Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Retail Forex
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(o)	(p)	(p)
1 ABN AMRO CLEARING USA LLC	FCM BD	CBOT	04/30/2023	724,664,347	209,292,308	515,372,039	3,689,033,643	3,315,163,252	373,870,391	243,907,686	144,068,337	102,973,297	41,095,040	10,297,330	1 0) () 0	0) 0
2 ADM INVESTOR SERVICES INC	FCM	CBOT	04/30/2023	545,498,377	327,673,829	217,824,548	8,630,268,490	8,279,683,961	350,584,529	120,000,000	535,796,752	489,224,952	46,571,800	20,000,000	10,102,460	ol d	10,102,460	8,000,000	0
3 ADVANTAGE FUTURES LLC	FCM		04/30/2023	32,523,485	14,811,965	17,711,520	530,446,905	514,204,335	16,242,570	9,500,000	54,590,044	50,081,429	4,508,615	1,000,000	0) (0	. 0	0
4 AMP GLOBAL CLEARING LLC	FCM		04/30/2023	9,711,180	1,000,000	8,711,180	74,030,903	64,407,913	9,622,990		2,811,211	2,333,236	477,975	150,000	0	0	0	0	<i>j</i> 0
5 APEX CLEARING CORPORATION	FCM BD	NFA	04/30/2023	402,900,246	25,657,772	377,242,474		113,324,941	24,910,867	10,000,000	0	4 205 022 462	0	40,000,000	0 12.044.644.773	12 245 640 400	0	0	. 0
6 BARCLAYS CAPITAL INC 7 BITNOMIAL CLEARING LLC	FCM BD FCM	NYME NFA		6,516,045,405	2,441,713,820 1,000,000	4,074,331,585 505,000	17,247,990,752	15,046,791,271	2,201,199,481	187,000,000	4,576,040,990	4,305,032,463	271,008,527	40,000,000	13,941,614,773	13,315,640,186	625,974,587	26,000,000	1 0
8 BNP PARIBAS SECURITIES CORP	FCM BD	CBOT		2,102,711,418	883,363,358	1,219,348,060	6.550.956.544	5.846.545.384	704,411,160	570,000,000	1.151.850.556	916.218.213	235,632,343	98,000,000	3.038.216.727	2.835.545.377	202,671,350	140,000,000) 0
9 BOFA SECURITIES INC	FCM BD		04/30/2023		4,622,005,334	14,549,394,133	29,652,010,925	29,192,276,449	459,734,476	200,000,000	5,722,192,201	5,520,799,480	201,392,721	150,000,000		17,271,250,671	833,604,972	150,000,000	
10 CANTOR FITZGERALD & CO	FCM BD	CBOT		312,470,501	1,500,000	310,970,501	5,008,296	0	5,008,296	3,000,000	0	0	0	0	0) (0	. 0	0
11 CHARLES SCHWAB FUTURES AND FOREX LLC	FCM	NFA	04/30/2023	287,365,445	51,458,527	235,906,918	847,243,871	681,451,424	165,792,447	100,000,000	0	0	0	0	0	0	0	0	67,216,651
12 CHS HEDGING LLC	FCM		04/30/2023	68,685,162	17,947,695	50,737,467		136,860,060	66,832,794		1,537,933	0	1,537,933	200,000		(513,598	120,000	
13 CITIGROUP GLOBAL MARKETS INC	FCM BD SD		04/30/2023	15,620,608,550	5,231,901,900	10,388,706,650	19,811,566,186	18,317,454,544	1,494,111,642		6,122,981,283	5,797,385,427	325,595,856	183,400,000		33,661,112,161	687,313,433	482,300,000	0
14 CREDIT SUISSE SECURITIES (USA) LLC 15 CUNNINGHAM COMMODITIES LLC	FCM BD FCM	CBOT	04/30/2023 04/30/2023	12,453,755,050 86,183,288	74,014,910 3,886,514	12,379,740,140 82,296,774	305,406,977	234,936,106 204,639,531	70,470,871 62,671,936	11,746,805 3,050,000	25,724,993 1,473,534	1,799,185 856,047	23,925,808 617,487	89,959 100,000	5,240,316		5,240,316	1	0
16 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME		1 383 935 154	1,532,029	1,382,403,125	267,311,467 2,135,444	204,039,331	2,135,444	3,030,000	443 831	030,047	443.831	100,000	1) () 0	1 0	1 0
17 DEUTSCHE BANK SECURITIES INC	FCM BD		04/30/2023	4,982,010,494	214,381,139	4,767,629,355	2,377,025,266	2,225,973,921	151,051,345	100,000,000	897,146,125	799,303,374	97,842,751	50,000,000	il o	0	0	0	0
18 DIRECT ACCESS USA LLC	FCM		04/30/2023	12,545,797	4,074,672	8,471,125	148,719,423	133,451,821	15,267,602	2,500,000	3,095,756	504,899	2,590,857	1,000,000	0	0	0	0	0
19 DORMAN TRADING LLC	FCM	CME	04/30/2023	17,215,252 53,659,395	2,946,125 5,139,965	14,269,127	345,061,515	339,035,884	6,025,631	2,000,000	2,899,926	1,738,057	1,161,869	200,000	0	0	0	0	. 0
20 ETRADE FUTURES LLC	FCM		04/30/2023			48,519,430	172,955,962	119,724,891	53,231,071	11,000,000	0	0	0	0	<u> </u>) (0	0	<u>, 0</u>
21 FUTU FUTURES INC	FCM	NFA NFA	04/30/2023	1,927,937	1,000,000	927,937	0	0	0	0	0	0	0	0	1 0	1) <u> </u>	0	100 400 007
22 GAIN CAPITAL GROUP LLC 23 GH FINANCIALS LLC	FCMRFD FCM	CME	04/30/2023 04/30/2023	55,773,416 31,673,647	29,324,934 15,387,253	26,448,482 16,286,394	187,169,038	166,028,145	21,140,893	5,700,000	10,910,905	9,043,007	1,867,898	350,000	1	1	1 0	0	196,498,687
24 GOLDMAN SACHS & COLLC	FCM RD SD		04/30/2023	22.678.233.072	5.093.852.172	15,286,394		37,929,030,204		775,000,000	12,295,642,549	9,043,007	1,867,898	475.000.000	20,701,068,652	20.140.023.272	561.045.380	350,000,000	1 0
25 HIDDEN ROAD PARTNERS CIV US LLC	FCM BD 3D		04/30/2023	32,160,735	1,000,000	31,160,735	3,069,397	51,523,030,20 1	3,069,397	3,000,000	12,23,072,349	11,001,000,739	007,032,790 N	1, 3,000,000	20,701,000,032) 20,170,023,272) 501,043,360	, 330,000,000 N	J 0
26 HSBC SECURITIES USA INC	FCM BD	CME		1,215,899,498	561,020,157	654,879,341	4,502,321,689	4,354,595,621	147,726,068	136,000,000	212,849,591	184,044,764	28,804,827	15,000,000	2,765,229,131	2,635,029,909	130,199,222	118,000,000	٥
27 IG US LLC	RFED	NFA	04/30/2023	48,352,978	22,400,760	25,952,218	3 0	0	0	0	0	0	0	0	0)(0	0	58,015,193
28 INTERACTIVE BROKERS LLC	FCM BD	CME		6,855,999,008	707,090,154	6,148,908,854	7,410,429,950	7,204,947,427	205,482,523	155,000,000	819,853,191	679,625,555	140,227,636	80,000,000	0	(0	. 0	31,101,122
29 IRONBEAM INC	FCM	CME	04/30/2023	9,596,433	1,234,652	8,361,781	103,641,318	92,714,288	10,927,030	1,600,000	535,051	314,728	220,323	60,000	0	0	0	0	J 0
30 JEFFERIES LLC	FCM BD	NFA	04/30/2023	1,108,138,624	95,317,065	1,012,821,559	0	0	0	0	0 202 005 054	0	0	0	0) (0	0	2 0
31 JP MORGAN SECURITIES LLC 32 LIME TRADING CORP	FCM BD SD FCM BD	CEI/NFA NFA	04/30/2023 04/30/2023	26,226,114,940 7.624,348	5,760,290,319 1,000,000	20,465,824,621	40,017,182,163	39,009,191,209	1,007,990,954	741,174,633 20,000	8,283,895,054 40,010	7,697,749,105	586,145,949 40,010	269,421,219		22,825,327,868	1,081,065,150	479,331,885	0
33 MACQUARIE FUTURES USA LLC	FCM BD	14171	04/30/2023	578,475,342	424,838,247	153,637,095	4,518,425,205	4,288,676,663	229,748,542		175,058,630	125,496,267	49,562,363	7,000,000			5.136.814	1,000,000) 0
34 MAREX CAPITAL MARKETS INC	FCM BD SD		04/30/2023	311,920,102	191,476,697	120,443,405	4,383,995,447	4,288,872,025	95,123,422	44,250,000	77,728,612	66,924,000	10,804,612	5,000,000) 3,130,014	1,000,000) 0
35 MAREX NORTH AMERICA LLC	FCM	CME		238,647,931	167,931,795	70,716,136	3,574,560,441	3,488,190,205	86,370,236	50,230,376	173,906,590	138,845,727	35,060,863	10,250,000	il o) (0	0	0
36 MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	04/30/2023	12,514,051 6,878,572,287	1,410,375	11,103,676	459,559,384	435,670,103	23,889,281	18,000,000	2,647,036	0	2,647,036	1,000,000	0	0	0	0	0
37 MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	04/30/2023		1,580,001,063	5,298,571,224	3,568,145,683	2,593,432,189	974,713,494	300,000,000	0	0	0	0	0	(0	. 0	0
38 MFI FUNDING LLC	FCM	NFA	04/30/2023	105,453,097	1,000,000	104,453,097	7 0	0	0	0	0	0	0	0	0	0	0	0	J 0
39 MID CO COMMODITIES INC	FCM FCM BD	NFA NFA	04/30/2023	16,690,655 2 074 218	5,708,548	10,982,107	45,914,392	28,322,888	17,591,504	5,138,000	0	0	0	0	0	0	0	0	0
41 MIZUHO SECURITIES USA LLC	FCM BD	CME	04/30/2023 04/30/2023	2,074,218 1,165,859,659	1,000,000 574,822,195	1,074,218 591.037.464	10.417.034.785	9.947.084.645	469,950,140	150,000,000	1.780.372.261	1.582.837.463	197,534,798	70,000,000	20,899,895) (20,899,895	10,000,000	0
42 MORGAN STANLEY & CO LLC	FCM BD SD		04/30/2023	17,684,485,119	4,577,510,684	13,106,974,435	28,732,555,943	28,151,603,748	580,952,195	235,000,000	9,719,874,773	9,341,125,554	378,749,219	140,000,000		28,151,591,035	414,041,610	92,000,000	
43 NANHUA USA LLC	FCM	CME		33.068.125	14.611.762	18,456,363	293,686,839	265,627,346	28,059,493	3,500,000	680.382	324.045	356,337	100.000	20,303,032,043	20,131,391,033) +1+,0+1,010	92,000,000) 0
44 NATWEST MARKETS SECURITIES INC	FCM BD	CBOT		525,508,132	2,068,408	523,439,724	7,501,425	0	7,501,425	5,000,000	000/302	0	0	0	0) (0	0	0
45 NINJATRADER CLEARING LLC	FCM	NFA		16,837,633	1,000,000	15,837,633	260,704,409	239,993,579	20,710,830	4,000,000	3,239,436	2,339,603	899,833	400,000	0	0	0	0	0
46 NOMURA SECURITIES INTERNATIONAL INC	FCM BD		04/30/2023	2,292,563,663	123,589,411	2,168,974,252	169,565,853	121,958,104	47,607,749	19,000,000	1,250,000	0	1,250,000	1,000,000	0) (0	0	0
47 OANDA CORPORATION	FCM RFED	NFA		40,019,327	27,719,953	12,299,374	1 0	0	0	0	0	0	0	0	0) (0	0	160,021,445
48 PHILLIP CAPITAL INC	FCM BD FCM BD	CME		85,842,828	50,522,456	35,320,372	1,044,849,503	997,704,535	47,144,968		31,637,945	27,728,426	3,909,519	1,000,000		0	0	0	. 0
49 PICTET OVERSEAS INC 50 RBC CAPITAL MARKETS LLC	FCM BD FCM BD	NFA CME	04/30/2023 04/30/2023	51,948,119 2,586,630,676	13,315,760 505,432,482	38,632,359	163,838,981 4,139,106,044	158,457,109	5,381,872	1,500,000	60,099,857 397,100,975	56,828,016	3,271,841 112,710,953	900,000		1,753,878,229	320,281,115	120,000,000	1 0
51 R1 OBRIEN ASSOCIATES LLC	FCM SD		04/30/2023	323,674,355	256,701,018	2,081,198,194 66,973,337	7 6.248.512.600	3,702,214,650 5,988,443,056	436,891,394 260,069,544	280,000,000 50,000,000	292,052,773	284,390,022 260.862.865	31,189,908	20,000,000	2,0/4,139,344	1,/33,8/8,225	320,281,115	120,000,000	1 0
52 SANTANDER US CAPITAL MARKETS LLC	FCM BD		04/30/2023	565,444,225	183,261,320	382,182,905	2,411,869,017	2,339,826,626	260,069,544 72,042,391	70,000,000	2,2,032,773	200,002,003	31,103,300	20,000,000	1 0		0	0	1 0
53 SCOTIA CAPITAL USA INC	FCM BD	CME		1,402,944,888	140,224,621	1,262,720,267	7 0	0	0	0	0	0	0	0) o) c	0	, 0	0
54 SG AMERICAS SECURITIES LLC	FCM BD		04/30/2023	5,120,651,067	1,926,214,826	3,194,436,241	18,997,796,149	18,559,815,069	437,981,080	200,000,000	6,814,117,735	6,412,384,589	401,733,146	200,000,000	1,450,485,281	1,228,321,804	222,163,477	80,000,000	0
55 STONEX FINANCIAL INC	FCM BD	CME	04/30/2023	397,762,796	225,112,896	172,649,900	5,854,439,884	5,782,478,810	71,961,074	40,000,000	231,379,887	218,977,352	12,402,535	6,000,000	0	0	0	0	0
56 STRAITS FINANCIAL LLC	FCM PD	CME	0 1/30/2023	30,820,035	14,064,805	16,755,230	394,075,844	380,246,222	13,829,622	2,000,000	30,109,872	19,422,111	10,687,761	300,000	,	1 0	0	. 0	. 0
57 TRADESTATION SECURITIES INC 58 TRADING COM MARKETS INC	FCM BD RFED	CME NFA		160,327,959	12,533,199 20,000,000	147,794,760 7,206,248	989,792,641	919,904,689	69,887,952	9,000,000	24,629,498	20,776,917	3,852,581	800,000	0	1 0) <u>0</u>	0	0 879 428
59 UBS FINANCIAL SERVICES INC	FCM BD	NFA	04/30/2023	27,206,248 1,025,138,099	147.636.052	877,502,047	7 222,933,604	170,673,459	52,260,145	25,000,000	38,197,842	7,439,374	30,758,468	15,000,000	1 0	, ,) 0	1 0	0/3,428
60 UBS SECURITIES LLC	FCM BD		04/30/2023	4,343,554,216	782,040,444	3.561.513.772	6,280,275,636	5,989,680,501	290,595,135	150,000,000	2,508,503,151	2,327,499,783	181,003,368	75,000,000	1,791,139,297	1,518,078,550	273,060,747	125,000,000	J 0
61 WEDBUSH SECURITIES INC	FCM BD	CBOT	04/30/2023	259,560,432	118,030,485	141,529,947	5,596,118,529	5,564,726,208	31,392,321	19,000,000	50,692,214	44,312,152	6,380,062	1,000,000	0) (0	0	0
62 WELLS FARGO SECURITIES LLC	FCM BD		04/30/2023		1,752,360,845	8,455,306,442		5,703,530,705	400,054,236	115,000,000	869,332,373	768,313,926	101,018,447	30,000,000	15,453,506,310	14,700,064,272	753,442,038	220,000,000	0
Totals							297,048,135,090	283,629,565,716	13,418,569,374	5,705,617,501	64,148,991,665	59,957,465,169	4,191,526,496	2,019,038,509	166,182,619,498	160,035,863,334	6,146,756,164	2,401,751,886	513,732,526
March Web Page Update	62																		
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Additions				-			1		-									 	+'
None		ļ	1	1					-						1	1		 	+'
Deletions	_	-	1	+			1		 						1	+	+	+	+
None							+											 	+
		l					1		 								1		
																			+
Name Changes																		1	1
Name Changes None																			
	62																		

SELECTED FCM FINANCIAL DATA AS OF April 30, 2023 FROM REPORTS FILED BY May 23, 2023

(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Tradir											
BD: The FCM is also registered with the Securities and Exchange Commission as a securi											
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Tra FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission a		P									
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission a											
SB. THE FERT OF REED IS UISO registered with the commonly ratheres reading commission	ir as a provisionally registered swe	ap dedici.							*		
(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered	ed as SDs have two DSROs listed i	if they have a different DSRO for the FCM/RFED and	the SD. The DSRO for the FCM/R	FED is listed first and th	e DSRO for the SD is listed second.						
(c): A firm's net capital requirement is the greater of:											
FCM minimum dollar amount (\$1,000,000); or											
 risk based capital requirement, the sum of 8% of total customer risk maintenance management 	argin and 8% of total non-custom	er risk maintenance margin; or									
 the amount of capital required by a registered futures association (currently NFA is the 											
 for securities brokers and dealers, the amount of net capital required by Rule 15c3-1 		Commission; or									
minimum dollar amount for FCM's offering or engaged in retail forex transactions and	RFEDs (\$20,000,000); or	DEED/STATE STATE									
minimum dollar amount for FCM's offering or engaged in retail forex transactions and	RFEDS (\$20,000,000) plus five p	ercent of the FCM's of RFED's total retail forex oblig	gation in excess or \$10,000,000.								
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.											
(d). Excess net capital is adjusted net capital, less the firm's net capital requirement.											
(e): This represents the total amount of money, securities, and property held in segregated ac	counts for futures and options cus	tomers in compliance with Section 4d of the Comm	odity Exchange Act.								
(f): This represents the total amount of funds that an FCM is required to segregate on behalf of	of customers who are trading on a	designated contract market or derivatives transaction	on execution facility. This is the su	m of all accounts that o	ontain a net liquidating equity.						
(q): Excess/Deficient funds in segregation is customer assets in segregation, less the customer	cogragation requirement										
(q). Excess/Deficient runus in segregation is customer assets in segregation, less the customer	segregation requirement.										
(h): This represents the targeted excess of proprietary funds deposited into customer segregation	ed accounts above the customer:	segregation requirement. This target amount is set	by the firm.								
(i): This represents the total amount of money, securities, and property held in secured account	nts for futures and options custom	ers who trade on commodity exchanges located out	tside the United States in compliance	ce with Part 30 of the Co	mmodity Exchange Act.						
(C) This country the country of the	de la companya de la	to to 124 of the Heavy Course									
(i): This represents the amount of funds an FCM is required to set aside for customers who tra	ide on commodity exchanges loca	ted outside of the United States.									
(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7	accounts, less the customer amo	unt Part 30 requirement.									
(I): This represents the targeted excess of proprietary funds deposited into separate Section 30	0.7 accounts above the customer	amount Part 30 requirement. This target amount is	set by the firm.								
(m): This represents the total amount of money, securities, and property held in cleared swap	customer accounts for cleared sw	ap customers in compliance with Section 4d(f) of th	e Commodity Exchange Act.								
(n): This represents the amount of funds an FCM is required to segregate for customers who t	rade cleared swans										
(ii). This represents the amount of failus art feet is required to segregate for customers who to	rade deared swaps.										
(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap	ap segregation accounts, less the	cleared swap customer segregation requirement.									
										·	
(p): This represents the targeted excess of proprietary funds deposited into separate cleared	swap segregation accounts above	the cleared swap customer segregation requiremen	t. This target amount is set by the	firm.							
(a). This represents the total amount of funds at an ECM DEED, or ECMDED that would be able	ninod by combining all mass:	curities and property denosited by a retail force	tamor into a rotall farov accessed	accounts adjusted for	the realized and unrealized set such	or loca					
(q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be ob	amed by combining all money, se	curiues and property deposited by a retail forex cus	domer into a retail forex account or	accounts, adjusted for	uie realizeu anu unrealized net profit	OF IOSS.	1				
				1				1			