

SELECTED FCM FINANCIAL DATA AS OF
 March 31, 2011
 FROM REPORTS FILED BY
 May 06, 2011

	Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer	Total Amount	
Futures Commission Merchant / Retail Foreign Exchange Dealer			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	of Retail Forex	
	(a)	(b)			(c)	(d)	4d(a)(2)	Pt. 30 Required	Obligation	
							(e)	(f)	(g)	
1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	03/31/2011	200,418,426	102,873,727	97,544,699	1,786,382,780	276,931,570	0
2	ADM INVESTOR SERVICES INC	FCM	CBOT	03/31/2011	291,886,079	162,710,262	129,175,817	2,486,938,778	56,063,140	0
3	ADVANCED MARKETS LLC	RFED	NFA	03/31/2011	20,089,303	20,000,000	89,303	0	0	2,592,470
4	ADVANTAGE FUTURES LLC	FCM	CME	03/31/2011	21,784,462	13,081,358	8,703,104	461,897,699	38,620,326	0
5	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC *	FCM BD	NFA	03/31/2011	106,652,708	6,172,937	100,479,771	0	0	0
6	ALPARI (US) LLC	RFED	NFA	03/31/2011	20,652,028	20,000,000	652,028	0	0	6,210,223
7	AMERIPRISE FINANCIAL SERVICES INC	FCM BD	NFA	03/31/2011	108,614,794	1,000,000	107,614,794	0	0	0
8	AMP GLOBAL CLEARING LLC	FCM	NFA	03/31/2011	1,428,237	1,000,000	428,237	26,832,762	49,655	0
9	BARCLAYS CAPITAL INC	FCM BD	NYME	03/31/2011	6,090,810,729	736,451,718	5,354,359,011	5,512,110,026	2,197,487,661	0
10	BGC FINANCIAL LP	FCM BD	NFA	03/31/2011	60,876,006	1,000,000	59,876,006	0	0	0
11	BNP PARIBAS COMMODITY FUTURES INC	FCM	NYME	03/31/2011	373,325,490	232,163,876	141,161,614	1,531,762,736	470,813,936	0
12	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	03/31/2011	1,556,440,271	103,397,884	1,453,042,387	612,171,378	0	0
13	BNY MELLON CLEARING LLC	FCM	CME	03/31/2011	128,279,112	3,457,118	124,821,994	1,553,085	26	0
14	CANTOR FITZGERALD & CO	FCM BD	CBOT	03/31/2011	172,666,387	6,581,710	166,084,677	1,455,392	0	0
15	CAPITAL MARKET SERVICES LLC	RFED	NFA	03/31/2011	2,604,568	1,000,000	1,604,568	0	0	0
16	CIBC WORLD MARKETS CORP	FCM BD	CME	03/31/2011	470,824,446	1,500,000	469,324,446	0	0	0
17	CITADEL SECURITIES LLC	FCM BD	CME	03/31/2011	343,453,113	1,000,000	342,453,113	0	0	0
18	CITIGROUP GLOBAL MARKETS INC	FCM BD	CBOT	03/31/2011	7,875,144,300	659,266,658	7,215,877,642	10,066,221,125	1,489,002,482	0
19	COMMONWEALTH FOREIGN EXCHANGE INC	FCM	NFA	03/31/2011	1,508,702	1,000,000	508,702	0	0	0
20	COMPASS PROFESSIONAL SERVICES LLC	FCM BD	NFA	03/31/2011	3,787,130	1,000,000	2,787,130	0	0	0
21	COUNTRY HEDGING INC	FCM	NFA	03/31/2011	26,336,507	21,305,534	5,030,973	116,236,067	183,248	0
22	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	03/31/2011	7,795,713,905	1,055,060,653	6,740,653,252	5,614,260,540	1,788,660,965	0
23	CROSSLAND LLC	FCM	CBOT	03/31/2011	10,982,212	4,639,866	6,342,346	75,226,388	30,395,191	0
24	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	03/31/2011	3,580,741	1,000,000	2,580,741	40,348,048	38,572	0
25	CX CAPITAL MARKETS LLC	FCM	NFA	03/31/2011	2,308,838	1,000,000	1,308,838	26,087,524	0	0
26	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	03/31/2011	305,231,051	1,454,999	303,776,052	4,300,058	40,979	0
27	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	03/31/2011	6,753,993,203	631,276,054	6,122,717,149	16,365,066,676	1,412,958,976	0
28	DORMAN TRADING LLC	FCM	CME	03/31/2011	7,632,179	1,000,000	6,632,179	95,315,926	1,438,021	0
29	EAGLE MARKET MAKERS INC	FCM	CBOT	03/31/2011	7,022,081	1,000,000	6,022,081	4,993,681	0	0
30	EASY FOREX US LTD	FCM	NFA	03/31/2011	1,930,380	1,000,000	930,380	0	0	0
31	ELECTRONIC BROKERAGE SYSTEMS LLC	FCM BD	NFA	03/31/2011	4,942,384	1,000,000	3,942,384	0	0	0
32	ENSKILDA FUTURES LTD	FCM	CME	03/31/2011	27,969,165	21,318,934	6,650,231	364,012,783	0	0
33	FARR FINANCIAL INC	FCM	NFA	03/31/2011	1,570,844	1,000,000	570,844	0	0	0
34	FCSTONE LLC	FCM	CME	03/31/2011	109,558,865	71,924,964	37,633,901	1,227,964,576	14,155,113	5,240,772
35	FOREX CAPITAL MARKETS LLC	RFED	NFA	03/31/2011	80,939,785	26,294,357	54,645,428	0	0	135,887,130
36	FOREX CLUB LLC	RFED	NFA	03/31/2011	20,455,549	20,000,000	455,549	0	0	2,473,440
37	FRIEDBERG MERCANTILE GROUP INC	FCM	NFA	03/31/2011	3,431,419	1,000,000	2,431,419	9,580,650	834,656	0
38	FRONTIER FUTURES INC	FCM	NFA	03/31/2011	1,839,422	1,317,371	522,051	28,239,356	0	0
39	FUTURES TECH LLC	FCM	NFA	03/31/2011	1,607,896	1,000,000	607,896	0	0	0
40	FX SOLUTIONS LLC	RFED	NFA	03/31/2011	34,877,023	20,444,496	14,432,527	0	0	18,889,924
41	FXDIRECTDEALER LLC	RFED	NFA	03/31/2011	22,576,150	21,398,527	1,177,623	0	0	37,970,559
42	GAIN CAPITAL GROUP LLC	RFED	NFA	03/31/2011	39,587,898	26,676,008	12,911,890	0	0	143,520,157
43	GLOBAL FUTURES & FOREX LTD	RFED	NFA	03/31/2011	82,765,538	23,990,187	58,775,351	629,983	210,478	89,803,740

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							4d(a)(2)	Pt. 30 Required	Obligation	
44	GOLDMAN SACHS & CO	FCM BD	CBOT	03/31/2011	10,505,347,097	1,952,024,726	8,553,322,371	21,764,949,000	7,569,408,827	0
45	GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD	CME	03/31/2011	1,784,323,336	125,388,883	1,658,934,453	1,262,050,109	24,214,807	0
46	HSBC SECURITIES USA INC	FCM BD	CME	03/31/2011	1,075,406,774	93,747,232	981,659,542	974,564,160	122,682,233	0
47	ICAP CORPORATES LLC	FCM BD	NFA	03/31/2011	43,666,169	3,615,959	40,050,210	0	0	0
48	ICAP FUTURES LLC	FCM	NFA	03/31/2011	8,300,851	1,000,000	7,300,851	0	0	0
49	IG MARKETS INC	FCM	NFA	03/31/2011	28,935,338	20,000,000	8,935,338	239,823	0	1,513,884
50	IKON GLOBAL MARKETS INC	FCM	NFA	03/31/2011	17,178,679	1,000,000	16,178,679	22,082,147	108,434	0
51	INSTINET LLC	FCM BD	NFA	03/31/2011	115,078,522	1,770,195	113,308,327	0	0	0
52	INSTITUTIONAL LIQUIDITY LLC	FCM	NFA	03/31/2011	21,000,847	20,000,000	1,000,847	0	0	0
53	INTEGRATED BROKERAGE SERVICES LLC	FCM	NFA	03/31/2011	1,643,462	1,000,000	643,462	0	0	0
54	INTERACTIVE BROKERS LLC	FCM BD	NFA	03/31/2011	1,225,839,821	202,217,460	1,023,622,361	728,922,719	285,913,147	0
55	INTERBANK FX LLC	RFED	NFA	03/31/2011	24,237,622	21,254,063	2,983,559	0	0	35,081,264
56	IRONBEAM INC	FCM	NFA	03/31/2011	2,070,089	1,097,248	972,841	51,373,529	369,902	0
57	ITG DERIVATIVES LLC	FCM BD	NFA	03/31/2011	3,226,619	1,000,000	2,226,619	0	0	0
58	JEFFERIES & COMPANY INC	FCM BD	NFA	03/31/2011	486,328,907	84,413,601	401,915,306	0	0	0
59	JP MORGAN CLEARING CORP	FCM BD	CME	03/31/2011	6,092,471,903	1,911,754,709	4,180,717,194	502,881,860	368,012,655	0
60	JP MORGAN FUTURES INC	FCM	NYME	03/31/2011	2,532,593,354	1,449,298,139	1,083,295,215	16,821,242,735	2,341,708,526	0
61	JP MORGAN SECURITIES LLC	FCM BD	NFA	03/31/2011	7,850,479,654	576,411,749	7,274,067,905	0	0	0
62	LEK SECURITIES CORPORATION	FCM BD	NFA	03/31/2011	10,419,910	1,500,000	8,919,910	247,635	0	0
63	LIMESTONE TRADING LLC	FCM	NFA	03/31/2011	3,795,604	1,000,000	2,795,604	0	0	0
64	LINN GROUP THE	FCM	NFA	03/31/2011	2,536,913	1,000,000	1,536,913	35,676,779	58,265	0
65	LPL FINANCIAL LLC	FCM BD	NFA	03/31/2011	130,284,087	5,756,387	124,527,700	0	0	0
66	MACQUARIE FUTURES USA INC	FCM	CBOT	03/31/2011	131,501,934	57,623,508	73,878,426	735,384,573	25,960,074	0
67	MAREX NORTH AMERICA LLC	FCM	CME	03/31/2011	11,036,848	4,072,242	6,964,606	48,269,147	1,778,604	0
68	MAREX USA LIMITED	FCM	NFA	03/31/2011	2,620,841	1,000,000	1,620,841	0	0	0
69	MB TRADING FUTURES INC	RFED	NFA	03/31/2011	24,860,991	21,221,391	3,639,600	0	0	34,427,812
70	MBF CLEARING CORP	FCM	NYME	03/31/2011	9,240,976	1,480,050	7,760,926	103,701,836	2,879,261	0
71	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	03/31/2011	11,096,110	3,965,327	7,130,783	831,065,826	0	0
72	MERRILL LYNCH PIERCE FENNER & SMITH	FCM BD	CBOT	03/31/2011	10,161,569,475	833,406,047	9,328,163,428	9,581,310,967	2,590,803,840	0
73	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	03/31/2011	2,256,306,248	192,924,138	2,063,382,110	941,107,835	52,078,934	0
74	MF GLOBAL INC	FCM BD	CME	03/31/2011	562,509,559	437,172,057	125,337,502	8,077,773,388	768,393,822	0
75	MID CO COMMODITIES INC	FCM	NFA	03/31/2011	14,797,411	8,388,341	6,409,070	21,761,575	0	0
76	MITSUBISHI UFJ SECURITIES USA INC	FCM BD	NFA	03/31/2011	96,468,256	1,303,512	95,164,744	0	0	0
77	MITSUMI BUSSAN COMMODITIES USA INC	FCM	NFA	03/31/2011	3,034,647	1,000,000	2,034,647	0	0	0
78	MIZUHO SECURITIES USA INC	FCM BD	CME	03/31/2011	407,136,165	74,871,666	332,264,499	840,667,647	432,813,651	0
79	MORGAN KEEGAN & COMPANY INC	FCM BD	NFA	03/31/2011	443,230,087	9,412,019	433,818,068	0	0	0
80	MORGAN STANLEY & CO INCORPORATED	FCM BD	CME	03/31/2011	7,305,943,892	1,193,275,140	6,112,668,752	4,695,017,336	1,836,913,206	0
81	MORGAN STANLEY SMITH BARNEY LLC	FCM BD	NFA	03/31/2011	1,334,030,555	39,936,293	1,294,094,262	623,604,905	1,491,504,820	0
82	NATIXIS SECURITIES NORTH AMERICA INC	FCM BD	NFA	03/31/2011	218,354,179	1,000,000	217,354,179	0	0	0
83	NEUBERGER BERMAN LLC	FCM BD	NFA	03/31/2011	71,838,212	1,500,000	70,338,212	0	0	0
84	NEWEDGE USA LLC	FCM BD	NYME	03/31/2011	2,185,804,237	1,524,995,436	660,808,801	23,498,617,555	7,079,589,368	0
85	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	03/31/2011	2,344,986,510	27,589,575	2,317,396,935	78,282,758	26,120,036	0
86	OANDA CORPORATION	RFED	NFA	03/31/2011	158,959,834	28,381,939	130,577,895	0	0	177,638,790

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	(a)	(b)			(c)	(d)	(e)	(f)	(g)	
							4d(a)(2)	Pt. 30 Required	Obligation	
87	OPEN E CRY LLC	FCM	NFA	03/31/2011	3,489,621	1,111,212	2,378,409	85,690,372	870,561	0
88	OPPENHEIMER & CO INC	FCM BD	NFA	03/31/2011	172,278,614	26,131,715	146,146,899	26,787,156	0	0
89	OPTIONSPRESS INC	FCM BD	NFA	03/31/2011	88,392,705	20,000,000	68,392,705	143,420,138	2,414,209	0
90	PENSON FUTURES	FCM	CBOT	03/31/2011	38,406,333	30,962,339	7,443,994	788,900,533	49,399,577	0
91	PEREGRINE FINANCIAL GROUP INC	FCM	NFA	03/31/2011	35,945,153	21,417,884	14,527,269	528,261,749	31,583,698	38,357,674
92	PHILLIP FUTURES INC	FCM	NFA	03/31/2011	6,979,239	1,000,000	5,979,239	0	0	0
93	PIONEER FUTURES INC	FCM	NFA	03/31/2011	2,823,991	1,000,000	1,823,991	0	0	0
94	PRUDENTIAL BACHE COMMODITIES LLC	FCM	CBOT	03/31/2011	246,693,000	202,984,560	43,708,440	3,168,872,000	231,828,000	4,299,000
95	RAND FINANCIAL SERVICES INC	FCM	CME	03/31/2011	71,073,560	17,083,192	53,990,368	303,922,200	17,509,800	0
96	RAYMOND JAMES & ASSOCIATES INC	FCM BD	NFA	03/31/2011	369,578,430	30,123,625	339,454,805	0	0	0
97	RBC CAPITAL MARKETS LLC	FCM BD	CME	03/31/2011	1,146,376,525	101,728,211	1,044,648,314	398,295,089	18,609,093	0
98	RBS SECURITIES INC	FCM BD	CBOT	03/31/2011	1,962,624,000	257,631,000	1,704,993,000	1,991,801,000	34,486,000	0
99	RJ OBRIEN ASSOCIATES LLC	FCM	CME	03/31/2011	137,242,069	118,320,441	18,921,628	2,473,650,360	67,097,635	3,630,585
100	ROSENTHAL COLLINS GROUP LLC	FCM	CME	03/31/2011	70,205,344	54,700,334	15,505,010	1,608,379,814	43,100,580	0
101	ROSENTHAL GLOBAL SECURITIES LLC	FCM BD	NFA	03/31/2011	29,817,541	1,000,000	28,817,541	0	0	0
102	SANFORD C BERNSTEIN & CO LLC	FCM BD	NFA	03/31/2011	174,384,403	13,638,715	160,745,688	0	0	0
103	SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	03/31/2011	195,457,081	7,971,703	187,485,378	507,910,112	0	0
104	STATE STREET GLOBAL MARKETS LLC	FCM BD	CME	03/31/2011	400,114,303	5,344,373	394,769,930	46,991,798	5,648,374	0
105	STEPHENS	FCM BD	NFA	03/31/2011	78,938,689	13,363,211	65,575,478	0	0	0
106	STERLING COMMODITIES CORP	FCM	NYME	03/31/2011	8,513,438	1,000,000	7,513,438	32,655,939	248,440	0
107	STRAITS FINANCIAL LLC	FCM	CME	03/31/2011	7,231,228	1,000,000	6,231,228	5,000	0	0
108	TCA FUTURES LLC	FCM	NFA	03/31/2011	1,619,347	1,000,000	619,347	0	0	0
109	TD AMERITRADE INC	FCM BD	NFA	03/31/2011	301,337,055	1,000,000	300,337,055	0	0	0
110	TENCO INC	FCM	CBOT	03/31/2011	57,612,691	12,778,021	44,834,670	354,411,817	0	0
111	TIMBER HILL LLC	FCM BD	CME	03/31/2011	1,030,344,979	49,357,077	980,987,902	549,868,907	0	0
112	TOWER RESEARCH CAPITAL EUROPE LLC	FCM	NFA	03/31/2011	3,412,878	1,000,000	2,412,878	0	0	0
113	TRADELINK LLC	FCM BD	CBOT	03/31/2011	21,428,846	1,000,000	20,428,846	0	0	0
114	TRADESTATION FOREX INC.	RFED	NFA	03/31/2011	22,219,402	20,000,000	2,219,402	0	0	0
115	TRADESTATION SECURITIES INC	FCM BD	NFA	03/31/2011	60,186,849	3,241,402	56,945,447	406,530,768	6,022,034	0
116	TRADITION SECURITIES AND FUTURES INC	FCM	NFA	03/31/2011	1,541,602	1,000,000	541,602	0	0	0
117	TRILAND USA INC	FCM	NYME	03/31/2011	30,707,932	15,229,855	15,478,077	201,176,565	14,960,781	0
118	UBS FINANCIAL SERVICES INC	FCM BD	NFA	03/31/2011	1,295,635,862	102,491,711	1,193,144,151	212,494,431	1,558,702	0
119	UBS SECURITIES LLC	FCM BD	CBOT	03/31/2011	11,592,109,893	940,311,520	10,651,798,373	9,967,622,476	4,148,538,750	0
120	VELOCITY FUTURES LLC	FCM	NFA	03/31/2011	1,701,763	1,000,000	701,763	67,813,907	14,336,980	0
121	VISION FINANCIAL MARKETS LLC	FCM BD	CME	03/31/2011	19,464,000	10,861,315	8,602,685	415,144,536	6,375,300	0
122	WALL STREET DERIVATIVES INC	FCM	NFA	03/31/2011	1,500,568	1,000,000	500,568	0	0	0
123	WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	FCM BD	NFA	03/31/2011	41,844,748	2,688,793	39,155,955	0	0	0
124	WELLS FARGO ADVISORS LLC	FCM BD	NFA	03/31/2011	680,324,429	1,500,000	678,824,429	0	0	0
125	WELLS FARGO SECURITIES LLC	FCM BD	CME	03/31/2011	796,573,318	16,976,878	779,596,440	0	0	0
126	WHITE COMMERCIAL CORPORATION	FCM	NFA	03/31/2011	2,425,278	1,000,000	1,425,278	0	0	0
127	YORK BUSINESS ASSOCIATES LLC	FCM	NFA	03/31/2011	2,960,661	1,000,000	1,960,661	71,690,300	2,004,323	0

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							(e)	(f)	(g)
February Web Page Update	125								
Additions	2								
ICAP CORPORATES LLC									
STRAITS FINANCIAL LLC									
Deletions	0								
None									
Name Change									
None									
March Web Page Update	127								
*Allianz Global Investors Distributors LLC files financial statements quarterly.									
(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission;									
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer;									
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.									
(b): DSRO: Designated Self-Regulatory Organization.									
(c): A firm's net capital requirement is the greater of :									
<ul style="list-style-type: none"> • FCM minimum dollar amount (\$1,000,000); or • risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or • the amount of capital required by a registered futures association (currently NFA is the only such association); or • for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or • minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or • minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000. 									
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.									
(e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.									
(f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.									
(g): This represents the total amount of funds at an FCM or RFED that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.									
Note: Any comments regarding information contained in this table may be brought to the attention of the CFTC's Division of Clearing and Intermediary Oversight via e-mail: DCIO@cftc.gov									