

## CFTC NOFEAR Report: FY 2016

### CFTC Complaint Activity 2012-2016

	2012	2013	2014	2015	2016
Number of Complaints Filed	2	2	1	1	5
Number of Complainants	2	2	1	1	5
Repeat Filers	1	0	0	0	1

### Complaints by Basis 2012-2016

	2012	2013	2014	2015	2016
Race	1	1	1	1	4
Color	1	0	0	0	3
Religion	0	0	0	0	1
Reprisal	2	0	1	1	5
Sex	1	1	0	0	3
National Origin	1	0	1	1	3
Equal Pay Act	0	0	0	0	0
Age	1	1	0	0	2
Disability	1	1	1	0	1
Non-EEO basis	0	0	0	0	0

### Complaints by Issue 2012-2016

	2012	2013	2014	2015	2016
Appointment/Hire (+)	0	0	0	0	0
Assignment of Duties	0	0	0	0	0
Awards	0	0	0	1	0
Conversion to Full-time	0	0	0	0	0
Demotion	0	0	0	0	0
Reprimand	0	0	0	0	0
Removal	0	0	0	0	1
Suspension	0	0	0	0	0
Other: Non-Selection/Hire	0	1	0	0	0
Duty Hours	0	0	0	0	0
Evaluation Appraisal	1	0	1	0	0
Examination/Test	0	0	0	0	0
Harassment: Non-Sexual	0	1	1	0	2
Harassment: Sexual	0	0	0	0	0
Medical Examination	0	0	0	0	0
Pay (Including Overtime)	0	0	0	0	0
Promotion/Non-Selection	1	0	0	1	2
Reassignment: Denied	0	0	0	0	0
Reassignment: Directed	0	0	0	0	0
Reasonable Accommodation	1	0	0	0	0
Reinstatement	0	0	0	0	0
Retirement	0	0	0	0	0
Termination	0	0	0	0	0
Terms/Conditions of Employment	0	0	0	0	1
Time and Attendance	1	0	0	0	0
Training	0	0	0	0	0

Other	0	0	0	0	0
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### Processing Time 2012-2016

	2012	2013	2014	2015	2016
Complaints pending (for any length of time) during fiscal year	6	3	1	2	7
Average number of days in investigation stage	150.5	178	30	175	153
Complaints pending (for any length of time) during fiscal year where hearing was requested	2	0	0	2	4
Average number of days in investigation stage	147.3	0	30	175	152
Average number of days in final action stage	40.3	0	0	137	361
Complaints pending (for any length of time) during fiscal year where hearing was not requested	156	3	0	0	0
Average number of days in investigation stage	110	178	0	0	0
Average number of days in final action stage	68.5	61.3	0	0	0

### Complaints Dismissed by Agency 2012-2016

	2012	2013	2014	2015	2016
Total Complaints Dismissed by Agency	0	2	0	0	0
Average days pending prior to dismissal	0	83	0	0	0
Total complaints Withdrawn by Complainants	0	1	0	0	1

### Total Final Actions Finding Discrimination 2012-2016

	2012	2013	2014	2015	2016
Total Number Findings	0	0	0	0	0
Without Hearing	0	0	0	0	0
With Hearing	0	0	0	0	0

### Findings of Discrimination Rendered by Basis 2012-2016

	2012	2013	2014	2015	2016
	#	#	#		
Total Number Findings	0	0	0	0	0
Race	0	0	0	0	0
Color	0	0	0	0	0
Religion	0	0	0	0	0
Reprisal	0	0	0	0	0
Sex	0	0	0	0	0
National Origin	0	0	0	0	0
Age	0	0	0	0	0
Disability	0	0	0	0	0
Non-EEO	0	0	0	0	0

Findings After Hearing	0	0	0	0	0
Race	0	0	0	0	0
Color	0	0	0	0	0
Religion	0	0	0	0	0
Reprisal	0	0	0	0	0
Sex	0	0	0	0	0
National Origin	0	0	0	0	0
Equal Pay Act	0	0	0	0	0
Age	0	0	0	0	0
Disability	0	0	0	0	0
Non-EEO	0	0	0	0	0
Findings Without Hearing	0	0	0	0	0
Race	0	0	0	0	0
Color	0	0	0	0	0
Religion	0	0	0	0	0
Reprisal	0	0	0	0	0
Sex	0	0	0	0	0
National Origin	0	0	0	0	0
Equal Pay Act	0	0	0	0	0
Age	0	0	0	0	0
Disability	0	0	0	0	0
Non-EEO	0	0	0	0	0

**Findings of Discrimination Rendered by Issue 2012-2016**

	2012	2013	2014	2015	2016
Total Number Findings	0	0	0	0	0
Appointment/Hire	0	0	0	0	0
Assignment of Duties	0	0	0	0	0
Awards	0	0	0	0	0
Conversion to Full-time	0	0	0	0	0
Demotion	0	0	0	0	0
Reprimand	0	0	0	0	0
Suspension	0	0	0	0	0
Removal	0	0	0	0	0
Other	0	0	0	0	0
Duty Hours	0	0	0	0	0
Evaluation Appraisal	0	0	0	0	0
Examination/Test	0	0	0	0	0
Non-Sexual	0	0	0	0	0
Sexual	0	0	0	0	0
Medical Examination	0	0	0	0	0
Pay (Including Overtime)	0	0	0	0	0
Promotion/Non-Selection	0	0	0	0	0
Denied	0	0	0	0	0
Directed	0	0	0	0	0
Reasonable Accommodation	0	0	0	0	0
Reinstatement	0	0	0	0	0
Retirement	0	0	0	0	0
Termination	0	0	0	0	0

Terms/Conditions of Employment	0	0	0	0	0
Time and Attendance	0	0	0	0	0
Training	0	0	0	0	0
Other	0	0	0	0	0
Appointment/Hire	0	0	0	0	0
Assignment of Duties	0	0	0	0	0
Awards	0	0	0	0	0
Conversion to Full-time	0	0	0	0	0
Reprimand	0	0	0	0	0
Suspension	0	0	0	0	0
Removal	0	0	0	0	0
Other	0	0	0	0	0
Duty Hours	0	0	0	0	0
Evaluation Appraisal	0	0	0	0	0
Examination/Test	0	0	0	0	0
Harassment: Non-Sexual	0	0	0	0	0
Harassment: Sexual	0	0	0	0	0
Medical Examination	0	0	0	0	0
Pay (Including Overtime)	0	0	0	0	0
Promotion/Non-Selection	0	0	0	0	0
Reassignment: Denied	0	0	0	0	0
Reassignment: Directed	0	0	0	0	0
Reasonable Accommodation	0	0	0	0	0
Reinstatement	0	0	0	0	0
Retirement	0	0	0	0	0
Termination	0	0	0	0	0
Terms/Conditions of Employment	0	0	0	0	0
Time and Attendance	0	0	0	0	0
Training	0	0	0	0	0
Other	0	0	0	0	0

**Pending Complaints Filed in Previous Fiscal Years by Status 2012-2016**

	2012	2013	2014	2015	2016
Total complaints from previous FYs	3	1	1	1	1
Total Complainant	6	1	1	1	1
Investigation	1	1	0	0	0
Hearing	0	0	0	1	1
Final Agency Action	5	1	0	0	0
Appeal with EEOC OFO	2	0	1	0	0

**Complaint Investigations 2012-2016**

	2012	2013	2014	2015	2016
Pending Completion Where Investigation Exceeds Required Time Frames	0	0	0	0	0