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secretary

**From:** Desilets, Marian [marian.desilets@bankofamerica.com]  
**Sent:** Monday, August 20, 2007 12:54 PM  
**To:** secretary  
**Subject:** FW: ARM BULLETIN 2007-17

August 20, 2007

**COMMENT**

Dear Sir or Madam,

On behalf of the Association of Registration Management, an association whose membership consists of broker/dealer and investment advisor firms nationwide, we support your efforts to harmonize with current securities industry rules. The Proposal will streamline regulatory requirements and ease regulatory burdens applicable to registered intermediaries.

Thank you for the opportunity to comment and for recognizing this opportunity for unifying registration requirements.

Sincerely,

Marian H. Desilets, President  
Association of Registration Management  
www.armgmt.org

CFTC Seeks Public Comment on a Proposal to Amend Regulations 3.12 and 3.31 to Extend the Period for Filing Termination Notices

Washington, D.C. -- The Commodity Futures Trading Commission (CFTC) has approved for publication in the Federal Register a proposal (Proposal) to amend CFTC regulations 3.12 and 3.31 to extend the period during which a registered futures commission merchant, introducing broker, commodity trading advisor, commodity pool operator or leverage transaction merchant must file a notice with the National Futures Association to report the termination of an associated person or principal of the registered intermediary.

The Proposal would provide for 30, rather than 20, days for the filing of the termination notice. The Proposal is intended to streamline regulatory requirements and ease regulatory burdens applicable to registered intermediaries.

The CFTC seeks public comment on the Proposal. The comment file will remain open for 30 days following publication in the Federal Register. Copies may be obtained by contacting the Commission's Office of the Secretariat, Three Lafayette Centre, 1155 21st Street, N.W., Washington, D.C. 20581, (202) 418-5100 or by accessing the Commission's website, www.cftc.gov. Interested parties may submit their comments electronically at secretary@cftc.gov. All comments received will be promptly posted on the Commission's website.

<http://www.cftc.gov/newsroom/generalpressreleases/2007/pr5373-07.html>

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