



**U.S. COMMODITY FUTURES TRADING COMMISSION**

Three Lafayette Centre  
1155 21st Street, NW, Washington, DC 20581

Dec 4 1 49 PM '97  
OFFICE OF PROCEEDINGS

FILED

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PROCEEDINGS**

CATHERINE CARAPPELLUCCI,  
Complainant

v.

COMMONWEALTH FINANCIAL GROUP, INC.,  
and DANIEL RAY MAUS,  
Respondents

CFTC Dkt. No. 96-R169

**ORDER OF DISMISSAL**

The parties have reached a settlement, payment has been made, and a stipulation of dismissal has been filed. Accordingly, pursuant to CFTC Rule 12.21, this proceeding is DISMISSED.

Dated: December 4, 1997

*Joel R. Maille*  
JOEL R. MAILLIE  
Judgment Officer