



**U.S. COMMODITY FUTURES TRADING COMMISSION**

Three Lafayette Centre  
1155 21st Street, NW, Washington, DC 20581

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KEITH RIVERA,

Complainant,

v.

WILLIAM JOSEPH MALLERS, JR., and  
MAN FINANCIAL INC. d/b/a  
ED&F MAN INTERNATIONAL, INC.,

Respondents.

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CFTC Docket No. 01-R099

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SECTION

**ORDER OF DISMISSAL**

The parties have stipulated to the dismissal of this proceeding. See Settlement and Release Agreement, filed March 8, 2002; see also 17 C.F.R. §12.21(a). Therefore, pursuant to 17 C.F.R. §12.21(c), the complaint is **DISMISSED** with prejudice and this proceeding is **TERMINATED** in its entirety.

**IT IS SO ORDERED.**

On this 8th day of March, 2002

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Bruce C. Levine  
Administrative Law Judge