



U.S. COMMODITY FUTURES TRADING COMMISSION

Three Lafayette Centre
1155 21st Street, NW, Washington, DC 20581

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OFFICE OF PROCEEDINGS

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PROCEEDINGS**

SHARON K. LAURAIN,

Complainant

v.

COMMONWEALTH FINANCIAL
GROUP, INC., ALLEN NADEL, and
KIMBERLY ANNE ROTHSCHILD,

Respondents

CFTC Docket
No. 99-R114

ORDER OF DISMISSAL

The complainant settled her claims against respondents Commonwealth and Rothschild. Accordingly, the complaint is **DISMISSED WITH PREJUDICE** as to those respondents.

Respondent Nadel apparently died prior to the initiation of this proceeding, but an executor or other representative of Nadel's estate has not been located to be substituted as a respondent. Therefore, the complaint is **DISMISSED** as to Nadel **WITHOUT PREJUDICE** to complainant's right to proceed on her claims against Nadel's estate in an appropriate probate forum.

Dated: June 14, 2000

Joel R. Maillie
JOEL R. MAILLIE
Judgment Officer