



**U.S. COMMODITY FUTURES TRADING COMMISSION**

Three Lafayette Centre  
1155 21st Street, NW, Washington, DC 20581

OFFICE OF  
PROCEEDINGS

JUN 30 8 34 AM '98  
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GLEND A. R. HARRIS, and WAYNE J. HARRIS,  
Complainants,

v.

JAMES D. ALDERMAN,  
Respondent.

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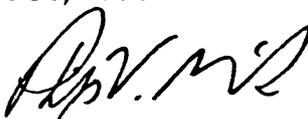
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\* CFTC Docket No. 97-R74  
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**FINAL DECISION**

The parties have elected the voluntary decisional procedure under subpart C of the Commission's reparations rules. Under this election, the parties are solely responsible for obtaining and producing evidence, the parties waive the opportunity for an oral hearing, and the parties waive certain rights, including the right to receive a written statement of facts upon which the final decision is based, the right to prejudgment interest in connection with a reparation award, and the right to appeal the final decision to the Commission and to the Federal courts.

After carefully reviewing the parties' documentary submissions, it is concluded that complainant has established violations of Section 4c(b) of the Commodity Exchange Act and CFTC rule 33.10 by respondent, causing damages of \$7,300.<sup>1</sup> Accordingly, James Alderman is ORDERED to pay to Glenda R. Harris and Wayne J. Harris reparations of \$7,300, plus \$50 in costs for the filing fee.

Dated June 30, 1998.

  
Philip V. McGuire,  
Judgment Officer

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<sup>1</sup> This conclusion cannot be deemed a finding of the Commission for purposes of Section 8a of the Act. CFTC rule 12.106(b)(3).