Commodity Futures Trading Commission CEA CASES

NAME: PACIFIC WEST COMMODITIES, INC., KENNETH M. MUELLER, BETHEL G. BUCKALEW, NORMAN C. LONG, AND LESTER J. SUTER

DOCKET NUMBER: 128

DOCUMENT TYPE: ISSUANCE OF COMPLAINT

UNITED STATES DEPARTMENT OF AGRICULTURE

Commodity Exchange Authority

Washington, D. C. 20250

ISSUANCE OF COMPLAINT - CEA DOCKET NO. 128

(Administrative Hearing under the Commodity Exchange Act)

A complaint and notice of hearing has been signed by the Assistant Secretary of Agriculture, charging violation of the Commodity Exchange Act by:

Pacific West Commodities, Inc.,

Kenneth M. Mueller,

Bethel G. Buckalew,

Norman C. Long, and

Lester J. Suter

(La Jolla, California)

The complaint charges Pacific West Commodities, Inc., Kenneth M. Mueller, Bethel G. Buckalew, Norman C. Long, and Lester J. Suter, president, executive vice president, vice president-treasurer and secretary, respectively, of the corporation, with failing to treat and deal with customers' funds as belonging to such customers; failing to segregate and account separately for such funds; using funds to margin and guarantee the trades and secure and extend the credit of customers other than those for whom such funds were held; and commingling customers' funds with funds belonging to the respondent corporation. The complaint also charges that the respondents failed to prepare and maintain records required under the Commodity Exchange Act.

The issuance of a complaint under the Commodity Exchange Act does not constitute proof of violation of the law. Such violation is established only when the Judicial Officer of the Department has made a determination that the evidence substantiates allegations in the complaint. Complaints are filed in the Office of the Hearing Clerk of the Department of Agriculture and are a matter of public record. Pending disposition by the Judicial Officer, it is the policy of the Commodity Exchange Authority not to comment or elaborate on charges contained in complaints or to discuss evidence on which they are based.

A public hearing on the complaint is scheduled to be held before a referee at 10:00 a.m., local time, September 1, 1965, in La Jolla, California.

LOAD-DATE: June 12, 2008