SELECTED FCM FINANCIAL DATA AS OF October 31, 2011 FROM REPORTS FILED BY

	Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer	Total Amount
Futures Commission Merchant / Retail Foreign Exchange			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	of Retail Forex
Dealer							4d(a)(2)	Pt. 30 Required	Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)
1 ABN AMRO CLEARING CHICAGO LLC	FCM BD	СВОТ	10/31/2011	226,001,851	106,633,746	119,368,105	2,107,076,881	283.923.973	
2 ADM INVESTOR SERVICES INC	FCM	CBOT	10/31/2011	260,831,106	131,582,952	129,248,154	2,486,620,013	128,624,730	1,317,14
3 ADVANCED MARKETS LLC	FCMRFD	NFA	10/31/2011	20,569,804	20,000,000	569,804	2,480,020,013	120,024,730	1,435,97
4 ADVANTAGE FUTURES LLC	FCM	CME	10/31/2011	17,394,002	9,106,355	8,287,647	402,871,623	36,669,799	1,433,97
5 ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC *	FCM BD	NFA	09/30/2011	76,695,493	3,865,809	72,829,684	402,871,023	30,009,799	
6 ALPARI (US) LLC	FCMRFD	NFA	10/31/2011	23,663,458	20,029,908	3,633,550	37,112	0	10,598,15
7 AMERIPRISE FINANCIAL SERVICES INC	FCM BD	NFA	10/31/2011	128,491,671	1,000,000	127,491,671	0	0	
8 AMP GLOBAL CLEARING LLC	FCM	NFA	10/31/2011	1,786,777	1,000,000	786,777	33,537,617	7,908	
9 BARCLAYS CAPITAL INC	FCM BD	NYME	10/31/2011	5,932,724,468	988,855,616	4,943,868,852	5,705,209,090	3,292,445,089	
10 BGC FINANCIAL LP	FCM BD	NFA	10/31/2011	50,415,734	1,000,000	49,415,734	0	0,202,110,000	
11 BNP PARIBAS PRIME BROKERAGE INC	FCM BD	NYME	10/31/2011	2,226,793,415	303,613,607	1,923,179,808	1,397,505,939	589,255,194	
12 BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	10/31/2011	2,167,134,978	71,483,481	2,095,651,497	467,336,906	0	
13 BNY MELLON CLEARING LLC	FCM	CME	10/31/2011	304,903,337	44,147,075	260,756,262	614,724,947	441,323	
14 CANTOR FITZGERALD & CO	FCM BD	CBOT	10/31/2011	289,528,451	11,392,060	278,136,391	3,673,418	0	
15 CAPITAL MARKET SERVICES LLC	FCM	NFA	10/31/2011	2,475,389	1,000,000	1,475,389	0	0	
16 CIBC WORLD MARKETS CORP	FCM BD	CME	10/31/2011	451,315,417	1,500,000	449,815,417	0	0	
17 CITADEL SECURITIES LLC	FCM BD	CME	10/31/2011	424,171,427	1,000,000	423,171,427	0	0	
18 CITIGROUP GLOBAL MARKETS INC	FCM BD	СВОТ	10/31/2011	7,279,570,019	754,894,923	6,524,675,096	10,148,689,984	1,329,284,799	
19 COMMONWEALTH FOREIGN EXCHANGE INC	FCM	NFA	10/31/2011	2,755,863	1,000,000	1,755,863	0	0	
20 COUNTRY HEDGING INC	FCM	NFA	10/31/2011	19,546,124	13,748,708	5,797,416	192,880,276	1,722,593	
21 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	СВОТ	10/31/2011	7,223,629,784	1,173,668,843	6,049,960,941	6,243,488,159	2,095,589,581	
22 CROSSLAND LLC	FCM	СВОТ	10/31/2011	10,865,572	2,784,107	8,081,465	70,983,329	23,769,324	
CUNNINGHAM COMMODITIES LLC	FCM	СВОТ	10/31/2011	3,234,369	1,000,000	2,234,369	57,005,599	701,967	
CX CAPITAL MARKETS LLC	FCM	NFA	10/31/2011	3,531,815	1,000,000	2,531,815	20,928,119	0	
25 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	10/31/2011	308,198,049	2,330,809	305,867,240	6,235,239	0	
26 DEUTSCHE BANK SECURITIES INC	FCM BD	СВОТ	10/31/2011	8,355,493,656	608,534,259	7,746,959,397	15,136,579,003	1,449,453,015	
27 DORMAN TRADING LLC	FCM	CME	10/31/2011	9,700,151	1,000,000	8,700,151	116,143,289	1,890,206	
28 EAGLE MARKET MAKERS INC	FCM	CBOT	10/31/2011	6,591,584	1,000,000	5,591,584	513,101	0	
²⁹ EASY FOREX US LTD	FCM	NFA	10/31/2011	1,723,596	1,000,000	723,596	0	0	
30 ENSKILDA FUTURES LTD	FCM	CME	10/31/2011	31,000,864	21,374,785	9,626,079	402,166,948	0	
FCSTONE LLC	FCM	CME	10/31/2011	95,250,610	53,858,914	41,391,696	1,293,351,455	27,579,764	
FOREX CAPITAL MARKETS LLC	FCMRFD	NFA	10/31/2011	32,724,174	26,699,762	6,024,412	0	0	143,995,23
FOREX CLUB LLC	FCMRFD	NFA	10/31/2011	23,753,609	22,000,000	1,753,609	0	0	7,977,47
FRIEDBERG MERCANTILE GROUP INC	FCM	NFA	10/31/2011	3,420,809	1,000,000	2,420,809	12,668,942	1,789,499	
FRONTIER FUTURES INC	FCM	NFA	10/31/2011	1,930,640	1,017,904	912,736	35,869,341	0	
FUTURES TECH LLC	FCM	NFA	10/31/2011	1,561,114	1,000,000	561,114	0	0	
FX SOLUTIONS LLC	FCMRFD	NFA	10/31/2011	43,299,845	20,406,654	22,893,191	0	0	18,133,08
FXDIRECTDEALER LLC	FCMRFD	NFA	10/31/2011	38,774,568	21,135,615	17,638,953	0	0	32,712,31
GAIN CAPITAL GROUP LLC	FCMRFD	NFA	10/31/2011	62,669,515	24,713,690	37,955,825	0	0	104,273,80
GLOBAL FUTURES & FOREX LTD	FCMRFD	NFA	10/31/2011	86,315,948	24,327,640	61,988,308	136,536	227,199	96,552,80
GOLDMAN SACHS & CO	FCM BD	CBOT	10/31/2011	11,828,316,785	2,039,465,392	9,788,851,393	20,346,497,796	9,444,664,108	
GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD	CME	10/31/2011	1,814,776,998	128,317,891	1,686,459,107	1,143,170,340	31,824,645	
43 GUGGENHEIM SECURITIES LLC	FCM BD	NFA	10/31/2011	55,626,739	3,217,653	52,409,086	0	0	

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	Dealer							4d(a)(2)	Pt. 30 Required	Obligation
		(a)	(b)			(c)	(d)	(e)	(f)	(g)
44										
	HSBC SECURITIES USA INC	FCM BD	CME	10/31/2011	1,060,375,147	98,298,842	962,076,305	857,990,476	146,300,839	C
	ICAP CORPORATES LLC	FCM BD	NFA	10/31/2011	53,227,396	1,000,000	52,227,396	0	0	C
	KON GLOBAL MARKETS INC	FCM	NFA	10/31/2011	17,819,585	1,000,000	16,819,585	13,226,116	108,434	C
	NSTINET LLC	FCM BD	NFA	10/31/2011	119,941,025	1,960,523	117,980,502	0	0	
	NSTITUTIONAL LIQUIDITY LLC	FCMRFD		10/31/2011	20,464,808	20,000,000	464,808	0	0	8,618,517
_	NTEGRATED BROKERAGE SERVICES LLC	FCM	NFA	10/31/2011	1,598,834	1,000,000	598,834	0	0	С
-	NTERACTIVE BROKERS LLC	FCM BD	NFA	10/31/2011	1,259,742,304	161,562,035	1,098,180,269	641,683,621	176,176,990	С
	NTERBANK FX LLC	FCMRFD		10/31/2011	25,029,623	21,059,519	3,970,104	0	0	31,190,383
	RONBEAM INC	FCM	NFA	10/31/2011	3,024,117	1,000,000	2,024,117	40,598,524	109,547	С
	TG DERIVATIVES LLC	FCM BD	NFA	10/31/2011	2,905,315	1,000,000	1,905,315	0	0	С
	JEFFERIES & COMPANY INC	FCM BD	NFA	10/31/2011	822,971,310	54,774,674	768,196,636	0	0	С
	JEFFERIES BACHE LLC	FCM	CBOT	10/31/2011	248,146,000	175,435,440	72,710,560	2,999,526,000	265,269,000	С
	JP MORGAN CLEARING CORP	FCM BD	NFA	10/31/2011	7,163,394,454	2,001,319,885	5,162,074,569	650,292,326	445,824,047	С
	JP MORGAN SECURITIES LLC	FCM BD	CEI	10/31/2011	11,597,548,175	1,531,923,063	10,065,625,112	19,170,979,010	2,152,666,687	C
	LEK SECURITIES CORPORATION	FCM BD	NFA	10/31/2011	9,679,260	1,500,000	8,179,260	2,560,484	0	C
	LIMESTONE TRADING LLC	FCM	NFA	10/31/2011	3,536,634	1,000,000	2,536,634	0	0	C
	LINN GROUP THE	FCM	NFA	10/31/2011	2,233,540	1,000,000	1,233,540	38,976,953	210,375	C
	LPL FINANCIAL LLC	FCM BD	NFA	10/31/2011	146,103,381	6,511,764	139,591,617	0	0	C
	MACQUARIE FUTURES USA LLC	FCM	CBOT	10/31/2011	137,074,799	65,167,671	71,907,128	823,515,435	77,341,240	C
	MAREX NORTH AMERICA LLC	FCM	CME	10/31/2011	7,551,589	5,225,213	2,326,376	93,599,070	1,934,700	C
	MAREX USA LIMITED	FCM	NFA	10/31/2011	2,359,391	1,000,000	1,359,391	0	0	C
65 _l	MB TRADING FUTURES INC	FCMRFD	NFA	10/31/2011	25,715,078	21,196,454	4,518,624	0	0	33,929,087
	MBF CLEARING CORP	FCM	NYME	10/31/2011	7,991,078	1,000,000	6,991,078	72,153,982	1,366,041	C
	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	10/31/2011	14,240,267	2,515,368	11,724,899	853,285,791	0	C
	MERRILL LYNCH PIERCE FENNER & SMITH	FCM BD	CBOT	10/31/2011	10,309,275,846	807,968,172	9,501,307,674	9,365,678,809	3,000,958,756	C
	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	10/31/2011	2,990,115,802	207,899,024	2,782,216,778	791,273,963	50,438,292	C
	MID CO COMMODITIES INC	FCM	NFA	10/31/2011	12,569,955	6,147,861	6,422,094	56,248,327	593,780	C
	MITSUBISHI UFJ SECURITIES USA INC	FCM BD	NFA	10/31/2011	227,461,993	1,935,158	225,526,835	0	0	C
	MITSUI BUSSAN COMMODITIES USA INC	FCM	NFA	10/31/2011	2,932,826	1,000,000	1,932,826	0	0	C
73	MIZUHO SECURITIES USA INC	FCM BD	CME	10/31/2011	372,486,357	116,902,454	255,583,903	1,190,472,913	553,564,482	C
74	MORGAN KEEGAN & COMPANY INC	FCM BD	NFA	10/31/2011	464,819,562	7,989,839	456,829,723	0	0	C
	MORGAN STANLEY & CO LLC	FCM BD	CME	10/31/2011	8,222,447,926	1,111,138,589	7,111,309,337	5,169,696,486	2,023,346,881	C
76	MORGAN STANLEY SMITH BARNEY LLC	FCM BD	NFA	10/31/2011	1,487,065,890	39,031,491	1,448,034,399	586,487,438	1,800,215,605	C
77	NATIXIS SECURITIES AMERICAS LLC	FCM BD	NFA	10/31/2011	290,927,757	1,500,000	289,427,757	0	0	0
78	NEUBERGER BERMAN LLC	FCM BD	NFA	10/31/2011	81,171,212	1,500,000	79,671,212	0	0	(
79	NEWEDGE USA LLC	FCM BD	NYME	10/31/2011	2,317,615,636	1,374,185,413	943,430,223	20,833,983,459	6,490,574,527	C
80	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	СВОТ	10/31/2011	2,339,587,684	35,165,632	2,304,422,052	96,620,647	3,846,365	(
81	OANDA CORPORATION	FCMRFD	NFA	10/31/2011	144,302,538	27,092,217	117,210,321	0	0	151,844,337
	OPEN E CRY LLC	FCM	NFA	10/31/2011	3,441,012	1,000,000	2,441,012	82,863,555	2,558,967	(
	OPPENHEIMER & CO INC	FCM BD	NFA	10/31/2011	148,085,957	23,127,216	124,958,741	19,536,601	0	(
84	OPTIONSXPRESS INC	FCM BD	NFA	10/31/2011	78,691,407	6,098,814	72,592,593	84,417,718	2,566,093	
	PENSON FINANCIAL SERVICES INC	FCM BD	СВОТ	10/31/2011	182,000,857	29,662,939	152,337,918	618,662,898		
	PENSON FUTURES	FCM	NFA	10/31/2011	1,676,977	1,000,000	676,977	0	0	

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Dealer							4d(a)(2)	Pt. 30 Required	Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)
PEREGRINE FINANCIAL GROUP INC	FCM	NFA	10/31/2011	35,523,727	21,161,296	14,362,431	374,554,420	29,011,809	33,225,920
88 PHILLIP FUTURES INC	FCM	CME	10/31/2011	9,512,550	2,130,732	7,381,818	62,593,384	0	C
PIONEER FUTURES INC	FCM	NFA	10/31/2011	3,115,704	1,000,000	2,115,704	0	0	C
90 RAND FINANCIAL SERVICES INC	FCM	CME	10/31/2011	74,739,060	15,309,035	59,430,025	148,715,100	32,060,400	C
91 RAYMOND JAMES & ASSOCIATES INC	FCM BD	NFA	10/31/2011	429,545,663	29,932,935	399,612,728	0	0	C
92 RBC CAPITAL MARKETS LLC	FCM BD	CME	10/31/2011	1,259,542,088	88,761,213	1,170,780,875	460,524,480	31,754,541	C
93 RBS SECURITIES INC	FCM BD	CBOT	10/31/2011	3,005,633,082	287,767,904	2,717,865,178	2,411,857,477	111,715,046	C
94 RJ OBRIEN ASSOCIATES LLC	FCM	CME	10/31/2011	165,499,824	102,328,657	63,171,167	2,576,684,829	86,010,940	2,909,074
95 ROSENTHAL COLLINS GROUP LLC	FCM	CME	10/31/2011	91,114,837	40,506,802	50,608,035	1,372,387,305	25,233,774	C
96 ROSENTHAL GLOBAL SECURITIES LLC	FCM BD	NFA	10/31/2011	40,670,249	1,000,000	39,670,249	0	0	C
97 SANFORD C BERNSTEIN & CO LLC	FCM BD	NFA	10/31/2011	194,745,944	15,363,039	179,382,905	0	0	C
98 SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	10/31/2011	118,906,041	11,528,443	107,377,598	492,027,533	0	C
99 STATE STREET GLOBAL MARKETS LLC	FCM BD	CME	10/31/2011	418,713,854	9,230,250	409,483,604	38,645,225	1,930,970	C
100 STEPHENS	FCM BD	NFA	10/31/2011	87,702,262	11,758,942	75,943,320	0	0	C
101 STERLING COMMODITIES CORP	FCM	NFA	10/31/2011	4,043,007	1,000,000	3,043,007	0	0	C
102 STRAITS FINANCIAL LLC	FCM	CME	10/31/2011	7,315,564	1,000,000	6,315,564	13,681,636	564,798	C
103 TD AMERITRADE INC	FCM BD	NFA	10/31/2011	307,267,126	1,000,000	306,267,126	0	0	C
104 TENCO INC	FCM	СВОТ	10/31/2011	62,531,739	6,809,266	55,722,473	235,379,739	0	C
105 TIMBER HILL LLC	FCM BD	CME	10/31/2011	725,452,245	39,001,187	686,451,058	680,012,588	0	C
106 TOWER RESEARCH CAPITAL EUROPE LLC	FCM	NFA	10/31/2011	2,243,664	1,000,000	1,243,664	0	0	C
107 TRADELINK LLC	FCM BD	СВОТ	10/31/2011	38,465,328	1,000,000	37,465,328	0	0	C
108 TRADESTATION FOREX INC	RFED	NFA	10/31/2011	24,590,177	21,485,761	3,104,416	0	0	39,715,216
109 TRADESTATION SECURITIES INC	FCM BD	NFA	10/31/2011	56,986,489	2,564,301	54,422,188	434,403,192	9,612,093	C
110 TRADITION SECURITIES AND FUTURES INC	FCM	NFA	10/31/2011	1,537,039	1,000,000	537,039	0	0	C
111 UBS FINANCIAL SERVICES INC	FCM BD	NFA	10/31/2011	1,307,070,094	120,494,151	1,186,575,943	184,875,859	456,781	C
112 UBS SECURITIES LLC	FCM BD	СВОТ	10/31/2011	11,935,718,310	1,007,568,256	10,928,150,054	9,445,467,218	5,074,465,948	C
113 VELOCITY FUTURES LLC	FCM	NFA	10/31/2011	1,796,940	1,000,000	796,940	81,576,408	15,099,083	C
114 VISION FINANCIAL MARKETS LLC	FCM BD	CME	10/31/2011	29,983,742	8,123,826	21,859,916	331,504,313	6,658,540	C
115 WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	FCM BD	NFA	10/31/2011	33,740,790	5,083,933	28,656,857	0	0	C
116 WELLS FARGO ADVISORS LLC	FCM BD	NFA	10/31/2011	643,908,920	1,500,000	642,408,920	0	0	C
117 WELLS FARGO SECURITIES LLC	FCM BD	CME	10/31/2011	1,959,866,968	20,364,986	1,939,501,982	0	0	(
118 WHITE COMMERCIAL CORPORATION	FCM	NFA	10/31/2011	2,786,886	1,000,000	1,786,886	0	0	C
119 XPRESSTRADE LLC	RFED	NFA	10/31/2011	22,976,229	20,000,000	2,976,229	0	0	742,078
120 YORK BUSINESS ASSOCIATES LLC	FCM	NFA	10/31/2011	3,450,385	1,000,000	2,450,385	76,364,835	1,750,898	. (
				.,,	,,	,,	-,	,,	,
Totals							153,021,487,145	41,408,429,929	719,170,593
								,,	, ,

SELECTED FCM FINANCIAL DATA AS OF

October 31, 2011

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	(a)	(b)			(c)	(d)	(e)	(f)	(g)
Contombor Web David Hindata	400								
September Web Page Update	123								
Additions	0								
None	-								
Deletions	3								
BNP PARIBAS COMMODITY FUTURES INC									
ICAP FUTURES LLC									
NATIXIS SECURITIES NORTH AMERICA INC									
Name Change									
NATIXIS BLEICHROEDER LLC to NATIXIS SECURITIES									
AMERICAS LLC									
MACQUARIE FUTURES USA INC to MACQUARIE FUTURES									
USA LLC									
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BD: The FCM is also registered with the Securities a RFED: Retail Foreign Exchange Dealer that is register					er;				
FCMRFD: The FCM is also registered with the Commodity	y Futures	Trading C	ommission as a	Retail Foreign Excha	nge Dealer.				
(b): DSRO: Designated Self-Regulatory Organization.									
(c): A firm's net capital requirement is the greater of:									
FCM minimum dollar amount (\$1,000,000); or									
risk based capital requirement, the sum of 8% of total cu	ustomer ris	sk mainter	nance margin ai	nd 8% of total non-cus	tomer risk maintenand	ce margin; or			
the amount of capital required by a registered futures as	sociation	(currently	NFA is the only	such association); or		-			
 for securities brokers and dealers, the amount of net ca 					hange Commission; o	r			
 minimum dollar amount for FCM's offering or engaged in 									
minimum dollar amount for FCM's offering or engaged in	n retail for	ex transac	ctions and RFEI	Os (\$20,000,000) plus	five percent of the FC	M's or RFED's total r	etail forex obligation in	excess of \$10,000,00	00.
(d): Excess net capital is adjusted net capital, less the firm's ne	t capital re	equiremer	nt.						
(e): This represents the total amount of funds that an FCM is reaccounts that contain a net liquidating equity.	equired to	segregate	e on behalf of cu	ustomers who are trad	ing on a designated co	ontract market or deri	vatives transaction exc	ecution facility. This is	the sum of all
(f): This represents the amount of funds an FCM is required to	set aside	for custon	ners who trade	on commodity exchan	ges located outside of	the United States.			
(g): This represents the total amount of funds at an FCM, RFE	リ, or FCM	KFD that	would be obtair	ned by combining all m	oney, securities and p	property deposited by	a retail forex custome	r into a retail forex acc	ount or accour