Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7		Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Retail Forex
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(0)	(p)	(q)
1 ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	07/31/2021	628.347.322	236,810,079	391,537,243	4,305,848,039	3.736.952.067	568,895,972	338,552,603	100.652.725	80,497,521	20,155,204	8,049,752	C	0	0	0	
2 ADM INVESTOR SERVICES INC	FCM		07/31/2021	424,243,158	348,046,316	76,196,842	6,924,857,228	6,720,949,973	203,907,255	120,000,000	425,514,697	379,339,415	46,175,282	20,000,000	9,809,852	. 0	9,809,852	8,000,000	,
3 ADVANTAGE FUTURES LLC	FCM FCM	CME NFA		23,820,387	12,317,669	11,502,718	448,555,003	428,122,850 64.444.717	20,432,153	11,000,000	36,823,703	33,057,553	3,766,150	1,000,000	C	0	0	0	4
4 AMP GLOBAL CLEARING LLC 5 APEX CLEARING CORPORATION	FCM BD	NFA	07/31/2021 07/31/2021	6,058,734 416,271,626	1,000,000 45,837,317	5,058,734 370,434,309	70,864,685 93,831,616	71,142,982	6,419,968 22,688,634	900,000 7,000,000	2,173,257	1,755,999	417,258 0	150,000		0	0	0	i '
6 BAKKT CLEARING LLC	FCM	NFA	07/31/2021	2,061,104	1,000,000	1,061,104	0	0	0	0	0	0	0	0	C	0	0	0	,
7 BARCLAYS CAPITAL INC	FCM BD	NYME		5,385,220,341	1,742,776,588	3,642,443,753	8,758,178,644	8,438,251,496	319,927,148	55,000,000	3,116,822,786	2,935,231,983	181,590,803	12,000,000		10,683,834,733	356,736,059	250,000,000	4
8 BNP PARIBAS SECURITIES CORP 9 BOFA SECURITIES INC	FCM BD FCM BD	CBOT	07/31/2021	2,334,626,698 15,870,343,968	635,574,735 3,200,280,631	1,699,051,963 12,670,063,337	4,795,166,583 21,098,498,264	4,159,679,162 20,168,616,170	635,487,421 929,882,094	503,000,000 200,000,000	629,908,010 5,201,349,015	383,723,962 4,953,084,771	246,184,048 248,264,244	30,000,000 150,000,000	2,060,495,351 11,949,056,281	1,892,510,397 11,676,010,525	167,984,954 273,045,756	90,000,000 150,000,000	 '
0 CANTOR FITZGERALD & CO	FCM BD		07/31/2021 07/31/2021	337,447,141	4,566,407	332,880,734	4,969,921	20,100,010,170	4,969,921	3,000,000	0	0	210,201,211	130,000,000	11,545,030,201	11,070,010,323	2/3,043,/30	130,000,000	
1 CHARLES SCHWAB & CO INC	FCM BD	NFA		3,985,348,137	845,049,497	3,140,298,640	285,610,445	197,473,711	88,136,734		50,000	0	50,000	1	0	0	0	0	
2 CHS HEDGING LLC 3 CITIGROUP GLOBAL MARKETS INC	FCM FCM BD SD	CBOT/NFA	07/31/2021	57,503,930 13,068,973,477	30,873,172 3,767,652,350	26,630,758 9,301,321,127	331,386,455 16,493,295,993	272,607,187	58,779,268 480,925,359	14,100,000 324,100,000	1,527,272	0 4,221,277,346	1,527,272	200,000 161,700,000	510,188 32,604,862,949	31,928,679,506	510,188 676,183,443	120,000 471,100,000	4
4 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD SD		07/31/2021 07/31/2021	13,462,895,518	1,206,608,107	12,256,287,411	4,201,940,567	16,012,370,634 3,955,035,890	246,904,677	197,751,795	4,509,568,251 4,523,882,630	4,221,277,346	288,290,905 354,441,007	208,472,081	9,950,547,603	8,948,755,716	1,001,791,887	4/1,100,000	 '
5 CUNNINGHAM COMMODITIES LLC	FCM	CBOT	07/31/2021	7,486,704	1,096,654	6,390,050	69,608,639	65,989,510	3,619,129	3,050,000	1,264,471	854,231	410,240	100,000	3/330/317/003	0	0	0	,
6 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	07/31/2021	1,251,646,080	1,500,000	1,250,146,080	2,170,946	0	2,170,946	1 25 200 200	472,043	0	472,043	1 25 000 000	0	0	0	0	4
7 DEUTSCHE BANK SECURITIES INC 8 DIRECT ACCESS USA LLC	FCM BD FCM	CBOT CME	07/31/2021 07/31/2021	9,252,158,864 11,337,351	221,013,353 1,595,085	9,031,145,511	2,717,379,100 46,720,454	2,466,046,137 29,120,833	251,332,963 17,599,621	125,000,000 2,500,000	762,888,022	611,895,824	150,992,198	75,000,000	0	0	0	0	 '
9 DORMAN TRADING LLC	FCM	CME	07/31/2021	16,034,625	3,564,195	12,470,430	277,261,893	270,828,068	6,433,825	2,000,000	7,937,782	7,273,889	663,893	200,000		0	0	0	,
0 E D & F MAN CAPITAL MARKETS INC	FCM BD SD	CME/NFA	07/31/2021	208,409,187	128,712,065	79,697,122	3,007,772,033	2,919,614,577	88,157,456	44,250,000	104,849,352	94,327,874	10,521,478	5,000,000	C	0	0	0	4
1 E*TRADE FUTURES LLC 2 FUTU FUTURES INC	FCM FCM	NFA NFA	07/31/2021 07/31/2021	36,855,151 1,961,515	6,566,106 1,000,000	30,289,045 961,515	150,991,789	113,219,257	37,772,532	8,000,000	0	0	0	0	1	0	0	0	 '
3 GAIN CAPITAL GROUP LLC	FCMRFD	NFA NFA	07/31/2021	1,961,515 55,418,666	30,005,188	25,413,478	321,670,421	303,755,739	17,914,682	10,000,000	4,134,246	2,423,144	1,711,102	1,000,000	1	, <u>U</u>	0	0	210.103.75
4 GH FINANCIALS LLC	FCM	CME	07/31/2021	27,313,618	9,891,483	17,422,135	156,268,025	136,544,022	19,724,003	5,400,000	5,269,692 11,086,099,516	3,886,079 10,502,150,963	1,383,613	325,000	0	0	0	0	1 -10,100,75
5 GOLDMAN SACHS & CO LLC	FCM BD SD	CBOT/NFA	07/31/2021	19,506,800,960	4,167,173,178	15,339,627,782	35,254,651,977	34,326,530,057	928,121,920	675,000,000	11,086,099,516	10,502,150,963	583,948,553	475,000,000	14,248,092,744	13,693,569,002	554,523,742	350,000,000	4
6 HIDDEN ROAD PARTNERS CIV US LLC 7 HSBC SECURITIES USA INC	FCM BD FCM BD	NFA CME	07/31/2021 07/31/2021	33,616,925 1,075,362,215	1,000,000 429,353,207	32,616,925 646,009,008	3,226,270,164	0 3,118,429,783	107,840,381	91,000,000	0 152,870,049	0 126,924,658	25,945,391	15,000,000	1,276,803,874	1,202,376,064	74,427,810	63,000,000	 '
8 HUATAI FINANCIAL USA INC	FCM	NFA	07/31/2021	2.058.532		1.058,532	22,807,906	21,544,936	1,262,970	600,000	293,321	120,324,030	293,321	150,000	1,270,003,074	1,202,370,004	74,427,610	05,000,000	
9 IG US LLC	RFED	NFA	07/31/2021 07/31/2021	31,256,493	1,000,000 21,307,827	9,948,666	0	0	0	0	0	0	0	0	C	0	0	0	36,156,54
0 INTERACTIVE BROKERS LLC	FCM BD FCM	CME NFA	07/31/2021	5,247,859,768	898,393,791	4,349,465,977 4,969,199	7,149,000,858	6,932,361,819	216,639,039	155,000,000	614,098,169	492,130,582	121,967,587	80,000,000 60,000	0	0	0	0	47,933,769
2 JEFFERIES LLC	FCM BD	NFA	07/31/2021	6,059,065 1,809,723,305	1,089,866 110,219,833	1,699,503,472	78,156,059	71,958,325	6,197,734 0	1,600,000	320,182	139,111	181,071	60,000		0	0	0	i '
3 JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	07/31/2021	28,461,555,076	5,346,981,025	23,114,574,051	49,647,296,505	47,981,940,300	1,665,356,205	959,638,806	7,522,933,962	6,993,695,143	529,238,819	244,779,330	20,267,627,648	19,415,031,832	852,595,816	388,300,637	
4 MACQUARIE FUTURES USA LLC	FCM	CBOT		358,558,151	307,764,979	50,793,172	3,896,477,540	3,686,210,334	210,267,206	100,000,000	66,435,941	53,076,676	13,359,265	7,000,000	19,394,028	3,010,539	16,383,489	1,000,000	4
5 MAREX NORTH AMERICA LLC 6 MCVEAN TRADING & INVESTMENTS LLC	FCM FCM	CME NFA	07/31/2021 07/31/2021	198,289,988 14,913,350	172,326,238 1,779,774	25,963,750 13,133,576	3,805,666,893 428,256,027	3,777,478,619 407,683,592	28,188,274 20,572,435	20,330,000	126,313,783 2,567,861	112,185,930	14,127,853 2,567,861	10,250,000	0	0	0	0	
7 MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	07/31/2021	7,049,772,514	1,491,287,290	5,558,485,224	2,632,867,042	1,588,838,086	1,044,028,956	300,000,000	2,307,801	0	2,307,801	1,000,000	0	0	0	0	
8 MID CO COMMODITIES INC	FCM	NFA	07/31/2021	16,983,892	11,927,955	5,055,937	114,570,531	97,620,469	16,950,062	5,138,000	0	0	0	0	0	0	0	0	,
9 MINT BROKERS	FCM BD	NFA	07/31/2021 07/31/2021	2,583,269 1,333,041,149	1,000,000 482,706,914	1,583,269	0	6 936 265 363	0	150 000 000	0	0	0	70,000,000	20 647 228	1 459 630	19 187 598	10 000 000	4
0 MIZUHO SECURITIES USA LLC 1 MORGAN STANLEY & CO LLC	FCM BD FCM BD SD	CME/NFA		1,333,041,149	3,918,322,506	850,334,235 12,358,265,191	7,235,666,851 25,429,071,200	25,046,045,094	299,401,488 383,026,106	235,000,000	1,115,297,848 7,938,955,182	990,195,427 7,723,156,711	125,102,421 215,798,471	140,000,000		26,171,822,423	19,187,598 322,152,170	92,000,000	
2 NANHUA USA LLC	FCM	CME			5,139,355		137,898,324	126,499,603	11,398,721 7,500,109	3,500,000	412,193	59,135	353,058	100,000	20,493,974,393	0	322,132,170	92,000,000	
3 NATWEST MARKETS SECURITIES INC	FCM BD	CBOT	07/31/2021	16,624,853 625,828,242	1,828,825	11,485,498 623,999,417	7,500,109	0		5,000,000	0	0	0	0	C	0	0	0	/
4 NINJATRADER CLEARING LLC 5 NOMURA SECURITIES INTERNATIONAL INC	FCM FCM BD	NFA	07/31/2021 07/31/2021	4,529,749 3,495,131,441	1,000,000 153,221,493	3,529,749 3,341,909,948	39,394,494 285,263,180	35,389,656 141,056,056	4,004,838 144,207,124	2,000,000 26,000,000	1,566,785 17,955,344	1,086,625	480,160 17,955,344	200,000 1,000,000	0	0	0	0	4
6 OANDA CORPORATION	FCM RFD	NFA	07/31/2021	42,375,522	29,681,983	12,693,539	203,203,100	141,030,030	144,207,124	20,000,000	0	0	17,555,544	1,000,000	0	0	0	0	194,696,47
7 PHILLIP CAPITAL INC	FCM BD	CME	07/31/2021	76,394,892	40,987,029	35,407,863	883,213,461	848,022,004	35,191,457	11,500,000	16,123,052	12,609,035	3,514,017	1,500,000	0	0	0	0	, , , , ,
8 PICTET OVERSEAS INC	FCM BD	NFA	07/31/2021	49,113,224	12,112,080	37,001,144	162,634,710	158,094,147	4,540,563	2,750,000	34,742,466	31,843,280	2,899,186	1,250,000		0	0	0	4
9 RBC CAPITAL MARKETS LLC 0 RJ OBRIEN ASSOCIATES LLC	FCM BD FCM SD	CME/NEA	07/31/2021	2,260,176,903 327,476,628	398,334,584	1,861,842,319 45,986,179	3,519,994,934 6,409,038,866	3,143,000,826 6,212,016,701	376,994,108	200,000,000	302,751,901 269,955,227	226,103,092 238,858,985	76,648,809 31,096,242	20,000,000 20,000,000	1,115,878,358	905,845,504	210,032,854	80,000,000	
1 SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	07/31/2021 07/31/2021	463,373,566	281,490,449 171,996,896	291,376,670	2,076,615,980	2,005,291,753	197,022,165 71,324,227	50,000,000 70,000,000	0	0	0	20,000,000	Č	Ö	0	ŏ	,
2 SCORE PRIORITY CORP	FCM BD			7,731,244	1,000,000	6,731,244	48,600	2,497	46,103	20,000	40,449	448	40,001	20,000	0	0	0	0	4
3 SCOTIA CAPITAL USA INC 4 SG AMERICAS SECURITIES LLC	FCM BD FCM BD	CME	07/31/2021 07/31/2021	1,313,375,789 4,186,161,024	134,503,816 2,006,452,146	1,178,871,973 2,179,708,878	0 16,317,847,779	0 15,634,632,216	683,215,563	500,000,000	7.143.753.359	0 6.546.758.528	596,994,831	355,000,000	1,744,558,505	1,523,079,223	221,479,282	75,000,000	 '
5 STONEX FINANCIAL INC	FCM BD	CME	07/31/2021	347,962,451	2,006,452,146	145,511,285	4,268,469,746	4,201,515,376	66,954,370	50,000,000	223,458,257	211,655,653	11,802,604	6,000,000	622,118	1,323,079,223	622,118	250,000	
6 STRAITS FINANCIAL LLC	FCM	CME	07/31/2021	25,988,985	10,493,103	15,495,882	471,667,084	456,111,321	15,555,763	2,000,000	30,475,553	21,118,566	9,356,987	300,000	0	0	0	0	4
7 TD AMERITRADE FUTURES & FOREX LLC 8 TRADESTATION SECURITIES INC	FCM FCM BD	NFA CMF	07/31/2021 07/31/2021	201,600,629 163,189,300	29,900,163 10,364,922	171,700,466 152,824,378	507,648,877 871,036,335	405,379,508 851,910,477	102,269,369 19,125,858	50,000,000 9,000,000	26,308,082	0 23,054,425	0 3,253,657	000,008	0	0	0	0	61,516,71
9 TRADING.COM MARKETS INC	RFED	NFA		23,924,114	20,000,000	3,924,114	0/1,030,335	021,910,4//	19,123,838	9,000,000	20,300,082	23,034,425 N	3,233,65/ N	000,000	1	n	0	0	 '
0 UBS FINANCIAL SERVICES INC	FCM BD	NFA	07/31/2021	1,221,751,086	147,283,679	1,074,467,407	142,636,327	109,068,152	33,568,175	22,000,000	50,407,183	22,892,658	27,514,525	15,000,000	i d	Ů Ö	0	0	
1 UBS SECURITIES LLC	FCM BD FCM BD		07/31/2021	5,788,601,397 204,848,872	740,905,571	5,047,695,826	7,129,355,432 1,989,358,251	6,626,899,464	502,455,968	400,000,000	1,747,352,891	1,474,260,525	273,092,366	200,000,000	1,206,762,142	964,841,488	241,920,654	125,000,000	4
2 WEDBUSH SECURITIES INC 3 WELLS FARGO SECURITIES LLC	FCM BD FCM BD		07/31/2021 07/31/2021	204,848,872 9,520,181,046	54,103,346 1,499,180,673	150,745,526 8,021,000,373	1,989,358,251 5,620,971,279	1,959,022,695 5,117,471,864	30,335,556 503,499,415	19,000,000 270,000,000	37,882,132 545,301,940	33,229,510 425,107,992	4,652,622 120,193,948	1,000,000 40,000,000	14.414.592.786	13,705,870,748	708,722,038	375,000,000	 '
THEES THE SECONDITIES EEC	TOTION	CITE	37/31/2021	3,320,101,010	1,155,100,075	0,021,000,373		,		.,,					, , ,	-,,,		0.0/000/000	
Totals							264,324,200,064	252,555,056,075	11,769,143,989	6,412,251,736	58,509,760,582	54,140,364,872	4,369,395,710	2,378,606,165	148,424,807,040	142,716,697,330	5,708,109,710	2,976,208,423	550,407,254
June Web Page Update	63																		
Additions																			
None																			
Deletions															1				+
None																			
									-				•						
Name Changes	1						-								-				-

SELECTED FCM FINANCIAL DATA AS OF July 31, 2021 FROM REPORTS FILED BY August 24, 2021

(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.											
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.											
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.											
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.											
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.											
(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are	dually registered as SDs have two DSROs listed	I if they have a different DSRO for the FCM	I/RFED and the SD. The DSRO for the	FCM/RFED is listed first and the DSRO for the SD is	listed second.						
		•		•							
(c): A firm's net capital requirement is the greater of:											
 FCM minimum dollar amount (\$1,000,000); or 											
 risk based capital requirement, the sum of 8% of total customer risk main 	ntenance margin and 8% of total non-customer	risk maintenance margin; or									
the amount of capital required by a registered futures association (currently NEA size of thurse association); or											
the amount of capital required by a required by RNL BISCA (2014) of the Securities and Exchange Commission; or for securities brokers and dealers, the amount of net capital required by RNL BISCA (2014) of the Securities and Securities an											
in securities from search and used is, the advantage to the securities and the Education of the Capital required by while 150-31(3) or the Securities and EEDs (\$20,000,000); or minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000. minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.											
minimum dollar amount for FCM's offering or engaged in retail forex trans	sactions and RFEDs (\$20,000,000) plus five per	cent of the FCM's or RFED's total retail for	ex obligation in excess of \$10,000,000.								
(d): Excess net capital is adjusted net capital, less the firm's net capital requirer	ment										
(a). Excess net capital is adjusted net capital, less the firms net capital require	TOTAL TOTAL										
(e): This represents the total amount of money, securities, and property held in	segregated accounts for futures and ontions of	stomers in compliance with Section 4d of	the Commodity Eychange Act								
(c). This represents the total amount of money, securides, and property field in	Segregated accounts for facures and opaons ed	astorners in compilance with Section 1a or	the commodity Exchange Act.								
(f): This represents the total amount of funds that an FCM is required to segreg	ate on behalf of customers who are trading on	a designated contract market or derivative	s transaction execution facility. This is	the sum of all accounts that contain a net liquidating	a equity						
(1): This represents the total amount of funds that an Ferris required to segreg	ate on behalf of eastorners who are trading on t	a designated contract market or derivative	3 dansaction execution raciney. This is	the sum of all accounts that contain a net liquidati	g cquity.						
(g): Excess/Deficient funds in segregation is customer assets in segregation, les	cs the sustamer cogregation requirement										
(q). Excess/ Deficient funds in Segregation is customer assets in Segregation, les	s tile customer segregation requirement.										
(h): This represents the targeted excess of proprietary funds deposited into cus	tomor cogregated accounts above the custome	cogragation requirement. This target am	ount is set by the firm								
(11). This represents the targeted excess or proprietary funds deposited into cus	torrier segregated accounts above the customer	segregation requirement. This target and	ount is set by the min.								
(i). This was a sharp that had a second of second or sec					_						
(i): This represents the total amount of money, securities, and property held in	secured accounts for futures and options custor	mers who trade on commodity exchanges	located outside the United States in co	mpliance with Part 30 or the Commodity Exchange A	Ct.						
(i). This are not the area at a 6 and a a FCM is area included a set and 6 and											
(j): This represents the amount of funds an FCM is required to set aside for cust	comers who trade on commodity exchanges loca	ated outside of the United States.									
(1) 5 (0.0) (0.1)											
(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separa	Le Section 30.7 accounts, less the customer am-	ount Part 30 requirement.									
(I): This represents the targeted excess of proprietary funds deposited into sepa	rate Section 30.7 accounts above the customer	r amount Part 30 requirement. This target	amount is set by the firm.								
H											
(m): This represents the total amount of money, securities, and property held in	i cleared swap customer accounts for cleared s	wap customers in compliance with Section	4d(f) of the Commodity Exchange Act.	•							
(n): This represents the amount of funds an FCM is required to segregate for cu	stomers who trade cleared swaps.										
(o): Excess/Deficient funds in cleared swap customer accounts is funds in separ	ate cleared swap segregation accounts, less the	e cleared swap customer segregation requi	irement.								
(p): This represents the targeted excess of proprietary funds deposited into ser	parate cleared swap segregation accounts above	e the cleared swap customer segregation r	equirement. This target amount is set	by the firm.							
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(q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.