Futures Commission Merchant / Retail Foreign Exchange	Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer	Total Amount of Retail Forex
			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	
Dealer							4d(a)(2)	Pt. 30 Required	Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)
	FOM DD	ODOT	00/04/0044	000 110 100	400 070 707	07 544 000	4 700 000 700	070 004 570	
	FCM BD		03/31/2011	200,418,426	102,873,727	97,544,699	1,786,382,780	276,931,570	
2 ADM INVESTOR SERVICES INC	FCM	CBOT	03/31/2011	291,886,079	162,710,262	129,175,817	2,486,938,778	56,063,140	0 500 47
3 ADVANCED MARKETS LLC	RFED	NFA	03/31/2011	20,089,303	20,000,000	89,303	0	0	2,592,470
4 ADVANTAGE FUTURES LLC	FCM	CME	03/31/2011	21,784,462	13,081,358	8,703,104	461,897,699	38,620,326	(
5 ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC *	FCM BD	NFA	03/31/2011	106,652,708	6,172,937	100,479,771	0	0	(
6 ALPARI (US) LLC	RFED	NFA	03/31/2011	20,652,028	20,000,000	652,028	0	0	6,210,223
7 AMERIPRISE FINANCIAL SERVICES INC	FCM BD		03/31/2011	108,614,794	1,000,000	107,614,794	0	0	(
8 AMP GLOBAL CLEARING LLC	FCM	NFA	03/31/2011	1,428,237	1,000,000	428,237	26,832,762	49,655	(
9 BARCLAYS CAPITAL INC	FCM BD	NYME	03/31/2011	6,090,810,729	736,451,718	5,354,359,011	5,512,110,026	2,197,487,661	(
10 BGC FINANCIAL LP	FCM BD	NFA	03/31/2011	60,876,006	1,000,000	59,876,006	0	0	(
11 BNP PARIBAS COMMODITY FUTURES INC	FCM	NYME	03/31/2011	373,325,490	232,163,876	141,161,614	1,531,762,736	470,813,936	
12 BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	03/31/2011	1,556,440,271	103,397,884	1,453,042,387	612,171,378	0	(
13 BNY MELLON CLEARING LLC	FCM	CME	03/31/2011	128,279,112	3,457,118	124,821,994	1,553,085	26	(
14 CANTOR FITZGERALD & CO	FCM BD	CBOT	03/31/2011	172,666,387	6,581,710	166,084,677	1,455,392	0	
15 CAPITAL MARKET SERVICES LLC	RFED	NFA	03/31/2011	2,604,568	1,000,000	1,604,568	0	0	
16 CIBC WORLD MARKETS CORP	FCM BD	CME	03/31/2011	470,824,446	1,500,000	469,324,446	0	0	
17 CITADEL SECURITIES LLC	FCM BD	CME	03/31/2011	343,453,113	1,000,000	342,453,113	0	0	
18 CITIGROUP GLOBAL MARKETS INC	FCM BD	CBOT	03/31/2011	7,875,144,300	659,266,658	7,215,877,642	10,066,221,125	1,489,002,482	
19 COMMONWEALTH FOREIGN EXCHANGE INC	FCM	NFA	03/31/2011	1,508,702	1,000,000	508,702	0	0	
20 COMPASS PROFESSIONAL SERVICES LLC	FCM BD	NFA	03/31/2011	3,787,130	1,000,000	2,787,130	0	0	
21 COUNTRY HEDGING INC	FCM	NFA	03/31/2011	26,336,507	21,305,534	5,030,973	116,236,067	183,248	
22 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	ļ	03/31/2011	7,795,713,905	1,055,060,653	6,740,653,252	5,614,260,540	1,788,660,965	
23 CROSSLAND LLC	FCM	CBOT	03/31/2011	10,982,212	4,639,866	6,342,346	75,226,388	30,395,191	
24 CUNNINGHAM COMMODITIES LLC	FCM	CBOT	03/31/2011	3,580,741	1,000,000	2,580,741	40,348,048	38,572	
25 CX CAPITAL MARKETS LLC	FCM	NFA	03/31/2011	2,308,838	1,000,000	1,308,838	26,087,524	0	
26 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD		03/31/2011	305,231,051	1,454,999	303,776,052	4,300,058	40,979	
27 DEUTSCHE BANK SECURITIES INC	FCM BD	l	03/31/2011	6,753,993,203	631,276,054	6,122,717,149	16,365,066,676	1,412,958,976	
28 DORMAN TRADING LLC	FCM	CME	03/31/2011	7,632,179	1,000,000	6,632,179	95,315,926	1,438,021	
29 EAGLE MARKET MAKERS INC	FCM	CBOT	03/31/2011	7,022,081	1,000,000	6,022,081	4,993,681	1,400,021	
30 EASY FOREX US LTD	FCM	NFA	03/31/2011	1,930,380	1,000,000	930,380	4,995,001	0	
31 ELECTRONIC BROKERAGE SYSTEMS LLC	FCM BD		03/31/2011	4,942,384	1,000,000	3,942,384	0	0	
	FCMBD								
32 ENSKILDA FUTURES LTD		CME	03/31/2011	27,969,165	21,318,934	6,650,231	364,012,783	0	
33 FARR FINANCIAL INC	FCM	NFA	03/31/2011	1,570,844	1,000,000	570,844	0	0	5 0 40 77
34 FCSTONE LLC	FCM	CME	03/31/2011	109,558,865	71,924,964	37,633,901	1,227,964,576	14,155,113	5,240,77
35 FOREX CAPITAL MARKETS LLC	RFED	NFA	03/31/2011	80,939,785	26,294,357	54,645,428	0	-	
36 FOREX CLUB LLC	RFED	NFA	03/31/2011	20,455,549	20,000,000	455,549	0	0	2,473,44
37 FRIEDBERG MERCANTILE GROUP INC	FCM	NFA	03/31/2011	3,431,419	1,000,000	2,431,419	9,580,650	834,656	
38 FRONTIER FUTURES INC	FCM	NFA	03/31/2011	1,839,422	1,317,371	522,051	28,239,356	0	
39 FUTURES TECH LLC	FCM	NFA	03/31/2011	1,607,896	1,000,000	607,896	0	0	
40 FX SOLUTIONS LLC	RFED	NFA	03/31/2011	34,877,023	20,444,496	14,432,527	0	0	18,889,92
41 FXDIRECTDEALER LLC	RFED	NFA	03/31/2011	22,576,150	21,398,527	1,177,623	0	0	37,970,55
42 GAIN CAPITAL GROUP LLC	RFED	NFA	03/31/2011	39,587,898	26,676,008	12,911,890	0	0	143,520,15
43 GLOBAL FUTURES & FOREX LTD	RFED	NFA	03/31/2011	82,765,538	23,990,187	58,775,351	629,983	210,478	89,803,740

Futures Commission Merchant / Retail Foreign Exchange	Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer	Total Amount of Retail Forex
			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	
Dealer							4d(a)(2)	Pt. 30 Required	Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)
44 GOLDMAN SACHS & CO	FCM BD	CBOT	03/31/2011	10,505,347,097	1,952,024,726	8,553,322,371	21,764,949,000	7,569,408,827	0
45 GOLDMAN SACHS & CO 45 GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD		03/31/2011	1,784,323,336	125,388,883	1,658,934,453	1,262,050,109	24,214,807	0
46 HSBC SECURITIES USA INC	FCM BD		03/31/2011	1,075,406,774	93,747,232	981,659,542	974,564,160	122,682,233	0
47 ICAP CORPORATES LLC	FCM BD		03/31/2011	43,666,169	3,615,959	40,050,210	0	0	
48 ICAP FUTURES LLC	FCM	NFA	03/31/2011	8,300,851	1,000,000	7,300,851	0	0	0
49 IG MARKETS INC	FCM	NFA	03/31/2011	28,935,338	20,000,000	8,935,338	239,823	0	1,513,884
50 IKON GLOBAL MARKETS INC	FCM	NFA	03/31/2011	17,178,679	1,000,000	16,178,679	22,082,147	108,434	1,010,004
51 INSTINET LLC	FCM BD	NFA	03/31/2011	115,078,522	1,770,195	113,308,327	0	0	0
52 INSTITUTIONAL LIQUIDITY LLC	FCM	NFA	03/31/2011	21,000,847	20,000,000	1,000,847	0	0	0
53 INTEGRATED BROKERAGE SERVICES LLC	FCM	NFA	03/31/2011	1,643,462	1,000,000	643,462	0	0	
54 INTERACTIVE BROKERS LLC	FCM BD	NFA	03/31/2011	1,225,839,821	202,217,460	1,023,622,361	728,922,719	285,913,147	0
55 INTERBANK FX LLC	RFED	NFA	03/31/2011	24,237,622	21,254,063	2,983,559	0	0	35,081,264
56 IRONBEAM INC	FCM	NFA	03/31/2011	2,070,089	1,097,248	972,841	51,373,529	369,902	00,001,204
57 ITG DERIVATIVES LLC	FCM BD		03/31/2011	3,226,619	1,000,000	2,226,619	0	0	0
58 JEFFERIES & COMPANY INC	FCM BD		03/31/2011	486,328,907	84,413,601	401,915,306	0	0	
59 JP MORGAN CLEARING CORP	FCM BD		03/31/2011	6,092,471,903	1,911,754,709	4,180,717,194	502,881,860	368,012,655	0
60 JP MORGAN FUTURES INC	FCM	NYME	03/31/2011	2,532,593,354	1,449,298,139	1,083,295,215	16,821,242,735	2,341,708,526	0
61 JP MORGAN SECURITIES LLC	FCM BD		03/31/2011	7,850,479,654	576,411,749	7,274,067,905	0	0	0
62 LEK SECURITIES CORPORATION	FCM BD	}	03/31/2011	10,419,910	1,500,000	8,919,910	247,635	0	
63 LIMESTONE TRADING LLC	FCM	NFA	03/31/2011	3,795,604	1,000,000	2,795,604	0	0	0
64 LINN GROUP THE	FCM	NFA	03/31/2011	2,536,913	1,000,000	1,536,913	35,676,779	58,265	0
65 LPL FINANCIAL LLC	FCM BD	NFA	03/31/2011	130,284,087	5,756,387	124,527,700	0	00,200	
66 MACQUARIE FUTURES USA INC	FCM	CBOT	03/31/2011	131,501,934	57,623,508	73,878,426	735,384,573	25,960,074	
67 MAREX NORTH AMERICA LLC	FCM	CME	03/31/2011	11,036,848	4,072,242	6,964,606	48,269,147	1,778,604	
68 MAREX USA LIMITED	FCM	NFA	03/31/2011	2,620,841	1,000,000	1,620,841	0	0	
69 MB TRADING FUTURES INC	RFED	NFA	03/31/2011	24,860,991	21,221,391	3,639,600	0	0	34,427,812
70 MBF CLEARING CORP	FCM	NYME	03/31/2011	9,240,976	1,480,050	7,760,926	103,701,836	2,879,261	01,127,012
71 MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	03/31/2011	11,096,110	3,965,327	7,130,783	831,065,826	0	
72 MERRILL LYNCH PIERCE FENNER & SMITH	FCM BD		03/31/2011	10,161,569,475	833,406,047	9,328,163,428	9,581,310,967	2,590,803,840	0
73 MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	03/31/2011	2,256,306,248	192,924,138	2,063,382,110	941,107,835	52,078,934	0
74 MF GLOBAL INC	FCM BD		03/31/2011	562,509,559	437,172,057	125,337,502	8,077,773,388	768,393,822	
75 MID CO COMMODITIES INC	FCM	NFA	03/31/2011	14,797,411	8,388,341	6,409,070	21,761,575	0	0
76 MITSUBISHI UFJ SECURITIES USA INC	FCM BD		03/31/2011	96,468,256	1,303,512	95,164,744	0	0	C
77 MITSUI BUSSAN COMMODITIES USA INC	FCM	NFA	03/31/2011	3,034,647	1,000,000	2,034,647	0	0	0
78 MIZUHO SECURITIES USA INC	FCM BD	1	03/31/2011	407,136,165	74,871,666	332,264,499	840,667,647	-	0
79 MORGAN KEEGAN & COMPANY INC	FCM BD		03/31/2011	443,230,087	9,412,019	433,818,068	0	0	0
80 MORGAN STANLEY & CO INCORPORATED	FCM BD		03/31/2011	7,305,943,892	1,193,275,140	6,112,668,752	4,695,017,336	1,836,913,206	
81 MORGAN STANLEY SMITH BARNEY LLC	FCM BD		03/31/2011	1,334,030,555	39,936,293	1,294,094,262	623,604,905	1,491,504,820	0
82 NATIXIS SECURITIES NORTH AMERICA INC	FCM BD		03/31/2011	218,354,179	1,000,000	217,354,179	020,004,900	0	0
83 NEUBERGER BERMAN LLC	FCM BD		03/31/2011	71,838,212	1,500,000	70,338,212	0	0	0
84 NEWEDGE USA LLC	FCM BD		03/31/2011	2,185,804,237	1,524,995,436	660,808,801	23,498,617,555	7,079,589,368	0
85 NOMURA SECURITIES INTERNATIONAL INC	FCM BD	1	03/31/2011	2,344,986,510	27,589,575	2,317,396,935	78,282,758	26,120,036	
86 OANDA CORPORATION	RFED	NFA	03/31/2011	158,959,834	28,381,939	130,577,895	0	0	177,638,790

	Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer	Total Amount
Futures Commission Merchant / Retail Foreign Exchange			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	of Retail Forex
Dealer							4d(a)(2)	Pt. 30 Required	Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)
	5014		00/01/0011	0,400,004	4 444 040	0.070.400	05 000 070	070 504	
87 OPEN E CRY LLC	FCM	NFA	03/31/2011	3,489,621	1,111,212	2,378,409	85,690,372	870,561	0
88 OPPENHEIMER & CO INC	FCM BD		03/31/2011	172,278,614	26,131,715	146,146,899	26,787,156	0	0
89 OPTIONSXPRESS INC	FCM BD		03/31/2011	88,392,705	20,000,000	68,392,705	143,420,138	2,414,209	0
	FCM	CBOT	03/31/2011	38,406,333	30,962,339	7,443,994	788,900,533	49,399,577	00.057.074
91 PEREGRINE FINANCIAL GROUP INC	FCM	NFA	03/31/2011	35,945,153	21,417,884	14,527,269	528,261,749	31,583,698	38,357,674
92 PHILLIP FUTURES INC	FCM	NFA	03/31/2011	6,979,239	1,000,000	5,979,239	0	0	0
	FCM	NFA	03/31/2011	2,823,991	1,000,000	1,823,991	0	0	4 200 000
94 PRUDENTIAL BACHE COMMODITIES LLC	FCM	CBOT	03/31/2011	246,693,000	202,984,560	43,708,440	3,168,872,000	231,828,000	4,299,000
95 RAND FINANCIAL SERVICES INC	FCM	CME	03/31/2011	71,073,560	17,083,192	53,990,368	303,922,200	17,509,800	0
96 RAYMOND JAMES & ASSOCIATES INC	FCM BD		03/31/2011	369,578,430	30,123,625	339,454,805	0	0	0
97 RBC CAPITAL MARKETS LLC	FCM BD		03/31/2011	1,146,376,525	101,728,211	1,044,648,314	398,295,089	18,609,093	0
98 RBS SECURITIES INC	FCM BD		03/31/2011	1,962,624,000	257,631,000	1,704,993,000	1,991,801,000	34,486,000	0
99 RJ OBRIEN ASSOCIATES LLC	FCM	CME	03/31/2011	137,242,069	118,320,441	18,921,628	2,473,650,360	67,097,635	3,630,585
100 ROSENTHAL COLLINS GROUP LLC	FCM	CME	03/31/2011	70,205,344	54,700,334	15,505,010	1,608,379,814	43,100,580	0
101 ROSENTHAL GLOBAL SECURITIES LLC	FCM BD		03/31/2011	29,817,541	1,000,000	28,817,541	0	0	0
102 SANFORD C BERNSTEIN & CO LLC	FCM BD		03/31/2011	174,384,403	13,638,715	160,745,688	0	0	0
103 SANTANDER INVESTMENT SECURITIES INC	FCM BD		03/31/2011	195,457,081	7,971,703	187,485,378	507,910,112	0	0
104 STATE STREET GLOBAL MARKETS LLC	FCM BD	CME	03/31/2011	400,114,303	5,344,373	394,769,930	46,991,798	5,648,374	0
105 STEPHENS	FCM BD		03/31/2011	78,938,689	13,363,211	65,575,478	0	0	0
106 STERLING COMMODITIES CORP	FCM	NYME	03/31/2011	8,513,438	1,000,000	7,513,438	32,655,939	248,440	0
107 STRAITS FINANCIAL LLC	FCM	CME	03/31/2011	7,231,228	1,000,000	6,231,228	5,000	0	0
108 TCA FUTURES LLC	FCM	NFA	03/31/2011	1,619,347	1,000,000	619,347	0	0	0
109 TD AMERITRADE INC	FCM BD	NFA	03/31/2011	301,337,055	1,000,000	300,337,055	0	0	0
110 TENCO INC	FCM	CBOT	03/31/2011	57,612,691	12,778,021	44,834,670	354,411,817	0	0
111 TIMBER HILL LLC	FCM BD	CME	03/31/2011	1,030,344,979	49,357,077	980,987,902	549,868,907	0	0
112 TOWER RESEARCH CAPITAL EUROPE LLC	FCM	NFA	03/31/2011	3,412,878	1,000,000	2,412,878	0	0	0
113 TRADELINK LLC	FCM BD	CBOT	03/31/2011	21,428,846	1,000,000	20,428,846	0	0	0
114 TRADESTATION FOREX INC.	RFED	NFA	03/31/2011	22,219,402	20,000,000	2,219,402	0	0	0
115 TRADESTATION SECURITIES INC	FCM BD	NFA	03/31/2011	60,186,849	3,241,402	56,945,447	406,530,768	6,022,034	0
116 TRADITION SECURITIES AND FUTURES INC	FCM	NFA	03/31/2011	1,541,602	1,000,000	541,602	0	0	0
117 TRILAND USA INC	FCM	NYME	03/31/2011	30,707,932	15,229,855	15,478,077	201,176,565	14,960,781	0
118 UBS FINANCIAL SERVICES INC	FCM BD	NFA	03/31/2011	1,295,635,862	102,491,711	1,193,144,151	212,494,431	1,558,702	0
119 UBS SECURITIES LLC	FCM BD	CBOT	03/31/2011	11,592,109,893	940,311,520	10,651,798,373	9,967,622,476	4,148,538,750	0
120 VELOCITY FUTURES LLC	FCM	NFA	03/31/2011	1,701,763	1,000,000	701,763	67,813,907	14,336,980	0
121 VISION FINANCIAL MARKETS LLC	FCM BD	CME	03/31/2011	19,464,000	10,861,315	8,602,685	415,144,536	6,375,300	0
122 WALL STREET DERIVATIVES INC	FCM	NFA	03/31/2011	1,500,568	1,000,000	500,568	0	0	0
123 WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	FCM BD	NFA	03/31/2011	41,844,748	2,688,793	39,155,955	0	0	0
124 WELLS FARGO ADVISORS LLC	FCM BD	NFA	03/31/2011	680,324,429	1,500,000	678,824,429	0	0	0
125 WELLS FARGO SECURITIES LLC	FCM BD	CME	03/31/2011	796,573,318	16,976,878	779,596,440	0	0	0
126 WHITE COMMERCIAL CORPORATION	FCM	NFA	03/31/2011	2,425,278	1,000,000	1,425,278	0	0	0
127 YORK BUSINESS ASSOCIATES LLC	FCM	NFA	03/31/2011	2,960,661	1,000,000	1,960,661	71,690,300	2,004,323	0
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	Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer	Total Amount
Futures Commission Merchant / Retail Foreign Exchange			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	of Retail Forex
Dealer							4d(a)(2)	Pt. 30 Required	Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)
Totals							163,052,680,828	37,495,790,244	737,537,424

Futures Commission Mechanity Retail Foreign Eschange Dealer         Note of Retail Foreign         Note Capital (4)         Ret Capital (4)         Ret Capital (4)		Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer	Total Amount
(e)       (e)       (e)       (e)       (f)       (e)       (f)       (g)         February Web Page Update       125 <td< th=""><th>Futures Commission Merchant / Retail Foreign Exchange</th><th></th><th></th><th>Date</th><th>Net Capital</th><th>Requirement</th><th>Net Capital</th><th>Seg Required</th><th>Amount</th><th>of Retail Forex</th></td<>	Futures Commission Merchant / Retail Foreign Exchange			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	of Retail Forex
Forwary Web Page Update       125         Additions       2         ICAP CORPORATES LLC       2         STRAITS FINANCIAL LLC       2         Deletions       0         None       0         Name Change       0         None       0         Name Change       0         None       127         March Web Page Update       127         TAILiaux Global Investors Deminutors LLC files financial statements quarterly.         (0)       The FCM. Futures Commission Merchant that is registered with the Commission quarterly.         (0)       The FCM. Futures Commission Merchant that is registered with the Commission quarterly.         (0)       The FCM. Futures Commission Merchant that is registered with the Commission quarterly.         (1)       The FCM. Futures Commission Merchant that is registered with the Commission quarterly.         (1)       The FCM. Futures Commission Merchant that is registered with the Commission quarter that is	Dealer							4d(a)(2)	Pt. 30 Required	
Additions       z       z       additions       constraints         ICAP CORPORATES LLC       ICAP		(a)	(b)			(c)	(d)	(e)	(f)	(g)
Additions       z       z       additions       constraints         ICAP CORPORATES LLC       ICAP										
ICAP CORPORATES LLC STRATS FINANCIAL LLC OPERATOR FINANCIAL LLC STRATS FINANCIAL LLC OPERATOR FINANCIAL FINANCIAL PROVIDE AND FINANCIAL PROVINCICLUMATION FINANC	February Web Page Update	125								
ICAP CORPORATES LLC STRATS FINANCIAL LLC OPERATOR FINANCIAL LLC STRATS FINANCIAL LLC OPERATOR FINANCIAL FINANCIAL PROVIDE AND FINANCIAL PROVINCICLUMATION FINANC	Additions									
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(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.         (e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.         (f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.         (g): This represents the total amount of funds at an FCM or RFED that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.	<ul> <li>minimum dollar amount for FCM's offering or engaged i</li> </ul>	n retail for	ex transac	tions and RFE	EDs (\$20,000,000); or	,				
(e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.  (f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.  (g): This represents the total amount of funds at an FCM or RFED that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.	<ul> <li>minimum dollar amount for FCM's offering or engaged i</li> </ul>	n retail for	ex transac	tions and RFE	Ds (\$20,000,000) plu	is five percent of the F	CM's or RFED's tota	I retail forex obligation	in excess of \$10,000,	000.
(e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.  (f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.  (g): This represents the total amount of funds at an FCM or RFED that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.										
accounts that contain a net liquidating equity.	(d): Excess net capital is adjusted net capital, less the firm's n	et capital	requireme	nt.						
accounts that contain a net liquidating equity.										
(f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States. (g): This represents the total amount of funds at an FCM or RFED that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.		equired to	segregate	e on behalf of o	customers who are tra	iding on a designated of	contract market or de	erivatives transaction e	execution facility. This	is the sum of all
(g): This represents the total amount of funds at an FCM or RFED that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.	accounts that contain a net inquidating equity.	3	1 1				r			
(g): This represents the total amount of funds at an FCM or RFED that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.		<u> </u>				<u></u>				
the realized and unrealized net profit or loss.	(f): This represents the amount of funds an FCIVI is required to	set aside	for custon	ners who trade	e on commodity excha	anges located outside (	of the United States.	1		1
the realized and unrealized net profit or loss.	(a). This represents the total amount of funds at an ECM or PE	ED that y	vould be o	htained by co	mbining all monoves	curities and property d	longeitad by a rotail f	orev customer into a r	atail forex account or a	ecounts, adjusted for
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Note: Any comments regarding information contained in this table may be brought to the attention of the CFTC's Division of Clearing and Intermediary Oversight via e-mail: DCIO@cftc.gov		1	1					1		
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