



July 13, 2015

Melissa Jurgens  
Office of the Secretariat  
U.S. Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, D.C. 20581

**Re: Submission 07-15: Certification Pursuant to Commission Rule 40.6 – Amendment to SwapEx Advisory Notice regarding Trading Procedures and Protocols**

Dear Ms. Jurgens:

Pursuant to Section 5c(c) of the Commodity Exchange Act (the “Act”) and Section 40.6(a) of the regulations of the Commodity Futures Trading Commission (the “CFTC” or “Commission”), SwapEx, LLC (“SwapEx”) hereby notifies the Commission that it is amending Advisory Notice 01-15 – SwapEx Trading Procedures and Protocols, which was previously certified as a rule to the CFTC by letter dated January 20, 2015.

SwapEx hereby certifies that: (i) this amended notice complies with the Act and the Commission’s regulations thereunder, and (ii) a notice and copy of this submission is being concurrently posted on SwapEx’s web site. There were no substantive opposing views to the amendments to this notice.

In the event that you have questions, please contact the undersigned at (312) 798-6517 or [BJRegan@statestreet.com](mailto:BJRegan@statestreet.com).

Sincerely,

  
Brian J. Regan  
Chief Compliance Officer

Encl. (Exhibit A)

cc: Jonathan Lave, Division of Market Oversight

**Exhibit A**

**Amended Advisory Notice 01-15 – SwapEx Trading Procedures and Protocols**

(see attached)