



U.S. COMMODITY FUTURES TRADING COMMISSION

Three Lafayette Centre
1155 21st Street, NW, Washington, DC 20581

OFFICE OF
PROCEEDINGS

MICHELLE POLL,
Complainant

v.

AEGIS FINANCIAL, L.L.C.,
Respondent

CFTC Docket
No. 97-R120

FILED

JAN 13 2 41 PM '98

OFFICE OF PROCEEDINGS
PROCEEDING CLERK

ORDER VACATING DEFAULT AND ORDER OF DISMISSAL

Order Vacating Default: Respondent Aegis Financial has filed a motion to vacate the default order issued against that firm on October 16, 1997. The motion, unopposed by complainant, establishes that Aegis' default in this matter was not willful, that respondent Aegis has an articulable defense to the allegations of the complaint, and that complainant would not be prejudiced by vacating the default.

As the motion to vacate complies in all respects with the requirements of CFTC Rule 12.23(a), the default order and reparations award issued on October 16, 1997, are hereby VACATED.

Order of Dismissal: Complainant and respondent have entered into a settlement and complainant has confirmed that the terms of the settlement have been fulfilled. A notice of settlement has been filed. It has been signed by both parties and is deemed to be a stipulation of dismissal under CFTC Rule 12.21.

Accordingly, pursuant to Rule 12.21, this proceeding is hereby DISMISSED.

Dated: January 13, 1998

Joel R. Maillie
JOEL R. MAILLIE
Judgment Officer