



Securities and Exchange Commission
100 F Street, NE
Washington, DC 20549



Commodity Futures Trading Commission
Three Lafayette Centre
Washington, DC 20581

**Joint Meeting on Harmonization of Regulation
CFTC Headquarters
September 2, 2009
Agenda**

- 9:00 a.m. **Opening Statements by Commissioners**
- 9:30 a.m. **Panel One – Exchanges, Markets, Clearance and Settlement, and Margin Requirements**
- William Brodsky, Chicago Board Options Exchange
 - Jonathan Short, ICE Futures
 - Craig Donohue, CME Group
 - Anthony Leitner, AJ Leitner & Associates
 - Annette Nazareth, Davis Polk & Wardwell
 - Eric Baggesen, California Public Employees Retirement System (CalPERS)
- 11:00 a.m. **Break**
- 11:15 a.m. **Panel Two – Exchanges, Markets, Clearance and Settlement, and Margin Requirements**
- Peter Reitz, Eurex
 - Wayne P. Luthringshausen, Option Clearing Corporation
 - Mark Young, Futures Industry Association
 - Lawrence Leibowitz, NYSE Euronext
 - Professor Lawrence Harris, University of Southern California
 - Mark Cooper, Consumer Federation of America
- 12:45 p.m. **Lunch Break**
- 2:00 p.m. **Panel Three – Intermediaries**
- Edward Rosen, Cleary, Gottlieb, Steen & Hamilton
 - Yvonne Downs, NewEdge
 - Stephen Merkel, BGC Partners, Inc.

- Brandon Becker, TIAA-CREF
- Stephen Luparello, FINRA
- Brian Nigito, GETCO

3:30 p.m.

Break for the Day



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September 3, 2009
Agenda**

9:00 a.m.

Introduction

9:15 a.m.

Panel One – Enforcement

- Daniel Roth, National Futures Association
- David Downey, OneChicago
- Kenneth Raisler, Sullivan & Cromwell
- William R. McLucas, Wilmer Cutler Pickering Hale and Dorr
- Damon Silvers, AFL-CIO
- Richard Owens, Latham & Watkins

10:45 a.m.

Break

11:00 a.m.

Panel Two – Investment Funds

- Professor John Coffee, Columbia Law School
- Richard Baker, Managed Funds Association
- Sharon Brown-Hruska, NERA Economic Consulting
- Kathleen Moriarty, Katten Muchin Rosenman
- Michael Butowsky, Mayer Brown
- Michael W. Connolly, Association for Financial Professionals

12:30 p.m.

Break for the Day