## SELECTED FCM FINANCIAL DATA AS OF July 31, 2014 FROM REPORTS FILED BY September 05, 2014

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												Funds in Separate	,	Excess/Deficient	Target Residual	Funds in Separate	Customer Amount	Excess/Deficient	Target Residual	Total Amount of
	Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Section 30.7 Accounts	Customer Amount Pt. 30 Required	Funds in Separate Section 30.7	Interest in Section 30.7	Cleared Swap Segregation	Cleared Swap Seg Required		Interest in Cleared Swap Seg	Retail Forex Obligation
_		(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(i)	Accounts (k)	(1)	(m)	(n)	(0)	(p)	(q)
- 1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	7/31/2014	400.344.050	150,908,832	249,435,218	2,671,721,876	2,398,836,760	272,885,116	128,684,231	217,238,507	7 169,430,620	47.807.887	25,414,593			) 0	0	
	ADM INVESTOR SERVICES INC	FCM	CBOT	7/31/2014	286,955,149	163,339,879	123,615,270	3,531,779,270	3,283,291,185	248.488.085	120,000,000	251,497,302		58.564.663	20,000,000	13,453,875	2,687,874	10,766,001	8,000,000	0
	ADVANTAGE FUTURES LLC	FCM	CME	7/31/2014	23,090,826	17,033,161	6,057,665	495,472,470	477,869,193	17,603,277	8,000,000	40,702,405		1,993,870	1,000,000	+	0	0	0	0
	AMERIPRISE FINANCIAL SERVICES INC	FCM BD	NFA	7/31/2014	159,938,969	1,000,000	158,938,969	0	0	0	0	0	0	0	0	0	0	0	0	0
	AMP GLOBAL CLEARING LLC BARCLAYS CAPITAL INC	FCM BD	NFA NYME	7/31/2014 7/31/2014	2,453,965 7,461,221,852	1,500,000 1,456,792,521	953,965 6,004,429,331	54,906,384 6,643,497,184	53,405,272 6,260,345,955	1,501,112 383,151,229	900,000	666,782 3,554,782,182	-	218,922 155,630,728	150,000 118,630,386		0 2 5,824,094,747	1,037,408,155	542,223,221	0
	BGC FINANCIAL LP	FCM BD	NFA	7/31/2014	57,730,255	1,982,978	55,747,277	0,043,447,184	0,200,343,733	363,131,229	221,010,247	3,554,762,162	) 0	155,030,728	0	0,801,302,402	) 0,024,034,747	1,037,400,133	0	0
	BNP PARIBAS PRIME BROKERAGE INC	FCM BD	NYME	7/31/2014	3,366,546,825	201,838,470	3,164,708,355	3,041,050,765	2,694,322,440	346,728,325	269,432,244	18,882,151	1,647,384	17,234,767	10,000,000	10,831,500			5,000,000	<u> </u>
	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	7/31/2014	1,670,012,279	171,406,255	1,498,606,024	1,195,432,954	968,085,616	227,347,338	193,617,123	31,754,935		24,064,861	1,000,000		370,185,150	60,559,442	37,018,515	<del>-</del>
	BOCI COMMODITIES & FUTURES USA LLC CANTOR FITZGERALD & CO	FCM BD	NFA CBOT	7/31/2014 7/31/2014	13,645,779 256,712,119	1,000,000 8,792,376	12,645,779 247,919,743	4,969,921	0	4,969,921	3,000,000	0	,	0	0		) 0	0	0	0
	CHS HEDGING LLC	FCM	CBOT	7/31/2014	26,559,441	12,518,284	14,041,157	187,163,868	161,916,833	25,247,035	13,100,000	982,835	260,801	722,034	235,000	780,293	112,560	667,733	310,000	0
	CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	7/31/2014	5,464,898,055	1,066,873,079	4,398,024,976	5,563,434,675	5,289,876,228	273,558,447	257,600,000	1,133,964,612		202,834,720	180,000,000	5,783,154,955			278,800,000	0
	CREDIT SUISSE SECURITIES (USA) LLC CUNNINGHAM COMMODITIES LLC	FCM BD FCM	CBOT	7/31/2014 7/31/2014	7,074,234,087 5,405,820	2,304,170,706 1,771,685	4,770,063,381 3,634,135	9,774,124,491 73,050,302	8,783,093,294 68,946,743	991,031,197 4,103,559	439,154,665 3,050,000	2,920,590,067 620,121		278,710,391 236,526	132,093,984 100,000	9,294,546,312	7,583,982,994	1,710,563,318	379,199,150	0
	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	7/31/2014	508,140,001	8,344,097	499,795,904	9,802,318	4,321,244	5,481,074	4,321,244	620,791		620,791	1	0	0	0	0	0
_	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	7/31/2014	9,630,704,900	755,262,495	8,875,442,405	12,999,496,611	12,397,659,752	601,836,859	500,000,000	1,389,308,244		320,567,305	200,000,000	1,818,934,500	1,502,136,236	316,798,264	250,000,000	0
_	DORMAN TRADING LLC	FCM PD	CME	7/31/2014	11,710,242	3,082,064	8,628,178	169,184,711	165,735,412	3,449,299	2,000,000	3,694,378		446,842	200,000	0	0	0	0	0
_	E D & F MAN CAPITAL MARKETS INC E TRADE CLEARING LLC	FCM BD FCM BD	CME NFA	7/31/2014 7/31/2014	54,794,969 744.897.374	11,134,048 167,579,804	43,660,921 577,317,570	343,763,428 51,780,833	327,616,276 39,683,582	16,147,152 12,097,251	9,000,000	6,797,023 1,505,890		1,571,368 1,009,091	1,000,000		) 0	) 0	0	0
	EFL FUTURES LIMITED	FCM	CME	7/31/2014	38,142,133	17,619,277	20,522,856	225,969,880	213,031,618	12,938,262	5,000,000	.,555,570	0	0	0	0	0	0	0	0
	FCSTONE LLC	FCM	CME	7/31/2014	122,197,315	74,614,248	47,583,067	1,783,248,016	1,749,703,972	33,544,044	26,000,000	59,671,090		16,253,226	15,000,000	0	0	0	0	0
_	FOREX CAPITAL MARKETS LLC FRIEDBERG MERCANTILE GROUP INC	FCMRFD SD FCM	NFA NFA	7/31/2014 7/31/2014	50,384,360 3,606,095	29,661,167 1,000,000	20,723,193	3,776,254	2,682,114	1.094.140	1,000,000	1,058,706	0 21 926	1,026,880	1,000,000	0	0	0	0	203,223,349
	FRONTIER FUTURES INC	FCM	NFA	7/31/2014	1,811,945	1,000,000	811,945	34,282,838	33,333,133	949,705	355,943	1,058,706		1,026,880	1,000,000		) 0	0	0	0
	FXDIRECTDEALER LLC	FCMRFD SD	NFA	7/31/2014	20,666,105	20,000,000	666,105	0	0	0	0	0	0	0	0		0	0	0	12,000
	GAIN CAPITAL GROUP LLC	FCMRFD	NFA	7/31/2014	35,260,564	25,508,532	9,752,032	190,279,631	179,958,241	10,321,390	2,500,000	6,152,687		2,211,233	312,500		0	0	0	120,170,642
	GH FINANCIALS LLC GLOBAL FUTURES & FOREX LTD	FCM FCMRFD SD	CME NFA	7/31/2014 7/31/2014	10,745,191 4,443,396	1,910,727	8,834,464 3,443,396	28,845,376	27,114,913	1,730,463	575,000	369,948	78,535	291,413	75,000		0	0	0	0
	GOLDMAN SACHS & CO	FCM BD SD	CBOT/NFA	7/31/2014	13,838,500,878	2,311,493,479	11,527,007,399	18,874,745,143	18,093,723,169	781,021,974	475,000,000	7,786,876,518	, ,	565,875,488	475,000,000		2,353,995,394	553,869,499	150,000,000	0
	GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD	CME	7/31/2014	1,585,848,977	164,120,199	1,421,728,778	1,129,117,950	553,812,820	575,305,130	540,000,000	63,590,373		46,879,204	40,000,000	+	0	0	0	0
	GUGGENHEIM SECURITIES LLC	FCM BD	NFA	7/31/2014	54,011,333	1,362,604	52,648,729	0	0	0	0	0	0	0	0	0	0	0	0	0
	HSBC SECURITIES USA INC IBFX INC	FCM BD RFED SD	CME NFA	7/31/2014 7/31/2014	997,455,604 27,688,378	191,391,550 23,033,657	806,064,054 4,654,721	1,162,196,222	1,031,338,244	130,857,978	50,000,000	166,067,454	118,933,244	47,134,210	35,267,972	578,928,742	522,398,676	56,530,066	30,000,000	67,192,288
_	INSTITUTIONAL LIQUIDITY LLC	FCMRFD	NFA	7/31/2014	32,828,787	20,000,000	12,828,787	0	0	0	0	0	0	0	0	0	0	0	0	
36	INTERACTIVE BROKERS LLC	FCM BD	NFA	7/31/2014	2,179,557,838	345,767,280	1,833,790,558	2,414,483,081	2,217,108,587	197,374,494	155,000,000	417,252,681	315,723,859	101,528,822	80,000,000	0	0	0	0	38,571,354
_	IRONBEAM INC	FCM PD	NFA	7/31/2014	2,278,969	1,000,000	1,278,969	33,763,198	32,452,183	1,311,015	800,000	110,959	9 0	110,959	50,000	0	0	0	0	0
	ITG DERIVATIVES LLC JEFFERIES BACHE LLC	FCM BD FCM	NFA CBOT	7/31/2014 7/31/2014	4,748,926 188,363,000	1,000,000 108.489.520	3,748,926 79,873,480	1,910,329,000	1,789,545,000	120,784,000	90,000,000	207,569,000	,	70,948,000	50,000,000	61,763,000	) 41,203,000	20.560.000	5,000,000	0
	JP MORGAN CLEARING CORP	FCM BD	NFA	7/31/2014	7,844,454,509	2,091,725,305	5,752,729,204	1,129,987,543	788,885,611	341,101,932	70,999,705	557,652,743		198,481,056	32,325,452	+		250,000	1	0
_	JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	7/31/2014	13,109,502,495	2,225,987,881	10,883,514,614	19,393,368,514	17,308,874,487	2,084,494,027	1,557,798,704	3,315,832,560		362,225,419	265,824,643	4,700,616,746	4,010,710,065	689,906,681	360,963,906	+
_	KCG AMERICAS LLC LEK SECURITIES CORPORATION	FCM BD FCM BD	CME NFA	7/31/2014 7/31/2014	294,465,464 9,220,887	36,229,870 1,500,000	258,235,594 7.720.887	768,955,858 841.862	751,327,755 588.428	17,628,103 253,434	15,000,000 200.000	6,644,695	3,143,381	3,501,314	2,500,000	0	) 0	0	0	32,660,218
	LINN GROUP THE	FCM	NFA	7/31/2014	1,802,631	1,000,000	802,631	1,291,251	0	1,291,251	1	39,622	,	39,622	1	0	0	0	0	0
	MACQUARIE FUTURES USA LLC	FCM	CBOT	7/31/2014	169,921,020	114,991,728	54,929,292	1,545,664,637	1,366,693,874	178,970,763	90,000,000	18,180,795	- 1	13,962,828	295,258	21,605,136	3,316,120	18,289,016	232,128	0
	MAREX NORTH AMERICA LLC MB TRADING FUTURES INC	FCM RFED	CME NFA	7/31/2014 7/31/2014	13,728,361	8,280,262	5,448,099 3,470,440	104,857,587	96,847,651	8,009,936	4,841,008	19,328,043	17,299,711	2,028,332	1,729,971	0	0	0	0	27.434.504
	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	7/31/2014	24,342,165 13,126,896	20,871,725 3,575,384	9,551,512	912,606,450	892,447,480	20,158,970	18,000,000	2,417,861	, 0	2,417,861	400,000	0	) 0	0	0	27,434,504
	MERRILL LYNCH PIERCE FENNER & SMITH	FCM BD	CBOT	7/31/2014	10,041,585,317	1,099,047,995	8,942,537,322	10,501,298,494	10,072,518,519	428,779,975	225,000,000	2,875,027,186		253,231,338	200,000,000		2,065,002,087	319,288,218	100,000,000	0
	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	7/31/2014	1,911,240,959	347,151,822	1,564,089,137	2,207,983,214	1,000,398,669	1,207,584,545	300,000,000	4,545,642		3,712,702	1,000,000	1,000	0	1,000	1	0
_	MID CO COMMODITIES INC MINT BROKERS	FCM BD	NFA NFA	7/31/2014 7/31/2014	8,131,537 9,659,138	3,962,227 1,000,000	4,169,310 8,659,138	47,392,869	38,825,451	8,567,418	5,000,000	0	0	0	0	0	) 0	0	0	0
	MITSUBISHI UFJ SECURITIES USA INC	FCM BD	NFA	7/31/2014	383,160,618	1,013,911	382,146,707	0		0	0	0	0	0	0	0	0	0	0	0
	MIZUHO SECURITIES USA INC	FCM BD SD			470,191,320	211,723,805	258,467,515	2,369,259,573		178,499,133	150,000,000	546,325,306		82,837,996	80,000,000				20,000,000	<u> </u>
	MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	7/31/2014	9,333,109,493	1,653,072,250	7,680,037,243	10,886,038,710		206,507,118	105,000,000	2,374,258,917		207,483,239	105,000,000		4,633,503,266	116,927,048	92,000,000	
	MORGAN STANLEY SMITH BARNEY LLC NANHUA USA LLC	FCM BD FCM	NFA NFA	7/31/2014 7/31/2014	4,684,282,334 1,815,465	166,235,885 1,000,000	4,518,046,449 815,465	584,857,147	512,089,959	72,767,188	40,967,197	58,305,915		16,754,782	12,465,340		) 0	0	0	
	NEUBERGER BERMAN LLC	FCM BD	NFA	7/31/2014	54,898,680	1,500,000	53,398,680	0	0	0	0	-	,	0	0	0	0	0	0	0
	NEWEDGE USA LLC		NYME/NFA		1,819,767,675	958,281,407	861,486,268	12,753,294,665	12,139,106,435	614,188,230	500,000,000	3,405,008,423		355,453,201	255,000,000	+			75,000,000	<u> </u>
	NOMURA SECURITIES INTERNATIONAL INC OANDA CORPORATION	FCM BD FCMRFD	CBOT NFA	7/31/2014 7/31/2014	1,494,064,317 85,909,721	60,805,558 26,602,694	1,433,258,759 59,307,027	112,394,204	89,878,591	22,515,613	15,000,000	8,656,850	67,138	8,589,712	1,000,000	45,097,177	24,047,555	21,049,622	20,000,000	142.053.880
	OPTIONSXPRESS INC	FCM BD	NFA	7/31/2014	116,754,546	6,989,406	109,765,140	122,750,375	97,507,041	25,243,334	9,750,704	2,159,228	, 0	1,159,261	99,997	0	) 0	0	0	172,000,000
63	PHILLIP CAPITAL INC	FCM	CME	7/31/2014	27,769,248	20,000,000	7,769,248	168,884,507	152,990,593	15,893,914	7,649,530	8,674,325	2,176,123	6,498,202	108,806	0	, , ,	0	0	0
	RAND FINANCIAL SERVICES INC	FCM	CME	7/31/2014	79,849,089	21,140,600	58,708,489	300,165,900	255,451,000	44,714,900	7,663,500	34,114,100		21,794,700	369,600	-	0	0	0	0
_	RBC CAPITAL MARKETS LLC RBS SECURITIES INC	FCM BD FCM BD	CME	7/31/2014 7/31/2014	1,112,286,751 4,741,037,013	116,297,701 140,547,216	995,989,050 4.600,489,797	1,319,707,639 1.833.800.437	1,163,166,823	156,540,816 158,122,426	80,000,000 125,000,000	114,657,575 204,589,588		31,878,650 158,975,976	20,000,000		39.683.871	139.132.254	80.000.000	0
	RJ OBRIEN ASSOCIATES LLC	FCM SD	CME/NFA	7/31/2014	203,221,322	156,483,823	46,737,499	4,202,187,210	4,088,679,067	113,508,143	40,000,000	187,685,564		24,471,351	20,000,000		) 39,003,071	0	0	479,924
	ROSENTHAL COLLINS GROUP LLC	FCM	CME	7/31/2014	81,501,254	60,679,597	20,821,657	1,628,252,443	1,597,253,390	30,999,053	18,000,000	28,881,708	18,917,663	9,964,045	9,000,000	0	0	0	0	0
	SANFORD C BERNSTEIN & CO LLC SANTANDER INVESTMENT SECURITIES INC	FCM BD	NFA NYME	7/31/2014 7/31/2014	179,258,843 413,690,299	22,743,936 11,365,108	156,514,907 402,325,191	742,673,369	740.319.707	2,353,662	2.000.000	0	0 0	0	0	-	0	0	0	0
	SANTANDER INVESTMENT SECURITIES INC STATE STREET GLOBAL MARKETS LLC	FCM BD FCM BD	CME	7/31/2014	542,243,894	76,947,224	402,325,191	931,436,912	694,364,783	2,353,662	34,718,239	45,660,794	, ,	41,010,232	15,000,000		315,334,914	100,354,746	50,000,000	0
	STRAITS FINANCIAL LLC	FCM	CME	7/31/2014	10,891,881	6,623,254	4,268,627	301,424,767	292,520,996	8,903,771	2,000,000	29,963,232		2,234,088	300,000	+	) 0	0	0	0
	TD AMERITRADE INC	FCM BD	NFA	7/31/2014	303,747,941	18,688,433	285,059,508	274,914,745	201,210,375	73,704,370	18,000,000	0	,	0	0		0	0	0	0
	TIMBER HILL LLC TRADESTATION SECURITIES INC	FCM BD FCM BD	CME NFA	7/31/2014 7/31/2014	394,675,167 50,834,821	68,771,532 5,119,355	325,903,635 45,715,466	929,853,126 458,022,994	924,836,695 447,620,882	5,016,431 10,402,112	5,000,000	20,369,066	, 0	1,144,761	800,000		-	0	0	0
_	UBS FINANCIAL SERVICES INC	FCM BD	NFA	7/31/2014	1,400,908,326	93,295,497	1,307,612,829	458,022,994 131,220,807	99,878,196	31,342,611	9,000,000	20,369,066		20,321,656	15,000,000		) 0	0	0	0
77	UBS SECURITIES LLC	FCM BD	CBOT	7/31/2014	8,135,620,066	876,345,719		7,692,991,261	7,067,479,221	625,512,040	494,723,545	3,728,441,436	3,300,466,252	427,975,184	400,000,000	1,108,237,481	829,082,727	279,154,754	200,000,000	0
	VISION FINANCIAL MARKETS LLC	FCM BD		7/31/2014	38,853,237	21,597,444	17,255,793	551,408,273	538,283,263	13,125,010	8,000,000	11,836,163		2,441,838	1,500,000		0	0	0	0
	WEDBUSH SECURITIES INC WELLS FARGO SECURITIES LLC	FCM BD FCM BD	CBOT CME	7/31/2014 7/31/2014	107,720,851 2,786,201,972	9,827,154 271,413,843	97,893,697 2,514,788,129	87,686,613 1,089,002,931	82,366,728 795,027,683	5,319,885 293,975,248	2,750,000 80,000,000	7,948,316 32,011,812		1,056,508 10,196,782	500,000 3,000,000		1.509.345.655	0 232.474.806	120,000,000	0
	WHOTRADES FX LLC	FCM BD	NFA	7/31/2014	2,786,201,972	1,000,000	1,656,244	1,089,002,931	195,021,683	293,975,248	80,000,000	32,011,812		10,196,782	3,000,000		) 1,309,343,655	) 232,474,000	120,000,000	0
82	XCHANGE FINANCIAL ACCESS LLC	FCM BD	NFA	7/31/2014	6,696,438	1,065,326	5,631,112	29,157,031	27,008,597	2,148,434	1,750,000	0	0	0	0		) 0	0	0	0
	YORK BUSINESS ASSOCIATES LLC	FCM	NFA	7/31/2014	4,862,042	1,000,000	3,862,042	47,604,449	44,775,076	2,829,373	700,000	2,091,733	1,799,488	292,245	200,000	0	0	0	0	0

## SELECTED FCM FINANCIAL DATA AS OF July 31, 2014

									M REPORTS FILE September 05, 2014										
Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation		Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(o)	(p)	(q)
89																			
90																			
91 Totals							160,764,907,017	148,214,002,848	12,550,904,169	7,596,218,830	35,958,365,250	31,721,736,168	4,236,629,082	2,930,948,504	43,726,484,978	37,573,414,231	6,153,070,747	2,803,746,922	631,999,094
92																			
93 June Web Page Update 94	85																		
95 Additions	1					-													
95 Additions 96 NANHUA USA LLC	<del>' '</del>																		
97																			
98 Deletions	3																		
99 CROSSLAND LLC	1																		
100 WELLS FARGO ADVISORS FINANCIAL NETWORK LLC																			
101 WELLS FARGO ADVISORS LLC																			
102																			
103 Name Changes																			
104 PHILLIP FUTURES INC to PHILLIP CAPITAL INC																			
105																			
106 July Web Page Update	83																		
	1	<u> </u>	L																
108 (a): FCM: Futures Commission Merchant that is registred. 109 BD: The FCM is also registered with the Securiti																			
109 BD: The FCM is also registered with the Securiti 110 RFED: Retail Foreign Exchange Dealer that is regi																			
111 FCMRFD: Retail Foreign Exchange Dealer that is registered with the Commo					aler														
112 SD: The FCM or RFED is also registered with the																			
113	Commodity Fu	nursa mauliny	COMMINICATION	as a provisionally registe	orou swap ucalet.														
114 (b): DSRO: Designated Self-Regulatory Organization. FCM	VRFED firms tha	at are dually re	egistered as S	SDs have two DSROs list	ted if they have a diffe	erent DSRO for the FCN	WRFED and the SD. T	he DSRO for the FCM	/RFED is listed first and	the DSRO for the SD	is listed second.								
115																			
116 (c): A firm's net capital requirement is the greater of:																			
117																			
FCM minimum dollar amount (\$1,000,000); or																			
risk based capital requirement, the sum of 8% of total customers.					maintenance margin;	or		<u> </u>				<u> </u>				<u> </u>			
<ul> <li>the amount of capital required by a registered futures ass</li> </ul>					·	·	·		·		·		·	·	·			·	·
<ul> <li>for securities brokers and dealers, the amount of net cap</li> </ul>	tal required by F	Rule 15c3-1(a	) of the Secur	ities and Exchange Com	nmission; or														

minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or

minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.

(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.

144 145 146

(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.

(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.

(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.

(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.

(i): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.

(i): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.

(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.

(i): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm.

(m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.

(n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.

(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.

(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.

(q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.