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**Via Email**

January 13, 2011

Mr. David Stawick  
Secretary  
Commodity Futures Trading Commission  
1155 21<sup>st</sup> Street, NW  
Washington, DC 20581

RE: ICE, Inc. Service and Pricing Schedule Amendments  
ICE, Inc. Submission No. 11-001

Dear Mr. Stawick:

IntercontinentalExchange, Inc. ("ICE"), hereby notifies the Commodity Futures Trading Commission ("Commission") of amendments to Annex A "Service and Pricing Schedule" of the ICE Participant Agreement.

The amendments are attached, with additions underscored and deletions lined out. The changes were effective January 3, 2011.

ICE certifies that this action complies with the Commodity Exchange Act and regulations thereunder.

If you require any additional information regarding this action, please do not hesitate to contact me at (312) 836-6708 or via e-mail at [ed.dasso@theice.com](mailto:ed.dasso@theice.com) or Trabue Bland at (770) 916-7832 or via email at [trabue.bland@theice.com](mailto:trabue.bland@theice.com).

Sincerely,

A handwritten signature in cursive script that reads "Edward Dasso".

Edward Dasso  
Manager Market Regulation  
IntercontinentalExchange, Inc.

Attachments

IntercontinentalExchange  
2718 Peachtree Street, NE, Atlanta, GA 30309  
Tel: 312 836 6708  
Fax: 312 836 6708  
www.theice.com

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## ANNEX A - SERVICE AND PRICING SCHEDULE

### OIL

Effective ~~November 1, 2010~~ January 3, 2011

Set forth below is the Schedule referred to in Section 2 of the Participant Agreement. All charges incurred by Participant will be payable in accordance with the Participant Agreement.

#### I. EXECUTION BROKERAGE

##### A. Commission Rates per Transaction

Crude Oil Swaps	\$0.0025 per barrel
Crude Oil Options	\$0.0025 per barrel
Crude Oil Forwards (Physical)	\$0.0025 per barrel
Refined Product Swaps	\$0.0050 per barrel*
Refined Product Options	\$0.0050 per barrel*
Refined Product Forwards (Physical; except Naphtha)	\$0.0050 per barrel*
Refined Product Forwards (Physical; Naphtha)	\$0.0025 per barrel*

1. No charge for one leg of a spread trade. If the legs carry different commission rates, the higher commission rate applies. Otherwise, commission is applied to the sell-side of the spread.
2. No charge for a Swap transaction when traded as a hedge with an Option transaction.
3. All "Traded at Settlement" (TAS or BAS) swaps are \$0.0010 per barrel.
4. For Platts Windows Markets, the commission rates above are discounted 50% for trades in which a Broker entered or executed the order using the AFP Service on behalf of Participant.

##### \* Barrel Equivalent Conversions Factors:

Fuel Oil	6.35 barrels per metric tonne
Gasoil	7.45 barrels per metric tonne
Jet Fuel	7.88 barrels per metric tonne
Gasoline	8.33 barrels per metric tonne
Naphtha	8.90 barrels per metric tonne

Any product measured in gallons                      42 gallons per barrel

- B. Payment (Bilateral Transactions) - ICE will provide Participant with an invoice which details the amount owed by Participant, including any commissions, other charges or related taxes, which will be due and payable within 30 days of Participant's receipt of such invoice or other notice of the amount due.
- C. Payment (Cleared Transactions) - The clearinghouse will collect the commission from Participant's FGM account on behalf of ICE no later than the first business day after the Transaction was Executed. ICE will rebate to Participant amounts collected in excess, if any, of the rates

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above within 30 days of the end of the month in which the Transaction was Executed.

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### II. OPTIONAL SERVICE – ELECTRONIC TRADE CONFIRMATION

- A. Fee per Trade Confirmation - Financial Oil - \$6.00  
 Physical Crude Oil \$3.00  
 Physical Refined Products - \$3.00
- B. Payment - ICE will provide Participant with an invoice which details the amount owed by Participant, including any commissions, other charges or related taxes, which will be due and payable within 30 days of Participant's receipt of such invoice or other notice of the amount due.

### III. OPTIONAL SERVICE – BLOCK TRADE PROCESSING / TRADE GIVE-UP

#### A. Rates Per Lot/Per Side

Crude Oil Swaps	\$1.25 per lot/per side*
Crude Oil Options	\$1.25 per lot/per side*
Crude Oil Forwards (Physical)	\$1.25 per lot/per side*

#### Refined Products traded in 1000 bbl equivalents

Swaps	\$1.25 per lot/per side*
Forwards (Physical)	\$1.25 per lot/per side*

#### Refined Products traded in 1000 mt equivalents

Swaps	\$10.00 per lot/per side**
Options (other than Gasoil 'Average Price Options' and Gasoil 'EU-style Cash Settled Options')	\$10.00 per lot/per side**
Forwards (Physical)	\$10.00 per lot/per side**

#### Refined Products traded in 100 mt equivalents

Gasoil 'Average Price Options'	until December 31, 2010	\$0.60 per lot/per side***
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after December 31, 2010  
 \$1.00 per lot/per side\*\*\*

Gasoil 'EU-style Cash Settled Options'	until December 31, 2010	\$0.60 per lot/per side***
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after December 31, 2010  
 \$1.00 per lot/per side\*\*\*

- \* 1 lot = 1000 barrels
- \*\* 1 lot = 1000 metric tonnes
- \*\*\* 1 lot = 100 metric tonnes

- B. Payment – The clearinghouse will collect this fee from Participant's FCM account on behalf of ICE no later than the first business day after the Trade was processed. ICE will rebate to Participant amounts collected in excess, if any, of the rates above within 30 days of the end of the month in which the Trade was processed.

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C. Order Flow Incentive Payments for Trade Give-Ups-ICE presently offers Brokers order flow incentive payments in the following amounts for Block Trade Processing/Trade Give-Up of the following products. These payments are the obligation of ICE, not the Participant. ICE reserves the right to pay Order Flow Incentive Payments different than those set forth below based on the net revenue received by ICE from Trade Give-Up fees.

### Rate Per Lot/Per Side

Crude Oil Swaps	\$0.6250.50 per lot/per side*
Crude Oil Options	\$0.320 per lot/per side*
Crude Oil Forwards (Physical)	\$0.6250.50 per lot/per side*

### Refined Products traded in 1000 bbl equivalents

Swaps	\$0.6250.50 per lot/per side*
Forwards (Physical)	\$0.6250.50 per lot/per side*

### Refined Products traded in 1000 mt equivalents

Swaps	\$2.50 per lot/per side**
Options (other than Gasoil 'Average Price Options' and Gasoil 'EU-style Cash Settled Options')	\$2.50 per lot/per side**
Forwards (Physical)	\$2.50 per lot/per side**

### Refined Products traded in 100 mt equivalents

Gasoil 'Average Price Options'	until December 31, 2010	\$0.15 per lot/per side***
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after December 31, 2010

	\$0.25 per lot/per side***
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Gasoil 'EU-style Cash Settled Options'	until December 31, 2010	\$0.15 per lot/per side***
--	-------------------------	----------------------------

after December 31, 2010

	\$0.25 per lot/per side***
--	----------------------------

\* 1 lot = 1000 barrels

\*\*1 lot = 1000 metric tonnes

\*\*\* 1 lot = 100 metric tonnes

## IV. OPTIONAL SERVICE – BROKER CONFIRMATION

A.	<u>Fee per Broker Confirmation</u> - Financial Oil -	\$3.00
	Physical Crude Oil -	\$3.00
	Physical Refined Products -	\$3.00

B. Payment- ICE will provide Participant with an invoice which details the amount owed by Participant, including any commissions, other charges or related taxes, which will be due and payable within 30 days of Participant's receipt of such invoice or other notice of the amount due.

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### ANNEX A - SERVICE AND PRICING SCHEDULE

#### NORTH AMERICAN ELECTRIC POWER Effective ~~November 1, 2010~~ January 3, 2011

Set forth below is the Schedule referred to in Section 2 of the Participant Agreement. All charges incurred by Participant will be payable in accordance with the Participant Agreement.

#### I. EXECUTION BROKERAGE

##### A. Commission Rates per Transaction

Electric Power	
Forwards	\$0.0050 per megawatt-hour
Swaps	
Bilateral – All Hubs – Peak/Off-Pk	\$0.0050 per megawatt-hour
Cleared PJM-Western Hub – Peak	\$0.0050 per megawatt-hour
Cleared Dailies – All Hubs – Peak/Off-Pk	\$0.0050 per megawatt-hour
Cleared Western Hubs – Off-Pk	\$0.00752 per megawatt-hour
All Other Cleared	\$0.0075 per megawatt-hour
Options/Swaptions	
Cleared PJM-Western Hub – Peak	\$0.0050 per megawatt-hour
All Other Cleared	\$0.0075 per megawatt-hour

(Minimum charge of \$4.00 per Transaction)

1. No charge for one leg of a spread trade. If the legs carry different commission rates, the higher commission rate applies. Otherwise, commission is applied to the sell-side of the spread.
2. No charge for a Forward or Swap transaction when traded as a hedge with an Option transaction.
3. Minimum commission of \$500 per month per user.

B. Payment (Bilateral Transactions) - ICE will provide Participant with an invoice which details the amount owed by Participant, including any commissions, other charges or related taxes, which will be due and payable within 30 days of Participant's receipt of such invoice or other notice of the amount due.

C. Payment (Cleared Transactions) - The clearinghouse will collect the commission from Participant's FCM account on behalf of ICE no later than the first business day after the Transaction was Executed. ICE will rebate to Participant amounts collected in excess, if any, of the rates above within 30 days of the end of the month in which the Transaction was Executed.

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### II. OPTIONAL SERVICE – ELECTRONIC TRADE CONFIRMATION

- A. Fee per Trade Confirmation - Financial Power - \$6.00  
Physical Power - \$4.00
- B. Payment - ICE will provide Participant with an invoice which details the amount owed by Participant, including any commissions, other charges or related taxes, which will be due and payable within 30 days of Participant's receipt of such invoice or other notice of the amount due.

### III. OPTIONAL SERVICE – BLOCK TRADE PROCESSING / TRADE GIVE-UP

#### A. Rates Per Megawatt-Hour

Electric Power	
Forwards	\$0.00250 per megawatt-hour
Swaps	
PJM-West Hub – Peak	\$0.00250 per megawatt-hour
Dailies – All Hubs – Peak/Off-Pk	\$0.00250 per megawatt-hour
All Other	\$0.00500 per megawatt-hour
Options/Swaptions	
PJM-West Hub – Peak	\$0.00375 per megawatt-hour
All Other	\$0.00625 per megawatt-hour

- B. Payment – The clearinghouse will collect this fee from Participant's FCM account on behalf of ICE no later than the first business day after the Trade was processed. ICE will rebate to Participant amounts collected in excess, if any, of the rates above within 30 days of the end of the month in which the Trade was processed.
- C. Order Flow Incentive Payments for Trade Give-Ups-ICE presently offers Brokers order flow incentive payments in the following amounts for Block Trade Processing/Trade Give-Up of the following products. These payments are the obligation of ICE, not the Participant. ICE reserves the right to pay Order Flow Incentive Payments different than those set forth below based on the net revenue received by ICE from Trade Give-Up fees.

#### Rate Per Megawatt-Hour

All Options (other than PJM Western Hub Real Time Mini Swaptions)		\$0.0010/Mwh per side on all sides
PJM Western Hub Real Time Mini-Swaptions	Between February 1, 2010 and January 31, 2011	If 12,500,000 matched Mwh (25,000,000 Mwh sides) or fewer are given up to ICE during a calendar month
		\$0.0010/Mwh per side on all sides for such month
		If greater than 12,500,000 matched Mwh (25,000,000
		\$0.0015/Mwh per side on all

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PJM Western Hub Real Time Mini-Swaptions	Between February 1, 2011 and January 31, 2012	Mwh sides) are given up to ICE during a calendar month	sides for such month
		If 15,000,000 matched Mwh (30,000,000 Mwh sides) or fewer are given up to ICE during a calendar month	\$0.0010/Mwh per side on all sides for such month
PJM Western Hub Real Time Mini-Swaptions	Between February 1, 2012 and January 31, 2013	If greater than 15,000,000 matched Mwh (30,000,000 Mwh sides) are given up to ICE during a calendar month	\$0.0015/Mwh per side on all sides for such month
		If 17,500,000 matched Mwh (35,000,000 Mwh sides) or fewer are given up to ICE during a calendar month	\$0.0010/Mwh per side on all sides for such month
		If greater than 17,500,000 matched Mwh (35,000,000 Mwh sides) are given up to ICE during a calendar month	\$0.0015/Mwh per side on all sides for such month

#### IV. OPTIONAL SERVICE – BROKER CONFIRMATION

- A. Fee per Broker Confirmation - Financial Power - \$3.00  
Physical Power - \$3.00
- B. Payment - ICE will provide Participant with an invoice which details the amount owed by Participant, including any commissions, other charges or related taxes, which will be due and payable within 30 days of Participant's receipt of such invoice or other notice of the amount due.

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## ANNEX A - SERVICE AND PRICING SCHEDULE

### NORTH AMERICAN NATURAL GAS Effective ~~November 1, 2010~~ January 3, 2011

Set forth below is the Schedule referred to in Section 2 of the Participant Agreement. All charges incurred by Participant will be payable in accordance with the Participant Agreement.

#### I. EXECUTION BROKERAGE

##### A. Commission Rates per Transaction

Natural Gas	
Physical	\$0.000250 per mmBtu
Financial (Swaps)	\$0.000254 per mmBtu
Financial (Options)	\$0.000254 per mmBtu
NGX Products	(see NGX Fee Schedule)

(Minimum charge of \$2.50 per Transaction)

1. No charge for one leg of a spread trade. If the legs carry different commission rates, the higher commission rate applies. Otherwise, commission is applied to the sell-side of the spread.
2. No charge for a Forward or Swap transaction when traded as a hedge with an Option transaction.
3. Minimum commission of \$500 per month per user (excludes NGX Products).

- B. Payment (Bilateral Transactions) - ICE will provide Participant with an invoice which details the amount owed by Participant, including any commissions, other charges or related taxes, which will be due and payable within 30 days of Participant's receipt of such invoice or other notice of the amount due.
- C. Payment (Cleared Transactions) - The clearinghouse will collect the commission from Participant's FCM account on behalf of ICE no later than the first business day after the Transaction was Executed. ICE will rebate to Participant amounts collected in excess, if any, of the rates above within 30 days of the end of the month in which the Transaction was Executed.

#### II. OPTIONAL SERVICE - ELECTRONIC TRADE CONFIRMATION

- A. Fee per Trade Confirmation - Financial Natural Gas - \$6.00  
Physical Natural Gas - \$3.00
- B. Payment - ICE will provide Participant with an invoice which details the amount owed by Participant, including any commissions, other charges

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or related taxes, which will be due and payable within 30 days of Participant's receipt of such invoice or other notice of the amount due.

### III. OPTIONAL SERVICE – BLOCK TRADE PROCESSING / TRADE GIVE-UP

#### A. Rates Per Lot/Per Side

Natural Gas	
Physical	\$0.5000 per lot/per side*
Financial	\$0.5000 per lot/per side*

\* 1 lot = 2,500 mmBtus

B. Payment – The clearinghouse will collect this fee from Participant's FCM account on behalf of ICE no later than the first business day after the Trade was processed. ICE will rebate to Participant amounts collected in excess, if any, of the rates above within 30 days of the end of the month in which the Trade was processed.

C. Order Flow Incentive Payments for Trade Give-Ups-ICE presently offers Brokers order flow incentive payments in the following amounts for Block Trade Processing/Trade Give-Up of the following products. These payments are the obligation of ICE, not the Participant. ICE reserves the right to pay Order Flow Incentive Payments different than those set forth below based on the net revenue received by ICE from Trade Give-Up fees.

#### Rate Per Side

Basis Swaps*	If 500,000 or fewer sides are given up to ICE in a calendar month	\$0.15 per side on all sides
	If between 500,001 and <del>4,000,000</del> <u>750,000</u> sides are given up to ICE in a calendar month	\$0.20 per side on all sides
	If <del>ever</del> <u>between 750,001 and 1,000,000</u> sides are given up to ICE in a calendar month	<u>\$0.250.225</u> per side on all sides
	<u>If over 1,000,000 sides are given up to ICE in a calendar month</u>	<u>\$0.25 per side on all sides</u>
Henry Natural Gas Swaps and Options**	If 1,500,000 or fewer sides are given up to ICE in a calendar month	\$0.15 per side on all sides
	If between 1,500,001 and <del>3,500,000</del> <u>2,500,000</u> sides are given up to ICE in a calendar month	\$0.20 per side on all sides
	If <del>ever</del> <u>between 2,500,001 and 3,500,000</u> sides are given up to ICE in a calendar	<u>\$0.250.225</u> per side on all sides

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	month	
	<u>If over 3,500,000 sides are given up to ICE in a calendar month</u>	<u>\$0.25 per side on all sides</u>
Physical (U.S. Locations)	All sides	\$0.15 per side on all sides

\* Only includes NG Fin BS, LD1 for IF/NGI/CGPR

\*\* Only includes NG Fin, FP for LD1, FP for LD1 ICE Lots, FP for Pen, and FP for Pen (Equity)

### IV. OPTIONAL SERVICE – BROKER CONFIRMATION

- A. Fee per Broker Confirmation - Financial Natural Gas - \$3.00  
Physical Natural Gas - \$3.00
- B. Payment - ICE will provide Participant with an invoice which details the amount owed by Participant, including any commissions, other charges or related taxes, which will be due and payable within 30 days of Participant's receipt of such invoice or other notice of the amount due.

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### ANNEX A - SERVICE AND PRICING SCHEDULE

#### NATURAL GAS LIQUIDS (NGLs) Effective ~~November 4, 2010~~ January 3, 2011

Set forth below is the Schedule referred to in Section 2 of the Participant Agreement. All charges incurred by Participant will be payable in accordance with the Participant Agreement.

#### I. EXECUTION BROKERAGE

##### A. Commission Rates per Transaction

NGLs North America (Ethane/Propane Mix or Contained, Ethane, Propane, Iso & Normal Butane, Natural Gasoline)

Swaps	\$0.0100 per barrel
Physical	\$0.0105 per barrel
Options (Financial)	\$0.0100 per barrel
Options (Physical)	\$0.0105 per barrel

LPGs International (NWE, MED, Far East)

Swaps	\$0.10 per metric tonne
Options	\$0.10 per metric tonne

(Minimum charge of \$50.00 per Transaction)

1. No charge for one leg of a spread trade. If the legs carry different commission rates, the higher commission rate applies. Otherwise, commission is applied to the sell-side of the spread.
2. No charge for a Forward or Swap transaction when traded as a hedge with an Option transaction.
3. Minimum commission of \$250 per month per user.

B. Payment (Bilateral Transactions) - ICE will provide Participant with an invoice which details the amount owed by Participant, including any commissions, other charges or related taxes, which will be due and payable within 30 days of Participant's receipt of such invoice or other notice of the amount due.

C. Payment (Cleared Transactions) - The clearinghouse will collect the commission from Participant's FCM account on behalf of ICE no later than the first business day after the Transaction was Executed. ICE will rebate to Participant amounts collected in excess, if any, of the rates above within 30 days of the end of the month in which the Transaction was Executed.

#### II. OPTIONAL SERVICE - ELECTRONIC TRADE CONFIRMATION

A. Fee per Trade Confirmation - Financial NGLs \$6.00

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- B. Payment - ICE will provide Participant with an invoice which details the amount owed by Participant, including any commissions, other charges or related taxes, which will be due and payable within 30 days of Participant's receipt of such invoice or other notice of the amount due.

### III. OPTIONAL SERVICE – BLOCK TRADE PROCESSING / TRADE GIVE-UP

A. Rates Per Lot/Per Side

North American Swaps	\$2.50 per lot/per side*
International Swaps	\$20.00 per lot/per side**

\*1 lot = 1000 barrels  
\*\*1 lot = 1000 metric tonnes

- B. Payment – The clearinghouse will collect this fee from Participant's FCM account on behalf of ICE no later than the first business day after the Trade was processed. ICE will rebate to Participant amounts collected in excess, if any, of the rates above within 30 days of the end of the month in which the Trade was processed.

- C. Order Flow Incentive Payments for Trade Give-Ups-ICE presently offers Brokers order flow incentive payments in the following amounts for Block Trade Processing/Trade Give-Up of the following products. These payments are the obligation of ICE, not the Participant. ICE reserves the right to pay Order Flow Incentive Payments different than those set forth below based on the net revenue received by ICE from Trade Give-Up fees.

Rates Per Lot/Per Side

North American Swaps	\$0.50 per lot/per side*
International Swaps	\$10.00 per lot/per side**

\* 1 lot = 1000 barrels  
\*\* 1 lot = 1000 metric tonnes

### IV. OPTIONAL SERVICE – BROKER CONFIRMATION

- A. Fee per Broker Confirmation - Financial NGLs \$3.00

- B. Payment - ICE will provide Participant with an invoice which details the amount owed by Participant, including any commissions, other charges or related taxes, which will be due and payable within 30 days of Participant's receipt of such invoice or other notice of the amount due.

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### ANNEX A - SERVICE AND PRICING SCHEDULE

#### PETROCHEMICALS

Effective ~~November 1, 2010~~ January 3, 2011

Set forth below is the Schedule referred to in Section 2 of the Participant Agreement. All charges incurred by Participant will be payable in accordance with the Participant Agreement.

#### I. EXECUTION BROKERAGE

##### A. Commission Rates per Transaction

###### Aromatics (Benzene, Toluene, Mixed Xylenes)

Swaps	\$0.30 per metric tonne
Forwards	\$0.30 per metric tonne
Options	\$0.30 per metric tonne

###### Aromatics (Para-Xylene, Styrene)

Swaps	\$0.50 per metric tonne
Forwards	\$0.50 per metric tonne
Options	\$0.50 per metric tonne

###### Methanol

Swaps	\$0.325 per metric tonne
Forwards	\$0.325 per metric tonne

###### Ethanol

Swaps	\$0.01 per barrel
Physical	\$0.01 per barrel
Options (Financial)	\$0.01 per barrel
Options (Physical)	\$0.01 per barrel

###### Olefins (Ethylene, Propylene, Ethylene Glycol)

Swaps	\$0.50 per metric tonne
Forwards	\$0.50 per metric tonne
Options	\$0.50 per metric tonne

(Minimum charge of \$250.00 per Transaction)

1. No charge for one leg of a spread trade. If the legs carry different commission rates, the higher commission rate applies. Otherwise, commission is applied to the sell-side of the spread.
2. No charge for a Forward or Swap transaction when traded as a hedge with an Option transaction.
3. Minimum commission of \$250 per month per user.

- B. Payment (Bilateral Transactions) - ICE will provide Participant with an invoice which details the amount owed by Participant, including any commissions, other

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charges or related taxes, which will be due and payable within 30 days of Participant's receipt of such invoice or other notice of the amount due.

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### II. OPTIONAL SERVICE – ELECTRONIC TRADE CONFIRMATION

- A. Fee per Trade Confirmation - \$6.00
- B. Payment - ICE will provide Participant with an invoice which details the amount owed by Participant, including any commissions, other charges or related taxes, which will be due and payable within 30 days of Participant's receipt of such invoice or other notice of the amount due.

### III. OPTIONAL SERVICE – BROKER CONFIRMATION

- A. Fee per Broker Confirmation - \$3.00
- B. Payment - ICE will provide Participant with an invoice which details the amount owed by Participant, including any commissions, other charges or related taxes, which will be due and payable within 30 days of Participant's receipt of such invoice or other notice of the amount due.

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### ANNEX A - SERVICE AND PRICING SCHEDULE

#### EUROPEAN NATURAL GAS

Effective ~~November 1, 2010~~ January 3, 2011

Set forth below is the Schedule referred to in Section 2 of the Participant Agreement. All charges incurred by Participant will be payable in accordance with the Participant Agreement.

#### I. EXECUTION BROKERAGE

##### A. Commission Rates per Transaction

Natural Gas	
Physical	£0.000025 per therm
Swaps	£0.000025 per therm
EFP/EFS	£0.000025 per therm
(Exchange in this case is cleared OTC)	
Options	£0.000025 per therm

1. No charge for one leg of a spread trade. If the legs carry different commission rates, the higher commission rate applies. Otherwise, commission is applied to the sell-side of the spread.
2. No charge for bilateral leg of an EFP/EFS.
3. No charge for a Swap transaction when traded as a hedge with an Option transaction.

B. Payment (Bilateral Transactions) - ICE will provide Participant with an invoice which details the amount owed by Participant, including any commissions, other charges or related taxes, which will be due and payable within 30 days of Participant's receipt of such invoice or other notice of the amount due.

C. Payment (Cleared Transactions) - The clearinghouse will collect the commission from Participant's FCM account on behalf of ICE no later than the first business day after the Transaction was Executed. ICE will rebate to Participant amounts collected in excess, if any, of the rates above within 30 days of the end of the month in which the Transaction was Executed.

#### II. OPTIONAL SERVICE - ELECTRONIC TRADE CONFIRMATION

B. <u>Fee per Trade Confirmation</u> -	UK Financial Natural Gas -	\$3.00
	UK Physical Natural Gas -	\$3.00
	Continental Physical Natural Gas -	\$3.00
	Continental Financial Natural Gas -	\$3.00

A. Payment - ICE will provide Participant with an invoice which details the amount owed by Participant, including any commissions, other charges

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or related taxes, which will be due and payable within 30 days of Participant's receipt of such invoice or other notice of the amount due.

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### III. OPTIONAL SERVICE – BLOCK TRADE PROCESSING / TRADE GIVE-UP

#### A. Rates per Trade

Natural Gas	
Physical	£0.0000125 per therm
Swaps	£0.0000125 per therm
Options	£0.0000125 per therm

- B. Payment – The clearinghouse will collect this fee from Participant's FCM account on behalf of ICE no later than the first business day after the Trade was processed. ICE will rebate to Participant amounts collected in excess, if any, of the rates above within 30 days of the end of the month in which the Trade was processed.

### IV. OPTIONAL SERVICE – BROKER CONFIRMATION

- A. Fee per Broker Confirmation -
- |                                     |        |
|-------------------------------------|--------|
| UK Financial Natural Gas -          | \$3.00 |
| UK Physical Natural Gas -           | \$3.00 |
| Continental Physical Natural Gas -  | \$3.00 |
| Continental Financial Natural Gas - | \$3.00 |

- B. Payment - ICE will provide Participant with an invoice which details the amount owed by Participant, including any commissions, other charges or related taxes, which will be due and payable within 30 days of Participant's receipt of such invoice or other notice of the amount due.

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### ANNEX A - SERVICE AND PRICING SCHEDULE

#### UK POWER

Effective ~~November 1, 2010~~ January 3, 2011

Set forth below is the Schedule referred to in Section 2 of the Participant Agreement. All charges incurred by Participant will be payable in accordance with the Participant Agreement.

#### I. EXECUTION BROKERAGE

##### A. Commission Rates per Transaction

Power	
Forwards	£0.0025 per megawatt-hour
Swaps	£0.0025 per megawatt-hour
Options	£0.0025 per megawatt-hour

1. No charge for one leg of a spread trade. If the legs carry different commission rates, the higher commission rate applies. Otherwise, commission is applied to the sell-side of the spread.
2. No charge for a Swap transaction when traded as a hedge with an Option transaction.

B. Payment (Bilateral Transactions) - ICE will provide Participant with an invoice which details the amount owed by Participant, including any commissions, other charges or related taxes, which will be due and payable within 30 days of Participant's receipt of such invoice or other notice of the amount due.

C. Payment (Cleared Transactions) - The clearinghouse will collect the commission from Participant's FCM account on behalf of ICE no later than the first business day after the Transaction was Executed. ICE will rebate to Participant amounts collected in excess, if any, of the rates above within 30 days of the end of the month in which the Transaction was Executed.

#### II. OPTIONAL SERVICE - ELECTRONIC TRADE CONFIRMATION

A. <u>Fee per Trade Confirmation</u> -	UK Physical Power -	\$3.00
	UK Financial Power -	\$3.00
	Continental Physical Power -	\$3.00
	Continental Financial Power -	\$3.00

B. Payment - ICE will provide Participant with an invoice which details the amount owed by Participant, including any commissions, other charges or related taxes, which will be due and payable within 30 days of Participant's receipt of such invoice or other notice of the amount due.

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### III. OPTIONAL SERVICE – BLOCK TRADE PROCESSING / TRADE GIVE-UP

#### A. Rates per Trade

Power	
Forwards	£0.00125 per megawatt-hour
Swaps	£0.00125 per megawatt-hour
Options	£0.00125 per megawatt-hour

- B. Payment – The clearinghouse will collect this fee from Participant's FCM account on behalf of ICE no later than the first business day after the Trade was processed. ICE will rebate to Participant amounts collected in excess, if any, of the rates above within 30 days of the end of the month in which the Trade was processed.

### IV. OPTIONAL SERVICE – BROKER CONFIRMATION

A. <u>Fee per Broker Confirmation</u>	UK Physical Power -	\$3.00
	UK Financial Power -	\$3.00
	Continental Physical Power -	\$3.00
	Continental Financial Power -	\$3.00

- B. Payment - ICE will provide Participant with an invoice which details the amount owed by Participant, including any commissions, other charges or related taxes, which will be due and payable within 30 days of Participant's receipt of such invoice or other notice of the amount due.

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## ANNEX A - SERVICE AND PRICING SCHEDULE

### IRON ORE

Effective ~~November 1, 2010~~ January 3, 2011

Set forth below is the Schedule referred to in Section 2 of the Participant Agreement. All charges incurred by Participant will be payable in accordance with the Participant Agreement.

#### I. EXECUTION BROKERAGE

##### A. Commission Rates per Transaction

Iron Ore Swaps                      \$0.025 per dry metric tonne

B. Payment (Bilateral Transactions) - ICE will provide Participant with an invoice which details the amount owed by Participant, including any commissions, other charges or related taxes, which will be due and payable within 30 days of Participant's receipt of such invoice or other notice of the amount due.

C. Payment (Cleared Transactions) - The clearinghouse will collect the commission from Participant's FCM account on behalf of ICE no later than the first business day after the Transaction was Executed. ICE will rebate to Participant amounts collected in excess, if any, of the rates above within 30 days of the end of the month in which the Transaction was Executed.

#### II. OPTIONAL SERVICE - ELECTRONIC TRADE CONFIRMATION

A. Fee per Trade Confirmation - Iron Ore -                      \$6.00

B. Payment - ICE will provide Participant with an invoice which details the amount owed by Participant, including any commissions, other charges or related taxes, which will be due and payable within 30 days of Participant's receipt of such invoice or other notice of the amount due.

#### III. OPTIONAL SERVICE - BLOCK TRADE PROCESSING / TRADE GIVE-UP

##### A. Rates Per Lot/Per Side

Iron Ore Swaps                      \$20.00 per lot/per side\*

\* 1 lot = 1000 dry metric tonnes

B. Payment - The clearinghouse will collect this fee from Participant's FCM account on behalf of ICE no later than the first business day after the Trade was processed. ICE will rebate to Participant amounts collected in excess, if any, of the rates above within 30 days of the end of the month in which the Trade was processed.

C. Order Flow Incentive Payments for Trade Give-Ups-ICE presently offers Brokers order flow incentive payments in the following amounts for Block Trade Processing/Trade Give-Up of the following products. These payments are the obligation of ICE, not the Participant. ICE reserves the right to pay Order Flow Incentive Payments different than

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those set forth below based on the net revenue received by ICE from Trade Give-Up fees.

### Rate Per Lot/Per Side

Iron Ore Swaps \$5.00 per lot/per side\*

\* 1 lot = 1000 dry metric tonnes

#### IV. OPTIONAL SERVICE – BROKER CONFIRMATION

Fee per Broker Confirmation - Iron Ore - \$3.00

A. Payment - ICE will provide Participant with an invoice which details the amount owed by Participant, including any commissions, other charges or related taxes, which will be due and payable within 30 days of Participant's receipt of such invoice or other notice of the amount due.

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### ANNEX A - SERVICE AND PRICING SCHEDULE

#### ICE MOBILE

Effective ~~November 1, 2010~~ January 3, 2011

Set forth below is the Schedule referred to in Section 2 of the Participant Agreement. All charges incurred by Participant will be payable in accordance with the Participant Agreement.

#### I. DATA FEED

- A. Service. Live data feed of all OTC Products for which a user is permissioned on WebICE streamed to supported mobile devices

\$150.00/month/user

- B. Payment. ICE will provide Participant with an invoice which details the amount owed by Participant, including any commissions, other charges or related taxes, which will be due and payable within 30 days of Participant's receipt of such invoice or other notice of the amount due.