

EXHIBIT A

Documents that demonstrate that JSCC is organized in a jurisdiction in which the home country regulator(s) apply to JSCC, on an ongoing basis, statutes, rules, regulations, policies, or a combination thereof that, taken together, are consistent with the PFMIs.

Japan Securities Clearing Corporation (“JSCC”) is organized in Japan, where it is subject to the supervision of the Financial Services Agency (“JFSA”). The JFSA’s supervision is conducted under the Financial Instruments and Exchange Act, which is the primary legislation for financial markets in Japan and provides for the regulatory framework for CCPs. The JFSA applies to JSCC, on an ongoing basis, statutes, rules and regulations that, taken together, are consistent with the Principles for Financial Market Infrastructures (the “PFMIs”) developed by the Committee on Payment and Settlement Systems and the International Organization of Securities Commissions.¹

¹ In February 2015, the Committee on Payments and Market Infrastructures and the International Organization of Securities Commissions issued a report that found that the PFMIs have been implemented in a “complete and consistent manner” in the Japanese legal, regulatory and policy frameworks for CCPs. See Committee on Payments and Market Infrastructures, Board of the International Organization of Securities Commissions, Implementation monitoring of PFMIs: Level 2 assessment report for central counterparties and trade repositories – Japan (February 2015) at p.2.