

COMMODITY FUTURES TRADING COMMISSION

FORM SEF

**SWAP EXECUTION FACILITY
APPLICATION OR AMENDMENT TO APPLICATION FOR REGISTRATION**

COVER SHEET

Javelin SEF, LLC

Exact name of Applicant as specified in charter

Javelin SEF, LLC
443 Park Avenue South
New York, NY 10016

Address of principal executive offices

- If this is an APPLICATION for registration, complete in full and check here.
- If this is an AMENDMENT to an application, or to an existing order of registration, list all items that are amended and check here.

GENERAL INFORMATION

1. Name under which the business of the swap execution facility is or will be conducted, if different than name specified above (include acronyms, if any):

N/A

2. If name of swap execution facility is being amended, state previous swap execution facility name:

N/A

3. Contact information, including mailing address if different than address specified above:

443 Park Avenue South

Number and Street

New York

City

NY

State

USA

Country

10016

Zip Code

212-779-2300

Main Phone Number

646-588-2039

Fax:

http://www.thejavelin.com/

Website URL

legal.department@thejavelin.com

Email Address:

4. List of principal office(s) and address(es) where swap execution facility activities are/will be conducted:

Office

Address

Javelin SEF, LLC

443 Park Avenue South

New York, NY 10016

5. If the Applicant is a successor to a previously registered swap execution facility, please complete the following: N/A

- a. Date of succession

- b. Full name and address of predecessor registrant

Name

Number and Street

City

State

Country

Zip Code

Main Phone Number

Web site URL

BUSINESS ORGANIZATION

6. Applicant is a:

Corporation

Partnership

Limited Liability Company

Other form of organization (specify) _____

7. Date of incorporation or formation: April 12, 2012

8. State of incorporation or jurisdiction of organization: Delaware

9. The Applicant agrees and consents that the notice of any proceeding before the Commission in connection with this application may be given by sending such notice by certified mail to the person named below at the address given.

James Cawley, Chief Executive Officer

Print Name and Title:

Javelin SEF, LLC

Name of Applicant

443 Park Avenue South

Number and Street

New York

NY

10016

City

State

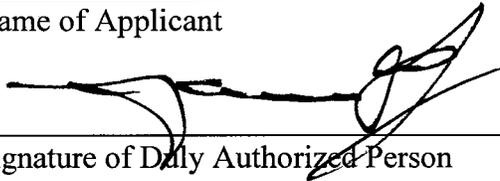
Zip Code

SIGNATURES

10. The Applicant has duly caused this application or amendment to be signed on its behalf by the undersigned, hereunto duly authorized, this 2nd day of August, 2013. The Applicant and the undersigned represent hereby that all information contained herein is true, current, and complete. It is understood that all required items and Exhibits are considered integral parts of this Form SEF and that the submission of any amendment represents that all unamended items and Exhibits remain true, current, and complete as previously filed.

Javelin SEF, LLC

Name of Applicant



Signature of Duly Authorized Person

James Cawley, Chief Executive Officer

Print Name and Title of Signatory