## Commodity Futures Trading Commission CEA CASES

NAME: ROBERT HALTMIER

**DOCKET NUMBER:** 229; 75-2

DOCUMENT TYPE: ISSUANCE OF COMPLAINT

NOTE: LATER BECAME CFTC DOCKET # 75-2

UNITED STATES DEPARTMENT OF AGRICULTURE

Commodity Exchange Authority

Washington, D. C. 20250

ISSUANCE OF COMPLAINT - CEA DOCKET NO. 229

(Administrative Hearing Under the Commodity Exchange Act)

A complaint and notice of hearing has been signed by the Assistant Secretary of Agriculture charging violation of the Commodity Exchange Act by:

Robert Haltmier

(New York, New York)

The complaint charges that during the period April-October 1972, Robert Haltmier, while an employee of a futures commission merchant, cheated a customer by making numerous unauthorized trades for the customer's account.

The issuance of a complaint under the Commodity Exchange Act does not constitute proof of violation of the law. Such violation is established only when there has been a determination that the evidence substantiates allegations in the complaint. A determination is considered as having been made when a decision of the Administrative Law Judge becomes final, or after appeal, a decision is made by the Judicial Officer of the Department. Complaints are filed in the Office of the Hearing Clerk of the Department of Agriculture and are a matter of public record. Pending disposition of a complaint, it is the policy of the CEA not to comment or elaborate on charges contained therein or to discuss evidence on which it is based.

A public hearing on the complaint will be held before the Administrative Law Judge in New York, New York, at a date and time to be specified at a later date.

LOAD-DATE: August 6, 2008