Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7		Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(1)	(m)	(n)	(0)	(p)	(q)
1 ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	04/30/2021	602,835,808	249,502,396	353,333,412	4,251,026,828	3,716,464,794	534,562,034	350,242,724	100,370,343	82,809,759	17,560,584	8,280,976	6 (0	0	0	
2 ADM INVESTOR SERVICES INC	FCM	CBOT	04/30/2021	417,228,574	323,111,822	94,116,752	6,231,206,236	6,023,995,861	207,210,375	120,000,000	490,365,992	444,395,459	45,970,533	20,000,000	9,807,693	3 0	9,807,693	8,000,000	·
3 ADVANTAGE FUTURES LLC	FCM	CME	04/30/2021	23,276,577	11,712,045	11,564,532	328,706,433	307,988,585	20,717,848	11,500,000	34,533,448	30,790,016	3,743,432	1,000,000	0 (0		0	
4 AMP GLOBAL CLEARING LLC	FCM	NFA	04/30/2021	6,210,668	1,000,000	5,210,668	70,377,112	63,767,945	6,609,167	900,000	2,049,341	1,666,377	382,964	150,000	0 0	0 0	0	0	
5 APEX CLEARING CORPORATION 6 BAKKT CLEARING LLC	FCM BD FCM	NFA NFA	04/30/2021 04/30/2021	401,045,256 4,246,799	42,297,039 1,000,000	358,748,217 3,246,799	78,303,337	53,625,161	24,678,176	7,000,000	0	0	0) (0	0	0	
7 BARCLAYS CAPITAL INC	FCM BD	NYME	04/30/2021	6,067,039,179	1,762,939,884	4,304,099,295	7,811,065,865	7,509,933,241	301,132,624	55,000,000	3,679,041,519	3,453,293,851	225,747,668	12,000,000	11,221,986,802	2 10,835,466,765	386,520,037	250,000,000	
8 BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	04/30/2021	2,488,688,254	594,667,013	1,894,021,241	4,131,564,760	3,502,083,032	629,481,728	503,000,000	424,350,515	372,892,733	51,457,782	30,000,000	1,865,032,454		161,883,563	85,000,000	1
9 BOFA SECURITIES INC	FCM BD	CBOT	04/30/2021	16,044,146,791	2,871,668,314	13,172,478,477	21,098,903,498	20,326,326,468	772,577,030	200,000,000	5,671,568,194	5,298,101,865	373,466,329	150,000,000	10,773,746,417	7 10,518,896,583	254,849,834	150,000,000	(
10 CANTOR FITZGERALD & CO	FCM BD	CBOT	04/30/2021	422,989,866	3,001,003	419,988,863	4,969,921	212.000.642	4,969,921	3,000,000	0	0	0	(0 0	0	0	0	
11 CHARLES SCHWAB & CO INC 12 CHS HEDGING LLC	FCM BD FCM	NFA CBOT	04/30/2021	3,225,741,462 67,402,102	731,317,001 28,839,939	2,494,424,461 38,562,163	398,969,170 241,462,563	312,889,642 181,904,576	86,079,528 59,557,987	53,191,239 14,100,000	50,000 1,527,272	0	50,000 1,527,272	200,000	510.188	8 0	510.188	120,000	
13 CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA		12,500,486,389	3,735,298,921	8,765,187,468		16,471,786,226	710,271,121	493,500,000	4,386,743,912	4,163,204,660	223,539,252	160,300,000			666,179,308	462,000,000	
14 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	04/30/2021	12,768,778,282	1,735,883,033	11,032,895,249	6,815,784,582	6,440,807,697	374,976,885	322,040,385	5,805,139,499	5,281,893,274	523,246,225	264,094,664			663,331,113	603,905,800	(
15 CUNNINGHAM COMMODITIES LLC	FCM	CBOT		5,609,988	1,648,394	3,961,594	91,064,935	87,493,332	3,571,603	3,050,000	1,027,983	595,197	432,786	100,000	0 (0	0	0	
16 DAIWA CAPITAL MARKETS AMERICA INC 17 DEUTSCHE BANK SECURITIES INC	FCM BD FCM BD	CME CBOT	04/30/2021 04/30/2021	1,260,138,949 8,581,144,670	1,610,093 212,556,793	1,258,528,856 8,368,587,877	2,171,656 2,527,446,815	2,276,642,267	2,171,656 250,804,548	125,000,000	476,292 771,649,510	623,788,012	476,292 147,861,498	75,000,000	1 (0	0	0	
18 DIRECT ACCESS USA LLC	FCM	CME	04/30/2021	11.405.354	1.932.925	9,472,429	46,933,408	33.840.090	13,093,318	2,500,000	771,049,310	023,766,012	147,001,430	73,000,000	0 (0 0	0	0	
19 DORMAN TRADING LLC	FCM	CME	04/30/2021	11,405,354 15,386,814	1,932,925 4,099,775	11,287,039	274,085,631	267,443,197	6,642,434	2,000,000	9,884,974	9,012,341	872,633	200,000		0	Ö	0	
20 E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	04/30/2021	208,221,647	140,355,486	67,866,161	3,004,613,561	2,914,975,781	89,637,780	44,250,000	122,971,400	107,503,836	15,467,564	5,000,000	0 (0	0	0	
21 E*TRADE FUTURES LLC 22 FUTU FUTURES INC	FCM FCM	NFA NFA	04/30/2021 04/30/2021	36,024,978 1,965,386	5,806,169 1,000,000	30,218,809 965,386	138,762,113	103,707,871	35,054,242	8,000,000	0	0	0	(n (0	0	0	
22 FUTU FUTURES INC 23 GAIN CAPITAL GROUP LLC	FCM FCMRFD	NFA NFA	04/30/2021	1,965,386 55,917,846	29,837,904	26,079,942	342,593,439	324,428,389	18,165,050	10,000,000	4,110,064	2,565,700	1,544,364	1,000,000	0 (0 0	0	0	206,758,071
24 GH FINANCIALS LLC	FCM	CME	04/30/2021	27,271,719	9,031,819	18,239,900	159,114,159	141,314,985	17,799,174	4,900,000	8,996,229	7,631,635	1,364,594	250,000	0 (0	Ö	Ö	(
25 GOLDMAN SACHS & CO LLC	FCM BD SD	CBOT/NFA	04/30/2021	19,668,399,122	4,120,627,038	15,547,772,084	34,567,251,714	33,652,379,795	914,871,919	675,000,000	10,889,728,904	10,325,587,416	564,141,488	475,000,000		13,612,061,508	436,304,642	350,000,000	
26 HIDDEN ROAD PARTNERS CIV US LLC	FCM BD FCM BD	NFA CME	04/30/2021	8,719,962 1,148,207,312	1,000,000 417,467,694	7,719,962	3,256,665,725	0	110 570 ::	109,000,000	0 147,996,178	0 122,326,508	25,669,670	15,000,000	1,366,157,690	0 1,284,122,988	82,034,702	71,000,000	
27 HSBC SECURITIES USA INC 28 HUATAI FINANCIAL USA INC	FCM BD	NFA	04/30/2021 04/30/2021	2,022,532	1,000,000	730,739,618 1,022,532	3,256,665,725 17,894,101	3,137,095,261 16,662,111	119,570,464 1,231,990		147,996,178 293,261	122,326,508	25,669,670	15,000,000		1,284,122,988	82,034,702	/1,000,000	
29 IG US LLC	RFED	NFA	04/30/2021	31,796,058	21,013,892	10,782,166	17,054,101	10,002,111	1,231,330	000,000	293,201	0	293,200	130,000	0 0	0 0	0	0	30,277,845
30 INTERACTIVE BROKERS LLC	FCM BD	CME	04/30/2021	5,085,420,663	820,533,987	4,264,886,676	6,965,905,229	6,756,788,034	209,117,195	155,000,000	615,851,538	494,498,587	121,352,951	80,000,000		0	0	0	30,277,845 62,290,554
31 IRONBEAM INC	FCM	NFA	04/30/2021	5,987,133	1,000,000	4,987,133	74,453,164	68,694,171	5,758,993	1,600,000	305,105	112,684	192,421	60,000	0 (0	0	0	<u>C</u>
32 JEFFERIES LLC 33 JP MORGAN SECURITIES LLC	FCM BD FCM BD SD	NFA CEI/NFA	04/30/2021 04/30/2021	1,408,030,874 25,417,017,217	116,963,844 5,098,003,464	1,291,067,030 20,319,013,753	46,545,856,604	44,962,155,725	1,583,700,879	899,243,114	7,459,424,077	6,926,739,057	532,685,020	242.435.867	7 20,178,037,965	0 5 19,208,399,913	969 638 052	0 384,167,998	
34 MACOUARIE FUTURES USA LLC	FCM	CBOT		323.101.311	296,166,431	26,319,013,733	3.504.698.727	3,315,546,462	189,152,265	100.000.000	64.538.335	51 609 911	12,928,424	7.000.000		7.958.242	16.377.810	1.000.000	<u>`</u>
35 MAREX NORTH AMERICA LLC	FCM	CME	04/30/2021	184,044,736	158,939,473	25,105,263	2,617,065,957	2,593,673,330	23,392,627	20,330,000	120,034,604	105,112,008	14,922,596	10,250,000		0 0	0	0	ľ
36 MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	04/30/2021	14,717,225	2,091,941	12,625,284	451,266,259	429,420,565	21,845,694	18,000,000	2,567,861	0	2,567,861	1,000,000	0 (0		0	C
37 MERRILL LYNCH PROFESSIONAL CLEARING CORP 38 MID CO COMMODITIES INC	FCM BD FCM	NFA NFA	04/30/2021 04/30/2021	5,335,647,108 14,606,505	1,381,268,901 10,496,395	3,954,378,207 4,110,110	2,371,417,335 56.124.559	1,405,396,412 41,180,480	966,020,923 14,944,079	300,000,000	0	0	0	(0 0	0	0	0	9
39 MINT BROKERS	FCM BD	NFA NFA	04/30/2021	2,658,053	1,000,000	1,658,053	56,124,559 0	41,180,480	14,944,079	5,138,000	0	0	0) (0 0		0	
40 MIZUHO SECURITIES USA LLC	FCM BD	CME	04/30/2021	1,269,417,017	434,349,803	835,067,214	6,680,669,046	6,374,476,226	306,192,820	150,000,000	1,092,104,518	967,448,851	124,655,667	70,000,000	21,955,816	1,151,250	20,804,566	10,000,000	ĭ
41 MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	04/30/2021	16,486,405,519	3,870,367,637	12,616,037,882	25,848,716,643	25,466,227,224	382,489,419	235,000,000	7,787,256,614	7,571,707,854	215,548,760	140,000,000			314,920,588	92,000,000	<u> </u>
42 NANHUA USA LLC	FCM	CME	04/30/2021	16,831,953	6,459,211	10,372,742	134,477,174	123,368,535	11,108,639	3,500,000	361,981	10,457	351,524	100,000	0 0	0	0	0	9
43 NATWEST MARKETS SECURITIES INC 44 NOMURA SECURITIES INTERNATIONAL INC	FCM BD FCM BD	CBOT	04/30/2021 04/30/2021	638,065,120 3,718,609,090	3,126,604 212,022,330	634,938,516 3,506,586,760	7,500,000 420,608,715	276,978,119	7,500,000 143,630,596	5,000,000 26,000,000	18,066,041	0	18,066,041	1,000,000) (0	0	0	
45 OANDA CORPORATION	FCMRFD	NFA	04/30/2021	44,655,522	31,865,797	12,789,725	720,000,713	270,970,119	173,030,330	20,000,000	10,000,041	0	10,000,041	1,000,000	0 0	0 0	0	0	188,466,673
46 PHILLIP CAPITAL INC	FCM BD	CME	04/30/2021	76,097,799	42,901,578	33,196,221	857,397,450	830,222,781	27,174,669	11,500,000	16,850,534	13,026,886	3,823,648	1,500,000		0	0	0	
47 PICTET OVERSEAS INC	FCM BD	NFA	04/30/2021	51,099,772	11,472,841	39,626,931	155,869,896	151,402,468	4,467,428 467,010,844	2,750,000	35,501,013	32,623,180	2,877,833 61,282,836	1,250,000 20,000,000	0 (0 0	0.000.000	80,000,000	0
48 RBC CAPITAL MARKETS LLC 49 RJ OBRIEN ASSOCIATES LLC	FCM BD FCM	CME	04/30/2021 04/30/2021	3,160,428,071 307,066,905	367,066,319 263,376,990	2,793,361,752 43.689,915	3,375,722,858 5,932,584,817	2,908,712,014 5,751,223,112	467,010,844 181,361,705	50,000,000	231,089,960 261,301,519	169,807,124 230,908,542	30,392,977	20,000,000	1,150,424,439	890,491,489	259,932,950	80,000,000	
50 SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME		421,539,497	154,208,741	267,330,756	1,878,986,975	1,807,772,907	71,214,068		201,301,319	230,300,342	30,392,977	20,000,000	0 (0 0		0	<u> </u>
51 SCORE PRIORITY CORP	FCM BD	NFA	04/30/2021	9,787,413	1,000,000	8,787,413	48,600	2,498	46,102	20,000	40,415	414	40,001	20,000	0 0	0	0	0	- 0
52 SCOTIA CAPITAL USA INC	FCM BD	CME	04/30/2021	1,366,031,767	134,867,230	1,231,164,537	0	0	(0	0	0	0	(0 (0 0	0	0	
53 SG AMERICAS SECURITIES LLC 54 STONEX FINANCIAL INC	FCM BD FCM BD	CME CME	04/30/2021	4,125,565,277	1,915,239,292	2,210,325,985 92,367,169	14,923,108,116 3,802,696,417	14,220,803,039 3,734,907,759	702,305,077 67,788,658	500,000,000	6,955,216,460 221,043,184	6,366,604,640	588,611,820 11,843,119	355,000,000 6,000,000		1 1,427,136,989	221,292,832 1,971,553	75,000,000 1,000,000	
55 STRAITS FINANCIAL LLC	FCM	CME	04/30/2021 04/30/2021	282,712,330 26,097,281	190,345,161 11,365,487	14,731,794	387,480,055	372,238,201	15,241,854	50,000,000 2,000,000	34,298,869	209,200,065 25,067,750	9,231,119	300,000	0 1,5/1,555	0	1,5/1,553	1,000,000	1
56 TD AMERITRADE FUTURES & FOREX LLC	FCM	NFA	04/30/2021	188,662,167	31,578,747	157,083,420	551,054,880	445,677,568	105,377,312	50,000,000	0	0	0	(0 0	0 0	0	0	60,955,155
57 TRADESTATION SECURITIES INC	FCM BD	CME	04/30/2021	158,229,014	12,684,986	145,544,028	884,034,962	869,448,525	14,586,437	9,000,000	25,349,489	22,146,798	3,202,691	800,000	0 0	0	0	0	
58 TRADING.COM MARKETS INC 59 UBS FINANCIAL SERVICES INC	RFED FCM RD	NFA NFA	04/30/2021 04/30/2021	24,625,317 1,142,254,605	20,000,000 140,625,378	4,625,317 1,001,629,227	135,488,751	102,244,698	33,244,053	22,000,000	52.086.058	12,657,026	39,429,032	15,000,000) <u>(</u>	0	0	0	
50 UBS SECURITIES LLC	FCM BD	CBOT		5,843,466,761	705,734,476	5,137,732,285	6.511.869.492	6,013,056,487	498.813.005	400,000,000	1,506,306,733	1,234,382,277	271,924,456	200,000,000	1.082.173.015	840.883.293	241,289,722	125,000,000	-
51 WEDBUSH SECURITIES INC	FCM BD	CBOT	04/30/2021	210,218,371	60,431,280	149,787,091	2,003,474,195	1,973,789,530	29,684,665 503,785,694	19,000,000	35,141,152	30,179,047	4,962,105	1,000,000	0 (0	0	0	
52 WELLS FARGO SECURITIES LLC	FCM BD	CME	04/30/2021	9,642,575,724	1,425,091,157	8,217,484,567	5,006,818,714	4,503,033,020	503,785,694	265,000,000	610,910,640	480,291,101	130,619,539	40,000,000	14,448,606,229	13,508,581,227	940,025,002	400,000,000	
53 YORK BUSINESS ASSOCIATES LLC	FCM	NFA	04/30/2021	4,329,743	1,000,000	3,329,743	38,990,441	35,191,413	3,799,028	2,000,000	1,276,418	771,369	505,049	200,000	UI (0	0	. 0	
Totals							255,197,380,940	243,401,187,610	11,796,193,330	6,689,855,463	59,699,797,948	55,272,964,328	4,426,833,620	2,430,641,509	149,672,690,156	144,025,016,001	5,647,674,155	3,148,193,798	548,748,298
March Web Page Update	63																		
Additions															+				
None				1			1							1		1			[
Deletions None			1	1			1							1	1	1			1
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Name Changes			 																i
None																			
And Web Personal adds												·							<u> </u>
April Web Page Update	63			1			1							 	+				
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SELECTED FCM FINANCIAL DATA AS OF April 30, 2021 FROM REPORTS FILED BY May 25, 2021

(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.												
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.												
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.												
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.												
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.												
(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed second.												
(c): A firm's net capital requirement is the greater of:												
1 Carlot and the sequence of t												
FCM minimum dollar amount (\$1,000,000); or												
is is hammain data a manufacture (\$2,000,000,000). is shared capital requirement, the sum of \$% of total customer risk maintenance margin; or												
the amount of capital required by a registered futures association (currently NFA is the only such association); or												
for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or												
minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.												
• Infinitini unial altiounic for ren's unening or engaged in recail forex data-actions also KrEDs (\$20,000,000) plus five percent or the ren's or KrEDs (\$20,000,000).												
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.												
	71.6 17.41.611.6											
(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.												
(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.												
(q): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.												
0.5												
(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requiren	nent. This target amount is set by the firm.											
(i): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on co	immodity exchanges located outside the United States in compliance in	with Part 30 of the Commodity Exchange Act.										
(j): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the Ur	nited States.											
(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirem	nent.											
(I): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requ	irement. This target amount is set by the firm.											
(m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.												
(n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.												
(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.												
(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.												

(q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.