



Division of
Enforcement

U.S. COMMODITY FUTURES TRADING COMMISSION

140 Broadway
New York, New York 10005
Telephone: (646) 746-9733
Facsimile: (646) 746-9940

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BULLETIN TO FORMER CLIENTS OF WALTER SCOTT LEV & ASSOCIATES, LLC.

To the Former Clients of Walter Scott Lev & Associates, LLC (WSL):

On November 18, 2003, the CFTC filed six separate federal injunctive actions in the United States District Court for the Southern District of New York. Information on these matters may be found at www.cftc.gov (search term: 4867-03).

The civil cases were assigned to Judge George B. Daniels, who issued orders restraining the defendants' assets and appointing Brian Rosner, Esquire to act as the Receiver for the individual and entity defendants.

The Receiver is attempting to locate the former clients of WSL, a defendant in one of the lawsuits: *U.S. Commodity Futures Trading Commission v. Walter Scott Lev & Associates, LLC, et al.*, 03 CV 9126 (S.D.N.Y. GBD).

The Receiver has restrained a small amount of assets of WSL. Additionally, the related individual defendants have commenced payment of their court-ordered restitution on behalf of the former clients.

The Receiver is preparing to release the restrained assets to confirmed former clients of WSL. Prior to the release of such funds under a court approved-asset allocation plan, the Receiver must verify that you are, in fact, one of the former clients.

If you are a former client of WSL, please immediately forward any information or documents on your investment with WSL via email to nnapierala@carltonfields.com or via regular mail to Natalie A. Napierala, Esq., Carlton Fields, P.A., 26 Broadway, 22nd Fl. New York, New York 10004.