

Exhibit R



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**BCause LLC**

**Rules Prohibiting Specific  
Trade Practice Violations**

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Exhibit R requests a list of rules prohibiting specific trade practice violations.

Rules 421 and 423 – 426 identify specific trade practice violations.

#### **421. Buying and Selling Orders for the Same Principals**

No Clearing Firm, nor any Authorized Participant or employee of a Clearing Firm, shall accept a “simultaneous buying and selling” order from a Customer, or for any Customer accounts that are under common beneficial ownership or control, for the same Contract, including underlying commodity or interest, contract month and, for an option on a futures contract, strike value.

The Exchange may permit orders for Customer accounts under common beneficial ownership or control to be executed against each for bonafide, legitimate business purposes. Prior to allowing such executions to occur within the Exchange rules, a Clearing Firm must make a written request in the form and manner prescribed by the Exchange.

#### **423. Fraudulent Acts**

No Clearing Firm, Authorized Participant or Direct Market Access Authorized Participant shall engage in any fraudulent or deceptive act or engage in any scheme to defraud in connection with or related to any trade on or other activity related to the Exchange or the Clearing House.

#### **424. Fictitious Transactions**

No Clearing Firm, Authorized Participant, Direct Market Access Authorized Participant, or employee of such Person, shall create fictitious transactions or give an order for the purchase or sale of a Contract, the execution of which would involve no change in ownership, or execute such an order with knowledge of its character.

#### **425. Market Demoralization**

Orders of Contracts entered in the Exchange System for the purpose of upsetting the equilibrium of the market and bringing about a condition of demoralization in which prices do not or will not reflect fair market values, are forbidden. Any Clearing Firm, Authorized Participant, Direct Market Access Authorized Participant or employee of such Person, that makes or assists in entering such orders with knowledge of the purpose thereof, or that, with such knowledge shall be a party to assist in carrying out any plan or scheme for the entering of such orders, shall be deemed guilty of an act inconsistent with just and equitable principles of trade.

#### **426. Market Manipulation**

Manipulation and attempted manipulation of the market is prohibited.