

Exhibit R – Rules Prohibiting Specific Trade Practice Violations.

Attached is a list of rules prohibiting specific trade practice violations.

Rule Number	Rule	Brief Description
211(c)	Restrictions on Certain Persons who Possess Material, Non-Public Information: Improper Use or Disclosure of Material Non-Public Information	It is a violation for any member of the Board or of any Board committee, member of any other committee of the Company, officer of GTX, employee of GTX and consultant to GTX to trade, directly or indirectly, in any Swap traded on the SEF operated by GTX; in any related commodity interest; or in any commodity interest traded on any DCM or SEF or cleared by any Clearing House if such person has access to material non-public information concerning such Swap or commodity interest
305(b)	Trading Privileges of a Participant	A Participant may not transfer or assign its status as a Participant.
505	Fraudulent Acts	It is a violation to engage in any fraudulent act or engage in any scheme to defraud, deceive, trick or mislead in connection with or related to any SEF Activity
506(a)	Fictitious, Wash or Non-Competitive Transactions	It is a violation to create fictitious transactions or wash transactions or execute any such Order with knowledge of its nature (including wash sales), directly or indirectly. Additionally, it is a violation to, directly or indirectly, place or accept Orders in the same Swap where the person knows or reasonably should know that the purpose of the Orders is to avoid taking a bona fide market position exposed to market risk (transactions commonly known or referred to as wash sales). Orders for different accounts with common beneficial ownership that are entered, directly or indirectly, with the intent to negate market risk or price competition shall also be deemed to violate the prohibition on wash trades.
506(b)	Fictitious, Wash or Non-Competitive Transactions	It is a violation to engage in a non-competitive transaction except with respect to Block Trades.
507	Execution of Required Transactions	It is a violation to execute a Required Transaction other than via the Minimum Trading Functionality unless such transaction is a Block Trade or an End-User Transaction. No Participant shall enter into an End-User Transaction unless it or its counterparty can claim an End-User Clearing Exemption.
508(a)	Market Disruption and Market Manipulation	It is a violation to enter any orders with the purpose of either (1) upsetting the equilibrium of the market in any Swap without the intent to reflect fair market values; or (2) creating a condition in which prices do not or will not reflect fair market values.
508(b)	Market Disruption and	It is a violation to attempt or complete manipulation of the

	Market Manipulation	market in any swap.
508(c)	Market Disruption and Market Manipulation	It is a violation to post on the CLOB and submit a RFQ to the SEF Platform in the same Swap at the same time.
509	Prohibition of Misstatements	It is a violation to make any knowing misstatement of a material fact to GTX, any SEF Official, any Board committee or SEF panel, the Compliance Department and/or agents of GTX (including the Regulatory Services Provider) or any Participant or any of its Account Managers, Authorized Traders or Supervised Persons.
510	Acts Detrimental to Welfare of SEF	It is a violation to engage in any act that is detrimental to the interest or welfare of the SEF operated by GTX
511	Adherence to Law	It is a violation to engage in conduct in violation of Applicable Law and the GTX Rules
512	Use of Trading Privileges	It is a violation to use Trading Privileges or access the SEF operated by GTX in any way that could be expected to bring disrepute upon any Participant, any of its Account Managers, Authorized Traders or Supervised Persons, or GTX
514	Misuse of the SEF Platform	Misuse of the SEF Platform is strictly prohibited. It shall be deemed an act detrimental to the interest and welfare of the SEF operated by GTX to willfully or negligently engage in unauthorized use of the SEF Platform, to assist any Person in obtaining unauthorized access to the SEF Platform, to trade on the SEF Platform without an agreement and an established account with a Derivatives Clearing Organization or a Clearing Member, to alter the equipment associated with the SEF Platform, to interfere with the operation of the SEF Platform, to intercept or interfere with information provided thereby, or in any way to use the SEF Platform in a manner contrary to the GTX Rules.
515	Mishandling of Customer Orders	Any Participant that mishandles any Order is responsible for all remedial actions with respect to such Order.
516(a)	Errors	If an Order was incorrectly executed or rejected by the SEF operated by GTX, a Participant or its Authorized Trader may, within ten minutes thereafter, request review of the Order by providing the confirmation number for the Order and stating the grounds for the disagreement.
516(b)	Errors	Upon receipt by GTX of a request for review of an Order and the accompanying confirmation number, GTX will review its electronic audit trail to determine if the SEF operated by GTX correctly executed the Order. Such review will be completed (i) on the same Business Day if GTX received such request for review prior to 12:00 noon on any Business Day or (ii) by the end of the following Business Day if such request was received (x) on or after 12:00 noon on any Business Day or (y) on any day that is not a Business Day.
516(c)	Errors	If the review described in this Rule reveals that the Order was incorrectly executed, then the Order in question shall be

		cancelled in the Trading Accounts of all affected Participants.
516(d)	Errors	If the review described in this Rule reveals that the Order was correctly executed by the SEF operated by GTX, then no adjustment shall be made in the Trading Accounts of any Participants.
516(e)	Errors	If GTX determines, in its sole discretion, that the execution of any trade was the result of Orders being incorrectly processed by the SEF Platform, or any other cause beyond the control of any Participant, then GTX may cancel such trade in the Trading Accounts of all affected Participants.
517	Withholding Orders Prohibited	It will be a violation for any participant to withhold or withdraw from the market any Order, or any part of an Order, for the benefit of any Person other than the Customers. A Participant must enter immediately all Orders or Requests for Quotes received from its Customers that are executable immediately. If a Participant cannot immediately enter into the SEF Platform an Order or Request for Quote received from its Customer, the Participant must enter the Order or Request for Quote into the SEF operated by GTX as soon as practicable, and must immediately create an electronic record.
518	Priority of Customers' Orders	It is a violation for any Person to enter an Order into the SEF operated by GTX for either his own account, an account in which he has a direct or indirect financial interest, or an account over which he has discretionary trading authority, including, without limitation, an Order allowing discretion as to time and price, when such Person is in possession of any Order for another Person that the SEF operated by GTX is capable of accepting.
519(a)	Trading Against Customers' Orders Prohibited	No Person in possession of a Customer's Order shall knowingly take, directly or indirectly, the opposite side of such Order for its own account, an account in which it has a direct or indirect financial interest, or an account over which it has discretionary trading authority. The foregoing restriction shall not apply to Block Trades or to transactions where the Customer has consented in Writing no more than 12 months prior to the transaction to waive the application of Rule 519.A
520	Disclosing Orders Prohibited	It is a violation to disclose another Person's Order to buy or sell except to a designated SEF Official or the CFTC, and to solicit or induce another Person to disclose Order information. It is a violation to take action or direct another to take action based on non-public Order information, however acquired.
521	Simultaneous Buy And Sell Orders For Different Beneficial Owners	For any Order to be executed on a CLOB, or on a Disclosed Order Book, a Participant that is a broker or a dealer which seeks to execute against a Customer's Order or to execute

		<p>two Customers against each other must expose one side of the transaction for at least a Waiting Period to the other Participants before the second side of the transaction (whether for the Participant’s own account or for a second Customer) can be submitted for execution. The Participant must display one side of the transaction as a firm quote on the Order Book. If the Order is not executed by another Participant after the Waiting Period, the Participant displaying one side of the transaction can execute the other side of the transaction against its own Order Book quote. The term “Waiting Period” means a minimum of five (5) seconds for CLOB Orders and ten (10) seconds for Disclosed Orders.</p>
524(a)	Order Entry Requirements	<p>It is a violation if the following information is not included for all orders entered by an authorized trader with respect to any Swap traded on GTX:</p> <ul style="list-style-type: none"> (i) the Trader ID; (ii) the Participant ID; (iii) the price or yield, quantity, maturity or expiration date; (iv) the Swap; (v) the price and quantity of the Swap; (vi) side of the Order; (vii) the CTI code; (viii) Trading Accounts and other relevant account(s); (ix) the Legal Entity Identifier of the Participant placing the Order, if available; (x) a yes/no indication of whether the Participant or Client is a Swap Dealer with respect to the Swap with respect to which the Order is placed; (xi) a yes/no indication of whether the Participant or Client is a Major Swap Participant with respect to the Swap with respect to which the Order is placed; (xii) a yes/no indication of whether the Participant or Client is a Financial Entity; (xiii) a yes/no indication of whether the Participant or Client is a U.S. person; (xiv) an indication that a Swap is an inter-affiliate Swap that should not be subject to the real-time reporting requirements; (xv) an indication of the Clearing Exception; (xvi) if applicable, other terms of a Swap that is not a Cleared Swap; (xvii) if the Swap will be allocated: <ul style="list-style-type: none"> a. an indication that the Swap will be allocated; and b. the Legal Entity Identifier of the Account Manager.
524(b)	Customer Type Indicator (CTI) Codes	<p>It is a violation for a Participant to fail to identify each transaction submitted to the SEF operated by GTX with the</p>

		correct customer type indicator code.
525(b)	Position Limits	It is a violation for any person or participant to hold or control a position in excess of the predetermined position limits established by the SEF operated by GTX. Additionally, a Participant may not maintain a position in excess of such position limits for a Client or Customer if such Participant knows, or with reasonable care should know, that such position will cause such Client or Customer to exceed the applicable position limits.
529	Orders Entered Prior to SEF Opening	It is a violation to send any Request for Quote or Order or using the Order Book or any other facility of GTX prior to the opening of the trading session.
530	Identification of Authorized Traders	It is a violation for any Authorized Trader to enter an Order using a user Trader ID other than the individual's own Trader ID. Additionally, a Person may in never permit the entry of an Order by an individual using a user Trader ID other than the individual's own Trader ID.
531(b)	Pre-Execution Communications	Each buy or sell Order underlying a Block Trade must (1) state explicitly that it is to be, or may be, executed by means of a Block Trade, (2) be for at least such minimum number of Swaps as may from time to time be specified by GTX, and CFTC Regulations and (3) comply with any other applicable CFTC Regulations governing block trades.
531(c)	Pre-Execution Communications	Each party to a Block Trade shall comply with all applicable GTX Rules other than those which by their terms only apply to trading through the SEF Platform.
531(d)	Pre-Execution Communications	A Block Trade must be reported to GTX in a manner prescribed from time to time by GTX. Block Trades must be reported to GTX by one counterparty and confirmed by the other counterparty as soon as practicable after the completion of negotiations, but may not be submitted any later than 15 minutes after the execution of the Block Trade.
531(g)	Pre-Execution Communications	Each Participant that is a party to a Block Trade must comply with the Recordkeeping Requirement set forth in Rule 524.D. Upon request by GTX, such Participant shall produce satisfactory evidence, including the order ticket referred to in the preceding sentence, that the Block Trade meets the requirements set forth in this Rule 531
531(i)	Pre-Execution Communications	Participants are not permitted to aggregate Orders across multiple Trading Accounts or multiple Participants in order to meet any applicable minimum size for a Block Trade unless the participant 1) is a commodity trading advisor registered pursuant to Section 4n of the Act, or exempt from registration under the Act, or a principal thereof, who has discretionary trading authority or directs Client accounts 2) Is an investment advisor who has discretionary trading or 3) Has

		more than 25,000,000 in total assets under management.
531(g)	Pre-Execution Communications	A Person transacting a Block Trade on behalf of a Customer must receive prior Written instructions or consent from the Customer to do so. Such instruction or consent may be provided in the power of attorney or similar document by which the Customer provides the Person with discretionary trading authority to direct the trading in its account.
533(a)	Cleared Swaps	Each Cleared Swap shall be cleared through the Clearing House indicated in the Swap Specification in accordance with the CEA and the CFTC Regulations.
533(b)	Cleared Swaps	For each Cleared Swap a Participant expects to enter into via the SEF Platform or subject to GTX Rules, the Participant or a Client or Customer of the Participant must establish a clearing account with the Clearing House or with a Clearing Member of the Clearing House, in each case, designated in the Swap Specification for such Cleared Swap.
533(c)	Cleared Swaps	Prior to execution of any Order on the CLOB, GTX will confirm with the Clearing Member that the Person in the name and on behalf of which the Order is placed has a sufficient credit or trading limit with the Clearing Member on whose behalf GTX will submit the Trade for clearing. If the credit or trading limit is not sufficient for the execution of the Order as determined and communicated by the Clearing Member to GTX, GTX will cancel the Order.
534	Non-Cleared Swaps	Any Participant placing an Order with respect to a Swap that is not a Cleared Swap shall have entered into an agreement governing the execution of the Swap with the prospective counterparty to such Swap prior to placing such Order.