From: Arya Satish <horseredshamli@yahoo.co.in>
Sent: Thursday, December 9, 2010 5:59 AM
To: CapMargin <CapMargin@CFTC.gov>
Subject: Fw: 17 CFR Parts 39 and 140 RIN 3038-AC98,3038-AD02 for refund amount noted form 30
Attach: Speedpost CFTC.jpg; Satish CFTC 7.zip

Dear sir
All documents are submitted for n/a please.
With Thanks&warmregard
Satish Arya
Railpar Shamli 247776
Muzaffarnagar (U.P) INDIA

--- On **Sat, 4/12/10, Arya Satish <*horseredshamli@yahoo.co.in*>** wrote:

From: Arya Satish <horseredshamli@yahoo.co.in>
Subject: 17 CFR Parts 39 and 140 RIN 3038-AC98,3038-AD02 for refund amount noted form 30
To: DCOSIDCOfinres@cftc.gov
Date: Saturday, 4 December, 2010, 5:19 PM

Dear Sir,
David A.Stawick
Secretary of the Commision
CFTC Washington
Please get my attachment I.D NO-insat8895001of admistrater swisscash all documents attached of swaps total refund amount in noted form 30 . I request you please refund my amount from smf 1948.com of Michael Mansfield.

Michael Mansfield, Chief Financial Officer
SWISS MUTUAL FUND (1948)
280 Madison Avenue, 912-9th Floor,
New York, NY10016, U.S.A
P.O. Box 2342, Roseau,
The Commonwealth of Dominica
Phone: +1 212 3865570
Fax : +1 212 3865573
E-mail: swiss1948@mail.com
http://smf1948.com http://swiss1948.org http://swiss1948.us

I have submitted all documents in mail and e-mail adress for refund said amount .
With Thanks and warmregard
Your Sincerely
Satish Arya
Railpar Shamli 247776
Muzaffarnagar (U.P) INDIA

--- On **Mon, 28/6/10, Enforcement** ***<enforcement@CFTC.gov>*** wrote:

From: Enforcement <enforcement@CFTC.gov>
Subject: RE: SEC Response - File HO::~00007979~::HO [ref:00D3JxQy.50037iUyP:ref]
To: "'Arya Satish'" <horseredshamli@yahoo.co.in>
Date: Monday, 28 June, 2010, 7:15 PM

Dear Mr. Satish,

Thank you for your email to enforcement@cftc.gov to the Division of Enforcement at the Commodity Futures Trading Commission (CFTC). The Commission relies upon individuals such as yourself, who have information which may be of assistance to us in our efforts to protect the public interest.

Any further inquiry conducted by the Commission as a result of the information you have provided is confidential; the fact that the Commission may investigate a firm or person generally will not be disclosed until such time as a public proceeding is actually brought either before the Commission or in the federal court.

We appreciate that you took the time to contact us. In the event that any further information is required regarding this matter, we will be in contact with you. Thank you for your offer of assistance.

Sincerely,

Division of Enforcement

CFTC

From: Arya Satish [mailto:horseredshamli@yahoo.co.in]
Sent: Monday, June 28, 2010 9:22 AM
To: Enforcement
Cc: questions; newyork@sec.gov
Subject: Fw: SEC Response - File HO::~00007979~::HO [ref:00D3JxQy.50037iUyP:ref]

Dear Sir,

According to suggestion of **Steven G. Johnston**
Special Counsel . I have been submitted all investment & refund documents,you & Administrator Swiss Cash. I hope you are also help me to refund of investment money USD 17500. I am highly Obliged for your early ection against to Swiss Cash Company for non refund of Swiss Mutual Fund money .

With Thanks & warms regards

Satish Arya

Railpar Shamli Muzaffarnagar (U.P)

247776 India Mob-9837820809

Phone 01398252363

--- On **Mon, 14/12/09, "Help" <help@sec.gov> <*help@sec.gov*>** wrote:

> From: "Help" <help@sec.gov> <help@sec.gov>
> Subject: SEC Response - File HO::~00007979~::HO [ref:00D3JxQy.50037iUyP:ref]
> To: "horseredshamli@yahoo.co.in" <horseredshamli@yahoo.co.in>
> Date: Monday, 14 December, 2009, 11:47 PM
>
> Thank you for your additional communication.
>
> I have referred your additional email to the appropriate staff at the SEC. They have your contact information should they have any questions they wish to address with you.
>
> I hope this information is helpful.
>
> Sincerely,
>
> Steven G. Johnston
> Special Counsel
> U.S. Securities and Exchange Commission
>
> File Attachment:
> Correspondent Name: Arya Satish
> Create Date: 12/5/2009
> Origin: Email
> File #: HO::~00007979~::HO

Description:

Fw: SEC Response - File HO1337133

Dear Sir,

The folling attached e-mail which we are Correspondence the company swiss mutual fund 1948 Micheal Meansfield but till now date 5/12/2009 no refund on my investment USD5750. I request you please take action againest Micheal Meansfield.

With warms regards
Your sincerely
Satish Arya
Railpar Shamli Muzaffarnagar
(U.P) India Pin code247776 India
Phone-01398254698
Mob-9837820809
Contact e-mail-horseredshamli@yahoo.co.in

Correspondence file attached:-

Sir,
According to suggestion of Rackspace chat Mr. Dan C to contact Michael Mansfield, Chief Financial Officer the refund (ROI) & submit the subject but no response deposite browse to deposite or transfer the amount USD5750 iforex account no-659120 atonce. I several times contact you in this matter.

I am submitted this letter to refund (ROI) approximately roughly idea the amount send to my iforex account at once. noted below:- All documents attached on browse .Please get & inform me further action .
My old id no- insat8895001investment amount USD1000 code Plan SIP25 date - 20July2007certificate no-251800403 was submitted to SWISS MUTUAL FUND (1948)Company . I am submitted the deposited attached (browse) of iforex account no-659120 .Account name- horseredshamli@yahoo.co.in refund amount USD5750 Adpost Ad31501Sw & Adpost id 83706 .Kindly send my (ROI) in my iforex account at once . Adpost Ad31767Sw ,Date 7/11/2009 .

With Thanks & warms regards
Satish Arya
Railpar Shamli Muzaffarnagar
(U.P) India Pin code247776 India
Phone-01398254698
Mob-9837820809
Contact e-mail-horseredshamli@yahoo.co.in
COMPANY ADDRESS)
Michael Mansfield, Chief Financial Officer
SWISS MUTUAL FUND (1948)
280 Madison Avenue, 912-9th Floor,
New York, NY10016, U.S.A
P.O. Box 2342, Roseau,
The Commonwealth of Dominica
Phone: +1 212 3865570

Fax : +1 212 3865573
E-mail: swiss1948@mail.com
http://smf1948.com http://swiss1948.org http://swiss1948.us
Correspondence Mail through Adpost http://www.adpost.com/in

E-mail:scsvc1@cssupport.net
E-mail:support@swiss1948.org
E-mail:sales@rackspace.com
E-mail:accounts@readnotify.com
E-mail:accounting@iforex.com

E-mail: swiss1948@mail.com

E-mail:admin1948@gmail.com

--- On Mon, 13/7/09, SEC Help <help@sec.gov> wrote:

From: SEC Help <help@sec.gov>
Subject: SEC Response - File HO1337133
To: "Arya, Satish" <Horseredshamli@yahoo.co.in>
Date: Monday, 13 July, 2009, 8:15 PM

Dear Mr. Arya:

Please disregard my previous email to you. We are taking your complaint very seriously, and have referred it to the appropriate people within the SEC.

Please understand that the SEC conducts its investigations on a confidential basis and neither confirms nor denies the existence of an investigation unless we bring charges against someone involved. We do this to protect the integrity and effectiveness of our investigative process and to preserve the privacy of the individuals and entities involved. As a result, we will not be able to provide you with any future updates on the status of your complaint or of any pending SEC investigation.

Below is a flyer that describes our policy as it will apply to your complaint. Please contact me if you have other questions.

Sincerely,

STEVEN G. JOHNSTON
Office of Investor Education and Advocacy
U.S. Securities and Exchange Commission
(202)551-6349

INFORMATION ABOUT SEC INVESTIGATIONS

Each year, thousands of investors ask the Securities and Exchange Commission to investigate the activities of other investors, financial professionals, corporations, brokerage firms, investment companies, stock exchanges, and others. These complaints generally suggest some impropriety or misconduct and sometimes make a plea to the SEC for direct assistance in resolving a grievance.

The SEC has the authority to investigate whether violations of the federal securities laws have occurred, and we make every effort to evaluate promptly and thoroughly the information provided by investors. But we cannot investigate every investor complaint. While many investor complaints do lead to full investigations and, if appropriate, to enforcement actions, we we cannot guarantee that our review will lead to further investigation or that the SEC will take any legal action.

We also cannot provide you with updates on the status of your complaint or your request for an investigation. The SEC conducts investigations confidentially for two main reasons. First, we can conduct investigations more effectively if they are not announced publicly. For instance, important documents and evidence can be destroyed quickly if people hear of an investigation. Second, we keep our investigations confidential to protect the reputations of companies and individuals if we find no wrongdoing or decide we cannot bring a successful action against them. The SEC will not confirm or deny the existence of an investigation unless, and until, it becomes a matter of public record as the result of a court action or administrative proceeding.

When there is proof that someone has violated the securities laws, the sanctions may include financial penalties, orders to surrender profits, cease and desist orders, or an injunction by a court to prevent further violations. The SEC may also bar individuals from working for a securities firm, investment adviser, or investment company. We can also ask a federal court to bar individuals from being officers and directors of publicly held companies. In some situations, we may refer a case to the Department of Justice for possible criminal prosecution.

The SEC publishes news releases about its lawsuits and administrative actions, and the news media often report on them. You can read and download the SECs Enforcement Actions on our website at www.sec.gov/divisions/enforce/enforceactions.shtml. Or you can obtain hard copies by reviewing How to Request Public Documents at:
http://www.sec.gov/answers/publicdocs.htm

Or

Submit a written request to:
U.S. Securities and Exchange Commission
Office of Investor Education and Advocacy
100 F Street N.E.
Washington, DC 20549-021
Fax: (202) 772-9295
Phone: (202) 551-8090

QUESTION

Submitted: 2009-05-30

INVESTOR INFORMATION
Name: Mr. Satish Arya
Day Phone: +91-9837820809
Email: Horseredshamli@yahoo.co.in

QUESTION

SwissCash Investment Notification - 8/8/2007
From SwissCash Support
Return-Path: <scsvc1@scsupport.net>
Authentication-Results: mta119.mail.in.yahoo.com from=scsupport.net; domainkeys=neutral (no sig)
Received: from w2k3-web5 ([205.178.152.5]) by 72.32.200.50 with MailEnable ESMTP; 8 Aug 2007
mime-version: 1.0
from: "SwissCash Support" <scsvc1@scsupport.net>
to: Horseredshamli@yahoo.co.in
subject: =?utf-8?B?U3dpc3NDYXNoIEludmVzdG1lbnQgTm90aWZpY2F0aW9uIC0gOC8xNi8yMDA3IDI6 6MzM6MTIgUE0=?=
content-type: text/html; charset=utf-8
content-transfer-encoding: base64
Content-Length: 1280

Dear insat8895001,

Thank you for Investing with SwissCash - 8/8/2007

.: INVESTMENT PARTICULAR INFORMATION :.

Transaction ID : -251800403

Account ID : insat8895001
Account Name : Satish Arya

Investment Plan Name : SIP25

Investment Plan Code : SIP25

Investment Amount : USD 1000

If you would like to know more about your investment account, please login to
http://www.bulldozer25.com
Once again, we appreciate on your support to SwissCash, and we promise we will provide the best services to you as time goes by.

See You Again.
Thank you,
(ADDRESS COMPANY)

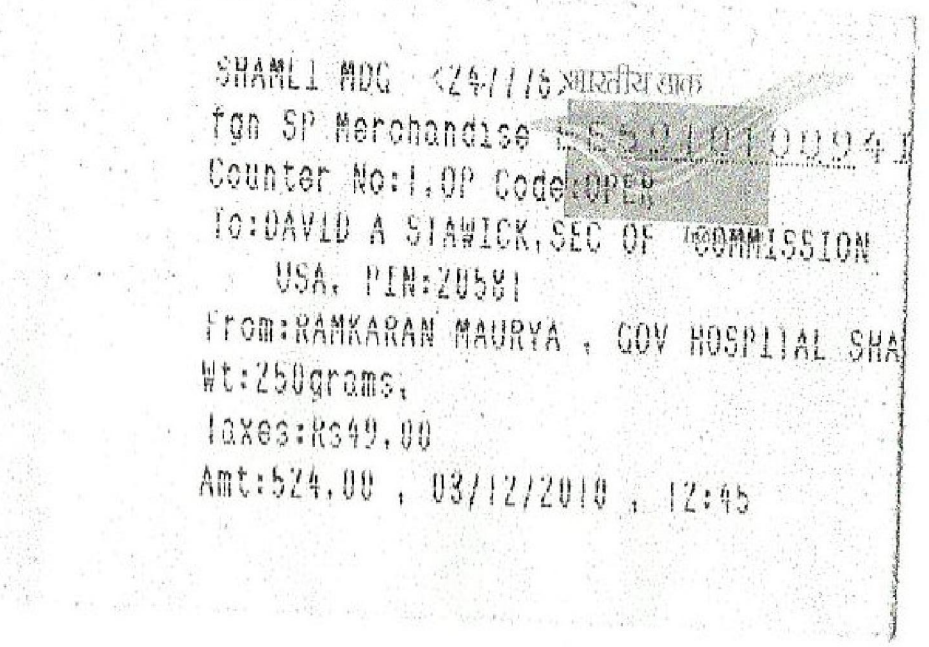
SWISS MUTUAL FUND (1948)
280 Madison Avenue, 912-9th Floor,
New York, NY10016, U.S.A
P.O. Box 2342, Roseau,
The Commonwealth of Dominica
Phone: +1 212 3865570
Fax : +1 212 3865573
E-mail: swiss1948@mail.com

http://smf1948.com http://swiss1948.org http://swiss1948.us

Ic3 Complaint Id: I0806170734424091
Sir,
My old id no- insat8895001investment amount USD1000 Plan SIP25 certificate no-251800403 Date8Aug2007 Time12:40:38PM was submitted to Swisscash Company Michael Mansfield several times to send my monthly return (ROI) but no response. I am feeling it fake website & investment of Swisscash Michael Mansfield . Please help me. My Contact mobile no+91-9837820809Phone no- 01398254698 India .

The INTERNET now has a personality. YOURS! See your Yahoo! Homepage.
http://in.yahoo.com/

ref:00D3JxQy.50037iUyP:ref


SHAMLI MDG
fgn SP Merchandise
Counter No:1,OP Code:OPER
To:DAVID A SIAWICK,SEC OF COMMISSION
USA, PIN:20581
From:RAMKARAN MAURYA , GOV HOSPITAL SHA
Wt:250grams,
Taxes:Rs49.00
Amt:524.00 , 03/12/2010 , 12:45

With reference of Swiss cash investment I say to inform you for the method of investment first of all Mr.Ashish Jha came to Muzaffarnagar U.P on Omega Hotel & called all the member of Muzaffarnagar city for investment of swiss mutual fund 1948 Michael Mansfield Chief Financial Officer on month of June2007 & said the name of company, swiss cash international company Ltd. On the dealer ship of Mr. Subra Banium Kangalingam HSBC Bank Account no-942011945492 Bank code 7232 Swift code HSBC SGSG Power wantage A/C Subdealer India.All the members work done on the Banner of Mr. Subra Banium Kangalingam & other member name following.

1- Mr.Ashish Jha Mobile no-09329599595
2- Mr. Parmod Morya Mobile no-9997411403,9368238403
3- Mr.Shaikh Mastan Mobile no-0939139091Bank **Account number ICICI006005006625** PAN Number AICPM9767G
4- Mr. Javed Husain Mobile No-09300310838
5- **Mr. Ramesh SBI Account no-30171786684 PAN no-AIQPD7778L** Cash deposited of Ramesh Vishaskhapatanam to SBI Account no-**30171786684 by Satish Arya Shamli 247776**

My id no- **insat8895001**investment amount **epoint5750 =USD 1.00each** code Plan SIP25 date – **8Aug2007**certificate no-**251800403** was submitted to SWISS MUTUAL FUND (1948)Company . I am submitted the deposited attached (browse) .

With Thanks & warms regards

Client- Satish Arya
Railpar Shamli Muzaffarnagar
(U.P) India Pin code247776 India
Phone-01398254698
Mob-9837820809
Contact e-mail-**horseredshamli@yahoo.co.in**

YAHOO! MAIL INDIA Classic

Re: Name: Satish Arya ICNO: 288950 Tuesday, 21 September, 2010 1:45 PM
From: "administrator.swisscash@my.pwc.com" <administrator.swisscash@my.pwc.com>
To: horseredshamli@yahoo.co.in

Dear Satish

We have already replied your emails several times to inform you that you are **not** eligible for payment. This is because your investment was transacted after 21 June 2007 (subsequently extended to 7 July 2007) which is one of the eligible criteria approved by the Court. Please note that we do not have any information on your latest findings and your communication with US SEC. We regret we are unable to assist you further.

Regards

For and on behalf of the Administrator-SwissCash
http://www.administrator-swisscash.com.my/

<horseredshamli@yahoo.co.in>
20/09/2010 20:24

To administrator swisscash/MY/FAS/PwC@ASIA
cc
Subject Name: Satish Arya ICNO: 288950

Name : Satish Arya
Identity Card/Passport Number : 288950
Nationality : Indian
Contact Telephone Number : 01398254698
Email address : horseredshamli@yahoo.co.in
Status : Investor
IP Address : 117.205.98.58
Subject : RefundamountUSD9500.00
Enquiry : Flag this messageFw: Re: Name: Satish Arya ICNO: 288950Saturday, 18 September, 2010 6:01 PMFrom: "Arya Satish" Add sender to ContactsTo: administrator.swisscash@my.pwcMessage contains attachments1 File (1130KB)Shortcut to RAMKARAN MAURYA.zip Dear Sir, According to order of CFTC Press Release 5881-10 &CFTC Press Release 5880-10 30Augest 2010 & SEC Response - File HO::~00007975~::HO [ref:00D3JxQy.50037iUwO:ref] Saturday, 12 June, 2010 12:29 AM Steven G. Johnston Special Counsel Office of Investor Education and Advocacy U.S. Securities and Exchange Commission (800) 732-0330 www.sec.gov All above documents attached this latter . I request you please help me to refund my return amount USD 19000.00 from date of purchase till now And take action against swiss mutual fund 1948 Michael Mansfild email adders swiss1948@mail.com , With Thanks & Warmregard Your snicerely Satish Arya Railpar Shamli 247776 Muzaffer Nagar (U.P) India Email- horseredshamli@yahoo.co.in

The information transmitted is intended only for the person or entity to which it is addressed and may contain confidential and/or privileged material. Any review, retransmission, dissemination or other use of, or taking of any action in reliance upon, this information by persons or entities other than the intended recipient is prohibited. If you received this in error, please contact the sender and delete the material from any computer. Any views or opinions expressed in this email are solely those of the author and do not necessarily represent those of PwC.

YAHOO! MAIL INDIA Classic

swisscash old account re-activation regarding ..... Monday, 24 November, 2008 7:21 PM

From: "Attention !!!" <watchwonderworld@gmail.com>
To: horseredshamli@yahoo.co.in

Hi, Thanks for visiting my site and for making Guest Book entry.

There was some scrolling news cautioning that they have not received our data due to some technical problems and hence, asked us to send all of our data to some specified e-mail IDs of swisscash. Have you seen these scrolling news on swisscash site from time to time. Now also, they are asking us to send our attachments to swiss1948@mail.com if we already registered with it as per Phase III. Now, we are in Phase IV and PhaseV. It means that re-activation of old accounts was no more. Re-activation of old accounts was only in Phase III and it was completed on 25th September, 2008. Please note. If we want to continue with swisscash, the only way is to start afresh with a new account and new investement as a new member. If we want to recover our money we have lost it we have to start afresh, where we have lost it. No other go... Is it not? It is up to you to decide.

Have a Nice Time!!!

For more details visit my site: http://sparklingspark.webs.com or http://freewebs.com/sparklingspark

Yahoo! My Yahoo! Mail More

YAHOO! MAIL INDIA Classic

Get your own website!

Hi, Ram Karan Sign Out All-New Mail Help

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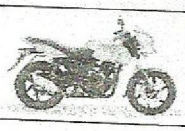
BAJAJ

PULSAR 150 AND 220 NOW AVAILABLE IN METALLIC ORANGE



pulsar

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Folders
Inbox (1)
Drafts (26)
Sent
Spam (24) [Empty]
Trash [Empty]

My Photos
My Attachments

My Folders [Add - Edit]
ADPOST INBOX (4)
ADPOST SENT (87)
adpost (53)
Bajaj Switchin... (35)
Failure Notice (1)
Notification s...
unused mail
wordpress

SEC Response - File HO::~00007975~::HO [ref:00D3JxQy.50037iUwO:ref]

From: "Help" <help@sec.gov> Saturday, 12 June, 2010 12:29 AM

To: "ramkaranmaurya@yahoo.co.in" <ramkaranmaurya@yahoo.co.in>

Dear Mr. Maurya:

Thank you for contacting the U.S. Securities and Exchange Commission. Upon further review of your complaint, I realize it falls outside of our jurisdiction.

The issue you raise -- your inability to receive funds from a FOREX account -- is not one over which the SEC has jurisdiction. As explained in greater detail on our website, www.sec.gov, the SEC administers and enforces the federal laws that govern the sale and trading of stocks, bonds, mutual funds, and other securities.

You may wish to report your concerns to the Commodity Futures Trading Commission (CFTC), which investigates and prosecutes fraud against CFTC-registered firms and their affiliates offering or selling foreign currency futures and options contracts. Contact information for the CFTC is as follows:

Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, NW
Washington DC 20581
CFTC Toll-Free Complaint Line: 866-366-2382
Online Complaint Info: www.cftc.gov/customerprotection/redressandreparations/index.htm.

The SEC's website also provides a general discussion of foreign currency trading, including a link to the CFTC's warning about foreign currency frauds. See www.sec.gov/answers/forcurr.htm.

I hope this information is helpful. Please contact the CFTC and not the SEC about this matter.

Sincerely,

Steven G. Johnston
Special Counsel
Office of Investor Education and Advocacy
U.S. Securities and Exchange Commission
(800) 732-0330
www.sec.gov

File Attachment:
Correspondent Name: Mr. Ramkaran Maurya
Create Date: 12/5/2009
Origin: Email
File #: HO::~00007975~::HO
Description:
Dear Sir,

The folling attached e-mail which we are Correspondence the company swiss mutual fund 1348 Micheal Meansfield but till now date 5/12/2009 no refund on my investment USD12000. I request you please take action againest Micheal Meansfield.

With warms regards
Your sincerely
Ramkaran Maurya
Govt. Hospital Shamli
Muzaffarnagar (U.P)
Pin code-247776 India
Mob ~~+919412213183~~ 9458823515
Phone-01398-252363

Rmaurya

Rmaurya

HDFC BANK

PAYABLE AT PAR THROUGH CLEARING/TRANSFER AT ALL BRANCHES OF HDFC BANK LTD

Weekly Holiday on SUNDAY

Date: 30-11-2010

PAY The Commodity Futures Trading Commission to OR BEARER

RUPEES ₹ fifty only

Rs. ₹ 50.00

(NewAccount)

A/c No. 19131000000799 SB A/C

HDFC BANK LTD.
150 C.B. GUPTA COLONY,MEERUT - KARNAL ROAD
SHAMLI,SHAMLI-247776,UTTAR PRADESH
RTGS / NEFT IFSC : HDFC0001913
SEC 241209

RAM KARAN MAURYA / GYANWATI MAURYA

"001235" 247240302: 00012411" 31

Technical eForms (P) Ltd. Chennai (19-09)

Commodity Futures Trading Commission
Reparations Complaint Form

If the space provided on this form is not sufficient, attach your own supplementary sheets containing the required information. This form must be typed or printed legibly. Note: Fill out both sides completely.

1. COMPLAINANT'S NAME	TEL.NO. (Home)	TEL. NO (Work)	FAX NO.	EMAIL ADDRESS
Satish Arya	01398-254698			horseredshamli
ADDRESS (Street)	(City)		(State)	(ZIP)
Rail Par Shamli	Muzaffarnagar		Uttar Pradesh	247776
COMPLAINANT'S NAME	TEL. NO. (Home)	TEL. NO (Work)	FAX NO.	EMAIL ADDRESS
				@yahoo.co.in
ADDRESS (Street)	(City)		(State)	(ZIP)

2. Registered persons and/or firms you think violated the Commodity Exchange Act

RESPONDENT'S NAME	TEL. NO.	FAX NO.	EMAIL ADDRESS
Michael Mansfield	+12123865570	+12123865573	swiss1948@mail.com
ADDRESS (Street)	(City)	(State)	(ZIP)
smf (1948) 280 Madison Avenue	912-9th floor, New York	New York	2342, Roseau

Was this individual/firm registered with the CFTC at the time of the alleged violation? ☐ YES ☑ NO

RESPONDENT'S NAME	TEL. NO.	FAX NO.	EMAIL ADDRESS
ADDRESS (Street)	(City)	(State)	(ZIP)

Was this individual/firm registered with the CFTC at the time of the alleged violation? ☐ YES ☐ NO

RESPONDENT'S NAME	TEL. NO.	FAX NO.	EMAIL ADDRESS
ADDRESS (Street)	(City)	(State)	(ZIP)

Was this individual/firm registered with the CFTC at the time of the alleged violation? ☐ YES ☑ NO

3. If known, cite the specific portions of the Act, rules or regulations violated:
CHAPTER-1 COMMODITY EXCHANGES S6B

4. Description of complaint. Describe in detail, giving names, dates and the facts which will show how the Commodity Exchange Act was violated and how you were injured by that violation. You must set forth this information on supplementary sheets which you must attach to this complaint form. supplemantry sheat is attach with this form. 5th no. layer -

5. Amount of damages claimed. You must include an explanation of how you calculated the damages you have claimed.
1,000.00 PA 9750.00 Int. 0.1% Per/day int. till date of actual pmt. amt. you think fit as panalty. all figture are is U.S.D.

6. Have you brought another action based on the same set of facts in an arbitration forum or civil court? ☐ YES ☑ NO

7. If yes, has the case been decided? ☐ YES ☑ NO

8. To your knowledge, are any of the respondents you named the subject of an ongoing receivership or bankruptcy proceedings? ☐ YES ☑ NO

YOU MUST ALSO COMPLETE THE BACK OF THIS FORM

CFTC Form 30 (Rev. 2/09)
Previous editions are obsolete

Important: You must enclose the total amount of the filing fee for the type of decisional procedure you select or your complaint will not be processed. Please indicate the procedure you select and enclose a check or money order made payable to the Commodity Futures Trading Commission in the total amount. The filing fee must accompany your complaint. (See attached Notice to Customers Making Payment by Check) **Under no circumstances will the filing fee be refunded. CHECK ONE:**

☑ **$50 Voluntary Decisional Procedure**. This procedure entitles you, if the respondents agree, to present your case in written form before a CFTC judgment officer. A final decision will be issued without explanation of the reasons. By electing the voluntary procedure, you will waive your right to appeal as well as prejudgment interest and costs. Post-judgment interest may be awarded if you prevail.

☐ **$125 Summary Decisional Procedure**. If your claim is $30,000 or less, it can be heard by a judgment officer. You may present your case in written form, and if deemed necessary by the judgment officer, orally, in Washington, or by telephone under this procedure. The judgment officer will issue brief statements of factual findings and conclusions based on law which are appealable first to the Commission and from there to a U.S. Court of Appeals. Prejudgment and post-judgment interest may be awarded if you prevail.

☐ **$250 Formal Decisional Procedure**. If your claim is over $30,000, it can be assigned to an administrative law judge (ALJ) for a formal hearing. You may present your case in written form. If oral testimony is deemed necessary by the ALJ, you may be required to travel up to 300 miles to attend the hearing. The ALJ will issue findings of fact and conclusions of law which are appealable first to the Commission and from there to a U.S. Court of appeals. Prejudgment and post-judgment interest may be awarded if you prevail.

Notice: Filing fees, once paid to the Commodity Futures Trading Commission, are not refundable. Verify that the person or persons named in the complaint were registered with the CFTC at this time of the alleged offense. Call (202) 418-5506 for registration information. If individuals named in the complaint were registered, send the complaint form and check for the filing fee made payable to the Commodity Futures Trading Commission to: Proceedings Clerk, 1155 21st Street, N.W., Washington, D.C. 20581.

NOTARY
Anil Kumar Sharma
Tehsil Shamli
Regd. No. 08/24/200
GOVT. OF INDIA

VERIFICATION

I hereby swear or affirm **(under penalty of law)** that the facts set forth in this complaint are known to be true or, based on my best information, are believed to be true. To the extent that any facts are believed, instead of known, to be true, the information upon which I formed that belief is set forth as follows (attach detailed, specific information on a supplementary sheet):

Each complainant must sign:

Complainant's signature _____ Date 28/11/2019

Complainant's signature _____ Date

Notary _____ Date

Cancelled

NOTARIAL NOTARIAL

Attested & Authenticated
A. K. Sharma
28.11.2010
Anil Kumar Sharma
Notary
Regd No. 03(24)/2000
SHAMLI (Muzaffarnagar)

IDENTIFIED

Supplimentry Sheat
(see clause of foram)

Dear Sir,

I Applicant Satish Arya ID No. insat 8895001 invest 1,000.00 epoints of USD 1.00 each, into Swiss Cash on 8-8-2007, 12:40:38 P.M. for the following plan ID SIP25, Cerificate No. is 251800403. It was certified by the company on 8 day of Aug 2007, du ly signed by Michael Mansfield the chief financial officer. The photocopy of the certificate is attached with This application.

That the said company is not make a payment against my certificate. I made correspondence several times to company for my said payment but the said company not response as yet.

Applicant

Encloser_____

RIN No- 3038-AC98, 3038-AD02

Satish Arya
Rail par
Shamli Muzaffarnagar PinCode - 247776
Uttar Pradesh (India)
Email:horseredshamli@yahoo.co.in
Mob.91+9837820809

(1) Photocopy of Certificate.
(2) Applicants I.D. as Bank Passbook Photostate.
(3) Narrative of Payment.
(4) Copy of correspondence with said Company.
(5) Applicants I.D.Issue by Company.

Cheque No. 001235 Dt 30-11-2010 for $ 50.00

Investment Certificate

To

Satish Arya
ID No: insat8895001

For investing 1,000.00 epoints of
USD1.00 each into Swiss Cash on 8/8/2007 12:40:38 PM
for the following Plan ID: SIP25

Your investment ID for the said 1,000.00 epoints
is 251800403 .

Certified under the common seal of the company
this 8 day of Aug 2007 .

Michael Mansfield

Chief Financial Officer

Principal Guaranteed by Swiss Mutual Fund (1948)

प.कू. I D 122

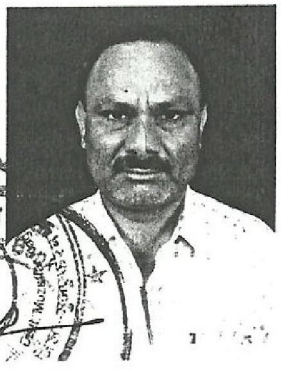
कार्पोरेशन बैंक
Corporation Bank

शाखा
Branch : 849 SHAMLI
DHIMAANPURA
SHAMLI -247776 [U.P.]

कार्य समय
Business Hours : Tel:258944 258945 RTGS IFSC CODE :CORP0000848

साप्ताहिक छुट्टी
Weekly Holiday : On Regular Days 10:00 TO 15:30
On Half Working Day 10:00 TO 12:30
SUNDAY

खाता संख्या
Account No.: 0848/SB /01/002003
INR INDIAN RUPEES P.Code :003595



खातेदार का नाम
Name of the Account Holder : SATISH ARYA

पता Address : S/O VIKRAM SINGH ARYA
RAIL PAR SHAMLI
DISTT MUZAFFAR NGAR

प्रचालन अनुदेश
Operational Instructions : For RTGS/NEFT Mention Account Number AS : SB01002003

दिनांक मुहर
Date Seal

खाता खोलने की तारीख
Date of Opening of Account : [illegible]

Nomination: [illegible]

परिचयकर्ता का नाम
Name of the Introducer : MANISH ARYA [P.C: 2523]

अधिकारी Officer / प्रबंधक Manager