Futures Commission Merchant / Retail Foreign Dealer  Futures Commission Merchant / Retail Foreign Dealer  1 ABN AMRO CLEARING CHICAGO LLC ADM INVESTOR SERVICES INC ADVANCED MARKETS LLC ADVANTAGE FUTURES LLC ALPARI (US) LLC AMERIPRISE FINANCIAL SERVICES INC	Reg As  Exchange  (a)  FCM BD  FCM	DSRO (b)	A/O Date	Adjusted Net Capital	Net Capital Requirement	Excess Not Conital	Customers'	Customers'	Excess/Deficient	Funds in Separate	Customer	Excess/Deficient	Total Amount
3   Dealer   Dealer	(a)	(b)	Date	Net Capital	Requirement	Not Conital				•			
3   Dealer   Dealer	(a)	(b)				Net Capital	Assets	Seg Required	Funds in Seg	Section 30.7	Amount Pt. 30	Funds in Separate	of Retail Forex
4 5 6 6 7 1 ABN AMRO CLEARING CHICAGO LLC 8 2 ADM INVESTOR SERVICES INC 9 3 ADVANCED MARKETS LLC 10 4 ADVANTAGE FUTURES LLC 11 5 ALPARI (US) LLC	FCM BD	(b)					in Seg	4d(a)(2)		Accounts	Required	Section 30.7	Obligation
6 7 1 ABN AMRO CLEARING CHICAGO LLC 8 2 ADM INVESTOR SERVICES INC 9 3 ADVANCED MARKETS LLC 10 4 ADVANTAGE FUTURES LLC 11 5 ALPARI (US) LLC	FCM BD	(b)										Accounts	
7 1 ABN AMRO CLEARING CHICAGO LLC 8 2 ADM INVESTOR SERVICES INC 9 3 ADVANCED MARKETS LLC 10 4 ADVANTAGE FUTURES LLC 11 5 ALPARI (US) LLC					(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)
8 2 ADM INVESTOR SERVICES INC 9 3 ADVANCED MARKETS LLC 10 4 ADVANTAGE FUTURES LLC 11 5 ALPARI (US) LLC													
9 3 ADVANCED MARKETS LLC 10 4 ADVANTAGE FUTURES LLC 11 5 ALPARI (US) LLC	FCM		3/31/2013	489,359,798	139,637,838	349,721,960	2,875,819,203	2,646,689,071	229,130,132	187,353,753	124,317,725	63,036,028	0
10 4 ADVANTAGE FUTURES LLC 11 5 ALPARI (US) LLC	I CIVINI	CBOT	3/31/2013	276,048,784	151,436,362	124,612,422	3,331,346,476	3,065,543,608	265,802,868	200,542,347	132,948,853	67,593,494	0
11 5 ALPARI (US) LLC		NFA	3/31/2013	10,344,575	1,000,000	9,344,575	0	0	0	0	0	0	0
· , ,	FCM	CME	3/31/2013	22,669,241	12,917,761	9,751,480	500,935,821	488,514,692	12,421,129	26,504,171	24,625,676	1,878,495	
172 6 AMERIPRISE FINANCIAL SERVICES INC		NFA	3/31/2013	26,717,729	20,143,774	6,573,955	5,199,355	1,945,592	3,253,763	2,620,453	1,708,628	911,825	12,875,489
	FCM BD		3/31/2013	125,785,011	1,000,000	124,785,011	44.400.070	20.740.205	4 450 004	000.040	070,000	040.040	0
13 7 AMP GLOBAL CLEARING LLC	FCM PD	NFA	3/31/2013	2,519,686	1,500,000	1,019,686	41,198,376	39,740,295	1,458,081	889,810	672,962	216,848	0
14 8 BARCLAYS CAPITAL INC 15 9 BGC FINANCIAL LP	FCM BD		3/31/2013 3/31/2013	5,739,878,978 61,445,994	1,071,578,992 2,176,902	4,668,299,986 59,269,092	6,888,707,396	6,619,064,494	269,642,902	3,636,651,887	3,457,594,341	179,057,546	
16 10 BNP PARIBAS PRIME BROKERAGE INC	FCM BD		3/31/2013	2,250,330,918	227,544,460	2,022,786,458	2,268,615,234	1,984,930,537	283,684,697	21,719,637	4,403,101	17,316,536	
17 11 BNP PARIBAS SECURITIES CORP	FCM BD		3/31/2013	1,828,611,882	122,806,984	1,705,804,898	1,012,394,346	619,877,121	392,517,225	1,000,000	4,403,101	1,000,000	0
18 12 BNY MELLON CLEARING LLC	FCM	CME	3/31/2013	290,679,469	8,258,790	282,420,679	435,375,065	249,326,829	186,048,236	38,563,999	20,645,967	17,918,032	0
19 13 BOCI COMMODITIES & FUTURES USA LLC	FCM	NFA	3/31/2013	4,915,766	1,000,000	3,915,766	-55,575,005 A	2-13,320,029 N	100,040,230	ენ,ენე,ემე	20,040,307 N	17,310,032	0
20 14 CANTOR FITZGERALD & CO	FCM BD		3/31/2013	275,850,164	5,845,463	270,004,701	6,164,206	1,203,846	4,960,360	0	0	0	
21 15 CAPITAL MARKET SERVICES LLC	FCM	NFA	3/31/2013	2,342,797	1,000,000	1,342,797	0,104,200	1,200,040	1,500,000	0	0	0	
22 16 CHS HEDGING INC	FCM	CBOT	3/31/2013	25,740,626	15,580,138	10,160,488	299,503,738	275,257,682	24,246,056	1,265,594	283,485	982,109	
23 17 CITADEL SECURITIES LLC	FCM BD		3/31/2013	368,812,607	1,000,000	367,812,607	0	0	0	0	0	0	0
24 18 CITIGROUP GLOBAL MARKETS INC	FCM BD		3/31/2013	7,260,889,585	693,721,947	6,567,167,638	7,531,650,979	7,318,697,584	212,953,395	1,196,356,519	940,239,249	256,117,270	0
25 19 COMMONWEALTH FOREIGN EXCHANGE INC	FCM	NFA	3/31/2013	1,592,909	1,000,000	592,909	0	0	0	0	0	0	0
26 20 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD		3/31/2013	8,948,357,597	1,779,019,619	7,169,337,978	7,976,816,076	6,677,806,968	1,299,009,108	2,948,061,422	2,468,088,086	479,973,336	0
27 21 CROSSLAND LLC	FCM	СВОТ	3/31/2013	7,832,092	3,178,562	4,653,530	79,875,304	77,722,000	2,153,304	15,013,785	14,451,737	562,048	0
28 22 CUNNINGHAM COMMODITIES LLC	FCM	СВОТ	3/31/2013	2,751,843	1,318,635	1,433,208	70,325,630	69,421,873	903,757	501,265	390,550	110,715	0
29 23 CX CAPITAL MARKETS LLC	FCM BD	NFA	3/31/2013	2,801,013	1,000,000	1,801,013	38,112,766	36,037,429	2,075,337	0	0	0	0
30 24 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	3/31/2013	317,070,382	5,644,982	311,425,400	8,453,867	3,808,466	4,645,401	1,010,160	0	1,010,160	0
31 25 DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	3/31/2013	7,055,912,672	661,644,553	6,394,268,119	15,142,543,157	14,616,074,925	526,468,232	1,275,028,718	875,031,632	399,997,086	0
32 26 DORMAN TRADING LLC	FCM	CME	3/31/2013	10,317,819	1,755,312	8,562,507	132,244,880	129,688,816	2,556,064	2,514,218	1,925,951	588,267	0
33 27 E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	3/31/2013	24,031,513	2,507,238	21,524,275	45,988,828	39,672,742	6,316,086	727,412	125,892	601,520	0
34 28 E TRADE CLEARING LLC	FCM BD	NFA	3/31/2013	654,096,924	128,110,468	525,986,456	43,533,657	33,338,623	10,195,034	1,615,297	615,297	1,000,000	0
35 29 ENSKILDA FUTURES LTD	FCM	CME	3/31/2013	36,317,875	14,198,089	22,119,786	221,691,794	211,953,343	9,738,451	0	0	0	0
36 30 FCSTONE LLC	FCM	CME	3/31/2013	108,084,076	70,802,479	37,281,597	1,715,449,282	1,692,220,374	23,228,908	94,305,466	83,694,678	10,610,788	0
37 31 FOREX CAPITAL MARKETS LLC		NFA	3/31/2013	49,686,037	26,553,243	23,132,794	0	0	0	0	0	0	141,064,865
38 32 FRIEDBERG MERCANTILE GROUP INC	FCM	NFA	3/31/2013	3,613,534	1,000,000	2,613,534	7,896,465	7,320,005	576,460	1,268,498	1,210,186	58,312	0
39 33 FRONTIER FUTURES INC	FCM	NFA	3/31/2013	1,965,763	1,000,000	965,763	32,441,594	31,698,568	743,026	0	0	0	0
40 34 FX SOLUTIONS LLC	1 0000	NFA	3/31/2013	24,202,298	20,000,000	4,202,298	0	0	0	0	0	0	2,138,962
41 35 FXDIRECTDEALER LLC	1 OMKI	NFA	3/31/2013	23,691,278	20,823,814	2,867,464	0	0	0	0	0	0	26,476,272
42 36 GAIN CAPITAL GROUP LLC		NFA	3/31/2013	35,208,772	25,091,424	10,117,348	118,510,876	114,117,564	4,393,312	3,474,352	2,517,881	956,471	111,828,478
43 37 GH FINANCIALS LLC	FCM	CME	3/31/2013	8,807,725	1,000,000	7,807,725	2,052,473	1,578,177	474,296	0	0	0	0
44 38 GLOBAL FUTURES & FOREX LTD 45 39 GOLDMAN SACHS & CO	FCM BD	NFA	3/31/2013 3/31/2013	3,575,684 14,796,695,798	20,000,000	(16,424,316) 12,851,867,679	20,298,306,462	19,784,516,408	513,790,054	8.830.952.594	8.332.429.965	498.522.629	0
										-11 1	-1 1 -1	1- 1	0
46 40 GOLDMAN SACHS EXECUTION & CLEARING LI	FCM BD		3/31/2013 3/31/2013	2,051,474,510 66,213,952	115,498,547 1,404,295	1,935,975,963 64,809,657	1,367,501,729	782,843,405	584,658,324	64,489,738	17,746,001	46,743,737	
48 42 HSBC SECURITIES USA INC	FCM BD		3/31/2013	1,123,109,603	105,531,311	1,017,578,292	935,796,222	869,713,297	66,082,925	96,191,621	71,188,457	25,003,164	
49 43 IBFX INC	RFED		3/31/2013	27,921,865	23,216,902	4,704,963	933,790,222	009,713,297	00,002,923	90,191,021	71,100,457	25,005,104	74,338,033
50 44 INSTINET LLC	FCM BD		3/31/2013	106,688,206	3,704,377	102,983,829	0	0	0	0	0	0	7 <del>1</del> ,550,055
51 45 INSTITUTIONAL LIQUIDITY LLC	1 CIVILLI	NFA	3/31/2013	36,949,274	20,122,959	16,826,315	0	0	0	0	0	0	12,459,172
52 46 INTERACTIVE BROKERS LLC	FCM BD		3/31/2013	1,685,887,625	256,725,517	1,429,162,108	2,158,247,047	1,947,608,656	210,638,391	682,440,569	555,840,250	126,600,319	40,717,390
53 47 IRONBEAM INC	FCM	NFA	3/31/2013	2,489,478	1,338,667	1,150,811	52,530,722	51,089,459	1,441,263	110,242	2,815		0
54 48 ITG DERIVATIVES LLC	FCM BD		3/31/2013	4,154,093	1,000,000	3,154,093	0	0	0	0	0	0	0
55 49 JEFFERIES BACHE LLC		CBOT	3/31/2013	246,453,000	143,742,400	102,710,600	2,393,238,000	2,284,408,000	108,830,000	212,738,000	140,548,000	72,190,000	0
56 50 JP MORGAN CLEARING CORP	FCM BD		3/31/2013	6,662,383,714	1,773,515,549	4,888,868,165	884,942,918	611,601,947	273,340,971	567,818,085	364,124,005	203,694,080	
57 51 JP MORGAN SECURITIES LLC	FCM BD		3/31/2013	13,493,750,545	1,588,589,099	11,905,161,446	19,119,957,931	17,038,888,863	2,081,069,068	3,313,628,383	2,970,759,301	342,869,082	
58 52 KNIGHT CAPITAL AMERICAS LLC	FCM BD		3/31/2013	293,576,632	23,962,708	269,613,924	496,466,223	479,970,694	16,495,529	14,597,330	10,668,299	3,929,031	30,876,184
59 53 LEK SECURITIES CORPORATION	FCM BD	NFA	3/31/2013	10,056,987	1,500,000	8,556,987	6,642,417	5,108,411	1,534,006	0	0	0	0
60 54 LINN GROUP THE	FCM	NFA	3/31/2013	3,262,498	2,113,083	1,149,415	83,209,734	79,566,022	3,643,712	347,801	241	347,560	0

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1	<u>^</u>		Reg As		A/O	Adjusted	Net Capital	Excess	Customers'	Customers'	Excess/Deficient	Funds in Separate	Customer	Excess/Deficient	Total Amount
2					Date	Net Capital	Requirement	Net Capital	Assets	Seg Required	Funds in Seg	Section 30.7	Amount Pt. 30	Funds in Separate	of Retail Forex
3		Futures Commission Merchant / Retail Foreign Exchange Dealer	е				•		in Seg	4d(a)(2)		Accounts	Required	Section 30.7 Accounts	Obligation
5			(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(i)	(k)
6			(ω)	(2)			(0)	(4)	(0)	(-)	(9)	(,	(-)	U)	(14)
61	55	LPL FINANCIAL LLC	FCM BD	NFA	3/31/2013	134,085,470	6,471,770	127,613,700	0	0	0	0	0	0	0
62	56	MACQUARIE FUTURES USA LLC	FCM	CBOT	3/31/2013	138,799,173	85,167,408	53,631,765	1,277,573,056	1,124,588,246	152,984,810	35,421,688	28,890,251	6,531,437	0
63	57	MAREX NORTH AMERICA LLC		CME	3/31/2013	8,305,064	7,327,121	977,943	90,865,843	83,804,991	7,060,852	5,845,493	5,092,128	753,365	0
64		MAREX USA LIMITED	FCM	NFA	3/31/2013	2,481,333	1,000,000	1,481,333	0	0	0	0	0	0	0
65		MB TRADING FUTURES INC		NFA	3/31/2013	24,390,238	21,515,671	2,874,567	0	0	0	0	0	0	40,313,420
66		MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	3/31/2013	13,566,188	3,184,744	10,381,444	897,541,907	876,550,239	20,991,668	2,650,556	0	2,650,556	0
67		MERRILL LYNCH PIERCE FENNER & SMITH  MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD		3/31/2013 3/31/2013	10,200,269,628	776,352,607	9,423,917,021	8,347,629,119	7,812,492,241	535,136,878	2,695,350,993	2,343,696,944	351,654,049 27,950,051	0
68 69		MID CO COMMODITIES INC		NFA	3/31/2013	9,706,948	235,362,193 5,173,756	1,361,842,772 4,533,192	1,921,155,237 71,415,691	1,162,814,204 62,778,541	758,341,033 8,637,150	28,216,032 630,319	265,981 57,763	572,556	0
70		MITSUBISHI UFJ SECURITIES USA INC			3/31/2013	219,786,295	1,109,543	218,676,752	71,413,091		0,037,130	030,319	0,703	0	0
71		MITSUI BUSSAN COMMODITIES USA INC	FCM	NFA	3/31/2013	3,317,585	1,000,000	2,317,585	0	0	0	0	0	0	0
72	_	MIZUHO SECURITIES USA INC	_	CME	3/31/2013	507,517,280	174,045,479	333,471,801	2,163,275,239	1,965,794,380	197,480,859	482,672,593	379,364,679	103,307,914	0
73		MORGAN KEEGAN & COMPANY INC			3/31/2013	63,688,188	1,500,000	62,188,188	0		0	0	0	0	0
74	68	MORGAN STANLEY & CO LLC	FCM BD	CME	3/31/2013	8,848,445,938	1,500,753,547	7,347,692,391	8,002,522,900	7,793,554,147	208,968,753	1,979,525,217	1,768,832,616	210,692,601	0
75	69	MORGAN STANLEY SMITH BARNEY LLC	FCM BD	NFA	3/31/2013	2,429,317,008	133,850,612	2,295,466,396	675,511,067	623,259,275	52,251,792	70,059,366	62,745,475	7,313,891	0
76	70	NATIXIS SECURITIES AMERICAS LLC	FCM BD	NFA	3/31/2013	357,830,308	1,855,832	355,974,476	0	0	0	0	0	0	0
77	71	NEUBERGER BERMAN LLC	FCM BD		3/31/2013	61,412,445	1,500,000	59,912,445	0	0	0	0	0	0	0
78		NEWEDGE USA LLC	FCM BD		3/31/2013	1,990,444,206	1,008,043,517	982,400,689	15,226,523,087	14,628,090,311	598,432,776	3,833,572,447	3,438,036,271	395,536,176	0
79		NOMURA SECURITIES INTERNATIONAL INC	FCM BD		3/31/2013	2,453,921,484	34,137,826	2,419,783,658	76,433,516	55,437,559	20,995,957	13,630,886	9,403,836	4,227,050	0
80		OANDA CORPORATION	<u> </u>	NFA	3/31/2013	152,870,767	27,133,832	125,736,935	0	0	0	0	0	0	152,676,650
81		OPPENHEIMER & CO INC		NFA	3/31/2013	154,177,062	24,856,337	129,320,725	46,744,321	29,289,431	17,454,890	4.500.404	4 004 470	0 500 740	0
82		OPTIONSXPRESS INC PHILLIP FUTURES INC	FCM BD	NFA CME	3/31/2013 3/31/2013	89,125,204	5,952,989	83,172,215 7,252,026	115,838,643	92,881,565	22,957,078	4,522,191	1,961,472	2,560,719	0
83 84		PIONEER FUTURES INC		NFA	3/31/2013	27,252,026 1,732,262	20,000,000	7,252,026	193,569,179 3,939,342	170,456,321 3,711,159	23,112,858	1,285,332 50,000	478,382	806,950 50,000	0
85	-	RAND FINANCIAL SERVICES INC		CME	3/31/2013	77,781,608	18,866,219	58,915,389	382,325,800	342,465,700	39,860,100	33,492,700	11,416,900	22,075,800	0
86		RAYMOND JAMES & ASSOCIATES INC			3/28/2013	298,731,650	38,300,584	260,431,066	0		03,000,100	00,402,700	0	0	0
87	_	RBC CAPITAL MARKETS LLC			3/28/2013	1,162,638,929	112,521,812	1,050,117,117	873,955,147	804,249,932	69,705,215	179,767,656	119,654,500	60,113,156	0
88	_	RBS SECURITIES INC	FCM BD		3/31/2013	4,310,308,059	154,008,449	4,156,299,610	1,660,512,209	1,515,564,906	144,947,303	162,683,397	44,383,837	118,299,560	0
89	83	RJ OBRIEN ASSOCIATES LLC	FCM	CME	3/31/2013	214,199,579	135,488,656	78,710,923	4,020,216,585	3,920,125,395	100,091,190	204,349,338	178,089,886	26,259,452	1,679,228
90	84	ROSENTHAL COLLINS GROUP LLC	FCM	CME	3/31/2013	76,443,733	57,373,270	19,070,463	1,655,845,814	1,634,156,088	21,689,726	47,083,091	37,021,211	10,061,880	0
91	85	SANFORD C BERNSTEIN & CO LLC	FCM BD	NFA	3/31/2013	150,745,918	18,238,226	132,507,692	0	0	0	0	0	0	0
92		SANTANDER INVESTMENT SECURITIES INC	FCM BD			97,460,480	7,096,549	90,363,931	616,450,408	614,020,037	2,430,371	0	0	0	0
93		STATE STREET GLOBAL MARKETS LLC			3/31/2013	509,656,408	9,130,283	500,526,125	269,263,731	116,934,768	152,328,963	51,176,679	11,869,033	39,307,646	0
94		STEPHENS INC	FCM BD		3/31/2013	96,039,062	19,134,594	76,904,468	05 075 400	0 00 777	0 000 700	0	0	0	0
95	4	STRAITS FINANCIAL LLC		CME	3/31/2013	10,208,312	2,937,889	7,270,423	95,975,498	89,365,776	6,609,722	19,695,220	17,721,077	1,974,143	0
96 97		TD AMERITRADE INC	FCM BD		3/31/2013 3/31/2013	226,256,550 290,320,610	12,633,896 51,315,555	213,622,654 239,005,055	179,173,937 676,951,514	156,318,979 674,425,154	22,854,958 2,526,360	0	0	0	0
98		TRADELINK LLC	FCM BD			13,311,395	1,000,000	12,311,395	0/0,951,514		2,526,360	0	0	0	0
99	-	TRADESTATION SECURITIES INC	FCM BD		3/31/2013	49,771,492	3,350,045	46,421,447	394,093,369	384,075,651	10,017,718	15,527,659	14,515,543	1,012,116	0
100		TULLETT PREBON FINANCIAL SERVICES LLC	FCM BD		3/31/2013	29,586,120	1,000,000	28,586,120	0	0	0	0	0	0	0
101	+	UBS FINANCIAL SERVICES INC	FCM BD		3/31/2013	1,282,387,469	105,865,643	1,176,521,826	134,677,517	110,217,986	24,459,531	25,324,062	1,131,366	24,192,696	0
102	96	UBS SECURITIES LLC	FCM BD			11,507,945,450	942,091,528	10,565,853,922	9,804,856,562	9,148,483,300	656,373,262	3,759,957,344	3,321,013,426	438,943,918	0
103	97	VELOCITY FUTURES LLC		NFA	3/31/2013	1,265,906	1,000,000	265,906	64,497,559	63,640,704	856,855	1,859,102	1,262,542	596,560	0
104	98	VISION FINANCIAL MARKETS LLC	FCM BD		3/31/2013	30,335,560	18,196,426	12,139,134	667,546,455	651,649,328	15,897,127	12,458,478	9,332,324	3,126,154	0
105	_	WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	FCM BD		3/31/2013	45,290,156	1,000,000	44,290,156	0	0	0	0	0	0	0
106		WELLS FARGO ADVISORS LLC	FCM BD		3/31/2013	1,308,085,396	1,500,000	1,306,585,396	0	0	0	0	0	0	0
107		WELLS FARGO SECURITIES LLC	FCM BD		3/31/2013	1,525,501,596	13,302,695	1,512,198,901	0	0	0	0	0	0	0
108		WHITE COMMERCIAL CORPORATION		NFA	3/31/2013	2,222,031	1,000,000	1,222,031	0	0	0	0	0	0	0
109		XCHANGE FINANCIAL ACCESS LLC  XPRESSTRADE LLC	FCM BD RFED	NFA	3/31/2013 3/31/2013	2,583,674 22,863,385	1,000,000	1,583,674 2,863,385	0	0	0	0	0	0	493.040
111	_	YORK BUSINESS ASSOCIATES LLC	FCM	NFA	3/31/2013	3,576,828	1,000,000	2,576,828	60,447,711	58,662,592	1,785,119	2,325,472	1,827,517	497,955	493,040
112	100	10th 500m200 noodon 120 EE0	1 Olvi	IIIA	0,01,2010	3,37 0,020	1,000,000	2,010,020	00,777,711	55,552,532	1,700,119	2,020,472	1,027,317	757,955	
113															
114		Totals							159,297,014,212	147,758,425,292	11,538,588,920	37,109,436,437	32,426,864,171	4,682,572,266	647,937,183
115															

	АВ	С	пΙ	F	F	G	Н	1	1 .1	K	1	M	N	0
1	X B	Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customers'	Excess/Deficient	Funds in Separate	Customer	Excess/Deficient	Total Amount
2			DOMO	Date	Net Capital	Requirement	Net Capital	Assets	Seg Required	Funds in Seg	Section 30.7	Amount Pt. 30	Funds in Separate	of Retail Forex
3	Futures Commission Merchant / Retail Foreign Exchange				Tior oup iii.			in Seg	4d(a)(2)	i unuo m oog	Accounts	Required	Section 30.7	Obligation
4	Dealer							559	()(_)		7.000 u		Accounts	- Canganon
5		(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)
6		(-)				(5)	(-)	(-)		(9)	\'\'		37	. 7
116	February Web Page Update	107												
6 116 117 118 119 120 121 122	, , ,													1
118	Additions	0												
119	None													
120														
121	Deletions	2												
122	EAGLE MARKET MAKERS INC													
123	OMAHA CAPITAL GROUP LLC													
124														
125	Name Changes													
126	None			-										
124 125 126 127														
128 129	March Web Page Update	105												
129														

														_	
	Α	В	С	D	Е	F	G	Н	I	J	K	L	M	N	0
1			Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customers'	Excess/Deficient	Funds in Separate	Customer	Excess/Deficient	Total Amount
2		Futures Commission Merchant / Retail Foreign Exchange			Date	Net Capital	Requirement	Net Capital	Assets	Seg Required	Funds in Seg	Section 30.7	Amount Pt. 30	Funds in Separate	of Retail Forex
3		Dealer							in Seg	4d(a)(2)		Accounts	Required	Section 30.7	Obligation
4														Accounts	
5			(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)
6															
13	0	(a): FCM: Futures Commission Merchant that is registered	ed with the	Commod	lity Futures Tr	ading Commission;									
13	1	BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer;													
13	2	RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission;													
13	FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.														
13	4														
13	(b): DSRO: Designated Self-Regulatory Organization.														
13	6														

6	(a) FCM. Future Commission Marshard that is resistant divide the Commadity Future Tradition Commission.												
130	(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission;												
131	BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer;												
132	RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission;												
133	FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.												
134													
135	(b): DSRO: Designated Self-Regulatory Organization.												
136													
137	(c): A firm's net capital requirement is the greater of:												
138													
139	FCM minimum dollar amount (\$1,000,000); or												
140													
141	the amount of capital required by a registered futures association (currently NFA is the only such association); or												
142	• for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or												
143	minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or												
144	minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.												
145													
146	(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.												
147													
148	(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.												
149													
	(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all												
150	accounts that contain a net liquidating equity.												
151													
152	(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.												
153													
	(h): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with												
154	Part 30 of the Commodity Exchange Act.												
155													
156	(i): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.												
157													
158	(j): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.												
159													
			<del>                                     </del>										

(k): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts,

adjusted for the realized and unrealized net profit or loss.