

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 16-395

Organization: The Board of Trade of the City of Chicago, Inc. ("CBOT")

Filing as a:  DCM  SEF  DCO  SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): September 27, 2016 Filing Description: Weekly Notification of Amendments Related to Product Terms and Conditions - Week of September 19, 2016

**SPECIFY FILING TYPE**

Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

- |                          |                                     |            |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification                       | § 40.6(a)  |
| <input type="checkbox"/> | Approval                            | § 40.5(a)  |
| <input type="checkbox"/> | Notification                        | § 40.6(d)  |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change         | § 40.10(h) |

**Rule Numbers:**

**New Product**

Please note only ONE product per Submission.

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Official Product Name:**

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                                     |   |                      |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/>            | Certification   | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/>            | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/>            | Approval  | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/>            | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/>            | “Non-Material Agricultural Rule Change”                 | § 40.4(b)(5)         |
| <input checked="" type="checkbox"/> | Notification  | § 40.6(d)            |

Official Name(s) of Product(s) Affected: See filing.

Rule Numbers: See filing.

September 27, 2016

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, DC 20581

**RE: CFTC Regulation 40.6(d) Notification. The Board of Trade of the City of Chicago, Inc. ("CBOT" or "Exchange") Weekly Notification of Amendments Related to Product Terms and Conditions.  
CBOT Submission No. 16-395**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission ("CFTC" or "Commission") Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of September 19, 2016.

On Tuesday, September 20, 2016, the Exchange approved a request from Erwin Keith, Inc. for an increase of regularity for the delivery of rough rice at their Marked Tree, AR facility. The marketplace was notified of this approval via MKR# 09-20-16B, which is attached hereto as Exhibit A.

In accordance with this approval, the Exchange updated the CBOT Rulebook Chapter 7 Chart for Rough Rice facilities, which is attached hereto as Exhibit B, with amendments blacklined.

If you require any additional information, please e-mail [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com) or contact the undersigned at (212) 299-2200.

Sincerely,

/s/ Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachments: Exhibit A – MKR# 09-20-16B  
Exhibit B – CBOT Rulebook Chapter 7 Charts for Rough Rice Facilities (blackline format)

## EXHIBIT A

**DATE:** September 20, 2016  
**MKR#:** 09-20-16B  
**SUBJECT:** Approved Application for Increase in Rough Rice Regularity

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The Exchange has approved the application for an increase in Rough Rice regularity from **Erwin Keith Inc.** at their **Marked Tree, AR** facility. The following chart provides the elevator name, approved storage capacity (in hundredweights) and the approved maximum allowable receipts:

<u>Elevator</u>	<u>Approved Capacity</u>	<u>Approved Receipts</u>
Marked Tree, AR	935,000	467

### **Market Surveillance**

Terry Gehring                      [Terry.Gehring@cmegroup.com](mailto:Terry.Gehring@cmegroup.com)                      (312) 435-3644

The Commodity Futures Trading Commission (CFTC) will be notified of this increase in regularity during the week of September 26, 2016 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

**EXHIBIT B**

**CBOT Rulebook Chapter 7 Chart**

(deletions ~~overstruck~~, additions underlined)

**ROUGH RICE REGULARITY**

<b>CCL Code</b>	<b>FIRM/FACILITY</b>	<b>Total Capacity (cwt.)</b>	<b>Maximum Receipts Deliverable</b>	<b>Storage Rate (per hundred weight per day)</b>	<b>Load-Out rate (per hundred weight)</b>
<b>CROP MARKETING SERVICES, LLC</b>					
8065	McGehee, AR	1,666,350	833	42.00/100 of a ct.	22.22 cents
<b>EASTSIDE RICE LLC</b>					
8072	Jonesboro, AR	1,310,000	655	42.00/100 of a ct	22.22 cents
<b>ERWIN-KEITH INC.</b>					
8068	Marked Tree, AR	<del>810,000</del> <u>935,000</u>	<del>405</del> <u>467</u>	42.00/100 of a ct	22.22 cents
8069	Wynne, AR	1,444,500	722	42.00/100 of a ct	22.22 cents
<b>FARMER'S GRANARY, INC.</b>					
8010	Patterson, AR	900,000	450	42.00/100 of a ct	22.22 cents
8012	McCrary, AR	150,000	75	42.00/100 of a ct	22.22 cents
<b>SOUTHERN RICE AND COTTON, LLC</b>					
8006	Harrisburg, AR	952,000	476	42.00/100 of a ct	22.22 cents
<b>HARVEST RICE, INC.</b>					
8055	McGehee, AR	1,185,000	592	42.00/100 of a ct	22.22 cents
8060	Otwell, AR	28,450	14	42.00/100 of a ct	22.22 cents
<b>POINSETT RICE &amp; GRAIN, INC.</b>					
8059	Cherry Valley, AR	876,000	438	42.00/100 of a ct	22.22 cents
8058	Diaz, AR	1,262,000	631	42.00/100 of a ct	22.22 cents
8057	Waldenburg, AR	1,746,900	873	42.00/100 of a ct	22.22 cents
<b>PRODUCER'S RICE MILL, INC</b>					
8038	Stuttgart, AR	122,000	61	42.00/100 of a ct	21.10 cents
8025	Stuttgart, AR (Mill Site)	400,000	200	42.00/100 of a ct	21.10 cents
8026	Wynne, AR	478,000	239	42.00/100 of a ct	20.00 cents
<b>RICELAND FOODS, INC.</b>					
8030	Dumas, AR	450,000	225	42.00/100 of a ct	22.22 cents
8031	Fair Oaks, AR	450,000	225	42.00/100 of a ct	22.22 cents
8032	Hickory Ridge, AR	338,000	169	42.00/100 of a ct	22.22 cents
8033	Jonesboro, AR	2,250,000	1,125	42.00/100 of a ct	22.22 cents
8042	McGehee, AR	450,000	225	42.00/100 of a ct	22.22 cents
8035	Newport, AR	360,000	180	42.00/100 of a ct	22.22 cents
8027	Stuttgart, AR- Dryer Mill Site	2,250,000	1,125	42.00/100 of a ct	22.22 cents
8043	Waldenburg, AR	850,000	425	42.00/100 of a ct	22.22 cents
8040	Weiner, AR	450,000	225	42.00/100 of a ct	22.22 cents
8041	Wheatly, AR	450,000	225	42.00/100 of a ct	22.22 cents
<b>WINDMILL RICE COMPANY, LLC</b>					
8067	Jonesboro, AR	600,000	300	42.00/100 of a ct	22.22 cents
<b>PLANTERS RICE MILL, LLC</b>					
8070	Brinkley, AR	500,000	250	42.00/100 of a ct	22.22 cents
<b>RITTER GRAIN SERVICES</b>					
8075	Otwell, AR	1,000,000	500	42.00/100 of a ct	22.22 cents