINESS ASSOCIATES LLC	N	NFA	07/31/2009	1,489,601	500,000	989,601	46,749,918	1,226,517
	<b>Totals</b>							137,881,541,181	38,062,360,532



