

# **IMPORTANT NOTICE**

**The Warehouse Trust Company LLC**



**#:** TIW # 44  
**Date:** June 25, 2010  
**To:** Distribution  
**From:** Legal Department  
**Subject:** **Trade Information Warehouse Data - ODRF Guidance**

On March 19, 2010, the Warehouse Trust Company LLC (“Warehouse Trust Company”) sent an Important Notice (TIW#009) that it will provide information containing counterparty names to regulators and other governmental entities if the requesting regulator or other governmental entity affirms that it has a material interest in that information in furtherance of its regulatory or governmental responsibilities. Such data release would be pursuant to the existing Section 7 of the Important Legal Information section of the Warehouse Trust Operating Procedures.

Any release of data including counterparty names to regulators and other governmental entities pursuant to the above will be communicated to the Users concerned, unless the regulator or entity requests confidential treatment.

On June 18, 2010, the Warehouse Trust Company received from the OTC Derivatives Regulators' Forum (the “Forum”) the formal guidelines for the Warehouse Trust Company that identify data that regulators would expect to request from such a global trade repository, and this guidance document is attached below, for our customers' information. This guidance is consistent with the approach taken by the Warehouse Trust Company and as advised in Important Notice (TIW#009). The Forum has also sent an attachment dated June 24, which lists the regulators who have agreed to this guidance. This listing includes every member of the Forum.

Non-Confidential

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