

Dated September 4, 2013

Exhibit U – Confidential Treatment Request.

Attach as Exhibit U, any information (described with particularity) included in the application that will be subject to a request for confidential treatment pursuant to § 145.9 of the Commission’s regulations.

Exhibit	Description
A	List of control persons
D-2	List of affiliates, foreign jurisdiction registrations, and addresses for service of process and Organization Chart
E	Qualifications of Facility professional employees
F	Analysis of staffing requirements
H	Brief list of material pending litigation
I, I-1, I-2, I-3	Financial statements; operating expenses and financial resources
J, J-1, J-2	Affiliate financial information
K	Fee schedule
M-2	User Guide
M-3	Technical Manual
M-4	Existing Customer Participant Application
M-5	New Customer Participant Application
N, N-1	Regulatory Services Agreement with NFA
O	Compliance Manual
P	Disciplinary and enforcement procedures; alternative dispute resolution
Q	Description of trading system
S	Discussion of maintenance of trading data
T	List of clearing organizations and a representation that clearing members will be guaranteeing trades
V, V.01 – V.47	Technology questionnaire and attachments numbered 01 through 47 (note that exhibits V.04 and V.08 were intentionally omitted from the set)