

Futures Industry Registrants by Location as of October 1, 2010

Location	Floor Brokers	Floor Traders	Associated Persons*	FCMs**	RFEDs	Guaranteed IBS**	Non-Guar. IBS**	CTAs**	CPOs**	Principals*	Branches
Alabama	3	0	353	0	0	7	1	7	6	22	69
Alaska	1	0	40	0	0	1	0	1	1	3	6
Arizona	7	5	560	0	0	17	2	21	7	53	106
Arkansas	6	3	271	1	0	12	0	5	0	34	47
California	25	11	5,734	9	1	112	58	280	118	843	700
Colorado	5	2	832	0	0	22	8	46	17	123	157
Connecticut	70	11	1,427	4	0	10	11	106	79	352	128
Delaware	1	0	104	0	0	0	0	4	3	5	16
DC	0	0	124	0	0	1	2	5	3	15	8
Florida	54	8	3,363	7	0	79	59	189	63	475	465
Georgia	3	6	1,044	0	0	14	8	34	11	84	128
Hawaii	0	0	157	0	0	3	1	10	3	13	23
Idaho	1	0	120	0	0	6	0	5	0	12	31
Illinois	4,238	737	4,896	37	0	164	115	375	155	1,200	455
Indiana	67	20	591	0	0	31	4	19	9	93	124
Iowa	7	2	586	1	0	75	9	27	8	195	146
Kansas	57	3	459	0	0	33	4	18	1	105	88
Kentucky	2	1	272	0	0	3	2	7	3	31	52
Louisiana	0	1	352	0	0	3	2	6	4	14	74
Maine	1	0	90	0	0	2	0	5	1	10	18
Maryland	0	0	885	0	0	3	4	30	16	83	128
Massachusetts	5	2	1,145	2	0	6	9	63	23	192	113
Michigan	11	4	1,054	2	1	9	4	26	6	78	164
Minnesota	66	11	930	2	0	46	7	42	24	170	167
Mississippi	0	0	131	0	0	0	1	1	0	10	34
Missouri	38	6	706	3	0	35	4	15	6	103	103
Montana	5	0	85	0	0	9	1	2	1	22	21
Nebraska	1	0	535	2	0	56	5	11	7	132	131
Nevada	16	1	239	0	0	7	2	21	8	44	42
New Hampshire	3	1	115	0	0	0	0	5	0	15	31
New Jersey	574	133	3,812	7	1	14	23	154	68	579	278
New Mexico	1	1	134	0	0	5	0	4	1	13	30
New York	1,066	254	7,697	66	4	49	136	370	291	1,265	354

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North Carolina	3	1	870	2	0	18	3	40	20	104	172
North Dakota	0	1	106	0	0	15	0	2	0	24	38
Ohio	4	2	1,106	1	0	20	6	23	11	85	207
Oklahoma	0	1	319	0	0	15	1	8	3	38	59
Oregon	2	1	381	0	0	16	2	15	5	51	66
Pennsylvania	38	19	1,309	0	0	7	7	32	21	107	223
Rhode Island	1	1	81	1	0	0	0	3	1	10	13
South Carolina	0	0	340	0	0	1	0	8	4	17	73
South Dakota	0	0	166	0	0	26	2	3	0	47	52
Tennessee	5	2	658	2	0	16	6	26	11	98	95
Texas	9	7	3,006	2	0	65	27	148	86	414	413
Utah	1	0	367	2	1	3	1	13	9	52	51
Vermont	1	0	88	0	0	0	0	2	1	3	14
Virginia	2	1	1,016	0	0	7	9	43	15	189	140
Washington	0	2	652	0	0	7	2	22	6	57	111
West Virginia	0	0	62	0	0	0	0	2	0	3	17
Wisconsin	34	8	563	1	0	22	4	27	6	75	89
Wyoming	1	0	34	0	0	0	0	5	0	4	10
Total U.S.	6,435	1,269	49,967	154	8	1,072	552	2,336	1,142	7,766	6,280
Total Foreign	156	75	1,278	5	0	4	17	224	86	740	45
Total Registered	6,591	1,344	51,245	159	8	1,076	569	2,560	1,228	8,506	6,325

*Although associated persons and principals may be affiliated with more than one firm, they are counted once at a single location.

**A Firm registered in more than one category is counted in each category. The FCM column and the Non-Guaranteed IB column include 17 and 48 securities broker-dealers, respectively, that have "notice registered" to engage in security futures transactions.