SELECTED FCM FINANCIAL DATA AS OF November 30, 2010 FROM REPORTS FILED BY December 31, 2010

	Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer	Total Amount
Futures Commission Merchant / Retail Foreign Exchange			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	of Retail Forex
Dealer							4d(a)(2)	Pt. 30 Required	Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)
1 ABN AMRO CLEARING CHICAGO LLC	FCM BD		11/30/2010	226,098,272	91,354,374	134,743,898	1,697,740,034	214,506,357	0
2 ADM INVESTOR SERVICES INC	FCM	CBOT	11/30/2010	297,990,436	151,271,398	146,719,038	2,548,420,426	48,310,953	3,362,019
3 ADVANCED MARKETS LLC	RFED	NFA	11/30/2010	20,401,823	20,000,000	401,823	0	0	1,490,618
4 ADVANTAGE FUTURES LLC	FCM	CME	11/30/2010	19,976,129	11,597,860	8,378,269	342,244,573	43,626,549	0
5 ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC*	FCM	NFA	09/30/2010	62,728,255	10,405,027	52,323,228	0	0	0
6 ALPARI (US) LLC	RFED	NFA	11/30/2010	22,606,782	20,000,000	2,606,782	0	0	5,339,189
7 AMERIPRISE FINANCIAL SERVICES INC	FCM BD	NFA	11/30/2010	85,473,900	1,000,000	84,473,900	0	0	0
8 AMP GLOBAL CLEARING LLC	FCM	NFA	11/30/2010	1,461,652	1,000,000	461,652	21,457,240	73,749	0
9 BARCLAYS CAPITAL INC	FCM BD	ļ	11/30/2010	5,903,819,872	643,064,056	5,260,755,816	4,557,617,534	1,785,588,876	0
10 BGC FINANCIAL LP	FCM BD	ļ	11/30/2010	40,657,453	1,000,000	39,657,453	0	0	0
11 BNP PARIBAS COMMODITY FUTURES INC	FCM	NYME	11/30/2010	341,010,834	209,097,403	131,913,431	1,631,411,577	437,530,324	0
12 BNP PARIBAS SECURITIES CORP	FCM BD	<u> </u>	11/30/2010	1,593,738,802	69,663,907	1,524,074,895	478,216,091	0	0
13 BNY MELLON CLEARING LLC	FCM	CME	11/30/2010	78,556,018	4,247,454	74,308,564	633,454	0	0
14 CANTOR FITZGERALD & CO	FCM BD	ļ	11/30/2010	182,051,870	5,545,259	176,506,611	10,765,037	0	0
15 CAPITAL MARKET SERVICES LLC	RFED	NFA	11/30/2010	16,048,102	1,000,000	15,048,102	0	0	0
16 CIBC WORLD MARKETS CORP	FCM BD	CME	11/30/2010	488,018,488	4,680,360	483,338,128	0	0	0
17 CITADEL SECURITIES LLC	FCM BD	<u> </u>	11/30/2010	355,727,000	1,000,000	354,727,000	0	0	0
18 CITIGROUP GLOBAL MARKETS INC	FCM BD	CBOT	11/30/2010	8,506,229,353	757,749,102	7,748,480,251	10,503,201,402	1,259,398,099	0
19 COMMONWEALTH FOREIGN EXCHANGE INC	FCM	NFA	11/30/2010	1,822,545	1,000,000	822,545	0	0	0
20 COMPASS PROFESSIONAL SERVICES LLC	FCM BD	NFA	11/30/2010	3,370,950	1,000,000	2,370,950	0	0	0
21 COUNTRY HEDGING INC	FCM	NFA	11/30/2010	28,827,047	18,418,152	10,408,895	236,372,929	110,605	0
22 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	11/30/2010	9,720,479,301	948,673,854	8,771,805,447	4,831,955,116	1,301,481,453	0
23 CROSSLAND LLC	FCM	CBOT	11/30/2010	11,145,751	5,978,517	5,167,234	84,358,553	34,605,105	0
24 CUNNINGHAM COMMODITIES LLC	FCM	СВОТ	11/30/2010	3,406,685	1,000,000	2,406,685	40,664,852	90,464	0
25 CX CAPITAL MARKETS LLC	FCM	NFA	11/30/2010	2,337,692	1,000,000	1,337,692	23,699,453	0	0
26 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	11/30/2010	317,701,472	1,591,243	316,110,229	8,658,726	40,979	0
27 DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	11/30/2010	5,849,451,098	583,555,861	5,265,895,237	12,453,558,562	950,773,475	0
28 DORMAN TRADING LLC	FCM	CME	11/30/2010	7,482,221	1,000,000	6,482,221	97,748,845	1,323,935	0
29 EAGLE MARKET MAKERS INC	FCM	CBOT	11/30/2010	6,337,883	1,000,000	5,337,883	4,094,019	0	0
30 EASY FOREX US LTD	FCM	NFA	11/30/2010	2,827,538	1,000,000	1,827,538	0	0	0
31 ELECTRONIC BROKERAGE SYSTEMS LLC	FCM BD	NFA	11/30/2010	7,686,086	1,000,000	6,686,086	0	0	0
32 ENSKILDA FUTURES LTD	FCM	CME	11/30/2010	28,997,573	18,150,639	10,846,934	261,189,570	0	0
33 FARR FINANCIAL INC	FCM	NFA	11/30/2010	1,875,500	1,000,000	875,500	42,332,721	230,875	0
34 FCSTONE LLC	FCM	CME	11/30/2010	84,921,640	69,918,758	15,002,882	1,448,981,066	11,171,504	24,911,342
35 FOREX CAPITAL MARKETS LLC	RFED	NFA	11/30/2010	43,161,405	26,381,765	16,779,640	0	0	137,635,309
36 FOREX CLUB FINANCIAL COMPANY INC	RFED	NFA	11/30/2010	20,419,739	20,000,000	419,739	0	0	2,514,871
37 FRIEDBERG MERCANTILE GROUP INC	FCM	NFA	11/30/2010	3,396,555	1,000,000	2,396,555	7,666,780	460,938	0
38 FRONTIER FUTURES INC	FCM	NFA	11/30/2010	1,773,053	1,362,040	411,013	35,145,003	0	0
39 FUTURES TECH LLC	FCM	NFA	11/30/2010	1,612,360	1,000,000	612,360	0	0	0
40 FX SOLUTIONS LLC	RFED	NFA	11/30/2010	40,515,513	20,616,421	19,899,092	0	0	22,328,415
41 FXDIRECTDEALER LLC	RFED	NFA	11/30/2010	26,237,585	21,596,406	4,641,179	0	0	41,928,127
42 GAIN CAPITAL GROUP LLC	RFED	NFA	11/30/2010	65,206,853	26,559,366	38,647,487	0	0	141,187,328
43 GLOBAL FUTURES & FOREX LTD	RFED	NFA	11/30/2010	77,435,995	24,003,971	53,432,024	377,933	190,557	90,079,423

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Dealer							4d(a)(2)	Pt. 30 Required	Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)
44 GOLDMAN SACHS & CO	FCM BD	СВОТ	11/30/2010	10,110,875,947	2,023,068,240	8,087,807,707	19,606,329,238	6,537,938,829	0
45 GOLDMAN SACHS & CO	FCM BD	CME	11/30/2010	1,906,772,682	149,918,856	1,756,853,826	1,320,147,026	22,861,213	0
46 HSBC SECURITIES USA INC	FCM BD	CME	11/30/2010	1,066,091,460	102,866,122	963,225,338	917,370,334	83,811,674	0
47 ICAP FUTURES LLC	FCM BD	NFA	11/30/2010	8,268,893	1,000,000	7,268,893	917,370,334	03,011,074	0
48 IG MARKETS INC	FCM	NFA	11/30/2010	29,337,152	20,000,000	9,337,152	100,579	0	1,349,525
49 IKON GLOBAL MARKETS INC	FCM	NFA	11/30/2010	22,218,079	1,000,000	21,218,079	13,766,840	108,434	1,349,323
50 INSTINET LLC	FCM BD	NFA	11/30/2010	120,694,497	1,632,075	119,062,422	13,700,840	0	0
51 INSTITUTIONAL LIQUIDITY LLC	FCM	NFA	11/30/2010	21,106,091	20,000,000	1,106,091	0	0	0
52 INTEGRATED BROKERAGE SERVICES LLC	FCM	NFA	11/30/2010	1,808,153	1,000,000	808,153	0	0	0
53 INTERACTIVE BROKERS LLC	FCM BD	NFA	11/30/2010	1,136,603,024	143,141,000	993,462,024	621,414,009	202,108,845	0
54 INTERBANK FX LLC	RFED	NFA	11/30/2010	26,846,244		5,667,793	021,414,009	202,106,643	33,569,023
55 IRONBEAM INC	FCM	NFA	11/30/2010	1,490,171	21,178,451 1,000,000	490,171	0	0	33,369,023
56 ITG DERIVATIVES LLC	FCM BD	NFA	11/30/2010	5,290,027	1,000,000	4,290,027	0	0	0
57 JP MORGAN CLEARING CORP	FCM BD	}	11/30/2010	5,569,934,628	1,768,750,646	3,801,183,982	521,462,133	238,136,455	0
58 JP MORGAN FUTURES INC	FCM	NYME	11/30/2010	2,404,988,236	1,251,019,613	1,153,968,623	15,651,216,010	1,849,360,902	0
59 JP MORGAN SECURITIES LLC	FCM BD	NFA	11/30/2010	7,032,897,910	568,196,799	6,464,701,111	15,651,216,010	1,049,300,902	0
60 LEK SECURITIES CORPORATION	FCM BD	NFA	11/30/2010	10,539,278	1,500,000	9,039,278	212,619	0	0
61 LIMESTONE TRADING LLC	FCM	NFA	11/30/2010	7,766,197	1,000,000	6,766,197	212,019	0	0
62 LINN GROUP THE	FCM	NFA	11/30/2010	2,512,478	1,020,021	1,492,457	35,760,851	163,691	0
63 LPL FINANCIAL CORPORATION	FCM BD	NFA	11/30/2010	102,553,363	5,477,044	97,076,319	33,760,831	0	0
63 LPL FINANCIAL CORPORATION 64 MACQUARIE FUTURES USA INC	FCM	CBOT	11/30/2010	125,979,977		68,757,557	867,489,322	24,967,431	0
	FCM				57,222,420				
65 MAREX NORTH AMERICA LLC 66 MAREX USA LIMITED	<u> </u>	NFA	11/30/2010	13,461,819	1,000,000	12,461,819	0	0	0
	FCM RFED	NFA NFA	11/30/2010	2,987,963	1,000,000	1,987,963	0	0	33 450 000
67 MB TRADING FUTURES INC			11/30/2010	25,196,840	21,157,995	4,038,845	-		33,159,900
68 MBF CLEARING CORP	FCM	NYME	11/30/2010	11,069,726	1,087,284	9,982,442	78,378,956	6,183,323	0
69 MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	11/30/2010	10,339,230	3,929,131	6,410,099	701,376,693	0	0
70 MERRILL LYNCH PIERCE FENNER & SMITH	FCM BD		11/30/2010	8,126,688,272	773,615,457	7,353,072,815	9,065,259,218	1,961,596,704	0
71 MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	11/30/2010	2,198,643,082	177,650,212	2,020,992,870	1,262,744,897	47,411,671	
72 MF GLOBAL INC	FCM BD	CME	11/30/2010	597,669,851	378,472,481	219,197,370	8,078,314,037	535,214,954	0
73 MG FINANCIAL LLC	FCM	NFA	11/30/2010	4,523,002	1,000,000	3,523,002	0 020 500	0	0
74 MID CO COMMODITIES INC 75 MITSUBISHI UFJ SECURITIES USA INC	FCM BD	NFA NFA	11/30/2010 11/30/2010	14,671,904	9,023,686	5,648,218	86,936,589	0	0
				89,118,233	1,515,619	87,602,614	0		
76 MITSUI BUSSAN COMMODITIES USA INC	FCM	NFA	11/30/2010	9,336,423	1,000,000	8,336,423	0 070 040 000	0	0
77 MIZUHO SECURITIES USA INC	FCM BD	<u> </u>	11/30/2010	391,463,156	70,549,891	320,913,265	873,818,620	351,214,655	0
78 MORGAN KEEGAN & COMPANY INC	FCM BD	\$	11/30/2010	410,843,718	9,249,551	401,594,167	0	0	0
79 MORGAN STANLEY & CO INCORPORATED	FCM BD		11/30/2010	8,476,866,179	1,157,617,541	7,319,248,638	4,550,514,429	1,554,591,832	0
80 MORGAN STANLEY SMITH BARNEY LLC	FCM BD	<u> </u>	11/30/2010	971,909,612	40,433,874	931,475,738	622,446,476	1,416,529,976	0
81 NATIXIS SECURITIES NORTH AMERICA INC	FCM BD		11/30/2010	220,266,140	1,000,000	219,266,140	0	0	0
82 NEUBERGER BERMAN LLC	FCM BD	1	11/30/2010	102,381,214	1,500,000	100,881,214	0 724 547 700	0 554 202 204	0
83 NEWEDGE USA LLC	FCM BD	<u> </u>	11/30/2010	2,248,730,419	1,393,498,825	855,231,594	20,731,547,798	6,551,292,361	0
84 NOMURA SECURITIES INTERNATIONAL INC	FCM BD	ļ	11/30/2010	1,071,505,822	23,546,785	1,047,959,037	92,070,664	24,286,961	0
85 OANDA CORPORATION	RFED	NFA	11/30/2010	168,923,482	30,130,140	138,793,342	04 400 007	0	0
86 OPEN E CRY LLC	FCM	NFA	11/30/2010	3,168,097	1,000,000	2,168,097	84,460,097	861,899	0

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	Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer	Total Amount
Futures Commission Merchant / Retail Foreign Exchange			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	of Retail Forex
Dealer							4d(a)(2)	Pt. 30 Required	Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)
87 OPPENHEIMER & CO INC	FCM BD	NFA	11/30/2010	153,521,392	24,406,018	129,115,374	21,798,752	0	0
88 OPTIONSXPRESS INC	FCM BD	NFA	11/30/2010	79,508,609	10,463,995	69,044,614	0	942,291	0
89 PENSON FUTURES	FCM	CBOT	11/30/2010	28,403,078	24,645,282	3,757,796	694,306,874	30,967,933	0
90 PEREGRINE FINANCIAL GROUP INC	FCM	NFA	11/30/2010	36,121,665	21,851,691	14,269,974	451,703,932	28,586,336	47,033,812
91 PIONEER FUTURES INC	FCM	NFA	11/30/2010	2,283,292	1,000,000	1,283,292	0	0	0
92 PRUDENTIAL BACHE COMMODITIES LLC	FCM	CBOT	11/30/2010	230,979,000	184,980,560	45,998,440	2,824,558,000	217,417,000	917,000
93 RAND FINANCIAL SERVICES INC	FCM	CME	11/30/2010	71,423,478	15,254,990	56,168,488	204,068,600	18,599,400	0
94 RAYMOND JAMES & ASSOCIATES INC	FCM BD	NFA	11/30/2010	367,802,823	29,676,260	338,126,563	0	0	0
95 RBC CAPITAL MARKETS LLC	FCM BD	CME	11/30/2010	947,265,996	81,581,109	865,684,887	274,127,292	91,118,680	0
96 RBS SECURITIES INC	FCM BD	CBOT	11/30/2010	1,478,729,000	193,793,000	1,284,936,000	1,729,412,000	80,850,000	0
97 RJ OBRIEN ASSOCIATES LLC	FCM	CME	11/30/2010	155,708,731	107,076,985	48,631,746	2,339,846,230	46,005,199	241,477
98 ROSENTHAL COLLINS GROUP LLC	FCM	CME	11/30/2010	66,782,357	49,207,541	17,574,816	1,460,037,799	15,447,388	0
99 ROSENTHAL GLOBAL SECURITIES LLC	FCM BD	NFA	11/30/2010	11,212,798	1,000,000	10,212,798	0	0	0
100 SANFORD C BERNSTEIN & CO LLC	FCM BD	NFA	11/30/2010	176,450,953	14,803,441	161,647,512	0	0	0
101 SANTANDER INVESTMENT SECURITIES INC	FCM BD	NFA	11/30/2010	77,845,498	9,685,209	68,160,289	319,584,792	0	0
102 SMW TRADING COMPANY INC	FCM	CME	11/30/2010	28,057,446	1,000,000	27,057,446	48,028	0	0
103 STATE STREET GLOBAL MARKETS LLC	FCM BD	CME	11/30/2010	378,616,278	1,836,551	376,779,727	15,715,489	23,420	0
104 STEPHENS	FCM BD	NFA	11/30/2010	72,433,479	11,743,315	60,690,164	0	0	0
105 STERLING COMMODITIES CORP	FCM	NYME	11/30/2010	8,093,060	2,383,816	5,709,244	59,594,808	205,236	0
106 TCA FUTURES LLC	FCM	NFA	11/30/2010	1,619,990	1,000,000	619,990	0	0	0
107 TD AMERITRADE INC	FCM BD	NFA	11/30/2010	208,186,286	1,000,000	207,186,286	0	0	0
108 TENCO INC	FCM	CBOT	11/30/2010	54,842,134	14,010,619	40,831,515	335,380,559	0	0
109 TIMBER HILL LLC	FCM BD	CME	11/30/2010	959,849,232	41,712,514	918,136,718	457,780,071	0	0
110 TOWER RESEARCH CAPITAL EUROPE LLC	FCM	NFA	11/30/2010	5,243,674	1,000,000	4,243,674	0	0	0
111 TRADELINK LLC	FCM BD	CBOT	11/30/2010	20,616,486	1,000,000	19,616,486	0	0	0
112 TRADESTATION SECURITIES INC	FCM BD	NFA	11/30/2010	70,119,027	3,114,393	67,004,634	401,083,194	2,563,277	0
113 TRADITION SECURITIES AND FUTURES INC	FCM	NFA	11/30/2010	1,560,510	1,000,000	560,510	0	0	0
114 TRILAND USA INC	FCM	NYME	11/30/2010	25,772,203	16,534,746	9,237,457	209,438,148	15,758,127	0
115 UBS FINANCIAL SERVICES INC	FCM BD	NFA	11/30/2010	1,216,546,412	94,582,747	1,121,963,665	191,273,000	1,544,067	0
116 UBS SECURITIES LLC	FCM BD	СВОТ	11/30/2010	10,865,508,549	887,977,830	9,977,530,719	9,254,236,196	4,475,166,803	0
117 VELOCITY FUTURES LLC	FCM	NFA	11/30/2010	1,677,601	1,000,000	677,601	59,555,555	10,654,781	0
118 VISION FINANCIAL MARKETS LLC	FCM BD	CME	11/30/2010	21,914,757	10,526,858	11,387,899	343,700,074	10,182,114	0
119 WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	FCM BD	NFA	11/30/2010	32,586,825	4,331,091	28,255,734	0	0	0
120 WELLS FARGO ADVISORS LLC	FCM BD	<u> </u>	11/30/2010	684,883,259	1,500,000	683,383,259	0	0	0
121 WELLS FARGO SECURITIES LLC	FCM BD		11/30/2010	1,142,919,197	12,267,481	1,130,651,716	0	0	0
122 WHITE COMMERCIAL CORPORATION	FCM	NFA	11/30/2010	2,797,609	1,000,000	1,797,609	0	0	0
123 WSD FINANCIAL USA INC	FCM	NFA	11/30/2010	1,501,427	1,000,000	501,427	0		
124 YORK BUSINESS ASSOCIATES LLC	FCM	NFA	11/30/2010	2,619,939	1,000,000	1,619,939	67,059,919	1,379,021	0
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Totals							148,865,958,243	32,545,567,680	587,047,378
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SELECTED FCM FINANCIAL DATA AS OF

November 30, 2010 FROM REPORTS FILED BY

December 31, 2010

	eg As D	SRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer	Total Amount
Futures Commission Merchant / Retail Foreign Exchange			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	of Retail Fore
Dealer							4d(a)(2)	Pt. 30 Required	Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)
October Web Page Update	126								
Addition									
Additions MAREX NORTH AMERICA LLC	1								
WAREA NORTH AMERICA LLC									
Deletions	3								
ADVANTAGE SECURITIES LLC									
GENESIS FUTURES LLC									
TERRA NOVA FINANCIAL LLC									
Name Change									
Name Change PENSON GHCO to PENSON FUTURES									
RBC CAPITAL MARKETS CORPORATION to RBC CAPITAL MARKETS LLC									
November Web Page Update	124								
BD: The FCM is also registered with the Securities and E. RFED: Retail Foreign Exchange Dealer that is registered with	-				ler;				
(b): DSRO: Designated Self-Regulatory Organization.									
(c): A firm's net capital requirement is the greater of :									
FCM minimum dollar amount (\$1,000,000); or									
risk based capital requirement, the sum of 8% of total custo	mer risk r	mainte	nance margin	and 8% of total non-	customer risk mainten	ance margin; or			
the amount of capital required by a registered futures assoc	~~~~	***************************************			~~~	······································			
for securities brokers and dealers, the amount of net capital	required l	by Rule	e 15c3-1(a) o	the Securities and E	Exchange Commission	; or			
minimum dollar amount for FCM's offering or engaged in re	tail forex t	transac	tions and RF	EDs (\$20,000,000);	or				
minimum dollar amount for FCM's offering or engaged in re	etail forex t	transac	tions and RF	EDs (\$20,000,000) p	lus five percent of the	FCM's or RFED's to	tal retail forex obligation	on in excess of \$10,00	0,000.
(d): Excess net capital is adjusted net capital, less the firm's net c	anital regu	uiromo	nt						
(a). Excess not capital to adjusted not capital, note the limit of not o	apital roqu								
(e): This represents the total amount of funds that an FCM is requaccounts that contain a net liquidating equity.	ired to se	gregate	e on behalf of	customers who are t	rading on a designated	contract market or	derivatives transactior	execution facility. Thi	s is the sum of al
(f). This represents the amount of funds on ECM is required to act	ooida far	oucto-	noro who trad	o on commodity and	nongos located sutsida	of the United States			
(f): This represents the amount of funds an FCM is required to set	asiue ior	custon	neis who trad	e on commodity exc	langes located outside	on the onited States	o.		
(g): This represents the total amount of funds at an FCM or RFED	that wou	ıld be o	btained by co	mbining all monev	securities and property	deposited by a retail	I forex customer into a	retail forex account or	accounts, adius
for the realized and unrealized net profit or loss.					and proporty				.,,,

SELECTED FCM FINANCIAL DATA AS OF

November 30, 2010

November 30, 2010
FROM REPORTS FILED BY
December 31, 2010

Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer	Total Amount
Futures Commission Merchant / Retail Foreign Exchange		Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	of Retail Forex
Dealer						4d(a)(2)	Pt. 30 Required	Obligation
(a)	(b)			(c)	(d)	(e)	(f)	(g)
Note: Any comments regarding information contained in this table may be mfranklin@cftc.gov.	e brough	t to the attenti	on of the CFTC's Div	 ision of Clearing and I	ntermediary Oversigl	t via e-mail: ccoffin@	 ecftc.gov, mhendricksd	@cftc.gov, or