



Rule Self-Certification

May 14, 2015

Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Center
1155 21st Street, NW
Washington, DC 20581

Re: Regulation §40.6 Submission Certification
Reference File: SR-NFX-2015-29

Dear Mr. Kirkpatrick:

Pursuant to Section 5c(c)(1) of the Commodity Exchange Act, as amended (“Act”), and Section 40.6 of the Commission’s regulations thereunder, NASDAQ Futures, Inc. (“NFX” or “Exchange”) is amending its NFX Henry Hub Conditional Limit Application form, attached hereto as Exhibit A. The amendments will be effective May 30, 2015.¹

The amendments delete the requirement for the applicant to submit information as to the maximum number of long and short futures contracts the applicant intends to enter into. They also add additional detail as to the nature of the Henry Hub contracts held by the market participant as of the date of submission of the application which are required to be described therein. These amendments are designed to align the form more closely to a comparable form used by at least one established exchange offering a Henry Hub conditional position limit, with which market participants are familiar. Since the form continues to require applicants to provide such other information as may be requested by the Exchange, NFX will have the ability to request additional information required to evaluate any given application on an as needed basis.

The Exchange has reviewed this submission for compliance with the designated contract market core principles (“Core Principles”) as set forth in the Act. In compliance with Core Principle 7, the amended application form will be posted on the Exchange’s website.

¹ The NFX Henry Hub Conditional Limit Application form was initially filed with the Commission as part of the SR-NFX-2015-23 submission on April 16, 2015.

There were no opposing views among NFX's Board of Directors, members or market participants. The Exchange hereby certifies that the attached application form, as amended, complies with the Commodity Exchange Act and the Commission's regulations thereunder. The Exchange also certifies that notice of pending certification and a copy of this submission have been concurrently posted on the Exchange's website at WWW.NASDAQOMX.COM/NASDAQ-FUTURES.

If you require any additional information regarding this submission, please contact Carla Behnfeldt at (215) 496-5208 or via e-mail at Carla.behnfeldt@nasdaq.com. Please reference SR-NFX-2015-29 in any related correspondence.

Regards,

A handwritten signature in black ink that reads "Daniel R. Carrigan". The signature is written in a cursive, flowing style.

Daniel R. Carrigan
President

Attachments:

Exhibit A – Amended NFX Henry Hub Conditional Position Limit Application

NFX Henry Hub Conditional Position Limit Application

Submit completed applications
to FuturesReg@nasdaqomx.com

Please refer to NFX Rulebook Chapter V, Section 13, Position Limits and Position Accountability, for NFX requirements related to position limits.

Applicant Account (Name): _____

Clearing Futures Participant (Name): _____

Account Information				Futures Position	
Tax I.D. Number	Name	Address	Applicant Account Number	Maximum Number of Long Contracts Market Participant Intends to Enter	Maximum Number of Short Contracts Market Participant Intends to Enter
			TOTAL		

NFX Rulebook Chapter V, Section 13(a)(ii) provides that a conditional limit in the NFX Henry Hub Natural Gas Financial Futures – 10,000 contract (HHQ) and in the NFX Henry Hub Natural Gas Financial Futures – 2,500 contract (NNQ) of up to the equivalent of five times the otherwise applicable spot month position limit for HHQ may be granted by the Exchange for the period that spot month position limits are in effect, subject to certain conditions. Application for the conditional limit shall be made by completing this form and submitting it to the Exchange by email at FuturesReg@nasdaqomx.com no later than 4 business days prior to exceeding the position limit.

Provide a complete description of all Henry Hub contracts (including but not limited to Physicals/Forwards/Futures/Swaps) as of the date of submission of this application: _____

Applicant represents and covenants to NFX that: (A) it will not hold or control a position in the corresponding CME/NYMEX Natural Gas Futures (NG) contract during the last three days of trading; (B) it will provide the Exchange with information on all positions related to Henry Hub contracts; and (C) it will provide such other information as may be requested by the Exchange.

Applicant further represents that it has notified the Clearing Futures Participant identified on page 1 that this NFX Henry Hub Conditional Position Limit Application has been filed with NFX, and that all information set forth in this form by Applicant is accurate and complete.

Date

Prepared by: _____

Signature: _____

Attach additional sheets as needed to complete the above questions.