



**PUBLIC VERSION**

amount of

In accordance with 7 U.S.C. § 26(f) and 17 C.F.R. § 165.13, if you wish to appeal the Final Commission Determination and Order, you must appeal to the appropriate court of appeals of the United States no more than 30 days after this Final Order of the Commission is issued.

Decision Issued: March 28, 2016



Robert Sidman  
Deputy Secretary of the Commission  
Commodity Futures Trading Commission  
1155 21<sup>st</sup> Street, N.W.  
Washington, DC 20581



specific, credible, and timely to cause the Commission to open an investigation. The Commission then brought a successful action, , based in part on conduct that was the subject of the Applicant's original information.

2. The Applicant also meets all eligibility requirements for an award. *See* 17 C.F.R. §§ 165.5(b), 165.6. The Applicant submitted a Form TCR, is the original source of the information, and provided assistance to Commission staff during the course of the investigation leading up to . Further, the Applicant does not fall into any of the categories of individuals ineligible for an award, as set forth in Rule 165.6(a), 17 C.F.R. § 165.6(a).

3. The Panel concludes that the award should be % of the amount of the total monetary sanctions collected in . In arriving at this award amount, the Panel applied the factors set forth in Rule 165.9, 17 C.F.R. § 165.9, in relation to the facts and circumstances of the Applicant's award application. To this end, the Panel considered the significance of the Applicant's information, the degree of assistance the Applicant provided to Commission staff during the course of the investigation, and the Commission's programmatic and law enforcement interests.

. Finally, the Panel believes this award appropriately recognizes the significance of the information the Applicant provided to the Commission, and the size of the award should serve to incentivize future whistleblowers to come forth with high quality information while not creating perverse incentives possible as a result of oversize awards.

a. The Applicant's information caused the Commission to commence its investigation,

b. During the investigation, the Applicant was forthcoming and cooperative, and provided assistance and documents upon request.

e. The Applicant did not participate in or have involvement in the violations that support the action. In addition, nothing in the record indicates the Applicant participated in or interfered with internal compliance and reporting systems. The Applicant did not unreasonably delay reporting the information to the Commission.

4. Accordingly, upon due consideration of the factors listed in Rule 165.9, 17 C.F.R. § 165.9, it is hereby DETERMINED that the Applicant shall receive an award of % of the amount of the monetary sanctions collected in .

Dated: March 28, 2016

Whistleblower Award Determination Panel  
Commodity Futures Trading Commission  
1155 21<sup>st</sup> Street, N.W.  
Washington, DC 20581