

EXHIBIT B

Directors and Officers Information

1. Joseph Wald

Title: Director and Chief Executive Officer

Date of commencement of present term of office or position: 9/13/2013 to present

Length of time held the same office or position: 9/13/2013 to present

Brief account of the business experience of each officer and director over the last five (5) years:

Mr. Wald is responsible for leading GAIN GTX, LLC (“GAIN GTX”), the Applicant. He has over 18 years of experience building and managing innovative trading technologies, platforms and execution systems for institutional market participants. Mr. Wald also serves as a member of GAIN Capital Holdings, Inc.’s Executive Operating Committee, which shapes the strategic focus of the company.

Prior to joining GAIN in January 2013, Joe was a managing director and head of Knight Direct, Knight Capital Group’s institutional electronic trade execution business. He oversaw the strategy, development and implementation of Knight Direct’s products and services and was responsible for sales, marketing, operations and support. Prior to Knight Capital, Joe was chief executive officer and co-founder of EdgeTrade Inc., which pioneered the development of agency-only, liquidity seeking algorithms and provided direct market access technologies for the equity markets. EdgeTrade was sold to Knight Capital Group in 2008. Mr. Wald holds a B.S. in Business, Management and Finance from Brooklyn College.

Any other business affiliations in the derivatives and securities industry: Mr. Wald is chief executive officer of GAIN GTX and a member of the Board of Directors of Options City.

For directors, list any committees on which they serve and any compensation received by virtue of their directorship: Regulatory Oversight Committee. No additional compensation.

No disqualifiers: GAIN GTX is not aware of the existence of any disqualifying issues raised in subsections (h)(1) through (h)(6) in Exhibit B of Form SEF for any of its directors or officers.

2. Alexander Bobinski

Title: Director and Chief Compliance Officer

Date of commencement of present term of office or position: 9/13/2013 to present

Length of time held the same office or position: 9/13/2013 to present

Brief account of the business experience of each officer and director over the last five (5) years:

Mr. Bobinski manages global regulatory compliance for Gain Capital Holdings, Inc., and is

responsible for the financial operations and compliance activities of GAIN Capital Group LLC, the US regulated subsidiary of GAIN Capital Holdings, Inc. and GAIN GTX. He also serves as the Company's liaison with GAIN's regulatory agencies, including the Commodity Futures Trading Commission and the National Futures Association.

From 1990 to 2005, when Mr. Bobinski joined GAIN, he served in leadership positions at several companies, including as vice president and controller for the futures and options business at Nomura Securities International. Alex began his career at the National Futures Association as an in-charge auditor. A Certified Public Accountant, Mr. Bobinski also holds a B.S. in business administration and accounting from Ramapo College of New Jersey.

Any other business affiliations in the derivatives and securities industry: Mr. Bobinski is chief compliance officer for Gain Capital Group, Inc. and GAIN GTX, as well as being in charge of the global compliance function for certain of Gain Capital Holdings Inc.'s overseas subsidiaries. Mr. Bobinski also serves a director of those overseas subsidiaries.

For directors, list any committees on which they serve and any compensation received by virtue of their directorship: None.

No disqualifiers: GAIN GTX is not aware of the existence of any disqualifying issues raised in subsections (h)(1) through (h)(6) in Exhibit B of Form SEF for any of its directors or officers.

3. Vincent Sangiovanni

Director and Chief Operating Officer

Date of commencement of present term of office or position: 9/13/2013 to present

Length of time held the same office or position: 9/13/2013 to present

Brief account of the business experience of each officer and director over the last five (5) years:

Mr. Sangiovanni is executive director and chief operating officer of GAIN GTX. Mr. Sangiovanni is responsible for the day-to-day operations of GAIN GTX, as well as maintaining and managing existing sales and Prime Bank clients. Mr. Sangiovanni, with more than 20 years foreign exchange experience has held senior positions at several major brokerage firms focusing on institutional market participants. Mr. Sangiovanni, with more than 20 years of foreign exchange experience has held senior positions at several major brokerage firms focusing on institutional market participants. Vincent spent 10+ years working for Noonan Astley & Pearce establishing and maintaining client relationships in the interbank markets along with spending several months working at Astley & Pearce Zurich establishing client relationships between New York and Europe. Vincent has also worked for Donaldson, Lufkin, & Jenrette (DLJ) as vice president of foreign exchange where he help establish new credit lines for inter-bank trading both domestically and internationally along with trading spot currencies for DLJ's clients and Interbank market and all foreign exchange spot transactions for DLJ's equity desk both in New York and London. Vincent has also held various roles at Credit Suisse post merger with DLJ and most recently prior to joining Gain Capital acting as a consultant for a start up ForeignExchange Proprietary and Algorithmic Trading Company.

Any other business affiliations in the derivatives and securities industry: N/A

For directors, list any committees on which they serve and any compensation received by virtue of their directorship: None.

No disqualifiers: GAIN GTX is not aware of the existence of any disqualifying issues raised in subsections (h)(1) through (h)(6) in Exhibit B of Form SEF for any of its directors or officers.

4. Stephen G. Reilly

Title: Vice President, Managing Director

Date of commencement of present term of office or position: 9/13/2013 to present

Length of time held the same office or position: 9/13/2013 to present

Brief account of the business experience of each officer and director over the last five (5) years:

Mr. Reilly is a managing director of GAIN GTX. Mr. Reilly has been involved in Sales for GAIN GTX, as well as overseeing liquidity management for both clients of GAIN GTX, LLC and its current liquidity providers. Mr. Reilly was a founding member of Gain Capital Group, LLC from its inception in 1999. Prior to Gain Mr. Reilly spent seventeen years in the foreign exchange business, including acting as a managing director at Tullet and Tokyo in charge of voice brokerage from 1995 to 1999.

Any other business affiliations in the derivatives and securities industry: N/A

For directors, list any committees on which they serve and any compensation received by virtue of their directorship: N/A.

No disqualifiers: GAIN GTX is not aware of the existence of any disqualifying issues raised in subsections (h)(1) through (h)(6) in Exhibit B of Form SEF for any of its directors or officers.