

<u>RESPONDENT</u> -----	<u>JUDGEMENT</u> -----	<u>DOCKET NUMBER</u> -----
1. Ace American, Inc. San Francisco, CA NFA ID - 0000364	\$12,500.00 11.000% from 07/22/1982 \$25.00 Filing Fee Joint and Several	83-R310
	\$8,340.00 16.000% from 12/28/1979 \$25.00 Filing Fee	R80-1008-81-132
	\$15,000.00 11.000% from 01/21/1980 \$25.00 Filing Fee	R82-94-82-415
	\$16,571.24 11.000% from 11/11/1982 \$25.00 Filing Fee	83-R701
	\$20,500.00 11.000% from 05/26/1983 \$25.00 Filing Fee Joint and Several	83-R653
	\$4,700.00 10.080% from 06/17/1981 \$25.00 Filing Fee Joint and Several	R81-991-82-190
	\$2,765.00 8.570% from 05/19/1980 \$25.00 Filing Fee	R80-1165-81-89
	\$14,176.00 16.000% from 02/09/1981 \$25.00 Filing Fee	82-R174
	\$6,332.12 6.560% from 02/16/1983 \$25.00 Filing Fee Joint and Several	83-R344
2. Ackermann, John Fredrick Ashland, OR Date Effective : 09/20/1996 NFA ID - 0198562	\$12,731.00 5.890% from 08/18/1993 \$125.00 Filing Fee Joint and Several	95-R083

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Ackermann, John Fredrick (Continued) Date Effective : 09/20/1996	\$12,731.00 5.890% from 08/18/1993 \$125.00 Filing Fee Joint and Several	95-R082
Date Effective : 09/23/1996	\$38,651.00 5.890% from 03/28/1994 \$250.00 Filing Fee Joint and Several	96-R028
Date Effective : 11/25/1996	\$69,111.00 5.900% from 03/25/1994 Joint and Several	96-R029
3. Acton, Charles W. Ft. Lauderdale, FL Date Effective : 04/27/1990 NFA ID - 0194827	\$5,469.00 7.970% from 01/20/1987 \$25.00 Filing Fee	89-R121
4. Adams, Joseph H. a/k/a Prestige West Capital Investments Hayward, CA Chicago. IL Date Effective : 11/13/1989 NFA ID - 0195765	\$2,000.00	88-R271
5. Adams, Joseph H. Glencoe, IL Date Effective : 09/07/1989 NFA ID - 0188136	\$88,996.10 8.160% from 07/29/1988 Joint and Several \$1,313.00	89-R037
6. Advanced Commodity Trading Novato, CA NFA ID - 0105738	\$14,035.00 11.000% from 07/07/1980 \$25.00 Filing Fee	83-R072
7. Alderdice, William L. Ft. Lauderdale, FL NFA ID - 0015632	\$1,080.00 11.000% from 04/07/1982 Joint and Several	83-R583
8. Alechman, Sheldon North Miami Beach, FL	\$12,569.00 12.000% from 08/01/1980 \$25.00 Filing Fee	R81-1185-82-37

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9.	Alexander, Michele A. a/k/a U.S. Center Corp. Futures Jupiter, FL Date Effective : 07/20/1989 NFA ID - 0176217	\$5,000.00 9.150% from 01/04/1988 \$25.00 Filing Fee	89-R166
10.	Alexandre, Serge, II Novato, CA Date Effective : 12/30/1989 NFA ID - 0058495	\$7,029.28 7.900% from 09/12/1986 \$100.00 Filing Fee	89-R076
	Date Effective : 06/14/1991	\$43,198.00 6.260% from 12/01/1987 Joint and Several	89-R204
	Date Effective : 11/09/1992	\$12,020.00 3.410% from 05/13/1988 \$200.00 Filing Fee	91-R256
11.	Alexandre-Thyssen, Elizabeth New York, NY NFA ID - 0062109	\$5,000.00 11.000% from 12/26/1980 Joint and Several	83-R126
		\$1,113.74 10.000% from 11/30/1982 \$25.00 Filing Fee	83-R784
12.	Allen, Arthur P. Ft. Lauderdale, FL NFA ID - 0049129	\$19,000.00 11.000% from 06/17/1981 Joint and Several	83-R124
		\$12,569.00 12.000% from 08/01/1980 \$25.00 Filing Fee	R81-1185-82-37
		\$14,687.61 7.000% from 12/05/1980 \$25.00 Filing Fee Joint and Several	82-R467

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13.	Allen, James W. Bloomfield Hills, MI NFA ID - 0040328	\$30,000.00 12.000% from 05/31/1980 - to 01/31/1982 20.000% from 02/01/1982 - to 12/31/1982 16.000% from 01/01/1983 - to 06/30/1983 11.000% from 07/01/1983 \$25.00 Filing Fee	82-R263
14.	Allen, Richard West Bloomfield, MI	\$2,500.00 12.000% from 12/31/1981 \$25.00 Filing Fee Joint and Several	82-R607
15.	Alpine Financial Corporation Boca Raton, FL Date Effective : 10/28/1996 NFA ID - 0251031	\$7,802.00 5.810% from 05/31/1994 \$50.00 Filing Fee	96-R089
	Date Effective : 11/04/1996	\$1,849.93 5.670% from 10/28/1994 \$50.00 Filing Fee	96-R106
	Date Effective : 12/09/1996	\$10,000.00 5.900% from 09/30/1994 \$125.00 Filing Fee	96-R030
16.	Altieri, Linda F. Duxbury, MA Date Effective : 04/01/1989 NFA ID - 0033574	\$19,557.00 9.160% from 11/26/1984 \$25.00 Filing Fee	87-R079
17.	Amadio, Thomas Joseph Chicago Heights, IL Date Effective : 03/16/2000 NFA ID - 0257393	\$18,800.00 5.670% from 10/01/1994 \$250.00 Filing Fee Joint and Several	98-R118
18.	Amato, Charles Pembroke Pines, FL Date Effective : 01/07/1998 NFA ID - 0195427	\$7,801.60 5.600% from 05/31/1994 \$50.00 Filing Fee	96-R089
19.	American Board of Trade, Inc. New York, NY	\$3,200.00 9.090% from 02/11/1983 \$25.00 Filing Fee	83-R453

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20.	American Dynamics Corporation New York, NY	\$8,800.00 Joint and Several	83-R243
21.	American Financial Group Services, Inc. Jupiter, FL Date Effective : 02/22/2000 NFA ID - 0275199	\$9,580.00 5.471% from 03/20/1998 \$50.00 Filing Fee	98-R199
22.	American Financial Services, Inc. New York, NY Date Effective : 11/22/2000 NFA ID - 0001512	\$29,134.00 6.241% from 12/09/1997 \$125.00 Filing Fee Joint and Several	00-R065
	Date Effective : 09/28/1998	\$12,026.70 5.375% from 09/30/1997 \$125.00 Filing Fee	98-R059
	Date Effective : 10/09/1998	\$4,731.14 \$50.00 Filing Fee Joint and Several	98-R133
	Date Effective : 10/09/1998	\$5,950.00 \$50.00 Filing Fee Joint and Several	98-R141
	Date Effective : 11/04/1998	\$10,017.00 5.271% from 04/22/1996 \$125.00 Filing Fee Joint and Several	97-R121
	Date Effective : 01/11/1999	\$13,480.00 4.242% from 02/19/1997 \$50.00 Filing Fee Joint and Several	98-R187
	Date Effective : 01/22/1999	\$2,000.00 4.616% from 11/01/1998 \$125.00 Filing Fee Joint and Several	97-R112
		\$5,000.00 4.616% from 10/26/1996 - to 10/31/1998	

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
American Financial Services, Inc. (Continued)	\$21,477.00 4.727% from 04/16/1997 \$125.00 Filing Fee	99-R077
Date Effective : 11/26/1999	\$8,000.00 5.224% from 06/12/1997 \$50.00 Filing Fee Joint and Several	99-R158
American Financial Services, Inc. New York,, NY Date Effective : 08/24/1998 NFA ID - 0001512	\$4,061.45 5.434% from 09/17/1997 \$125.00 Filing Fee	98-R068
23. American Futures Group, Inc. New York, NY Date Effective : 04/14/2000 NFA ID - 0089434	\$66,821.72 5.375% from 11/14/1995 \$250.00 Filing Fee Joint and Several	97-R083
Date Effective : 02/26/1998	\$2,056.08 \$50.00 Filing Fee	97-R026
Date Effective : 04/10/1998	\$10,000.00 5.431% from 02/22/1995 \$125.00 Filing Fee	97-R068
Date Effective : 04/17/1998	\$2,311.54 5.468% from 03/10/1995 \$50.00 Filing Fee	97-R028
Date Effective : 07/06/1998	\$50,023.80 5.391% from 05/31/1996 \$250.00 Filing Fee	98-R105
Date Effective : 07/13/1998	\$10,606.00 5.407% from 02/06/1995 \$125.00 Filing Fee Joint and Several	97-R040
Date Effective : 09/21/1998	\$53,942.00 5.413% from 04/04/1996 \$250.00 Filing Fee	98-R111

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
American Futures Group, Inc. (Continued)	\$24,634.39 5.271% from 09/10/1996 \$125.00 Filing Fee	98-R160
Date Effective : 11/04/1998	\$10,017.00 5.271% from 04/22/1996 \$125.00 Filing Fee Joint and Several	97-R121
Date Effective : 01/11/1999	\$13,480.00 4.242% from 02/19/1997 \$50.00 Filing Fee Joint and Several	98-R187
Date Effective : 01/22/1999	\$2,000.00 4.616% from 11/01/1998 \$125.00 Filing Fee Joint and Several	97-R112
	\$5,000.00 4.616% from 10/26/1996 - to 10/31/1998	
24. American Gold Dealers Association Newport Beach, CA	\$2,326.50 11.000% from 04/15/1981 \$25.00 Filing Fee	82-R336
25. American Gold, Inc. Newport Beach, CA NFA ID - 0002106	\$805.60 7.910% from 11/02/1982 \$25.00 Filing Fee Joint and Several	83-R483
	\$4,207.90 8.180% from 02/28/1983 \$25.00 Filing Fee Joint and Several	83-R628
	\$5,000.00 9.090% from 07/01/1982 \$25.00 Filing Fee Joint and Several	83-R127
26. American Independent Management, Inc. Irvine, CA NFA ID - 0002813	\$3,500.00 \$25.00 Filing Fee	87-R107

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27.	American Metals Exchange Boca Raton, FL	\$3,267.45 11.000% from 12/13/1982	83-R279
28.	American Monetary Associated Services, Inc. Newport Beach, CA NFA ID - 0001554	\$4,853.16 9.080% from 08/16/1983 \$25.00 Filing Fee Joint and Several	84-R024
		\$5,559.77 7.030% from 07/28/1983 \$25.00 Filing Fee Joint and Several	83-R801
29.	Americas Trading Group Name Changed To: Americas Global Traders, Inc. Boca Raton, FL Date Effective : 12/01/2003 NFA ID - 0280599	\$9,769.00 1.220% from 05/28/2000 \$50.00 Filing Fee Joint and Several	01-R031
30.	AMER-Royal Strategic Metals, Ltd. Southfield, MI	\$7,671.29 11.000% from 07/28/1981	83-R264
31.	Amster, Scott Brian North Miami Beach, FL Date Effective : 10/04/1991 NFA ID - 0101728	\$56,020.00 6.390% from 01/01/1987 \$25.00 Filing Fee Joint and Several	90-R061
32.	Anctil, Stephen Michael North Miami Beach, FL Date Effective : 10/29/1991 NFA ID - 0042388	\$4,873.00 5.680% from 04/28/1989 \$100.00 Filing Fee	91-R162
33.	ANDERSON, RAYMOND ANTOINE LOS ANGELES, CA Date Effective : 02/16/2001 NFA ID - 0274667	\$5,000.00 6.052% from 03/16/1998 \$3,105.30 6.052% from 08/18/1998 \$8,120.00 6.052% from 08/25/1998 \$125.00 Filing Fee	00-R114

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34.	Andring, Ronald V. Pompano Beach, FL Date Effective : 06/26/1989 NFA ID - 0177899	\$3,350.00 9.510% from 04/28/1986 \$25.00 Filing Fee	88-R379
35.	Angrick, Alan Santa Barbara, CA NFA ID - 0074634	\$25,793.30 6.900% from 03/30/1984 \$200.00 Filing Fee	86-R047
		\$24,737.50 6.900% from 03/22/1984 \$200.00 Filing Fee	86-R048
36.	Ansell, Murray New York, NY NFA ID - 0054787	\$11,761.00 11.000% from 12/04/1980 \$25.00 Filing Fee Joint and Several	82-R356
37.	Apache Trading Corp. Ft. Lauderdale, FL NFA ID - 0000649	\$8,130.00 6.350% from 05/11/1983 \$25.00 Filing Fee	85-R283
		\$6,619.18 7.870% from 05/05/1983 \$25.00 Filing Fee Joint and Several	84-R014
		\$2,470.20 6.930% from 03/31/1986 \$100.00 Filing Fee	87-R203
		\$3,885.36 7.540% from 04/10/1986 \$100.00 Filing Fee	88-R167
		\$3,157.00 7.540% from 04/28/1986 \$25.00 Filing Fee	88-R232
	Date Effective : 04/21/1989	\$25,837.32 9.320% from 04/07/1986	88-R032
	Date Effective : 04/21/1989	\$12,033.33 9.320% from 09/13/1986	88-R030

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Apache Trading Corp. (Continued) Date Effective : 08/11/1989	\$6,537.66 9.150% from 01/21/1986 Joint and Several	87-R246
Date Effective : 08/11/1989	\$3,052.23 9.150% from 01/23/1986 Joint and Several	88-R031
Date Effective : 08/11/1989	\$6,408.78 9.150% from 01/08/1986 Joint and Several	88-R033
Date Effective : 08/31/1989	\$5,500.00 8.850% from 12/02/1985 \$100.00 Filing Fee Joint and Several	88-R092
Date Effective : 02/16/1991	\$8,445.45 6.710% from 09/02/1986 \$100.00 Filing Fee Joint and Several	87-R331
38. Ark, Frank David, Jr. Encinitas, CA Date Effective : 04/17/1992 NFA ID - 0181206	\$3,000.00 6.460% from 04/13/1987 \$25.00 Filing Fee	89-R180
39. Armstrong, William New York, NY	\$4,000.00 11.000% from 11/15/1982 \$25.00 Filing Fee	83-R349
40. Arnoux, Mark Harry Elgin, IL NFA ID - 0077599	\$5,000.00 5.770% from 09/04/1984 \$100.00 Filing Fee Joint and Several	85-R239
41. Arroyo, Peter Joseph, Sr. Las Vegas, NV Date Effective : 03/07/1994 NFA ID - 0206431	\$14,706.00 3.610% from 11/27/1990 \$200.00 Filing Fee Joint and Several	93-R023
42. Assured Futures, Inc. San Diego, CA Date Effective : 01/12/1989 NFA ID - 0806377	\$160,702.59 8.150% from 11/09/1988 \$200.00 Filing Fee Joint and Several	87-R006

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43.	Athanson, Harry J. Valparaiso, IN NFA ID - 0074128	\$4,000.00 6.310% from 05/01/1984 \$100.00 Filing Fee	86-R073
		\$3,950.00 6.300% from 05/23/1987 \$100.00 Filing Fee	85-R263
44.	Atienza, Teodoro Jack Pleasanton, CA Date Effective : 06/13/1989 NFA ID - 0182762	\$3,370.00 Joint and Several	89-R015
	Date Effective : 09/07/1989	\$88,996.19 8.160% from 07/29/1988 Joint and Several	89-R037
		\$1,313.00	
45.	Atlantic Corporation, The Chicago, IL NFA ID - 0002356	\$28,323.01 6.090% from 09/10/1984 \$200.00 Filing Fee Joint and Several	86-R191
		\$4,049.25 7.200% from 05/18/1988	86-R119
46.	Atlantic Futures Fund, L.P. North Palm Beach, FL Date Effective : 01/27/1994 NFA ID - 0225244	\$3,250.00	93-R193
	Atlantic Futures, Ltd. North Palm Beach, FL Date Effective : 01/27/1994 NFA ID - 0225244	\$3,250.00	93-R193
47.	Atlantic Mercantile Group, Inc. Coral Springs, FL Date Effective : 03/02/1992 NFA ID - 0219013	\$5,519.10 Joint and Several	92-R003

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Atlantic Mercantile Group, Inc. (Continued)	\$12,900.00 4.410% from 04/16/1991 Joint and Several	91-R132
Date Effective : 04/13/1992	\$41,500.00 4.210% from 08/24/1989 \$200.00 Filing Fee	92-R018
48. Badger, Alfred Joseph Chelmsford, MA Date Effective : 08/24/1992 NFA ID - 0079483	\$2,937.20 6.260% from 12/28/1987 \$25.00 Filing Fee Joint and Several	90-R154
49. Bailey, Donald C. Pittsburgh, PA NFA ID - 0072427	\$39,392.75 11.000% from 06/29/1981 \$25.00 Filing Fee Joint and Several	R81-35-82-435
50. Baker, John Alden Redford Township, MI Anchoville. MI NFA ID - 0094367	\$214,887.30 7.000% from 05/01/1984 \$200.00 Filing Fee Joint and Several	87-R005
51. Baker, William Marina Del Rey, CA Date Effective : 05/23/1990 NFA ID - 0186190	\$6,274.50 7.740% from 09/29/1988	88-R414
Date Effective : 05/23/1990	\$5,019.61 7.740% from 09/29/1988	88-R416
Date Effective : 05/23/1990	\$3,137.26 7.740% from 09/29/1988	88-R417
Date Effective : 05/23/1990	\$1,568.63 7.740% from 09/29/1988	88-R415
Date Effective : 07/13/1990	\$40,701.85 7.740% from 11/01/1987 \$200.00 Filing Fee	88-R433
52. Balzotti, James D. Pembroke, MA NFA ID - 0003491	\$6,347.58 10.080% from 11/02/1981 \$25.00 Filing Fee Joint and Several	82-R264

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53.	Banacos, Charles M. Boston, MA Date Effective : 03/08/1989 NFA ID - 0032634	\$17,000.00 6.640% from 07/31/1984 \$200.00 Filing Fee Joint and Several	86-R080
54.	* BARALE, EDUARDO MIGUEL Miami, FL Date Effective : 08/10/2005 NFA ID - 0301896	\$17,508.14 3.350% from 01/10/2003 \$125.00 Filing Fee Joint and Several	04-R083
		\$4,968.86 3.350% from 05/01/2003 \$125.00 Filing Fee Joint and Several	
55.	Barker, Bob Inverrary, FL	\$2,065.28 11.000% from 03/15/1982 Joint and Several	83-R323
56.	Barnard, Stephen L. Cincinnati, OH NFA ID - 0031040	\$5,880.00 6.310% from 11/24/1982 \$25.00 Filing Fee Joint and Several	83-R571
	Barnard, Stephen L. Flossmoor, IL NFA ID - 0031040	\$6,175.35 7.000% from 12/09/1987 \$25.00 Filing Fee	85-R254
57.	Barrios, George San Diego, CA	\$8,320.00 9.170% from 02/28/1983 \$25.00 Filing Fee	83-R616
58.	Barron, George New York, NY	\$2,500.00 11.000% from 03/05/1980	R80-1360-81-351
59.	Basham, Debra L. Oakland Park, FL NFA ID - 0003758	\$20,357.00 8.570% from 06/18/1982 \$25.00 Filing Fee	83-R189
		\$30,000.00 7.870% from 04/20/1982 \$200.00 Filing Fee Joint and Several	84-R422

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60.	Bateman, David John Fort Lauderdale, FL Date Effective : 05/22/1995 NFA ID - 0250801	\$14,440.00 6.570% from 09/24/1993 \$100.00 Filing Fee	94-R187
61.	BATISTA, ALEXANDER MIAMI, FL Date Effective : 01/25/2001 NFA ID - 0276084	\$10,000.00 6.241% from 02/02/2000 \$250.00 Filing Fee	00-R093
	Date Effective : 02/26/2001	\$5,000.00 6.052% from 08/10/1999 \$125.00 Filing Fee Joint and Several	00-R051
62.	Baxter, David Craig Deephaven, MN Date Effective : 08/05/1999 NFA ID - 0019896	\$4,549.00 4.727% from 01/09/1995 \$50.00 Filing Fee	99-R014
63.	Baxton, Jerry B. San Francisco, CA NFA ID - 0049726	\$25,000.00 6.930% from 12/18/1987	87-R340
64.	Bayer, Daniel L. Chicago, IL NFA ID - 0070232	\$6,928.50 5.750% from 04/22/1985 \$25.00 Filing Fee	85-R10
65.	Bayer, Leonard Pembroke Pines, FL NFA ID - 0072619	\$3,977.50 9.100% from 06/22/1981 Joint and Several	84-R081
66.	Beaghan, Doris San Diego, CA	\$6,181.24 10.080% from 12/10/1980 \$25.00 Filing Fee Joint and Several	R81-294-82-87
67.	Bear & Wolf Co., Inc. d/b/a Wolf Commodity Corp., Inc. Boca Raton, FL Date Effective : 02/27/1998 NFA ID - 0271239	\$27,987.00 5.460% from 05/13/1996 \$125.00 Filing Fee	97-R115

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68.	Beckson, Jonathan H. Livonia, MI NFA ID - 0149143	\$3,086.25 11.000% from 09/13/1982 \$25.00 Filing Fee	83-R518
69.	Beigel, Julius Hallandale, FL	\$19,475.00 11.000% from 05/27/1980	83-R198
70.	Bendtsen, Harry G., Jr. Palos Park, IL Date Effective : 10/25/1990 NFA ID - 0079129	\$7,016.03 7.750% from 02/05/1987 \$1,475.91 7.750% from 04/15/1987 Joint and Several	88-R047
	Date Effective : 10/25/1990	\$52,807.44 7.750% from 01/30/1987 Joint and Several	88-R036
		\$5,894.55 7.750% from 04/29/1987 Joint and Several	
	Date Effective : 10/25/1990	\$1,623.88 Joint and Several Trans Chgs,Exp Wit&filing fees	88-R036/88-R047
71.	BENITEZ, ROBERT BROOKLYN, NY Date Effective : 11/01/2001 NFA ID - 0293680 NFA ID - 0304582	\$5,200.00 6.025% from 06/23/1999 \$50.00 Filing Fee	01-R070
	Date Effective : 11/01/2001	\$20,000.00 3.440% from 08/06/1999 \$125.00 Filing Fee	00-R066
	Date Effective : 07/01/2002	\$13,273.00 1.640% from 06/17/1999 \$125.00 Filing Fee Joint and Several J. and S. for award to \$11,277	01-R105
72.	Bennett, David Ray Ft. Lauderdale, FL Date Effective : 04/22/1990 NFA ID - 0197033	\$17,595.87	89-R354

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	Bennett, David Ray (Continued) Date Effective : 11/25/1991	\$3,500.00 5.680% from 03/01/1989 \$25.00 Filing Fee	91-R182
73.	Berard, Andrew H., Jr. Redlands, CA Date Effective : 03/05/1990 NFA ID - 0026613	\$13,068.00 \$25.00 Filing Fee Pre-Judgment Int. from 9/9/87	90-R009
74.	Berg, Lewis C. Ft. Lauderdale, FL Date Effective : 08/20/1990 NFA ID - 0188497	\$4,500.00 8.240% from 11/05/1987 \$200.00 Filing Fee Joint and Several	88-R169
75.	Berkley, Errol Dana Point, CA Laguna Niguel. CA Date Effective : 01/24/1994 NFA ID - 0196521	\$3,141.71	93-R082
76.	Berry, Arthur N. San Diego, CA Date Effective : 02/14/1990 NFA ID - 0196360	\$5,380.86 7.690% from 03/23/1988 \$100.00 Filing Fee	90-R019
77.	Bertsche, Richard Brian Chicago, IL Date Effective : 09/12/2002 NFA ID - 0256290	\$38,834.00 2.100% from 02/02/1999 Joint and Several	01-R077
78.	Best Commodities Services, Inc. Chicago, IL NFA ID - 0000482	\$4,675.90 7.870% from 02/24/1984 \$25.00 Filing Fee Joint and Several	84-R331
		\$568.93 5.790% from 01/17/1983 Joint and Several	84-R337
		\$2,499.00 Joint and Several	85-R395

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Best Commodities Services, Inc. (Continued)	\$20,000.00 6.180% from 08/26/1983 \$200.00 Filing Fee Joint and Several	84-R443
	\$14,087.85 6.180% from 06/21/1983 \$200.00 Filing Fee Joint and Several	84-R426
	\$10,000.00 7.190% from 06/20/1984 \$100.00 Filing Fee Joint and Several	85-R274
	\$1,975.00 6.300% from 05/10/1988 \$100.00 Filing Fee	85-R263
Date Effective : 06/09/1988	\$16,134.65 6.640% from 07/11/1984 \$200.00 Filing Fee Joint and Several	86-R215
79. Bienstock, Seymour aka Bienstock, Sy Woodmere Long Island, NY NFA ID - 0006652	\$5,100.00 7.030% from 09/20/1983 \$100.00 Filing Fee Joint and Several	85-R017
80. Bilbrey, Clint Seal Beach, CA	\$5,218.15 10.000% from 02/03/1983 \$25.00 Filing Fee	83-R393
81. Birnbaum, Laurence a/k/a Birnbaum, Larry N. Miami Beach, FL Date Effective : 07/23/1990 NFA ID - 0145360	\$20,653.84 8.320% Joint and Several	89-R223
82. Black, Arthur Hollywood, CA	\$6,975.00 11.000% from 08/22/1983 \$25.00 Filing Fee Joint and Several	83-R534

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
83.	Blake, Benjamin Neil Palo Alto, CA Date Effective : 03/16/2000 NFA ID - 0282912	\$10,185.86 5.471% from 07/09/1998 \$50.00 Filing Fee	00-R018
84.	Blardo, Josh N. Miami, FL	\$5,519.18 6.650% from 07/29/1981 Joint and Several	82-R1025
85.	Blesch, Susan H. Miami, FL NFA ID - 0043781	\$8,577.75 7.140% from 03/17/1986 \$25.00 Filing Fee Joint and Several	86-R121
86.	Bloch, Harvey M. Ft. Lauderdale, FL NFA ID - 0085474	\$3,807.86 11.000% from 10/02/1984 \$25.00 Filing Fee	R81-1212-82-217
		\$8,000.00 9.150% from 11/12/1980 \$25.00 Filing Fee Joint and Several	83-R348
		\$9,335.91 8.570% from 08/25/1985 \$25.00 Filing Fee	82-R649
		\$6,000.00 9.500% from 05/08/1981 \$25.00 Filing Fee	84-R452
		\$344,500.00 7.570% from 08/01/1980 \$25.00 Filing Fee	84-R246
		\$18,402.34 7.060% from 09/09/1980 Joint and Several	R81-729-81-757
		\$7,456.42 7.060% from 11/13/1980 Joint and Several	R81-1370-82-210
		\$7,465.45 7.030% from 05/22/1981 \$25.00 Filing Fee Joint and Several	R81-884-81-826

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Bloch, Harvey M. (Continued) Hallandale, FL NFA ID - 0085474	\$6,000.00 20.000% from 10/20/1980 \$25.00 Filing Fee Joint and Several	R81-751-81-800
	\$8,525.00 20.000% from 01/01/1981 Joint and Several	R81-576-81-589
	\$16,500.00 11.000% from 06/10/1980 \$25.00 Filing Fee	R81-1292-82-136
	\$12,569.00 12.000% from 08/01/1980 \$25.00 Filing Fee	R81-1185-82-37
	\$10,200.00 11.000% from 10/31/1980 Joint and Several	R81-1247-82-165
	\$19,000.00 11.000% from 06/17/1981 Joint and Several	83-R124
	\$9,839.08 11.000% from 09/05/1980 \$25.00 Filing Fee Joint and Several	R81-1023-82-471
	\$21,552.71 16.000% from 09/15/1980 \$25.00 Filing Fee	82-R620
	\$4,028.90 7.570% from 01/07/1981 \$25.00 Filing Fee Joint and Several	82-R209
	\$5,772.98 7.710% from 12/10/1980 Joint and Several	R81-705-81-677
	\$14,687.61 7.000% from 12/05/1980 \$25.00 Filing Fee Joint and Several	82-R467

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Bloch, Harvey M. (Continued) Miami, FL NFA ID - 0085474	\$4,925.24 12.000% from 04/15/1981 \$25.00 Filing Fee Joint and Several	82-R470
Bloch, Harvey M. Miami Beach, FL NFA ID - 0085474	\$19,475.00 11.000% from 05/27/1980	83-R198
Bloch, Harvey M. New York, NY Hallandale, FL NFA ID - 0085474	\$10,000.00 16.000% from 10/15/1980 \$25.00 Filing Fee Joint and Several	R82-16-82-357
87. Bloch, Harvey M., Inc. Hallandale, FL NFA ID - 0105245	\$16,500.00 11.000% from 06/10/1980 \$25.00 Filing Fee	R81-1292-82-136
88. Boily, John Charles Boston, MA NFA ID - 0088899	\$3,000.00 \$25.00 Filing Fee Joint and Several	86-R174
89. Bolard Precious Metals Corporation New York, NY	\$20,700.00 11.000% from 07/30/1979 \$25.00 Filing Fee Joint and Several	R79-786-80-167
90. Booth, Calvin L. Honolulu, HI Date Effective : 07/22/1990 NFA ID - 0197272	\$15,020.00	89-R371
91. Boratgis, Charles San Diego, CA Date Effective : 12/04/2002 NFA ID - 0276277	\$54,000.00 1.730% from 05/05/1999 \$250.00 Filing Fee Joint and Several	01-R054
92. Borell Financial Group, Inc. Margate, FL NFA ID - 0106773	\$800.00 11.000% from 04/28/1982 \$25.00 Filing Fee	83-R601
Borell Financial Group, Inc. Pompano Beach, FL NFA ID - 0106773	\$2,500.00 11.000% from 10/31/1981 \$25.00 Filing Fee Joint and Several	82-R773

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
93.	Borell, Anthony Margate, FL NFA ID - 0060556	\$712.32 11.000% from 06/10/1982 \$25.00 Filing Fee	83-R204
		\$800.00 11.000% from 04/28/1982 \$25.00 Filing Fee	83-R601
		\$18,402.34 7.060% from 09/09/1980 \$25.00 Filing Fee Joint and Several	R81-729-81-757
	Borell, Anthony Pompano Beach, FL NFA ID - 0060556	\$2,500.00 11.000% from 10/31/1981 \$25.00 Filing Fee Joint and Several	82-R773
94.	Bork, Don New York, NY	\$22,019.00 6.000% from 07/01/1979 - to 01/31/1980 12.000% from 02/01/1980 - to 01/31/1982 20.000% from 02/01/1982 - to 12/31/1982 16.000% from 01/01/1983 \$25.00 Filing Fee Joint and Several	R81-89-81-227
95.	BORRASSO, JOSEPH ANDREW IRVINE, CA Date Effective : 01/03/2001 NFA ID - 0066813	\$3,759.00 6.241% from 05/29/1999 \$50.00 Filing Fee Joint and Several	00-R110
96.	Boston Trading Group, Inc. Boston, MA NFA ID - 0103709	\$3,890.38 12.000% from 11/30/1981 - to 01/31/1982 20.000% from 02/01/1982 - to 12/31/1982 16.000% from 01/01/1983 - to 06/30/1983 11.000% from 07/01/1983 \$25.00 Filing Fee	82-R190
		\$3,486.16 12.000% from 03/01/1981 \$25.00 Filing Fee	82-R795

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Boston Trading Group, Inc. (Continued)	\$2,876.07 11.000% from 01/15/1982 \$25.00 Filing Fee	82-R583
	\$4,191.25 12.000% from 11/01/1981 \$25.00 Filing Fee Joint and Several	82-R484
	\$2,257.70 12.000% from 03/01/1981 \$25.00 Filing Fee Joint and Several	82-R477
97. Bove, Vincent N. Miami, FL	\$10,500.00 5.630% from 09/09/1977 \$25.00 Filing Fee Joint and Several	R79-590-80-511
98. Bowen, William Steel Franklin, TN Date Effective : 08/19/1993 NFA ID - 0011935	\$15,000.00 3.540% from 04/14/1991 \$25.00 Filing Fee	93-R108
99. Boyce, Kenneth Reeves North Palm Beach, FL Date Effective : 01/27/1994 NFA ID - 0197986	\$3,250.00	93-R193
100. Branch, David Clinton Lantana, FL Date Effective : 05/13/1993 NFA ID - 0215385	\$5,794.40 3.210% \$25.00 Filing Fee Joint and Several	92-R072
	Date Effective : 10/12/1993 \$11,200.00 Joint and Several 3.58% from date of investment	93-R019
	Date Effective : 10/12/1993 \$18,000.00 Joint and Several 3.58% from date of investment	93-R047

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Branch, David Clinton (Continued) Date Effective : 01/13/1994	\$2,179.00 3.380% \$25.00 Filing Fee Joint and Several	93-R120
Date Effective : 01/20/1994	\$4,146.00 3.380% from 03/13/1992 \$25.00 Filing Fee Joint and Several	93-R192
Branch, David Clinton Lantana, FL North Palm Beach. FL Date Effective : 02/07/1994 NFA ID - 0215385	\$3,371.00 3.570% from 02/26/1992 \$25.00 Filing Fee Joint and Several	93-R197
Date Effective : 03/31/1994	\$5,963.00 3.670% from 04/28/1992 \$200.00 Filing Fee Joint and Several	93-R194
Branch, David Clinton Lantana, FL Date Effective : 06/02/1994 NFA ID - 0215385	\$11,800.96 4.220% from 03/31/1992 \$200.00 Filing Fee Joint and Several	93-R203
Date Effective : 12/05/1994	\$15,448.00 5.690% from 10/01/1991 \$25.00 Filing Fee Joint and Several	94-R002
Date Effective : 12/27/1994	\$5,132.00	93-R204
Date Effective : 02/17/1995	\$44,108.76 \$50.00 Filing Fee Joint and Several	94-R189
Branch, David Clinton Lantana Beach, FL North Palm Beach. FL Date Effective : 11/17/1993 NFA ID - 0215385	\$10,767.00 3.430% from 02/28/1991 \$25.00 Filing Fee Joint and Several	93-R155

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Branch, David Clinton (Continued) Date Effective : 11/15/1993	\$7,007.00 3.430% from 04/12/1991 \$25.00 Filing Fee Joint and Several	93-R156
Branch, David Clinton North Palm Beach, FL Date Effective : 06/13/1993 NFA ID - 0215385	\$7,535.00 3.210% from 01/04/1991 \$100.00 Filing Fee Joint and Several	92-R050
101. Bratman, Frank I. Irvine, CA Newport Beach, CA Date Effective : 10/26/1992 NFA ID - 0121747	\$91,644.64 6.930% from 01/01/1984 \$200.00 Filing Fee	85-R107
102. Braxton, Jerry B. San Francisco, CA NFA ID - 0049726	\$9,677.50 7.140% from 01/20/1988 \$100.00 Filing Fee	87-R329
103. Breinling, Stephen A. Chicago, IL Date Effective : 08/06/1990 NFA ID - 0050120	\$2,977.00 8.700% from 03/28/1988 \$100.00 Filing Fee Joint and Several	90-R142
104. Brendel, Irving Boca Raton, FL Date Effective : 04/01/1990 NFA ID - 0041439	\$12,100.00 Joint and Several	89-R068
105. Brennan, Patrick J., III Ft. Lauderdale, FL Date Effective : 03/15/1989 NFA ID - 0200482	\$7,500.00 8.320% from 06/27/1987 \$25.00 Filing Fee Joint and Several	87-R390
106. Brescia, Thomas Albert STATEN ISLAND, NY Date Effective : 12/27/2001 NFA ID - 0276380	\$8,350.00 2.390% from 08/05/1997 \$125.00 Filing Fee	00-R005
Date Effective : 12/27/2001	\$8,350.00 2.390% from 08/05/1997 \$125.00 Filing Fee Joint and Several	00-R017

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
107. *	BRICKELL KEY FINANCIAL, LLC Miami, FL Date Effective : 08/10/2005 NFA ID - 0319247	\$17,508.14 3.350% from 01/10/2003 \$125.00 Filing Fee Joint and Several	04-R083
108.	Broadstreet Financial Corp. Coral Springs, FL Date Effective : 06/11/2004 NFA ID - 0296995 Date Effective : 06/18/2004	\$1,996.00 1.210% from 01/09/2001 \$125.00 Filing Fee Joint and Several \$36,924.24 1.300% from 04/01/2001 \$250.00 Filing Fee Call Proceedings for Details	01-R105 03-R052
	Date Effective : 09/13/2004	\$15,940.15 2.160% from 09/20/2001 \$125.00 Filing Fee	03-R090
109.	Brown, Jerry Newport Beach, CA	\$2,326.50 11.000% from 04/15/1981 \$25.00 Filing Fee	82-R336
110.	Brown, Richard H. Oak Brook, IL Date Effective : 01/15/1991 NFA ID - 0186752	\$7,787.57 7.540% from 07/29/1985 \$200.00 Filing Fee	87-R225
111.	Brown, Robert New York, NY	\$7,461.25 11.000% from 10/15/1981 \$25.00 Filing Fee Joint and Several	82-R1031
112.	Brown, Robert M. Malibu, CA NFA ID - 0060117	\$1,911.50 11.000% from 10/29/1980	83-R112
113.	Brown, Roosevelt Charles, Jr. a/k/a Brown, Charles Denver, CO NFA ID - 0187547	\$6,292.80 6.640% from 06/03/1988 \$100.00 Filing Fee	86-R297

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
114.	Brownrigg, John Bloomington, MN	\$276,880.00 16.000% from 08/01/1979 \$25.00 Filing Fee Joint and Several	R81-1289-82-497
115.	Bryant, James A., Jr. Pompono Beach, FL Date Effective : 06/22/1989 NFA ID - 0179347	\$1,000.00 9.510% from 02/13/1987 \$25.00 Filing Fee	89-R142
116.	Buchbinder, Sam Skokie, IL NFA ID - 0009127	\$5,413.00 7.700% from 05/26/1983 \$25.00 Filing Fee	84-R005
117.	Bull Market Commodities, Inc. New York, NY NFA ID - 0106091 NFA ID - 0086136	\$6,836.00 5.790% from 04/17/1984 \$25.00 Filing Fee	84-R084
118.	Burg, James Peter Santa Barbara, CA Date Effective : 03/22/1991 NFA ID - 0186433	\$8,219.24	91-R016
119.	Burgmeier, Michael J. Barrington, IL NFA ID - 0047681	\$4,343.76 6.530% from 12/31/1984 \$100.00 Filing Fee Joint and Several	86-R212
120.	Burke, Robert John Lantana, FL Date Effective : 01/20/1994 NFA ID - 0223339	\$4,146.00 3.380% from 03/13/1992 \$25.00 Filing Fee Joint and Several	93-R192
121.	Burleigh, Don Ft. Lauderdale, FL	\$1,080.00 11.000% from 04/07/1982 Joint and Several	83-R583
122.	Burns, John George a/k/a Jack Burns Rancho Santa Margari, CA Date Effective : 10/04/1991 NFA ID - 0184897	\$56,020.00 6.390% from 01/01/1987 \$25.00 Filing Fee Joint and Several	90-R061

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
123.	Burns, Sean Bernard London, EN Date Effective : 07/16/1993 NFA ID - 0176288	\$306.00 3.760% from 03/03/1989 \$100.00 Filing Fee Joint and Several	91-R066
124.	Burrell, Tony Hallandale, FL	\$19,475.00 11.000% from 05/27/1980	83-R198
125.	Burris, James D. a/k/a DeBurris, James San Diego, CA Date Effective : 04/16/1992 NFA ID - 0089285	\$10,163.94 9.150% from 10/10/1985 \$200.00 Filing Fee	88-R139
126.	Busa, Duane Wm. Coconut Grove, FL Date Effective : 02/28/1990 NFA ID - 0195471	\$5,012.00 7.660% from 07/21/1987 \$25.00 Filing Fee	89-R375
127.	Buzzi, Stephen Boston, MA	\$16,918.00 7.700% from 05/21/1982 \$25.00 Filing Fee Joint and Several	82-R614
128.	Byers, Dan Newport Beach, CA	\$8,000.00 7.030% from 07/30/1980 \$25.00 Filing Fee	R81-582-81-680
129.	Cahoon, Francis Kaare a/k/a Cahoon, Kaare A. Hallandale, FL Date Effective : 06/24/1991 NFA ID - 0151350	\$999.42	90-R222
130.	Caldeira, Eduardo Pires Do Rio New York, NY Date Effective : 09/21/1989 NFA ID - 0052240	\$591,581.00 8.160% from 01/15/1988 \$200.00 Filing Fee	89-R217
131.	California Commodity Futures International Marina Del Rey, CA Date Effective : 02/24/1995 NFA ID - 0245019	\$100,000.00 7.220% from 03/08/1994 \$250.00 Filing Fee Joint and Several	94-R184

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
California Commodity Futures International (Continued) Date Effective : 11/16/1995	\$16,000.00 5.890% from 02/09/1994 \$125.00 Filing Fee Joint and Several	95-R118
Date Effective : 04/18/1996	\$15,000.00 5.160% from 11/19/1993 \$50.00 Filing Fee Joint and Several	96-R009
132. Cambridge Financial Corporation Fort Lauderdale, FL Date Effective : 09/25/1997 NFA ID - 0269421	\$2,887.80 \$50.00 Filing Fee	97-R065
Date Effective : 02/26/1998	\$5,565.98 \$50.00 Filing Fee	97-R130
Cambridge Financial Corporation Ft. Lauderdale, FL Date Effective : 04/24/2000 NFA ID - 0269421	\$16,000.00 5.400% from 12/01/1996 \$250.00 Filing Fee	98-R004
133. Campbell, Colin S. Las Vegas, NV NFA ID - 0135041	\$7,113.12 11.000% from 08/31/1982 Joint and Several	83-R111
134. Campbell, J.S. & Co., Inc. Santa Ana, CA Newport Beach. CA Date Effective : 12/11/1989 NFA ID - 0092582	\$100,000.00 6.710% from 06/01/1984	85-R271
135. Campbell, J.S. & Co., Inc. Newport Beach, CA NFA ID - 0090278	\$36,209.51 5.750% from 04/24/1984 \$200.00 Filing Fee	85-R276
136. Cane, Jeffrey Todd Baldwin, NY Date Effective : 04/14/2000 NFA ID - 0235704	\$66,821.72 5.375% from 11/14/1995 \$250.00 Filing Fee Joint and Several	97-R083

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
137.	CA-NI INDUSTRIES, LTD., LAKE MARY, FL Date Effective : 03/21/2001 NFA ID - 0237117	\$38,229.40 6.025% from 02/03/2001 \$50.00 Filing Fee Joint and Several	00-R070
138.	Capital Management Group, Inc. East Brunswick, NJ Date Effective : 05/12/1994 NFA ID - 0235002	\$26,718.60 4.220% from 10/16/1991 \$25.00 Filing Fee	94-R010
139.	Capital Management Group, Inc. Chicago, IL Date Effective : 08/20/1992 NFA ID - 0214982	\$14,276.62 4.260% from 11/01/1990 Joint and Several	91-R242
140.	Capital Options Investments, Inc. Hollywood, FL Date Effective : 02/09/1989 NFA ID - 0199039	\$6,500.00 8.550% from 10/19/1987 \$200.00 Filing Fee	88-R227
	Date Effective : 08/20/1990	\$4,500.00 8.240% from 11/05/1987 \$200.00 Filing Fee Joint and Several	88-R169
141.	Carnevale, Richard Everett, MA	\$12,500.00 11.000% from 06/28/1979 \$25.00 Filing Fee Joint and Several	R80-985-80-623
142.	Caron, Michael Louis Owatonna, MN NFA ID - 0030946	\$2,499.00 6.900% from 10/03/1986 \$100.00 Filing Fee Joint and Several	87-R019
143.	Carrillo, James M. Santa Ana, CA Date Effective : 01/19/1991 NFA ID - 0198514	\$68,317.30 7.280% from 11/19/1990 Joint and Several	90-R136
144.	Carroll, Kevin F. Franklin Lakes, NJ NFA ID - 0056255	\$8,716.45 11.000% from 08/18/1982 Joint and Several	83-R207

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Carroll, Kevin F. (Continued) Ft. Lauderdale, FL	\$126,253.00 11.000% from 11/27/1984 Joint and Several	83-R047
145. Carruthers, David R. Newport Beach, CA NFA ID - 0038930	\$14,739.84 6.310% from 11/01/1982 \$25.00 Filing Fee	85-R092
	\$51,725.83 5.930% from 01/01/1983 \$200.00 Filing Fee Joint and Several	85-R185
146. Carter, John W. Boston, MA	\$13,791.99 20.000% from 01/03/1979 \$25.00 Filing Fee Joint and Several	82-R177
147. Casciato, David B. Goleta, GA Date Effective : 02/10/1991 NFA ID - 0180228	\$24,500.00 Joint and Several	90-R244
148. Cash, Ken Hallandale, FL	\$4,925.24 12.000% from 04/15/1981 \$25.00 Filing Fee Joint and Several	82-R470
149. Castle Commodities Corporation Vienna, VA Date Effective : 12/16/1997 NFA ID - 0217352	\$32,536.00 6.060% from 08/31/1992 \$250.00 Filing Fee	95-R030
150. CAVANAGH, PATRICK J. PALM BEACH GARDENS, FL Date Effective : 07/30/2001 NFA ID - 0285506	\$15,278.10 6.280% from 08/04/1998 \$125.00 Filing Fee Joint and Several	99-R045
151. Cayman Associates, Ltd. Chicago, IL	\$1,627.00 20.000% from 04/25/1978 \$25.00 Filing Fee	R80-568-81-207
152. Cayman Associates, Ltd. Lombard, IL	\$4,579.70 12.000% from 02/10/1984 \$25.00 Filing Fee	R79-324-79-523

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Cayman Associates, Ltd. (Continued)	\$3,500.00 12.000% from 05/19/1978 \$25.00 Filing Fee Joint and Several	R79-441-80-168
	\$4,670.07 12.000% from 02/05/1979 \$25.00 Filing Fee Joint and Several	R79-201-79-355
153. Cayman Associates, Ltd. North Lake, IL	\$2,392.50 20.000% from 11/15/1978 \$25.00 Filing Fee	R80-319-81-566
	\$3,900.00 6.000% from 11/16/1978 - to 01/31/1980 12.000% from 02/01/1980 - to 01/31/1982 20.000% from 02/01/1982 - to 12/31/1982 16.000% from 01/01/1983 \$25.00 Filing Fee Joint and Several	R79-383-80-109
154. Cecil, Carol Ann Chicago, IL Date Effective : 11/11/1991 NFA ID - 0206178	\$15,212.00 5.680% from 11/18/1989 \$25.00 Filing Fee	91-R174
155. Centennial Commodities, Inc. Phoenix, AZ Date Effective : 09/25/1989 NFA ID - 0085954	\$66,477.04 8.160% from 10/01/1984 \$200.00 Filing Fee	89-R239
156. CenterCorp Futures a/k/a Michele A. Alexander Jupiter, FL Date Effective : 01/14/1989 NFA ID - 0176217	\$1,975.00 8.550% from 01/28/1988 \$25.00 Filing Fee	88-R330
157. Cercone, Lou Boston, MA	\$6,450.00 20.000% from 05/11/1979 \$25.00 Filing Fee Joint and Several	R81-319-81-523

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
158.	Certified Commodities, Inc. Crown Point, IN NFA ID - 0086144	\$850.00 7.590% from 07/20/1987 \$25.00 Filing Fee	88-R102
159.	Chan, Jason D. Chicago, IL Date Effective : 08/31/1989 NFA ID - 0199019 Chan, Jason Douglas Chicago, IL Date Effective : 12/02/1991 NFA ID - 0199019	\$3,341.96 9.150% from 01/18/1988 \$100.00 Filing Fee Joint and Several \$9,961.60	89-R194 91-R187
160.	Chang, Way San Francisco, CA Date Effective : 06/18/1998 NFA ID - 0279619	\$12,026.70 5.391% from 09/30/1997 \$125.00 Filing Fee	98-R059
161.	Charles, Robert Futures, Inc. Bellevue, WA Date Effective : 04/05/1991 NFA ID - 0002455	\$1,599.65 6.620% from 01/15/1987 \$100.00 Filing Fee	88-R300
162.	Charles, Steven New York, NY	\$1,113.74 10.000% from 11/30/1982 \$25.00 Filing Fee	83-R784
163.	Charrier, Michael E. New York, NY NFA ID - 0076479	\$1,113.74 10.000% from 11/30/1982 \$25.00 Filing Fee	83-R784
164.	Chartered Systems Corp. of New York, Ltd. New York, NY NFA ID - 0106687 NFA ID - 0103793	\$5,976.00 11.000% from 10/31/1980 \$25.00 Filing Fee	83-R851
		\$7,580.00 11.000% from 12/31/1981 \$25.00 Filing Fee	83-R754

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Chartered Systems Corp. of New York, Ltd. (Continued)	\$9,000.00 7.000% from 08/19/1977 - to 01/31/1978 6.000% from 02/01/1978 - to 01/31/1980 12.000% from 02/01/1980 - to 01/31/1982 20.000% from 02/01/1982 - to 12/31/1982 16.000% from 01/01/1983 \$25.00 Filing Fee	R79-53-79-376
	\$4,925.00 11.000% from 12/04/1981 \$25.00 Filing Fee Joint and Several	82-R287
	\$12,107.30 7.700% from 02/01/1980	R81-1353-82-337
	\$4,650.00 8.980% from 09/19/1980	R81-648-81-592
	\$8,350.00 6.000% from 01/25/1978 - to 01/31/1980 12.000% from 02/01/1980 - to 01/31/1982 16.000% from 01/01/1983 Joint and Several	R80-333-81-37
	\$4,900.00 16.000% from 11/09/1981 \$25.00 Filing Fee Joint and Several	82-R488
	\$10,500.00 5.630% from 09/09/1977 \$25.00 Filing Fee Joint and Several	R79-590-80-511
	\$5,951.00 5.560% from 02/05/1981 \$25.00 Filing Fee Joint and Several	R81-897-81-725

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Chartered Systems Corp. of New York, Ltd. (Continued)	\$13,553.40 5.630% from 08/20/1980 \$25.00 Filing Fee	R81-351-81-340
165. Chasm, Thomas A. Salem, OR NFA ID - 0032518	\$7,330.80 7.140% from 04/11/1986 \$25.00 Filing Fee	86-R139
166. Chen, William Springfield, VA Date Effective : 01/20/1995 NFA ID - 0249242	\$6,000.00 \$50.00 Filing Fee Joint and Several	94-R163
167. Cherry, Patricia Dallas, TX Richardson. TX	\$3,750.00 11.000% from 03/09/1981	83-R260
168. Cheung, Curtis K. New York, NY Date Effective : 07/18/1994 NFA ID - 0098901	\$70,800.00 5.020% from 01/01/1990 \$25.00 Filing Fee	94-R059
Date Effective : 10/03/1994	\$60,000.00 5.310% from 09/01/1993 \$100.00 Filing Fee	94-R098
169. Chicago Commodity Corporation Miami Beach, FL Date Effective : 04/27/1989 NFA ID - 0086366	\$8,194.08	88-R402
Chicago Commodity Corporation Miami Beach, FL N. Bay Village. FL Date Effective : 03/09/1990 NFA ID - 0086366	\$4,775.00 7.660% from 08/19/1987 \$100.00 Filing Fee Joint and Several	88-R377
Chicago Commodity Corporation N. Bay Village, FL Date Effective : 05/13/1989 NFA ID - 0086366	\$8,395.00 9.320% from 04/27/1987 \$100.00 Filing Fee Joint and Several	88-R094

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Chicago Commodity Corporation (Continued)	\$7,186.00 8.190% from 11/05/1986 \$100.00 Filing Fee	89-R162
Date Effective : 01/28/1990	\$2,800.00 Joint and Several	89-R234
Date Effective : 05/02/1990	\$107,975.58 7.970% from 08/31/1988 \$200.00 Filing Fee Joint and Several	89-R292
Date Effective : 05/23/1990	\$6,003.77 8.360% from 02/01/1988 \$100.00 Filing Fee Joint and Several	89-R155
Date Effective : 07/16/1990	\$8,452.07 Joint and Several	90-R47
Chicago Commodity Corporation North Bay Village, FL Date Effective : 05/30/1990 NFA ID - 0086366	\$7,311.82 8.360% from 02/11/1988 \$25.00 Filing Fee Joint and Several	89-R127
Date Effective : 05/23/1990	\$9,920.80 9.970% from 08/01/1987 \$25.00 Filing Fee Joint and Several	89-R294
Date Effective : 10/06/1990	\$6,190.16 7.880% from 05/01/1987 Joint and Several	89-R221
Date Effective : 10/06/1990	\$13,700.00	90-R104
Date Effective : 03/18/1991	\$21,500.00 6.620% from 08/05/1987 Joint and Several	90-R015
Date Effective : 05/24/1991	\$26,898.00 6.460% from 04/20/1988	89-R123

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Chicago Commodity Corporation (Continued) Date Effective : 10/10/1991	\$1,395.64 6.260% from 05/13/1987 \$25.00 Filing Fee Joint and Several	89-R281
Date Effective : 08/03/1992	\$5,185.00 4.400% from 04/26/1988 \$25.00 Filing Fee Joint and Several	90-R188
170. Chilmark Commodities Corp. New York, NY Date Effective : 05/13/1991 NFA ID - 0177592	\$24,077.88 6.210% from 04/04/1988 \$200.00 Filing Fee Joint and Several	88-R358
Chilmark Commodities Corp. Miami, FL New York, NY Date Effective : 06/16/1989 NFA ID - 0177592	\$6,410.00 9.430% from 05/15/1987 \$100.00 Filing Fee	89-R21
Date Effective : 12/14/1989	\$8,168.10 8.270% from 07/16/1987 \$200.00 Filing Fee Joint and Several	89-R13
Date Effective : 12/07/1989	\$9,575.00 8.160% from 10/28/1986 \$25.00 Filing Fee	88-R431
Chilmark Commodities Corp. Miami, FL Date Effective : 05/20/1990 NFA ID - 0177592	\$4,381.13 8.360% from 04/23/1987 \$25.00 Filing Fee Joint and Several	89-R226
Chilmark Commodities Corp. New York, NY NFA ID - 0177592	\$7,250.00 7.950% from 07/27/1987	88-R49
	\$10,000.00 8.040% from 03/31/1987	88-R23
Date Effective : 01/09/1989	\$3,751.00 8.150% from 03/05/1987 \$25.00 Filing Fee Joint and Several	88-R144

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Chilmark Commodities Corp. (Continued) Date Effective : 12/30/1988	\$10,796.74 8.150% from 12/13/1987 Joint and Several	88-R256
Date Effective : 02/09/1989	\$4,977.00 8.550% from 11/11/1987 \$100.00 Filing Fee Joint and Several	88-R140
Date Effective : 02/11/1989	\$6,000.00 8.150% from 05/12/1987 \$200.00 Filing Fee Joint and Several	88-R50
Date Effective : 02/13/1989	\$8,000.00 8.550% from 08/28/1986 \$100.00 Filing Fee Joint and Several	88-R165
Date Effective : 03/09/1989	\$10,000.00 8.320% from 09/11/1986 \$100.00 Filing Fee Joint and Several	88-R132
Date Effective : 03/09/1989	\$12,106.00 9.200% from 09/04/1986 \$200.00 Filing Fee Joint and Several	88-R88
Date Effective : 03/15/1989	\$7,500.00 8.320% from 06/27/1987 \$25.00 Filing Fee Joint and Several	87-R390
Date Effective : 03/29/1989	\$8,503.11 9.160% from 03/05/1987 \$100.00 Filing Fee Joint and Several	88-R130
Date Effective : 04/15/1989	\$19,829.79 9.160% from 11/04/1986 \$25.00 Filing Fee Joint and Several	88-R72
Date Effective : 04/09/1989	\$2,285.00 9.160% \$25.00 Filing Fee	88-R85

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Chilmark Commodities Corp. (Continued) Date Effective : 04/22/1989	\$15,357.00 9.320% from 07/09/1987 \$200.00 Filing Fee	88-R264
Date Effective : 04/22/1989	\$5,298.69 9.320% from 04/29/1987 \$200.00 Filing Fee	88-R346
Date Effective : 05/13/1989	\$18,956.90 9.430% from 10/24/1986 \$25.00 Filing Fee Joint and Several	88-R197
Date Effective : 05/10/1989	\$23,300.00 Joint and Several	88-R384
Date Effective : 05/28/1989	\$3,879.00 9.430% from 03/19/1987 \$100.00 Filing Fee	89-R120
Date Effective : 05/24/1989	\$19,752.00 9.430% from 09/11/1986 Joint and Several	87-R209
Chilmark Commodities Corp. New York, NY Miami, FL Date Effective : 07/27/1989 NFA ID - 0177592	\$9,809.99 9.150% from 01/29/1988 \$100.00 Filing Fee	89-R163
Date Effective : 07/24/1989	\$2,685.68 9.150% from 11/13/1986 \$25.00 Filing Fee	88-R378
Date Effective : 07/28/1989	\$4,727.25 9.150% from 10/06/1987 \$25.00 Filing Fee Joint and Several	88-R251
Date Effective : 07/17/1989	\$2,184.00 9.150% from 01/29/1988 \$100.00 Filing Fee Joint and Several	88-R212
Date Effective : 07/24/1989	\$7,243.00 9.150% from 07/08/1986 \$25.00 Filing Fee	89-R89

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Chilmark Commodities Corp. (Continued) New York, NY Date Effective : 08/31/1989	\$3,111.41 8.850% from 06/01/1987 \$25.00 Filing Fee Joint and Several	88-R341
Date Effective : 08/26/1989	\$4,361.10 8.850% from 03/06/1986 \$25.00 Filing Fee Joint and Several	88-R288
Date Effective : 08/31/1989	\$6,762.74 8.850% from 09/16/1986 \$25.00 Filing Fee Joint and Several	88-R404
Date Effective : 09/14/1989	\$8,000.00 8.850% from 11/02/1987 \$25.00 Filing Fee	89-R117
Date Effective : 09/17/1989	\$1,933.28 8.850% from 08/10/1987 \$25.00 Filing Fee	89-R225
Date Effective : 09/25/1989	\$4,000.00 8.150% from 01/01/1986 \$25.00 Filing Fee	89-R25
Date Effective : 09/28/1989	\$8,644.00 8.850% from 09/04/1986 \$100.00 Filing Fee	88-R355
	\$227.20	
Date Effective : 10/16/1989	\$9,959.62 7.590% from 04/01/1987 \$25.00 Filing Fee	88-R10
Date Effective : 10/29/1989	\$15,500.00 7.750% from 06/10/1987 \$200.00 Filing Fee Joint and Several	88-R452
	\$500.00	
Date Effective : 11/30/1989	\$7,289.00 8.270% from 09/23/1986 \$25.00 Filing Fee Joint and Several	89-R333

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Chilmark Commodities Corp. (Continued) Date Effective : 01/09/1990	\$9,967.75 7.900% from 11/17/1987 \$200.00 Filing Fee Joint and Several	89-R351
Date Effective : 12/16/1989	\$2,585.00 8.190% from 01/30/1988 \$100.00 Filing Fee	89-R332
Date Effective : 01/07/1990	\$15,506.64 7.900% from 09/29/1988 \$25.00 Filing Fee Joint and Several	89-R350
Date Effective : 12/23/1989	\$9,900.00 \$25.00 Filing Fee plus interest from 5/4/87	89-R203
Date Effective : 01/01/1990	\$17,596.00 7.900% from 08/20/1987	89-R354
Date Effective : 01/20/1990	\$600.00 7.900% from 02/03/1988 \$25.00 Filing Fee Joint and Several	89-R363
Date Effective : 01/09/1990	\$5,000.00 7.900% from 02/10/1988 \$100.00 Filing Fee Joint and Several	89-R002
Date Effective : 02/17/1990	\$116,798.12 7.690% from 12/15/1987 \$200.00 Filing Fee 1072.65 Transcript Costs	89-R110
Date Effective : 02/17/1990	\$2,185.75 7.690% from 07/28/1987 \$200.00 Filing Fee	89-R60
Date Effective : 04/27/1990	\$1,753.02 7.970% from 12/18/1987 \$25.00 Filing Fee Joint and Several	89-R314

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Chilmark Commodities Corp. (Continued) Date Effective : 04/22/1990	\$5,099.13 7.970% from 12/28/1987 \$100.00 Filing Fee Joint and Several	90-R26
Date Effective : 07/25/1990	\$6,011.60 8.700% from 01/21/1988 \$25.00 Filing Fee	90-R108
Date Effective : 09/11/1990	\$7,029.28 8.090% from 09/12/1986 \$100.00 Filing Fee	89-R76
Date Effective : 11/05/1990	\$6,135.00 7.880% Joint and Several costs \$100.00	89-R219
Date Effective : 01/28/1991	\$22,354.83 7.280% from 04/01/1987 Joint and Several	89-R173
Date Effective : 01/31/1991	\$35,625.62 7.280% from 08/28/1987 \$200.00 Filing Fee	88-R278
Date Effective : 02/20/1991	\$9,110.78 7.020% from 09/08/1987 \$200.00 Filing Fee Joint and Several	88-R290
Date Effective : 04/14/1991	\$28,316.33 6.210% from 01/28/1988 Joint and Several	90-R130
Date Effective : 05/13/1991	\$4,000.00 6.210% from 01/14/1988 \$200.00 Filing Fee Joint and Several	88-R358
Date Effective : 06/14/1991	\$43,198.00 6.260% from 12/01/1987 Joint and Several	89-R204
Date Effective : 09/23/1991	\$12,738.00 6.390% from 12/24/1987 \$25.00 Filing Fee Joint and Several	89-R033

<u>RESPONDENT</u>	<u>JUDGEMENT</u>	<u>DOCKET NUMBER</u>
Chilmark Commodities Corp. (Continued) Date Effective : 09/09/1991	\$9,930.00 6.390% from 06/23/1988	90-R235
Date Effective : 12/02/1991	\$6,800.00 5.680% from 06/20/1988 \$200.00 Filing Fee Joint and Several	90-R200
171. Chippas, William Matthew Boynton Beach, FL Date Effective : 05/11/1998 NFA ID - 0216717	\$687.50 5.650% from 09/06/1996 \$125.00 Filing Fee	97-R080
172. Church, Richard Sunrise, FL NFA ID - 0039949	\$12,000.00 7.710% from 09/02/1982	83-R049
173. Churchill Group, Inc. Miami, FL Date Effective : 10/30/1990 NFA ID - 0211644	\$83,500.00 7.950% from 08/01/1988 \$200.00 Filing Fee	90-R206
Date Effective : 07/10/1991	\$6,000.00 6.070% from 11/04/1988 \$100.00 Filing Fee	91-R076
Date Effective : 11/01/1992	\$20,000.00 5.420% from 08/01/1989	91-R142
174. Cinna, Michael A. Ft. Lauderdale, FL NFA ID - 0038880	\$7,002.03 11.000% from 11/29/1981 Joint and Several	83-R152
175. Citadel Trading Co., Ltd. Clayton, MO Chicago. IL Collinsville. IL	\$3,569.00 12.000% from 08/06/1984 \$25.00 Filing Fee	R78-464-79-144
176. Cleveland, Chuck San Francisco, CA NFA ID - 0055097	\$6,332.12 6.560% from 02/16/1983 \$25.00 Filing Fee Joint and Several	83-R344

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
177.	CMB Capital Management Corp. Flushing, NY Date Effective : 04/22/1998 NFA ID - 0267339	\$25,860.35 5.232% from 04/25/1997 \$125.00 Filing Fee	98-R026
	Date Effective : 04/17/1998	\$6,690.00 5.232% from 05/22/1997 \$125.00 Filing Fee Joint and Several	97-R154
	Date Effective : 04/22/1998	\$10,979.21 5.232% from 04/25/1997 \$125.00 Filing Fee	98-R028
	Date Effective : 07/17/1998	\$23,585.35 5.407% from 04/25/1997 \$125.00 Filing Fee	98-R027
	Date Effective : 07/17/1998	\$6,860.14 5.407% from 04/18/1997 \$125.00 Filing Fee	98-R025
	Date Effective : 11/06/1998	\$8,732.28 5.271% from 04/30/1997 \$125.00 Filing Fee Joint and Several	98-R139
178.	Coastal Commodities Corp. Key West, FL Date Effective : 02/28/2000 NFA ID - 0278483	\$15,270.25 5.670% from 04/03/1998 \$125.00 Filing Fee Joint and Several	99-R069
	Date Effective : 11/02/2000	\$29,134.00 6.241% from 12/09/1997 \$125.00 Filing Fee Joint and Several	00-R065
179.	Cohen, Bernard Providence, RI NFA ID - 0015533	\$2,063.46 10/30/1981 Varying Int. \$25.00 Filing Fee	82-R343
		\$7,000.00 20.000% from 01/18/1982 Joint and Several	82-R349

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Cohen, Bernard (Continued)	\$14,000.00 20.000% from 01/18/1982 Joint and Several	82-R329
	\$2,063.46 10/30/1981 Varying Int. \$25.00 Filing Fee	82-R449
	\$2,063.46 10/30/1981 Varying Int.	82-R379
	\$14,000.00 20.000% from 01/18/1982 Joint and Several	82-R323
	\$7,000.00 20.000% from 01/18/1982 Joint and Several	82-R350
	\$3,095.19 10/30/1981 Varying Int. \$25.00 Filing Fee	82-R222
180. COHEN, BRIAN DAVID HOLLYWOOD, FL Date Effective : 05/04/2001 NFA ID - 0258135	\$13,504.38 6.025% \$125.00 Filing Fee Joint and Several	00-R053
181. Cohen, Neil L. Providence, RI NFA ID - 0058641	\$7,000.00 20.000% from 01/18/1982 Joint and Several	82-R350
	\$14,000.00 20.000% from 01/21/1982 Joint and Several	82-R329
	\$14,000.00 20.000% from 01/18/1982 Joint and Several	82-R323
	\$7,000.00 20.000% from 01/18/1982 Joint and Several	82-R349

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
182.	Colasurdo, Michael John Fort Lauderdale, FL Date Effective : 12/30/1991 NFA ID - 0005315	\$8,894.26	90-R070
183.	Cole, Jack New York, NY	\$8,800.00 Joint and Several	83-R243
184.	Collazo, Angel Fernandez Elmwood Park, NJ Date Effective : 06/11/2004 NFA ID - 0292355	\$1,996.00 1.210% from 01/09/2001 \$125.00 Filing Fee Joint and Several	01-R105
185.	Collier, Mark William Chicago, IL NFA ID - 0015943	\$6,500.00 11.000% from 05/01/1983 \$25.00 Filing Fee	84-R152
186.	Commodity Brokerage Services, Inc. Marshall, MN NFA ID - 0086152	\$2,499.00 6.900% from 10/03/1986 \$100.00 Filing Fee Joint and Several	87-R019
187.	Commodity Fluctuations Systems, Inc. New York, NY NFA ID - 0001201	\$4,755.00 11.000% from 04/05/1983	84-R373
		\$3,050.00 8.180% from 06/23/1983 \$25.00 Filing Fee Joint and Several	83-R794
		\$4,280.00 7.710% from 05/27/1983 \$25.00 Filing Fee Joint and Several	83-R901
		\$5,100.00 7.030% from 09/20/1983 \$100.00 Filing Fee Joint and Several	85-R017
		\$4,350.00 7.870% from 07/23/1982 \$25.00 Filing Fee Joint and Several	84-R340

RESPONDENT	JUDGEMENT	DOCKET NUMBER
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Commodity Fluctuations Systems, Inc. (Continued)	\$10,698.80 7.870% from 07/12/1983 \$25.00 Filing Fee Joint and Several	84-R366
	\$15,958.25 7.700% from 05/12/1983 \$25.00 Filing Fee Joint and Several	84-R118
	\$47,000.00 5.750% from 11/08/1982 \$200.00 Filing Fee Joint and Several	84-R514
	\$6,000.00 7.710% from 08/03/1983 \$25.00 Filing Fee Joint and Several	84-R481
	\$41,346.21 6.300% from 10/01/1983 \$200.00 Filing Fee Joint and Several	85-R264
188. Commodity Futures Consultants, Inc. Ft. Lauderdale, FL Date Effective : 03/29/1989 NFA ID - 0202879	\$4,518.63 9.160% from 11/09/1987 \$100.00 Filing Fee	89-R41
189. Commodity Management Corporation Salt Lake City, UT	\$2,000.00 12.000% from 12/15/1980 - to 01/31/1982 20.000% from 02/01/1982 - to 12/31/1982 16.000% from 01/01/1983 - to 06/30/1983 11.000% from 07/01/1983 \$25.00 Filing Fee Joint and Several as to the first \$1,200.00	82-R295
190. Commodity Management Services, Inc. New York, NY	\$11,761.00 11.000% from 12/04/1980 \$25.00 Filing Fee Joint and Several	82-R356

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
191.	Commodity Marketing Associates, Inc. N. Miami Beach, FL NFA ID - 0002721	\$9,256.06 6.640% from 08/17/1987 \$100.00 Filing Fee	85-R028
192.	Commodity Option Specialists, Inc. Miami, FL N. Bay Village. FL Date Effective : 03/09/1990 NFA ID - 0198575	\$4,775.00 7.660% from 08/19/1987 \$100.00 Filing Fee Joint and Several	88-R377
	Commodity Option Specialists, Inc. North Bay Village, FL Date Effective : 01/28/1990 NFA ID - 0198575	\$2,800.00 Joint and Several	89-R234
	Date Effective : 05/02/1990	\$107,975.58 7.970% from 08/31/1988 \$200.00 Filing Fee Joint and Several	89-R292
	Date Effective : 05/30/1990	\$7,311.82 8.360% from 02/11/1988 \$25.00 Filing Fee Joint and Several	89-R127
	Date Effective : 07/16/1990	\$8,452.07 Joint and Several	90-R47
	Date Effective : 10/06/1990	\$6,190.16 7.880% from 05/01/1987 Joint and Several	89-R221
	Date Effective : 10/01/1990	\$13,700.00	90-R104
	Date Effective : 03/18/1991	\$21,500.00 6.620% from 08/05/1987 Joint and Several	90-R015

<u>RESPONDENT</u>	<u>JUDGEMENT</u>	<u>DOCKET NUMBER</u>
----- Commodity Option Specialists, Inc. (Continued) North Bay Village, IL Date Effective : 05/24/1991	\$26,898.00 6.460% from 04/20/1988	89-R123
Commodity Option Specialists, Inc. North Bay Village, FL Date Effective : 10/10/1991 NFA ID - 0198575	\$1,395.64 6.260% from 05/13/1987 \$25.00 Filing Fee Joint and Several	89-R281
193. Commodity Resources, Inc. New York, NY	\$32,764.13 16.000% from 06/17/1980 \$25.00 Filing Fee Joint and Several	82-R828
	\$12,000.00 7.060% from 07/16/1980 \$25.00 Filing Fee	R81-1161-82-43
194. Commodity Trading Company Detroit, MI	\$3,124.66 11.000% from 08/31/1982 \$25.00 Filing Fee	83-R588
195. Commodity Trading Corporation Southfield, MI	\$3,086.25 11.000% from 09/13/1982 \$25.00 Filing Fee	83-R518
	\$2,500.00 12.000% from 12/31/1981 \$25.00 Filing Fee Joint and Several	82-R607
	\$9,464.10 5.770% from 07/02/1982 \$100.00 Filing Fee	84-R440
196. Commonwealth Commodities Corporation Chicago, IL NFA ID - 0105128	\$754.00 7.910% from 05/17/1983 \$25.00 Filing Fee Joint and Several	84-R093
	\$5,655.17 10.080% from 08/25/1983 \$25.00 Filing Fee Joint and Several	84-R233

RESPONDENT	JUDGEMENT	DOCKET NUMBER
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Commonwealth Commodities Corporation (Continued)	\$3,350.44 10.080% from 05/20/1983 \$25.00 Filing Fee Joint and Several	83-R865
	\$25,575.44 9.150% from 06/01/1983 Joint and Several	83-R746
	\$5,000.00 11.000% from 08/17/1983 \$25.00 Filing Fee	84-R058
	\$29,389.21 6.350% from 07/29/1986 \$25.00 Filing Fee Joint and Several	84-R050
	\$987.20 5.770% from 01/29/1987 \$100.00 Filing Fee	85-R091
Commonwealth Commodities Corporation Chicago, IL NFA ID - 0000572	\$5,413.00 7.700% from 05/26/1983 \$25.00 Filing Fee	84-R005
	\$13,114.96 6.560% from 07/01/1983 Joint and Several	84-R333
197. Commonwealth Financial Group, Inc. Fort Lauderdale, FL Date Effective : 03/25/1998 NFA ID - 0238065	\$13,599.96 5.341% from 12/31/1994 \$125.00 Filing Fee Joint and Several	97-R155
	Date Effective : 05/26/1998 \$18,184.03 5.650% from 11/22/1994 \$125.00 Filing Fee Joint and Several	95-R135
	Date Effective : 09/16/1998 \$4,443.54 5.413% from 02/08/1996 \$125.00 Filing Fee Joint and Several	98-R121

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Commonwealth Financial Group, Inc. (Continued) Ft. Lauderdale, FL Date Effective : 02/09/1998	\$2,641.00 5.420% from 12/06/1996 \$50.00 Filing Fee	97-R125
Date Effective : 02/24/1999	\$5,600.00 4.513% from 10/04/1996	99-R005
	\$9,802.00 4.513% from 10/04/1996 \$125.00 Filing Fee Joint and Several	
198. Comvest Trading Corporation, Saugus, MA	\$1,395.64 12.000% from 04/30/1979 \$25.00 Filing Fee Joint and Several	R79-568-80-103
	\$346.00 12.000% from 04/30/1979	
	\$5,000.00 12.000% from 05/08/1979 \$25.00 Filing Fee	R79-602-80-120
199. Comvest Trading Corporation, Inc. Saugus, MA	\$2,255.00 12.000% from 02/15/1979 \$25.00 Filing Fee Joint and Several	R79-611-80-116
	\$16,470.00 8.000% from 04/11/1979	R79-531-80-328
	\$3,800.00 8.000% from 04/16/1979	
200. Comvest, Inc. Saugus, MA	\$1,865.00 12.000% from 04/30/1979 \$25.00 Filing Fee Joint and Several	R79-568-80-103
	\$346.00 12.000% from 04/30/1979	
	\$12,500.00 11.000% from 06/28/1979 \$25.00 Filing Fee Joint and Several	R80-985-80-623

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Comvest, Inc. (Continued)	\$1,495.00 12.000% from 04/30/1979 \$25.00 Filing Fee	R79-660-80-218
	\$5,615.00 16.000% from 03/01/1979 \$25.00 Filing Fee	R80-351-80-504
201. Concorde Trading Group, Inc. Ft. Lauderdale, FL Date Effective : 05/10/2002 NFA ID - 0210383	\$23,475.00 2.240% from 10/20/1998 \$125.00 Filing Fee	00-R116
Date Effective : 08/01/2002	\$38,478.00 2.400% from 05/24/2002	01-R045
Date Effective : 08/26/2002	\$7,753.00 2.320% from 12/03/1999 Joint and Several	01-R086
Date Effective : 09/09/2002	\$18,700.00 2.130% from 08/04/1999 \$125.00 Filing Fee	00-R098
Date Effective : 09/13/2002	\$9,313.00 \$125.00 Filing Fee	02-R008
	\$4,710.00 2.320% from 09/30/1999 \$1,750.00 2.320% from 12/07/1999 \$2,853.00 from 12/09/99	
Date Effective : 10/04/2002	\$13,893.00 2.390% from 10/07/1998 \$125.00 Filing Fee	02-R045
Date Effective : 10/23/2002	\$8,520.00 1.670% from 06/16/2000 \$125.00 Filing Fee	01-R066

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Concorde Trading Group, Inc. (Continued) Ft. Lauderdale, FL N. Miami Beach. FL Date Effective : 12/04/2002	\$54,000.00 1.730% from 05/06/1999 \$250.00 Filing Fee Joint and Several	01-R054
Concorde Trading Group, Inc. North Miami Beach, FL Ft. Lauderdale. FL Date Effective : 01/16/2003 NFA ID - 0210383	\$18,609.00 1.510% from 06/19/2000 \$125.00 Filing Fee	02-R057
202. Connelly, Brian Boxford, MA	\$1,000.00 12.000% from 02/01/1979 \$25.00 Filing Fee	R79-660-80-218
203. Connole, Marc E. Chicago, IL NFA ID - 0047803	\$568.93 5.790% from 02/17/1983 Joint and Several	84-R337
204. Connolly, David A. Quincy, MA NFA ID - 0180907	\$21,800.00 6.710% from 02/01/1985 \$25.00 Filing Fee Joint and Several	87-R91
Connolly, David A. Teaneck, NJ NFA ID - 0180907	\$34,723.82 7.540% from 08/23/1985 \$200.00 Filing Fee	87-R95
205. Connolly, David C. Boston, MA NFA ID - 0052612	\$129,750.25 7.220% from 12/17/1982 \$200.00 Filing Fee Joint and Several	85-R012
Connolly, David C. Teaneck, NJ NFA ID - 0052612	\$5,259.00 7.540% from 04/08/1986 \$25.00 Filing Fee	88-R191
206. Contemporary Financial Corp. Dallas, TX NFA ID - 0000752	\$12,750.00 11.000% from 08/01/1983	83-R913

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
207. Conti, Rose Fair Lawn, NJ	\$4,002.00 16.000% from 10/28/1980 \$25.00 Filing Fee Joint and Several	R81-779-82-132
208. Cook, Teddi Jo Huntington Beach, CA Date Effective : 11/01/1993 NFA ID - 0201265	\$5,174.52 3.430% from 04/20/1989 \$25.00 Filing Fee	91-R195
209. Coppi, Ron Des Moines, IA	\$1,049.80 12.000% from 07/28/1980 - to 01/31/1982 20.000% from 02/01/1982 - to 12/31/1982 16.000% from 01/01/1983 - to 06/30/1983 11.000% from 07/01/1973 \$25.00 Filing Fee	R81-622-81-727
210. Coughlin, Kevin J. Boston, MA NFA ID - 0184953	\$66,870.95 7.220% from 03/15/1985 \$200.00 Filing Fee Joint and Several	87-R75
Coughlin, Kevin J. South Boston, MA NFA ID - 0184953	\$76,564.90 7.000% from 09/14/1984 \$200.00 Filing Fee Joint and Several	86-R209
211. COX, DARRYL SYLVESTER ANAHEIM, CA Date Effective : 12/13/2001 NFA ID - 0243709	\$29,595.50 2.490% from 12/03/1999 \$125.00 Filing Fee	01-R086
212. Cox, Eugene S. Virginia Beach, VA NFA ID - 0110362	\$15,958.25 7.700% from 05/12/1983 \$25.00 Filing Fee Joint and Several	84-R118
213. Cullen, Edward T., Jr. Woodhaven, NY NFA ID - 0084776	\$10,698.80 6.980% from 07/12/1983 \$25.00 Filing Fee Joint and Several	84-R366

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
214.	Cullin, Edward S. Dunwoody, GA Date Effective : 06/18/1990 NFA ID - 0186891	\$3,242.90 8.320% from 06/10/1988 \$25.00 Filing Fee	89-R81
215.	Cunningham, John New York, NY	\$6,500.00 16.000% from 06/01/1980 \$25.00 Filing Fee wwwwwwwwwwww	R80-1212-81-313
216.	Curtis, G. Management, Inc. a/k/a Gulf Commodity Management, Inc. Farris, TX NFA ID - 0000164	\$12,750.00 11.000% from 08/01/1983	83-R913
217.	Curtiss, Anthony Glenn Hialeah, FL Date Effective : 01/09/1990 NFA ID - 0077478	\$9,967.75 7.900% from 11/17/1987 \$200.00 Filing Fee Joint and Several	89-R351
218.	Curvin, Marcus Stephen Nashville, TN Date Effective : 12/01/1994 NFA ID - 0237072	\$3,651.78 5.690% from 06/23/1993 \$125.00 Filing Fee	94-R155
219.	Daley, Morgan J., Corporation St. Louis, MO NFA ID - 0104405	\$2,000.00 2/28/1980 Varying Int. \$25.00 Filing Fee	82-R331
220.	Dameron, Dana Ft. Lauderdale, FL NFA ID - 0039108	\$20,000.00 12.000% from 01/11/1980 \$25.00 Filing Fee Joint and Several	R81-62-81-203

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
221. Dameron, Dana Sickerville, NJ	\$3,260.50 6.000% from 11/27/1978 - to 01/31/1980 12.000% from 02/01/1980 - to 01/31/1982 20.000% from 02/01/1982 - to 12/31/1982 16.000% from 01/01/1983 - to 06/30/1983 11.000% from 07/01/1983 \$25.00 Filing Fee Joint and Several	R80-291-80-293
222. Dameron, Inc. Ft. Lauderdale, FL NFA ID - 0103921	\$20,000.00 12.000% from 01/11/1980 \$25.00 Filing Fee Joint and Several	R81-62-81-203
223. Daniel-Brickman Investment, Inc. Southfield, MI	\$3,086.25 11.000% from 09/13/1982 \$25.00 Filing Fee	83-R518
224. Daniels, Abraham Redding, CT	\$2,159.00 11.000% from 09/28/1978 \$25.00 Filing Fee Joint and Several	R80-257-80-666
225. Daniels, Abraham M. New Fairfield, CT NFA ID - 0016420	\$15,000.00 11.000% from 03/02/1981 \$25.00 Filing Fee Joint and Several	R81-1088-82-514
	\$25,000.00 11.000% from 06/10/1980 \$25.00 Filing Fee Joint and Several	82-R480
	\$1,957.50 12.000% from 05/01/1982 \$25.00 Filing Fee	82-R831
	\$41,362.45 16.000% from 08/24/1981 \$25.00 Filing Fee	82-R474
	\$5,000.00 9.080% from 12/31/1981	84-R036

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Daniels, Abraham M. (Continued) New York, NY	\$19,877.10 11.000% from 01/08/1982 \$25.00 Filing Fee	82-R811
	\$3,000.00 12.000% from 10/23/1980 \$25.00 Filing Fee	82-R870
	\$10,000.00 9.150% from 03/06/1979 \$25.00 Filing Fee Joint and Several	R80-1167-81-110
	\$3,576.80 10.000% from 12/01/1982	R80-254-80-260
	\$5,000.00 \$25.00 Filing Fee varying interest	R81-754-82-23
	\$19,600.00 9.080% from 10/17/1980 \$25.00 Filing Fee Joint and Several	82-R018
	\$30,000.00 7.870% from 04/20/1982 \$200.00 Filing Fee Joint and Several	84-R422
	\$10,000.00 5.750% from 07/05/1979 \$25.00 Filing Fee Joint and Several	R80-1042-81-212
226. Danze, Lisa Jennifer Boca Raton, FL Date Effective : 03/02/1992 NFA ID - 0223564	\$5,519.10 Joint and Several	92-R003
227. Darocy, Steven D. a/k/a Darosy, Stephen Dallas, TX NFA ID - 0075958	\$8,500.00 11.000% from 03/06/1981 Joint and Several	R81-302-81-817

RESPONDENT	JUDGEMENT	DOCKET NUMBER
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228. Data-Trend Commodities, Inc. Chicago, IL NFA ID - 0001211	\$6,000.00 7.850% from 03/27/1986	85-R049
	\$9,363.14 7.570% from 04/01/1983 \$25.00 Filing Fee Joint and Several	85-R103
	\$9,564.14 8.180% from 12/30/1983 \$100.00 Filing Fee Joint and Several	85-R054
229. Dauman, Robert New York, NY	\$32,764.13 16.000% from 06/17/1980 \$25.00 Filing Fee Joint and Several	82-R828
230. Davidson, John A. San Diego, CA	\$5,400.00 11.000% from 07/13/1981 \$25.00 Filing Fee Joint and Several	83-R206
231. Davis, James A. Arlington, TX NFA ID - 0031761	\$55,140.00 6.300% from 02/28/1983 \$25.00 Filing Fee	85-R119
232. Day, Danny L. Los Alamitos, CA	\$33,996.60 20.000% from 12/15/1980 \$25.00 Filing Fee	82-R345
233. Day, William San Diego, CA	\$5,400.00 11.000% from 07/13/1981 \$25.00 Filing Fee Joint and Several	83-R206
234. DeAngelis, John A. N. Miami, FL NFA ID - 0012863	\$3,885.36 7.540% from 04/10/1986 \$100.00 Filing Fee	88-R167
	\$3,157.00 7.540% from 04/28/1986 \$25.00 Filing Fee	88-R232

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
235.	Dearie, William E. CenterPort, NY NFA ID - 0004165	\$7,580.00 11.000% from 12/31/1981	83-R754
236.	DeBeauclair, Russell B. San Diego, CA	\$5,400.00 11.000% from 07/13/1981 \$25.00 Filing Fee Joint and Several from 7-13-81	83-R206
		\$3,694.00 6.310% from 08/24/1981 \$25.00 Filing Fee Joint and Several	83-R191
237.	DeBerry, Sims Everett Boston, MA	\$1,500.00 \$25.00 Filing Fee varying interest	82-R234
238.	Debiagi, Gianni Deo Pembroke Pine, FL Date Effective : 06/02/1994 NFA ID - 0231958	\$11,800.96 4.220% from 03/31/1992 \$200.00 Filing Fee Joint and Several	93-R203
	Debiagi, Gianni Deo Pembroke Pines, FL Date Effective : 10/12/1993 NFA ID - 0231958	\$18,000.00 Joint and Several 3.58% from date of investment	93-R047
	Date Effective : 08/01/1994	\$5,000.00 5.280% from 08/26/1991 \$200.00 Filing Fee Joint and Several	93-R046
	Date Effective : 02/17/1995	\$44,108.76 \$50.00 Filing Fee Joint and Several	94-R189
239.	DeBurris, James Ft. Lauderdale, FL	\$14,739.84 6.310% from 11/01/1982 \$25.00 Filing Fee	85-R92
		\$1,505.97 7.060% from 04/24/1986	85-R234

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
240.	Dedios, Joe L. Irvine, CA NFA ID - 0046828	\$16,029.80 6.900% from 07/16/1986 \$200.00 Filing Fee	86-R286
241.	Del Real, Ricardo Eustaquio, Jr. Ft. Lauderdale, FL Date Effective : 12/09/2002 NFA ID - 0284676	\$4,504.40 1.730% from 11/07/2002	01-R076
242.	Delbridge, David S. St. Louis, MO NFA ID - 0057209	\$2,000.00 2/28/1980 Varying Int. \$25.00 Filing Fee Joint and Several	82-R331
243.	Deldeo, Paul Elliot N. Miami Beach, FL NFA ID - 0069245	\$8,016.10 6.180% from 04/30/1982 \$25.00 Filing Fee Joint and Several	82-R938
244.	Deleon, Gary Lee Hollywood, FL North Miami Beach. FL Date Effective : 10/01/1992 NFA ID - 0188429	\$4,496.41 4.110% from 01/10/1991 \$100.00 Filing Fee	91-R134
245.	DeLeonardis, Joseph C. Chicago, IL NFA ID - 0048497	\$25,575.44 9.150% from 06/01/1983 Joint and Several	83-R746
246.	Delinois, Serge L. Miami, FL Date Effective : 10/06/1990 NFA ID - 0195827	\$6,190.16 7.880% from 05/01/1987 Joint and Several	89-R221
247.	DeLisi, Joseph A. Roseland, NJ NFA ID - 0061542	\$2,658.20 20.000% from 06/27/1979 Joint and Several	R80-254-80-260
		\$7,331.50 20.000% from 07/04/1979 Joint and Several	
		\$10,000.00 9.150% from 03/06/1979 \$25.00 Filing Fee Joint and Several	R80-1167-81-110

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
DeLisi, Joseph A. (Continued)	\$10,000.00 5.750% from 07/05/1979 \$25.00 Filing Fee Joint and Several	R80-1042-81-212
	\$7,915.00 6.300% from 01/21/1980 \$25.00 Filing Fee Joint and Several	R80-902-81-139
248. Dellert, Gary R. Chicago, IL Date Effective : 02/09/1989 NFA ID - 0180761	\$1,459.72 8.550% from 08/07/1986 \$25.00 Filing Fee	87-R206
249. Delphi Commodities, Inc. Ft. Lauderdale, FL NFA ID - 0090259	\$2,159.00 11.000% from 09/28/1979 \$25.00 Filing Fee Joint and Several	R80-257-80-666
Delphi Commodities, Inc. Roseland, NJ NFA ID - 0090259	\$10,000.00 9.150% from 03/06/1979 \$25.00 Filing Fee Joint and Several	R80-1167-81-110
	\$10,000.00 5.750% from 07/05/1979 \$25.00 Filing Fee Joint and Several	R80-1042-81-212
	\$7,915.00 6.300% from 01/21/1980 \$25.00 Filing Fee Joint and Several	R80-902-81-139
250. Delphi Commodities, Inc. New York, NY	\$8,094.00 11.000% from 01/16/1980 \$25.00 Filing Fee Joint and Several	R81-1232-82-573
	\$2,658.20 20.000% from 06/27/1979 Joint and Several	R80-254-80-260
	\$7,331.50 20.000% from 07/04/1979 Joint and Several	

<u>RESPONDENT</u>	<u>JUDGEMENT</u>	<u>DOCKET NUMBER</u>
251. DeNigris, Michael J., Jr. Farmingtonville, NY Jackson Heights, NY NFA ID - 0017601	\$9,000.00 7.000% from 08/19/1977 - to 01/31/1978 6.000% from 02/01/1978 - to 01/31/1980 12.000% from 02/01/1980 - to 01/31/1982 20.000% from 02/01/1982 - to 12/31/1982 16.000% from 01/01/1983 \$25.00 Filing Fee	R79-53-79-376
252. Desano, Mark Albany, NY Date Effective : 02/09/1984 NFA ID - 0220873	\$12,935.00 11.000% from 09/30/1981 \$25.00 Filing Fee Joint and Several	82-R459
253. Desir, Anthony C. New York, NY Date Effective : 05/08/1990 NFA ID - 0179215	\$16,200.00 7.970% from 11/01/1986 \$25.00 Filing Fee	89-R144
254. Di Paola, Victor New York, NY	\$7,915.00 6.300% from 01/21/1980 \$25.00 Filing Fee Joint and Several	R80-902-81-139
255. Diaz, Michael R. New York, NY NFA ID - 0070329	\$42,000.00 12.000% from 10/25/1978 Joint and Several	R79-538-80-111
	\$3,050.00 8.180% from 06/23/1983 \$25.00 Filing Fee Joint and Several	83-R794
256. Dippippo, Peter Ft. Lauderdale, FL	\$15,617.72 6.560% from 09/30/1982 \$25.00 Filing Fee Joint and Several	82-R1032
257. Diversified Futures Corporation N. Miami, FL NFA ID - 0106519	\$5,519.18 6.560% from 07/29/1981 Joint and Several	82-R1025

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Diversified Futures Corporation (Continued) New York, NY	\$4,500.00 10.080% from 11/03/1981 \$25.00 Filing Fee Joint and Several	82-R1076
258. Diversified Investment Group, Inc. N. Miami, FL NFA ID - 0103600	\$3,555.55 11.000% from 04/30/1982 \$25.00 Filing Fee	83-R398
	\$34,446.00 7.850% from 09/15/1982 Joint and Several	84-R054
259. Diversified Investors Group, Inc. N. Miami, FL NFA ID - 0000262	\$3,000.00 7.870% from 03/24/1983 \$25.00 Filing Fee Joint and Several	84-R162
	\$34,446.00 7.850% from 09/15/1982 Joint and Several	84-R54
260. Diversified Trading Systems, Inc. West Palm Beach, FL Date Effective : 05/13/1993 NFA ID - 0219677	\$5,794.40 3.210% \$25.00 Filing Fee Joint and Several	92-R072
Date Effective : 05/29/1993	\$7,500.00 \$100.00 Filing Fee Joint and Several	93-R044
Date Effective : 06/13/1993	\$7,535.00 3.210% from 01/04/1991 \$100.00 Filing Fee Joint and Several	92-R050
Date Effective : 07/08/1993	\$9,765.03 3.370% \$100.00 Filing Fee Joint and Several Interest Compounded Annually	93-R083

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Diversified Trading Systems, Inc. (Continued) Date Effective : 10/12/1993	\$18,000.00 Joint and Several 3.58% from date of investment	93-R047
Date Effective : 10/12/1993	\$11,200.00 Joint and Several 3.58 % from date of investment	93-R019
Date Effective : 10/25/1993	\$3,490.00 3.430% from 03/09/1992 \$25.00 Filing Fee	93-R126
Date Effective : 11/29/1993	\$7,900.00 3.430% from 04/04/1992 \$100.00 Filing Fee	93-R001
Date Effective : 11/17/1993	\$10,767.00 3.430% from 02/28/1991 \$25.00 Filing Fee Joint and Several	93-R155
Date Effective : 11/15/1993	\$7,007.00 3.430% from 04/12/1991 \$25.00 Filing Fee Joint and Several	93-R156
Date Effective : 01/13/1994	\$2,179.00 3.380% \$25.00 Filing Fee Joint and Several	93-R120
Date Effective : 01/20/1994	\$4,146.00 3.380% from 03/13/1992 \$25.00 Filing Fee Joint and Several	92-R192
Date Effective : 02/07/1994	\$3,371.00 3.570% from 02/26/1992 \$25.00 Filing Fee Joint and Several	93-R197
Date Effective : 03/31/1994	\$16,214.36 3.570% from 07/07/1992 \$25.00 Filing Fee	93-R201

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Diversified Trading Systems, Inc. (Continued) Date Effective : 03/31/1994	\$5,963.00 3.670% from 04/28/1992 \$200.00 Filing Fee Joint and Several	93-R194
Date Effective : 03/31/1994	\$42,658.35 3.670% from 04/01/1991	93-R175
Date Effective : 06/02/1994	\$11,800.96 4.220% from 03/31/1992 \$200.00 Filing Fee Joint and Several	93-R203
Date Effective : 08/01/1994	\$5,000.00 5.280% from 08/26/1991 \$200.00 Filing Fee Joint and Several	93-R046
Date Effective : 10/11/1994	\$2,419.13 5.280% from 08/26/1991 \$4,331.76	93-R110
Date Effective : 12/02/1994	\$12,425.00 5.690% from 09/19/1994 \$200.00 Filing Fee Joint and Several	94-R006
Date Effective : 12/05/1994	\$15,448.00 5.690% from 10/01/1991 \$25.00 Filing Fee Joint and Several	94-R002
Date Effective : 12/27/1994	\$5,132.00	93-R204
Date Effective : 02/17/1995	\$44,108.76 \$50.00 Filing Fee Joint and Several	94-R189
261. Dixon, Wyatt & Co., Inc. New York, NY	\$13,708.28 7.870% from 04/01/1980 \$25.00 Filing Fee	R80-1383-81-155

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Dixon, Wyatt & Co., Inc. (Continued)	\$254,026.67 20.000% from 01/30/1980 \$25.00 Filing Fee	R80-1093-81-6
	\$22,019.00 6.000% from 07/01/1979 - to 01/31/1980 12.000% from 02/01/1980 - to 01/31/1982 20.000% from 02/01/1982 - to 12/31/1982 16.000% from 01/01/1983 \$25.00 Filing Fee Joint and Several	R81-89-81-227
262. Dobratz, John Patrick Las Vegas, NV Date Effective : 12/01/1994 NFA ID - 0198959	\$3,000.00 5.690% from 03/14/1991 \$25.00 Filing Fee	93-R053
263. Dombroski, Walter Stanley Old Bridge, NJ NFA ID - 0097538	\$10,239.20 6.930% from 11/29/1985 \$25.00 Filing Fee	87-R012
	\$7,814.40 7.010% from 04/25/1986 \$25.00 Filing Fee Joint and Several	87-R116
Date Effective : 01/15/1989	\$7,431.10 8.150% from 02/08/1985 \$100.00 Filing Fee Joint and Several	87-R279
Date Effective : 08/11/1989	\$82,035.50 7.950% from 07/01/1985 \$200.00 Filing Fee	87-R211
Date Effective : 11/04/1991	\$6,800.00 5.680% from 06/20/1988 \$200.00 Filing Fee Joint and Several	90-R200
Date Effective : 07/02/1993	\$6,885.97 8.320% from 03/21/1986 \$25.00 Filing Fee	87-R210

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
264. Dotson, Robert Los Angeles, CA	\$20,270.48 12.000% from 01/15/1981 \$25.00 Filing Fee Joint and Several	R81-1395-82-466
	\$18,541.04 9.090% from 06/29/1981 \$25.00 Filing Fee Joint and Several	R81-611-82-325
	\$9,270.52 9.090% from 07/02/1981 \$25.00 Filing Fee Joint and Several	R81-1085-82-293
	\$10,000.00 9.090% from 03/08/1982 \$25.00 Filing Fee Joint and Several	82-R524
	\$10,000.00 9.090% from 02/13/1982 \$25.00 Filing Fee Joint and Several	82-R883
265. Downs, Harry M. Rensselaer, NY NFA ID - 0021886	\$15,000.00 16.000% from 05/05/1982 \$25.00 Filing Fee	82-R1009
266. Drexel Burnham Lambert, Inc. New York, NY Date Effective : 11/01/1992 NFA ID - 0001699	\$1,312,209.00 6.210% from 01/03/1989 \$200.00 Filing Fee	89-R099
267. Dreyfuss Group, Ltd. a/k/a Capistrano Asset Management Capistrano, CA Date Effective : 12/29/1994 NFA ID - 0203571	\$2,911.36 5.690% from 11/13/1990 \$100.00 Filing Fee Joint and Several	92-R103
268. Duckworth, Thomas Wayne Las Vegas, NV Date Effective : 08/02/1993 NFA ID - 0044781	\$2,000.00 3.410% from 01/29/1991 \$25.00 Filing Fee Joint and Several	91-R212

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
269.	Dugrot, Felipe Alexander Miami, FL NFA ID - 0048094	\$15,504.40 5.630% from 09/08/1986 \$25.00 Filing Fee Joint and Several	84-R427
270.	Duncan, Frank Louis Los Alamitos, CA NFA ID - 0047591	\$33,996.60 20.000% from 12/15/1980 \$25.00 Filing Fee	82-R345
271.	Dunhill Investments Corp. d/b/a Oxford Investments Boca Raton, FL Date Effective : 06/14/1991 NFA ID - 0212041	\$43,198.00 6.260% from 12/01/1987 Joint and Several	89-R204
	d/b/a Oxford Investments Date Effective : 09/09/1991	\$9,930.00 6.390% from 06/23/1988	90-R235
	Dunhill Investments Corporation d/b/a Oxford Investments Boca Raton, FL Date Effective : 11/05/1990 NFA ID - 0212041	\$6,135.00 7.880% Joint and Several costs \$100.00	89-R219
	d/b/a Oxford Investments Date Effective : 04/14/1991	\$28,316.33 6.210% from 01/28/1988 Joint and Several	90-R130
272.	Dunst, Edward A. Chicago, IL Date Effective : 02/03/1990 NFA ID - 0047464	\$4,930.19 7.900% from 06/16/1986 \$25.00 Filing Fee Joint and Several	89-R29
273.	Dutton, Bob New York, NY	\$9,311.75 7.030% from 01/04/1980 \$25.00 Filing Fee	R81-600-81-686
274.	EASTERBROOK, ADRIAN PASCAL NEW YORK, NY Date Effective : 11/20/1998 NFA ID - 0269403	\$4,743.50 5.271% from 05/02/1996 \$125.00 Filing Fee	98-R185

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
275.	Eastern Capital Corporation Boston, MA NFA ID - 0001690	\$39,392.75 11.000% from 06/29/1981 \$25.00 Filing Fee Joint and Several	R81-35-82-435
		\$63,400.00 12.000% from 02/16/1984 Joint and Several	82-R183
		\$1,639.12 8.080% from 06/30/1984 \$100.00 Filing Fee	84-R498
		\$8,285.40 7.030% from 08/20/1986 \$100.00 Filing Fee Joint and Several	85-R244
		\$3,000.00 \$25.00 Filing Fee Joint and Several	86-R174
		\$4,759.80 6.560% from 10/01/1983 \$100.00 Filing Fee Joint and Several	85-R038
276.	Eckles, Oliver Burnham Des Moines, IA Date Effective : 07/06/1992 NFA ID - 0084772	\$6,500.00 4.550% from 01/22/1992 \$100.00 Filing Fee	92-R053
277.	Economic Research Analysts Commodities, Inc. Miami, FL	\$33,300.00 11.000% from 02/14/1977 \$25.00 Filing Fee Joint and Several	R77-282
		\$3,900.00 12.000% from 06/05/1980 \$25.00 Filing Fee Joint and Several	R78-112-78-278
278.	Edco Management Corp. Boca Raton, FL Date Effective : 10/04/1991 NFA ID - 0185536	\$56,020.00 6.390% from 01/01/1987 \$25.00 Filing Fee Joint and Several	90-R061

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
279.	Edwards, Tom Hollywood, CA	\$6,975.00 11.000% from 08/22/1983 \$25.00 Filing Fee Joint and Several	83-R534
280.	Einstein-Cisvest, Inc. Formerly known as: Einstein Futures Group, Inc. Miami, FL Date Effective : 08/30/1994 NFA ID - 0194816	\$1,895.00 Joint and Several	93-R159
281.	Eisenberg, Murray Palm Desert, CA	\$5,000.00 11.000% from 10/15/1984 \$25.00 Filing Fee	R80-513-80-572
282.	Emdens, Jeffrey New York, NY	\$10,000.00 20.000% from 05/30/1980	R80-299-81-299
283.	Emmems, Tim Jersey City, NJ	\$1,835.00 9.080% from 02/19/1984 \$25.00 Filing Fee Joint and Several	83-R270
284.	Emmons, Tim Hollywood, CA	\$6,975.00 11.000% from 08/22/1983 \$25.00 Filing Fee Joint and Several	83-R534
285.	Emmous, Tim Los Angeles, CA London, England	\$3,570.00 11.000% from 08/03/1982 \$25.00 Filing Fee Joint and Several	83-R360
286.	Empire Asset Management New York, NY Date Effective : 06/11/2004 NFA ID - 0300077	\$1,996.00 1.210% from 01/09/2001 \$125.00 Filing Fee Joint and Several	01-R105
287.	Ensig, Edward S. Miami Beach, FL NFA ID - 0141889	\$8,650.00 11.000% from 07/11/1980 \$25.00 Filing Fee Joint and Several	R81-380-81-455

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
288.	Equity Futures Corp. San Clemente, CA Date Effective : 07/24/1989 NFA ID - 0177784	\$10,163.94 9.150% from 10/10/1985 \$200.00 Filing Fee	88-R139
289.	Equity Partners, Inc. Poway, CA Date Effective : 07/24/1989 NFA ID - 0177718 Equity Partners, Inc. San Clemente, CA NFA ID - 0177718	\$10,163.94 9.150% from 10/10/1985 \$200.00 Filing Fee \$43,436.75 7.000% from 12/08/1985 \$200.00 Filing Fee Joint and Several	88-R139 87-R108
290.	Ettinger, Aaron Joseph Boca Raton, FL Date Effective : 12/06/2004 NFA ID - 0306961	\$6,400.00 \$50.00 Filing Fee	04-R008
291.	Etz, John G., Sr. Phoenix, AZ Date Effective : 10/29/1989 NFA ID - 0051799	\$66,477.04 8.270% from 10/01/1984 \$200.00 Filing Fee	89-R239
292.	Eubanks, Kenneth Scott Palm Harbor, FL Date Effective : 03/13/2000 NFA ID - 0279703	\$3,114.00 5.670% from 03/24/1998 \$125.00 Filing Fee	99-R012
293.	Executors Monetary Corp. of California Hollywood, CA	\$6,578.25 20.000% from 01/10/1980 \$25.00 Filing Fee Joint and Several	R81-509-82-245
		\$6,096.00 16.000% from 12/31/1979 Joint and Several	R80-1224-81-679
294.	Fader, Gary Allen Juno Beach, FL Date Effective : 01/03/1994 NFA ID - 0241039	\$5,510.44 3.380% from 09/09/1992 \$25.00 Filing Fee Joint and Several	93-R188

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Fader, Gary Allen (Continued) Date Effective : 11/13/1995	\$9,310.00 5.070% from 05/10/1993	95-R103
295. Fahr, George P. Bloomington, MN NFA ID - 0032208	\$276,880.00 16.000% from 08/01/1979 \$25.00 Filing Fee Joint and Several	R81-1289-82-497
296. Fallon, Joe Clarkston, GA	\$3,293.00 12.000% from 03/01/1977 \$25.00 Filing Fee	R77-216
297. Falls, Jeffrey R. Miami Springs, FL Date Effective : 09/26/1988 NFA ID - 0186748 Date Effective : 05/23/1990	\$7,700.00 7.540% from 10/30/1986 \$25.00 Filing Fee \$9,920.80 9.970% from 08/01/1987 \$25.00 Filing Fee Joint and Several	88-R216 89-R294
298. Farber, Howard E. Miami, FL Date Effective : 08/20/1990 NFA ID - 0078156	\$43,597.37 8.700% from 07/13/1988 \$200.00 Filing Fee	89-R297
299. Farber, Lawrence Sunrise, FL Oak Park. MI Date Effective : 06/29/1992 NFA ID - 0077052	\$2,782.00 6.460% from 08/17/1988 \$100.00 Filing Fee	90-R113
300. Farquhar, Joseph H. Hollywood, FL NFA ID - 0040623	\$4,522.24 9.100% from 08/18/1983 \$25.00 Filing Fee Joint and Several	84-R143
301. Fedorchak, James R. Valparaisa, IN NFA ID - 0147915	\$7,856.90 5.930% from 04/10/1987 \$100.00 Filing Fee Joint and Several	85-R445

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
302.	Feinberg, Robert B. a/k/a Feinberg, Bob North Miami, FL NFA ID - 0030706	\$575.00 11.000% from 01/05/1982 Joint and Several	82-R735
303.	Feldman, Richard M. Coral Gable, FL NFA ID - 0084617	\$75,980.00 9.150% from 01/07/1980 \$25.00 Filing Fee Joint and Several	R82-50-82-404
304.	Fels, Marshall B. Chicago, IL NFA ID - 0090767	\$1,379.62 7.590% from 01/15/1988 \$25.00 Filing Fee	87-R191
305.	FERNANDEZ, JACK HIPOLITO FT. LAUDERDALE, FL Date Effective : 08/13/2001 NFA ID - 0238095	\$7,320.92 \$50.00 Filing Fee	00-R025
306.	Ferragamo, Ronald M. San Rafael, CA NFA ID - 0092178	\$12,035.17 7.200% from 06/01/1984 \$200.00 Filing Fee Joint and Several	86-R189
	Ferragamo, Ronald M. San Rafael, CA Montecito. CA Date Effective : 04/10/1989 NFA ID - 0020412	\$110,854.11 8.320% from 01/01/1984 \$200.00 Filing Fee Joint and Several	87-R068
307.	Ferrari, Alfredo Albino North Miami, FL Miramar. FL Date Effective : 08/20/1992 NFA ID - 0201554	\$10,650.00 4.550% from 02/28/1989 \$200.00 Filing Fee	91-R146
308.	Fidelity Trading Group, Inc. Boca Raton, FL Date Effective : 06/18/2004 NFA ID - 0305129	\$36,924.24 1.300% from 04/01/2001 \$250.00 Filing Fee Call Proceedings For Details	03-R052

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Fidelity Trading Group, Inc. (Continued) Date Effective : 09/13/2004	\$15,940.15 2.160% from 09/20/2001 \$125.00 Filing Fee Joint and Several	03-R090
309. Fiel, Marc Daniel Carson, CA Date Effective : 11/27/1996 NFA ID - 0249411	\$637.50 \$50.00 Filing Fee Joint and Several	95-R141
310. Filner, Arnold New York, NY	\$1,875.00 12.000% from 06/22/1981 - to 01/31/1982 20.000% from 02/01/1982 - to 12/31/1982 16.000% from 01/01/1983 - to 06/30/1983 11.000% from 07/01/1983 \$25.00 Filing Fee	82-R78
311. Financial Alternatives, Inc. Boston, MA NFA ID - 0002130	\$10,458.25 11.000% from 03/20/1981 \$25.00 Filing Fee Joint and Several	R81-195-82-410
Financial Alternatives, Inc. Lynnfield, MA NFA ID - 0002130	\$10,000.00	85-R095
	\$10,000.00 Joint and Several	85-R406
Financial Alternatives, Inc. Melrose, MA NFA ID - 0002130	\$11,400.00 7.850% from 06/23/1983 \$25.00 Filing Fee Joint and Several	85-R075
312. Financial Planners, Inc. Las Vegas, NV	\$7,113.12 11.000% from 08/31/1982 Joint and Several	83-R111
	\$53,330.00 11.000% from 08/31/1982 Joint and Several	83-R431

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
313. Firestone, Joel M. Lakehurst, NJ Date Effective : 01/28/1991 NFA ID - 0055450	\$22,354.83 7.280% from 04/01/1987 Joint and Several	89-R173
314. Firpo, George E. Pittsburg, CA Date Effective : 03/09/1989 NFA ID - 0032353	\$110,854.11 8.320% from 01/01/1984 \$200.00 Filing Fee Joint and Several	87-R068
315. First Atlantic Trading Group Ft. Lauderdale, FL Date Effective : 08/29/1991 NFA ID - 0216604	\$1,674.60 6.090% from 11/03/1989 \$25.00 Filing Fee Joint and Several	90-R091
316. First Brokerage of Illinois, Inc. Chicago, IL Date Effective : 08/03/1998 NFA ID - 0237187	\$550.40 \$50.00 Filing Fee	98-R096
317. First Commodity Corporation of Boston Boston, MA NFA ID - 0002574	\$5,880.00 6.310% from 11/24/1982 \$25.00 Filing Fee Joint and Several	83-R571
	\$14,386.50 7.870% from 02/01/1981 \$25.00 Filing Fee	82-R853
	\$16,193.00 6.180% from 05/06/1983 \$25.00 Filing Fee Joint and Several	84-R277
	\$3,260.00 7.000% from 03/28/1983 \$200.00 Filing Fee	85-R018
	\$38,092.40 5.750% from 12/06/1982 \$25.00 Filing Fee Joint and Several	83-R589

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
First Commodity Corporation of Boston (Continued)	\$13,256.40 6.090% from 05/17/1984 \$200.00 Filing Fee	86-R159
	\$6,175.35 7.000% from 12/09/1987 \$25.00 Filing Fee	85-R254
	\$24,455.00 6.300% from 09/30/1982 \$200.00 Filing Fee Joint and Several	85-R085
	\$26,758.60 6.640% from 10/18/1984 \$200.00 Filing Fee Joint and Several	86-R028
	\$9,200.00 6.530% from 03/05/1984 \$100.00 Filing Fee Joint and Several	85-R369
	\$45,208.50 7.880% from 07/22/1983 \$25.00 Filing Fee Joint and Several	85-R377
	\$27,778.90 7.880% from 02/16/1984 \$200.00 Filing Fee	85-R191
	\$21,226.55 6.900% from 10/23/1984 \$200.00 Filing Fee Joint and Several	85-R282
	\$198,079.45 6.900% from 06/30/1984 \$200.00 Filing Fee	85-R201
	\$10,239.20 6.930% from 11/29/1985 \$25.00 Filing Fee Joint and Several	87-R012

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
First Commodity Corporation of Boston (Continued)	\$76,564.90 7.000% from 09/14/1984 \$200.00 Filing Fee Joint and Several	86-R209
	\$11,178.00 7.220% from 10/04/1985 \$25.00 Filing Fee Joint and Several	86-R223
	\$25,793.30 6.900% from 03/22/1984 \$200.00 Filing Fee	86-R047
	\$129,750.25 7.220% from 12/17/1982 \$200.00 Filing Fee Joint and Several	85-R012
	\$21,403.69 6.980% from 06/02/1982 \$25.00 Filing Fee Joint and Several	83-R697
	\$35,413.66 6.590% from 04/24/1984 \$25.00 Filing Fee Joint and Several	87-R090
	\$21,147.06 7.000% from 06/15/1982 \$25.00 Filing Fee Joint and Several	83-R596
	\$16,029.80 6.900% from 07/16/1986 \$200.00 Filing Fee	86-R286
	\$6,786.00 6.710% from 08/13/1986 \$100.00 Filing Fee	87-R220
	\$28,535.67 6.930% from 05/29/1984 \$200.00 Filing Fee Joint and Several	86-R016

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
First Commodity Corporation of Boston (Continued)	\$6,450.00 7.010% from 02/27/1984 \$25.00 Filing Fee	87-R051
	\$7,814.40 7.010% from 04/25/1986 \$25.00 Filing Fee Joint and Several	87-R116
	\$5,100.00 7.010% from 05/15/1985 \$200.00 Filing Fee	87-R151
	\$10,606.00 7.140% from 05/14/1986 \$200.00 Filing Fee	87-R129
	\$3,717.60 6.590% from 03/31/1986 \$25.00 Filing Fee	87-R184
	\$6,206.90 7.140% from 02/19/1986 \$25.00 Filing Fee Joint and Several	87-R124
	\$25,390.35 7.220% from 02/22/1985 \$200.00 Filing Fee Joint and Several	87-R228
	\$6,525.86 7.140% from 08/23/1985	87-R233
	\$12,300.00 6.700% from 09/01/1984 \$200.00 Filing Fee	87-R120
	\$8,808.00 7.220% from 07/20/1984 \$200.00 Filing Fee Joint and Several	85-R426
	\$4,012.00 6.590% from 08/26/1983 \$25.00 Filing Fee Joint and Several	85-R389

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
First Commodity Corporation of Boston (Continued)	\$7,900.00 7.220% from 12/22/1983 \$200.00 Filing Fee Joint and Several	85-R409
	\$17,625.30 7.140% from 03/24/1984 \$200.00 Filing Fee	85-R210
	\$8,577.75 7.140% from 03/17/1986 \$25.00 Filing Fee Joint and Several	86-R121
	\$7,330.80 7.140% from 04/11/1986 \$25.00 Filing Fee	86-R139
	\$66,870.95 7.220% from 03/15/1985 \$200.00 Filing Fee Joint and Several	87-R075
	\$7,156.35 7.140% from 07/29/1983 \$25.00 Filing Fee	86-R087
	\$6,793.70 6.590% from 01/18/1984 \$25.00 Filing Fee Joint and Several	86-R086
	\$19,150.44 7.220% from 12/03/1984 \$200.00 Filing Fee Joint and Several	86-R020
	\$5,993.25 7.220% from 04/01/1985 \$200.00 Filing Fee Joint and Several	
	\$14,232.57 6.900% from 11/27/1985 \$25.00 Filing Fee	87-R004

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
First Commodity Corporation of Boston (Continued)	\$3,268.10 6.930% from 09/05/1986 \$25.00 Filing Fee Joint and Several	86-R276
	\$1,379.90 6.930% from 09/06/1986	
	\$1,425.00 7.140% from 01/29/1986	86-R170
	\$5,000.00 7.140% from 01/17/1986 \$200.00 Filing Fee Joint and Several	
	\$3,852.80 7.000% from 06/03/1988 \$25.00 Filing Fee	86-R291
	\$5,923.64 7.140% from 10/31/1985 \$25.00 Filing Fee Joint and Several	87-R058
	\$8,510.40 7.880% from 09/30/1986 \$25.00 Filing Fee Joint and Several	86-R288
	\$6,292.80 6.640% from 06/03/1988 \$100.00 Filing Fee	86-R297
	\$12,035.17 7.200% from 06/01/1984 \$200.00 Filing Fee Joint and Several	86-R189
	\$24,737.50 6.900% from 03/22/1984 \$200.00 Filing Fee	86-R048
	\$17,527.80 7.590% from 08/06/1986 \$200.00 Filing Fee Joint and Several	87-R214

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
First Commodity Corporation of Boston (Continued)	\$7,024.74 7.590% from 12/31/1985 \$25.00 Filing Fee	87-R217
	\$7,185.00 7.200% from 08/30/1985 \$100.00 Filing Fee	87-R185
	\$4,084.00 7.140% from 11/08/1986 \$100.00 Filing Fee Joint and Several	87-R358
	\$6,045.40 6.590% from 09/10/1985 \$25.00 Filing Fee Joint and Several	87-R106
	\$9,881.63 7.010% from 07/11/1984 \$25.00 Filing Fee	87-R138
	\$8,806.15 7.540% from 11/01/1985 \$25.00 Filing Fee	87-R117
	\$12,571.17 7.540% from 06/29/1984 \$25.00 Filing Fee Joint and Several	87-R385
	\$8,871.40 7.540% from 09/09/1985 Joint and Several	87-R407
	\$11,840.35 7.540% from 03/18/1985 \$25.00 Filing Fee	88-R100
	\$3,000.00 6.590% from 06/02/1986 \$25.00 Filing Fee	87-R235
	\$30,693.00 7.950% from 12/05/1984 \$25.00 Filing Fee	87-R200

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
First Commodity Corporation of Boston (Continued)	\$7,787.57 7.540% from 07/29/1985 \$200.00 Filing Fee Joint and Several	87-R225
	\$82,035.50 7.950% from 07/01/1985 \$200.00 Filing Fee	87-R211
	\$7,927.00 6.710% from 01/18/1985 \$25.00 Filing Fee	87-R070
	\$20,611.70 8.320% from 03/06/1986 \$200.00 Filing Fee Joint and Several	86-R285
	\$7,287.90 8.320% from 03/21/1986 \$25.00 Filing Fee	87-R210
	\$5,894.25 5.720% from 11/30/1984 \$25.00 Filing Fee Joint and Several	85-R339
Date Effective : 01/07/1989	\$7,898.40 7.190% from 09/17/1986 \$25.00 Filing Fee Joint and Several	86-R151
Date Effective : 07/04/1988	\$21,800.00 6.710% from 02/01/1985 \$25.00 Filing Fee Joint and Several	87-R091
Date Effective : 01/20/1989	\$31,302.51 8.550% from 06/28/1985 \$200.00 Filing Fee Joint and Several	87-R337
Date Effective : 01/15/1989	\$7,431.10 8.150% from 02/08/1985 \$100.00 Filing Fee Joint and Several	87-R279

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
First Commodity Corporation of Boston (Continued) Date Effective : 03/08/1989	\$2,000.00 7.140% from 07/14/1986 \$100.00 Filing Fee Joint and Several	87-R192
Date Effective : 07/03/1988	\$4,200.00 6.710% from 08/01/1985 \$25.00 Filing Fee	86-R103
Date Effective : 03/09/1989	\$110,854.11 8.320% from 01/01/1984 \$200.00 Filing Fee Joint and Several	87-R068
Date Effective : 03/28/1989	\$9,021.00 8.550% from 09/28/1984 \$100.00 Filing Fee Joint and Several	87-R001
Date Effective : 06/26/1989	\$4,074.00 9.510% from 07/02/1986 \$100.00 Filing Fee	88-R109
Date Effective : 12/04/1989	\$49,183.58 8.320% \$200.00 Filing Fee Joint and Several	87-R394
Date Effective : 06/07/1990	\$15,031.90 8.360% from 06/19/1984 \$200.00 Filing Fee Joint and Several	86-R201
Date Effective : 06/08/1992	\$17,511.91 4.580% from 04/07/1986 Joint and Several	89-R300
318. First Continental Commodities, Inc. Glen Ellyn, IL NFA ID - 0103487	\$8,542.00 7.030% from 01/04/1983 Joint and Several	83-R300
First Continental Commodities, Inc. Wheaton, IL NFA ID - 0103487	\$5,000.00 11.000% from 11/30/1982 Joint and Several	83-R294

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
First Continental Commodities, Inc. (Continued)	\$5,000.00 11.000% from 12/02/1982 \$25.00 Filing Fee Joint and Several	83-R293
	\$5,000.00 11.000% from 03/19/1982 \$25.00 Filing Fee Joint and Several	82-R730
319. First Financial Asset Management, N.A., Inc. Sunrise, FL NFA ID - 0090053	\$13,026.14 8.320% from 01/26/1987	87-R351
320. First Financial Corporation of America, Inc. North Miami, FL NFA ID - 0106661	\$7,658.00 11.000% from 06/24/1982 \$25.00 Filing Fee	82-R846
	\$29,847.40 7.700% from 10/31/1981	83-R767
	\$27,600.00 11.000% from 01/14/1981	83-R822
	\$2,370.00 12.000% from 09/09/1981 \$25.00 Filing Fee Joint and Several	82-R410
	\$2,497.50 11.000% from 09/16/1981 \$25.00 Filing Fee	82-R377
	\$4,500.00 10.080% from 11/03/1981 \$25.00 Filing Fee Joint and Several	82-R1076
	\$3,920.00 12.000% from 12/09/1980 - to 05/31/1985 7.700% from 06/01/1985 \$25.00 Filing Fee	82-R857

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
First Financial Corporation of America, Inc. (Continued)	\$8,500.00 10.080% from 03/05/1982 \$25.00 Filing Fee Joint and Several	82-R890
	\$12,660.00 7.600% Per Annum \$25.00 Filing Fee	83-R217
	\$841.00 7.870% from 03/16/1981 \$25.00 Filing Fee Joint and Several	R81-895-81-756
	\$5,519.18 6.560% from 07/29/1981 Joint and Several	82-R1025
	\$575.00 11.000% from 01/05/1982 Joint and Several	82-R735
	\$3,640.00 11.000% from 12/08/1981 Joint and Several	
321. FIRST FINANCIAL TRADING LIMITED, INC. DEERFIELD BEACH, FL Date Effective : 11/09/1998 NFA ID - 0264703	\$1,775.00 Joint and Several	98-R079
322. First Investors Group of Palm Beaches, Inc. Delray Beach, FL Date Effective : 10/25/2004 NFA ID - 0246772	\$1,980.00 1.990% from 04/18/2002 \$50.00 Filing Fee	02-R075
323. First Manhattan Commodities Corp. Babylon, NY NFA ID - 0001325	\$831.69 9.150% from 03/14/1983 \$25.00 Filing Fee Joint and Several	83-R613
	\$3,110.00 5.790% from 11/20/1986	85-R241

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
324.	First National Financial Group Phoenix, AZ NFA ID - 0089934	\$5,950.00 5.740% from 11/30/1984 \$100.00 Filing Fee Joint and Several	87-R029
325.	First National Futures Group, Inc. New York, NY Date Effective : 12/01/1994 NFA ID - 0252597	\$10,000.00 \$50.00 Filing Fee	94-R140
326.	First National Monetary Corp. Southfield, MI NFA ID - 0001520	\$214,887.30 7.000% from 05/01/1984 \$200.00 Filing Fee Joint and Several	87-R005
327.	First New York Commodity Option Limited New York, NY	\$3,293.00 12.000% from 03/01/1977 \$25.00 Filing Fee	R77-216
328.	First Sierra Commodity Corp. name changed to: First Sierra Corp. Las Vegas, NV Date Effective : 08/17/1992 NFA ID - 0205421	\$1,677.66 4.260% from 02/19/1991 \$100.00 Filing Fee Joint and Several	91-R154
	name changed to: First Sierra Corp. Date Effective : 10/29/1992	\$707.10 4.260% from 04/26/1991 \$25.00 Filing Fee Joint and Several	91-R219
	First Sierra Commodity Corp. name changed to: First Sierra Corp. McLean, VA Date Effective : 07/02/1993 NFA ID - 0205421	\$3,000.00 3.370% from 04/08/1991 \$100.00 Filing Fee	92-R043

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
First Sierra Commodity Corp. (Continued) name changed to: First Sierra Corp. McLean, VA Las Vegas. NV Date Effective : 08/02/1993	\$2,000.00 3.410% from 01/29/1991 \$25.00 Filing Fee Joint and Several	91-R212
name changed to: First Sierra Corp. Date Effective : 08/19/1993	\$6,600.00 3.540% from 07/31/1989 \$25.00 Filing Fee Joint and Several	91-R186
First Sierra Commodity Corp. name changed to: First Sierra Corp. McLean, VA Date Effective : 10/08/1993 NFA ID - 0205421	\$7,245.00 3.580% from 06/06/1991 \$100.00 Filing Fee	92-R091
name changed to: First Sierra Corp. Date Effective : 11/25/1993	\$7,489.00 3.430% from 12/22/1989 \$100.00 Filing Fee Joint and Several	92-R031
name changed to: First Sierra Corp. Date Effective : 03/07/1994	\$14,706.00 3.610% from 11/27/1990 \$200.00 Filing Fee Joint and Several	93-R023
First Sierra Corp., Name Changed to: First Sierra Commodity Corp McLean, VA Date Effective : 12/01/1994 NFA ID - 0205421	\$3,000.00 5.690% from 03/14/1991 \$25.00 Filing Fee	93-R053
329. First United States Coal Corporation Memphis, TN	\$4,824.60 7.600% from 10/31/1981 \$25.00 Filing Fee Joint and Several	82-R838

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
330.	Fisackerly, C.K., Jr. a/k/a Fisackerly, Kelly Memphis, TN NFA ID - 0019887	\$14,600.00 11.000% from 03/18/1980	82-R786
331.	Flax, Jack C. Miami, FL NFA ID - 0147258	\$33,300.00 11.000% from 02/14/1977 \$25.00 Filing Fee Joint and Several	R77-282
332.	Fleener, James S. Naperville, IL NFA ID - 0042489	\$2,499.00 Joint and Several	85-R395
333.	Fogal, Ronald R. Chambersburg, PA Date Effective : 04/21/1989 NFA ID - 0220778	\$12,033.33 9.320% from 09/13/1986	88-R30
	Date Effective : 04/21/1989	\$25,837.32 9.320% from 04/07/1986	88-R32
	Date Effective : 08/11/1989	\$3,052.23 9.150% from 01/23/1986 Joint and Several	88-R31
	Date Effective : 08/11/1989	\$6,408.78 9.150% from 01/08/1986 Joint and Several	88-R33
	Date Effective : 08/11/1989	\$6,537.66 9.150% from 01/21/1986 Joint and Several	87-R246
334.	Fortrex Management Corporation Los Angeles, CA Date Effective : 09/10/1998 NFA ID - 0279611	\$10,000.00 5.413% from 06/24/1997 \$50.00 Filing Fee	98-R092
335.	Foster, Elliott Harris Hollywood, FL Date Effective : 04/08/1993 NFA ID - 0186474	\$10,000.00 3.450% from 10/01/1990 \$25.00 Filing Fee	92-R095

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
336.	Foster, Thomas Norwell, MA	\$5,000.00 12.000% from 11/01/1981 \$25.00 Filing Fee Joint and Several	82-R562
337.	Fox, Margy L. a/k/a Burg, Margy Louise La Jolla, CA Date Effective : 02/16/1990 NFA ID - 0193114	\$7,254.11 7.690% from 02/07/1989 \$100.00 Filing Fee	89-R360
338.	Franklin, Cedric James d/b/a Trading Places, Ltd. Los Angeles, CA Date Effective : 11/27/1995 NFA ID - 0238267	\$25,000.00 5.890% from 02/06/1992 \$125.00 Filing Fee	95-R127
339.	Franklin, Cedric James North Hollywood, CA Date Effective : 11/27/1995 NFA ID - 0050212	\$25,000.00 5.890% from 02/06/1992 \$125.00 Filing Fee	95-R127
340.	Freedman, David Alan West Palm Beach, FL Date Effective : 03/31/1994 NFA ID - 0240981	\$5,963.00 3.670% from 04/28/1992 \$200.00 Filing Fee Joint and Several	93-R194
	Date Effective : 06/02/1994	\$11,800.96 4.220% from 03/31/1992 \$200.00 Filing Fee Joint and Several	93-R203
341.	Freeman, Richard G. Newton Center, MA NFA ID - 0076041	\$6,347.58 10.080% from 11/02/1981 \$25.00 Filing Fee Joint and Several	82-R264
342.	French, James New York, NY	\$5,350.00 11.000% from 12/31/1982 \$25.00 Filing Fee	83-R465
343.	Friedman, Alan Stanley Sunrise, FL NFA ID - 0130036	\$14,200.00 11.000% from 10/10/1980 \$25.00 Filing Fee Joint and Several	R81-1382-82-511

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
344.	Friedman, Lynn E. Miami Beach, FL NFA ID - 0179624	\$5,923.64 7.140% from 10/31/1985 \$25.00 Filing Fee Joint and Several	87-R58
345.	FSG International, Inc. Lauderhill, FL Date Effective : 10/01/2004 NFA ID - 0202011	\$16,761.70 2.120% from 03/12/1999 \$125.00 Filing Fee	01-R058
	Date Effective : 07/01/2002	\$11,277.00 1.640% from 06/17/1999 \$125.00 Filing Fee Joint and Several J. and S. for award to \$11,277	01-R105
	Date Effective : 08/21/2002	\$5,640.00 2.320% from 04/10/2000 \$125.00 Filing Fee	02-R042
346.	Gabriel Brokerage, Inc. New York, NY NFA ID - 0001779	\$7,976.00 11.000% from 08/30/1983	84-R122
		\$7,461.25 11.000% from 10/15/1981 \$25.00 Filing Fee	82-R1031
		\$11,917.75 9.500% from 11/16/1981 \$25.00 Filing Fee	84-R100
		\$17,290.54 6.310% from 09/26/1982 \$25.00 Filing Fee Joint and Several	83-R212
		\$413.16 8.080% from 04/20/1983 \$25.00 Filing Fee	84-R055
347.	Gabriel, John Corporation New York, NY NFA ID - 0106835	\$3,438.40 11.000% from 01/22/1980 \$25.00 Filing Fee	R80-1171-81-243

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
348. Gale, Frank F. Los Angeles, CA Santa Barbara. CA Date Effective : 01/12/1991 NFA ID - 0066236	\$15,031.90 8.360% from 06/19/1984 \$200.00 Filing Fee Joint and Several	86-R201
349. Galgano, Thomas S. Valley Cottage, NY NFA ID - 0080863	\$5,072.50 11.000% from 05/06/1980 \$25.00 Filing Fee	R81-87-82-228
	\$9,000.00 11.000% from 05/25/1980 \$25.00 Filing Fee	R81-1-81-241
	\$9,400.00 7.060% from 04/02/1980 \$25.00 Filing Fee Joint and Several	R81-668-81-808
350. Galinsky, William P. a/k/a Gallis, Bill Date Effective : 10/30/1990 NFA ID - 0192778	\$83,500.00 7.950% from 08/01/1988 \$200.00 Filing Fee	90-R206
Galinsky, William P. Miami Beach, FL Date Effective : 05/23/1990 NFA ID - 0192778	\$6,003.77 8.360% from 02/01/1988 \$100.00 Filing Fee Joint and Several	89-R155
351. Galligano, Michael Century City, CA NFA ID - 0141111	\$1,000.00 11.000% from 08/31/1982 \$25.00 Filing Fee Joint and Several	83-R340
	\$5,000.00 11.000% from 08/31/1982 \$25.00 Filing Fee Joint and Several	83-R374
	\$5,560.00 11.000% from 03/08/1982 \$25.00 Filing Fee Joint and Several	83-R351

RESPONDENT	JUDGEMENT	DOCKET NUMBER
----- Galligano, Michael (Continued)	\$11,000.00 11.000% from 10/26/1981	84-R269
	\$2,289.77 9.170% from 04/18/1985 \$25.00 Filing Fee	83-R085
	\$2,500.00 7.870% from 05/03/1982 \$25.00 Filing Fee Joint and Several	84-R205
Galligano, Michael Los Angeles, CA NFA ID - 0141111	\$5,000.00 11.000% from 12/22/1981 \$25.00 Filing Fee Joint and Several	83-R095
	\$9,544.86 11.000% from 05/31/1982 \$25.00 Filing Fee Joint and Several	83-R388
	\$4,160.33 9.150% from 08/01/1981 \$25.00 Filing Fee Joint and Several	83-R070
	\$10,000.00 7.030% from 12/11/1981 \$25.00 Filing Fee Joint and Several	83-R419
352. Galvez, Eduardo Henson Redwood, CA Date Effective : 01/03/1997 NFA ID - 0184200	\$21,501.35 5.900% from 05/10/1994 \$50.00 Filing Fee	96-R158
353. Gann, W.D. Trading Co., Inc. a/k/a Billy Jones Chicago, IL NFA ID - 0000378	\$45,451.00	86-R219

RESPONDENT	JUDGEMENT	DOCKET NUMBER
----- Gann, W.D. Trading Co., Inc. (Continued)	\$11,659.39 6.710% from 02/18/1986 \$25.00 Filing Fee Joint and Several	87-R353
a/k/a Billy Jones	\$48,500.00 7.590% from 01/28/1986 \$200.00 Filing Fee Joint and Several	88-R111
a/k/a Billy Jones	\$1,842.00 7.590% from 04/04/1987 \$100.00 Filing Fee Joint and Several	87-R428
aka Billy Jones Date Effective : 07/24/1989	\$10,163.94 9.150% from 10/10/1985 \$200.00 Filing Fee	88-R139
Gann, W.D. Trading Co., Inc. a/k/a Billy Jones San Clemente, CA Chicago. IL NFA ID - 0000378	\$43,436.75 7.000% from 12/08/1985 \$200.00 Filing Fee Joint and Several	87-R108
354. Garman, David Alan Boynton Beach, FL Date Effective : 07/08/1993 NFA ID - 0239314	\$9,765.03 3.370% \$100.00 Filing Fee Joint and Several Interest Compounded Annually	93-R083
355. Garner, Ralph Margate, FL	\$800.00 11.000% from 04/28/1982 \$25.00 Filing Fee	83-R601
356. Garritano, Antonio a/k/a Tony Garritano Chicago, IL Date Effective : 12/19/1990 NFA ID - 0195166	\$7,481.00 7.780% from 04/04/1987 \$100.00 Filing Fee	89-R211
a/k/a Tony Garritano Date Effective : 12/22/1990	\$7,000.00 7.780% from 04/08/1988 \$25.00 Filing Fee	90-R226

<u>RESPONDENT</u>	<u>JUDGEMENT</u>	<u>DOCKET NUMBER</u>
Garritano, Antonio (Continued) a/k/a Tony Garritano Date Effective : 12/29/1990	\$6,904.00 7.510% from 04/26/1988 \$25.00 Filing Fee Joint and Several	90-R225
Date Effective : 02/24/1991	\$3,500.00 Joint and Several	91-R006
357. Gaudio, Craig Thomas Lake Park, FL Date Effective : 05/29/1993 NFA ID - 0239313	\$7,500.00 \$100.00 Filing Fee Joint and Several	93-R044
Date Effective : 10/12/1993	\$11,200.00 Joint and Several 3.58% from date of investment	93-R019
Gaudio, Craig Thomas Lake Park, FL Palm Beach Gardens. FL Date Effective : 12/27/1994 NFA ID - 0239313	\$5,132.00	93-R204
358. Genovese, Frank T., Jr. New York, NY NFA ID - 0018542	\$8,350.00 6.000% from 01/25/1978 - to 01/31/1980 12.000% from 02/01/1980 - to 01/31/1982 20.000% from 02/01/1982 - to 12/31/1982 16.000% from 01/01/1983 \$25.00 Filing Fee Joint and Several	R80-333-81-37
	\$4,100.00 11.000% from 10/15/1981 \$25.00 Filing Fee Joint and Several	82-R496
	\$10,500.00 5.630% from 09/09/1977 \$25.00 Filing Fee Joint and Several	R79-590-80-511

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
359.	Genovese, Karen T. Mamaroneck, NY NFA ID - 0020983	\$10,698.80 7.870% from 07/12/1983 \$25.00 Filing Fee Joint and Several	84-R366
	Genovese, Karen T. New York, NY NFA ID - 0020983	\$4,280.00 7.710% from 05/27/1983 \$25.00 Filing Fee Joint and Several	83-R901
360.	Gentry, Steve Hollywood, CA	\$6,649.49 11.000% from 05/01/1983 Joint and Several	82-R1002
361.	Gentry, Steve Los Angeles, CA	\$3,940.00 11.000% from 12/18/1981 \$25.00 Filing Fee Joint and Several	82-R898
362.	George, Errick C. Brooklyn, NY NFA ID - 0166335	\$4,601.50 16.000% from 06/06/1979 \$25.00 Filing Fee	R81-1001-82-377
363.	Gershenson, Ned P. Huntington Beach, CA Date Effective : 01/19/1991 NFA ID - 0195665	\$36,652.71 7.280% from 11/20/1990 Joint and Several	90-R85
364.	Gershenson, Ned P. d/b/a Cal-West Comm., Cal-West Mgmt. & Seasonal Trader a/k/a Fisher Financial Gr. Huntington Beach, CA Date Effective : 01/19/1991 NFA ID - 0195664	\$36,652.71 7.280% from 11/20/1990 Joint and Several	90-R85
365.	Gerstenberg & Co., Inc. Chicago, IL NFA ID - 0001073	\$5,000.00 5.770% from 09/04/1984 \$100.00 Filing Fee Joint and Several	85-R239
		\$7,856.90 5.930% from 04/10/1987 \$100.00 Filing Fee Joint and Several	85-R445

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Gerstenberg & Co., Inc. (Continued) Date Effective : 03/09/1989	\$6,800.00 7.540% from 10/17/1984 Joint and Several	86-R267
366. Giguere, Raymond Vincent Key West, FL Date Effective : 02/28/2000 NFA ID - 0271375	\$15,270.25 5.670% from 04/03/2000 \$125.00 Filing Fee Joint and Several	99-R069
Date Effective : 11/22/2000	\$28,647.00 6.241% from 12/09/1997 \$125.00 Filing Fee	00-R065
367. Gilkison, Kenneth La Jolla, CA	\$10,355.65 8.650% from 01/01/1981 \$25.00 Filing Fee	R81-1200-82-109
368. Gilkison, Kenneth Simi Valley, CA	\$29,486.05 9.080% from 10/01/1981 \$25.00 Filing Fee	82-R289
369. Gillespie, Henry C., III Marina Del Ray, CA NFA ID - 0056046	\$53,001.51 11.000% from 12/01/1980 \$25.00 Filing Fee	R81-1201-82-288
370. Gillespie, Sharon D. Marina Del Ray, CA	\$53,001.51 11.000% from 12/01/1980 \$25.00 Filing Fee	R81-1201-82-288
371. Gimenez, Richard Chicago, IL Date Effective : 08/20/1992 NFA ID - 0208155	\$14,276.62 4.260% from 11/01/1990 Joint and Several	91-R242
372. GLADSTONE, ALFRED ALBERT LOUIS WOODLAND HILLS, CA Date Effective : 12/10/2001 NFA ID - 0190591	\$7,579.00 2.490% from 09/10/1999 \$50.00 Filing Fee	01-R088
373. Glatter, Joseph Englewood Cliffs, NJ	\$3,600.00 16.000% from 05/01/1979 \$25.00 Filing Fee	R79-536-79-534
374. Glazer, Stephen L. New York, NY NFA ID - 0030126	\$11,281.24 8.320% from 09/14/1988	88-R189

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
375.	Glover, Thomas Rudel, II North Palm Beach, FL Date Effective : 08/18/2000 NFA ID - 0272084	\$4,439.00 6.375% from 04/06/1999 \$50.00 Filing Fee Joint and Several	99-R148
376.	Gold, Phillip H. Miami Beach, FL NFA ID - 0110170	\$19,000.00 11.000% from 06/17/1981 Joint and Several	83-R124
377.	Goldstein, Gerald Deerfield Beach, FL NFA ID - 0151927	\$2,750.00 6.310% from 07/21/1983 \$25.00 Filing Fee	86-R002
378.	Goldstein, Michael D. Plantation, FL	\$75,980.00 9.150% from 01/07/1980 \$25.00 Filing Fee Joint and Several	R82-50-82-404
379.	Gollin, Lawrence J. Pembroke Pines, FL Date Effective : 09/05/1990 NFA ID - 0177595	\$13,454.01 8.090% from 02/13/1989 \$200.00 Filing Fee Joint and Several	90-R51
380.	Goodsmith, Cary Hallandale, FL	\$12,000.00 11.000% from 08/01/1980	R80-449-81-585
381.	Goodsmith, Cary J. Coral Springs, FL	\$12,569.00 12.000% from 08/01/1980 \$25.00 Filing Fee	R81-1185-82-37
382.	GORRIE, ROBERT IAN MISSION VIEJO, CA Date Effective : 02/20/2001 NFA ID - 0083780	\$2,072.30 6.025% from 05/12/1998 \$125.00 Filing Fee Joint and Several	00-R124
383.	Gotthelf, Edward B. New York, NY NFA ID - 0026652	\$30,250.55 16.000% from 02/18/1981 \$25.00 Filing Fee Joint and Several	R81-921-82-209
384.	Gottlieb, Finley New York, NY NFA ID - 0089640	\$254,026.67 20.000% from 01/30/1980 \$25.00 Filing Fee Joint and Several	R80-1093-81-6

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
385.	Graham, Fred P. Boca Raton, FL Date Effective : 04/28/1991 NFA ID - 0040415	\$156,939.70 6.210% from 05/01/1988 Joint and Several	89-R366
386.	Graham, William Kevin Jupiter, FL Date Effective : 11/26/1999 NFA ID - 0254619	\$8,000.00 5.224% from 06/12/1997 \$50.00 Filing Fee Joint and Several	99-R158
387.	Grand Capital Commodity, Inc. Vienna, VA Date Effective : 12/16/1994 NFA ID - 0249241	\$6,000.00 \$50.00 Filing Fee	94-R163
388.	Graves, Patricia E. a/k/a Boutros, Patricia E. Hollywood, FL Sunrise, FL Date Effective : 12/04/1989 NFA ID - 0197425	\$15,500.00 7.750% from 06/10/1987 \$200.00 Filing Fee Joint and Several \$500.00 Expert Witness Fee	88-R452
	a/k/a Boutros, Patricia E. Date Effective : 12/14/1989	\$8,168.10 8.270% from 07/16/1987 \$200.00 Filing Fee Joint and Several	89-R13
389.	Great American Commodities, Inc. Miami, FL Date Effective : 01/14/1990 NFA ID - 0190158	\$7,011.00 7.900% from 11/17/1987 \$100.00 Filing Fee Joint and Several	89-R107
	Date Effective : 01/14/1990	\$1,350.00 \$25.00 Filing Fee Joint and Several Pre-Judg. Interest from 5/1/89	89-R365
	Date Effective : 01/15/1990	\$72,502.80 7.900% from 04/14/1988 \$25.00 Filing Fee Joint and Several	89-R220

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Great American Commodities, Inc. (Continued) Date Effective : 01/08/1990	\$6,479.00 7.900% from 06/21/1988 \$100.00 Filing Fee Joint and Several	88-R409
Date Effective : 02/08/1990	\$4,881.00 7.690% from 01/19/1989 \$25.00 Filing Fee Joint and Several	89-R278
Date Effective : 02/21/1990	\$20,221.26 7.660% from 06/24/1988 Joint and Several	89-R132
Date Effective : 04/02/1990	\$55,226.00 \$200.00 Filing Fee Joint and Several 7.74% from date account closed	89-R160
Date Effective : 06/09/1990	\$20,653.84 8.320% Joint and Several	89-R223
Date Effective : 07/11/1990	\$18,237.00 8.700% from 04/01/1987 \$200.00 Filing Fee Joint and Several	89-R202
Date Effective : 07/11/1990	\$96,000.00 8.700% from 12/31/1988 \$25.00 Filing Fee Joint and Several	90-R125
Date Effective : 11/04/1991	\$31,172.52 6.390% from 11/15/1988 \$25.00 Filing Fee	89-R084
Date Effective : 12/28/1991	\$7,864.06 5.370% from 03/27/1989 \$100.00 Filing Fee Joint and Several	91-R217
390. Green, Jeffrey R. Kansas City, MO NFA ID - 0070412	\$1,459.00 7.910% from 11/18/1981 \$25.00 Filing Fee Joint and Several	84-R166

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
391. Green, Mandel D. Chicago, IL Date Effective : 11/25/1990 NFA ID - 0096659	\$7,950.00 Joint and Several	90-R83
Date Effective : 11/28/1990	\$8,000.00 Joint and Several	90-R189
Date Effective : 12/29/1990	\$29,019.56 7.780% from 06/30/1988 \$25.00 Filing Fee Joint and Several	90-R204
Date Effective : 01/19/1991	\$68,317.30 7.280% from 11/19/1990 Joint and Several	90-R136
Date Effective : 02/10/1991	\$24,500.00 Joint and Several	90-R244
Date Effective : 04/28/1991	\$156,939.70 6.210% from 05/01/1988 Joint and Several	89-R366
Date Effective : 06/28/1991	\$31,799.81 6.260% from 03/29/1988 Joint and Several	90-R149
Date Effective : 11/24/1991	\$10,000.00 5.570% from 09/20/1991 Joint and Several	91-R072
Date Effective : 02/04/1992	\$5,873.92	91-R147
Date Effective : 04/29/1993	\$19,648.28 3.450% from 07/10/1989 \$25.00 Filing Fee Joint and Several	90-R119
392. Green, Mark N. Irvine, CA NFA ID - 0036262	\$5,000.00 11.000% from 12/22/1981 \$25.00 Filing Fee Joint and Several	83-R095

RESPONDENT	JUDGEMENT	DOCKET NUMBER
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Green, Mark N. (Continued) Newport Beach, CA NFA ID - 0036262	\$25,000.00 10.080% from 06/01/1982 \$25.00 Filing Fee Joint and Several	83-R222
393. Greenbaum, James Lauderhill, FL NFA ID - 0060201	\$2,500.00 7.600% from 12/29/1982 \$25.00 Filing Fee Joint and Several	83-R848
	\$3,977.50 9.100% from 06/22/1981	84-R081
394. Greenbaum, Wayne L. Boca Raton, FL N. Miami Beach. FL Date Effective : 11/29/1991 NFA ID - 0027208	\$31,172.52 6.390% from 11/15/1988 \$25.00 Filing Fee	89-R084
Greenbaum, Wayne L. N. Miami Beach, FL Boca Raton. FL Date Effective : 04/02/1990 NFA ID - 0027208	\$55,226.00 \$200.00 Filing Fee Joint and Several 7.74% from date account closed	89-R160
395. Greene, Arnold New York, NY	\$6,500.00 20.000% from 06/30/1979 \$25.00 Filing Fee Joint and Several	R81-349-81-558
396. Gritz, Neal M. Cheltenham, PA Date Effective : 05/29/1990 NFA ID - 0203635	\$6,165.00 8.360% from 12/21/1988 \$25.00 Filing Fee Joint and Several	90-R99
397. Grossman, Martin Louis Boca Raton, FL Date Effective : 04/28/1992 NFA ID - 0200627	\$4,764.51	91-R192
398. Guardian Investment Company North Palm Beach, FL Date Effective : 07/01/1994 NFA ID - 0244457	\$5,292.10 4.510% from 06/30/1993 \$200.00 Filing Fee	93-R196

	RESPONDENT	JUDGEMENT	DOCKET NUMBER
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399.	Guarino, Anthony Louis Brooklyn, NY NFA ID - 0033505	\$17,290.54 6.310% from 09/26/1982 \$25.00 Filing Fee Joint and Several	83-R212
400.	Guarino, Nicholas A., Jr. Harrison, AR NFA ID - 0035931	\$30,637.00 6.590% from 06/11/1984 \$25.00 Filing Fee	87-R047
401.	Guilford, David Robert San Diego, CA Date Effective : 02/22/2000 NFA ID - 0279798	\$884.00 5.471% from 03/18/1999 \$50.00 Filing Fee	99-R178
402.	Gulf Commodity Management, Inc. a/k/a G. Curtis Management Farris, TX NFA ID - 0000164	\$12,750.00 11.000% from 08/01/1983	83-R913
403.	H.G.S.E. Commodities, Inc. Houston, TX NFA ID - 0000622	\$30,637.00 6.590% from 06/11/1984 \$25.00 Filing Fee	87-R047
404.	Haage, Edward Z. Highwood, IL Date Effective : 01/14/1989 NFA ID - 0091669	\$1,959.50 8.550% from 07/13/1988 \$25.00 Filing Fee Joint and Several	88-R422
	Date Effective : 05/08/1990	\$3,176.29 7.970% from 06/30/1987 \$25.00 Filing Fee Joint and Several	89-R189
405.	Haines, Kenneth Robert Lingwood, WV Date Effective : 06/27/1994 NFA ID - 0233831	\$30,000.00 Joint and Several	94-R016
406.	Haines, Peter A. a/k/a Hanes, Peter A. New York, NY NFA ID - 0019497	\$30,859.30 9.170% from 11/05/1982 \$25.00 Filing Fee Joint and Several	83-R492

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Haines, Peter A. (Continued) New York, NY	\$8,800.00 Joint and Several	83-R243
407. Hall, Susan J. State Line, NV Incline Village, NV Date Effective : 04/11/1989 NFA ID - 0185916	\$2,000.00 7.140% from 07/14/1986 \$100.00 Filing Fee Joint and Several	87-R192
408. Haller, William Robert Bethlehem, PA Summit, NJ Date Effective : 08/05/1992 NFA ID - 0206694	\$2,075.00 5.680% from 06/01/1989 \$25.00 Filing Fee Joint and Several	90-R118
409. Hamburg, Robert Harold Solana Beach, CA Del Mar, CA Date Effective : 04/22/1996 NFA ID - 0253795	\$7,347.00 5.160% from 04/20/1994 \$125.00 Filing Fee Joint and Several	95-R015
410. Hammer, Ernst Peter Pompano Beach, FL Date Effective : 07/11/1990 NFA ID - 0191502	\$18,237.00 8.700% from 04/01/1987 \$200.00 Filing Fee Joint and Several	89-R202
411. Hampshire Asset Management, Inc. d/b/a Horton Financial Management Medford, NJ Date Effective : 07/20/1991 NFA ID - 0180970	\$5,576.74 6.070% from 12/08/1989 \$100.00 Filing Fee Joint and Several	90-R157
d/b/a Horton Financial Management Date Effective : 08/19/1991	\$1,810.78	90-R241
412. Hanbury, Loretta A. New York, NY NFA ID - 0027042	\$10,000.00 7.010% from 09/10/1986 \$100.00 Filing Fee	88-R132

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
413.	Handel, David S. Chicago, IL Date Effective : 04/02/1990 NFA ID - 0012272	\$14,269.32 7.740% from 02/14/1984 \$200.00 Filing Fee Joint and Several	86-R075
414.	Haneman, Jack Novato, CA NFA ID - 0029639	\$49,183.58 8.320% \$200.00 Filing Fee	87-R394
	Date Effective : 01/20/1989	\$31,302.51 8.550% from 06/28/1985 \$200.00 Filing Fee Joint and Several	87-R337
	Date Effective : 01/19/1989	\$27,060.00 8.150% from 12/01/1985 \$200.00 Filing Fee Joint and Several	87-R267
	Date Effective : 02/09/1989	\$9,021.00 8.550% from 09/28/1984 \$100.00 Filing Fee Joint and Several	87-R001
	Haneman, Jack W. Novato, CA NFA ID - 0029639	\$8,806.15 7.540% from 11/01/1985 \$25.00 Filing Fee	87-R117
	Date Effective : 09/21/1989	\$27,060.00 8.150% from 12/01/1985 \$200.00 Filing Fee Joint and Several	87-R267
415.	Hardwick, Wells & Winthrop Commodities, Inc. New York, NY NFA ID - 0103651	\$1,113.74 10.000% from 11/30/1982 \$25.00 Filing Fee	83-R784
		\$5,000.00 11.000% from 12/26/1980 Joint and Several	83-R126
416.	Hardy, David E. Lake Forest, CA NFA ID - 0011039	\$17,586.52 5.750% from 07/19/1985 \$200.00 Filing Fee	85-R401

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
417.	Harrell, Tod A. a/k/a Harrell, Todd Chicago, IL NFA ID - 0178818	\$1,842.00 7.590% from 04/04/1987 \$100.00 Filing Fee Joint and Several	87-R428
418.	Harris, Conrad A. Crown Point, IN Date Effective : 04/13/2000 NFA ID - 0187793	\$2,550.00 6.287% from 04/01/1999 \$125.00 Filing Fee	00-R011
419.	Harris, John L. Brooklyn, NY NFA ID - 0095293	\$41,346.21 6.300% from 10/01/1983 \$200.00 Filing Fee Joint and Several	85-R264
420.	Hartford Financial Group, Inc. Fort Lauderdale, FL Date Effective : 08/27/1998 NFA ID - 0275227	\$11,404.00 5.434% from 10/31/1997 \$125.00 Filing Fee	98-R122
	Hartford Financial Group, Inc. Ft. Lauderdale, FL Date Effective : 04/24/2000 NFA ID - 0275227	\$16,000.00 5.400% from 12/01/1996 \$250.00 Filing Fee	98-R004
421.	Harvey, Robert T. New York, NY NFA ID - 0057540	\$5,000.00 7.870% \$25.00 Filing Fee Joint and Several	R81-444-81-534
422.	Hattan, William John Santa Ana, CA NFA ID - 0092239	\$12,072.50 7.910% from 08/03/1984 \$25.00 Filing Fee	85-R317
423.	Hauze, Mark Todd Encinitas, CA Date Effective : 12/04/2002 NFA ID - 0263584	\$54,000.00 1.730% from 05/06/1999 \$250.00 Filing Fee Joint and Several	01-R054
424.	Havens, Thomas C. New York, NY NFA ID - 0035042	\$38,945.00 7.060% from 05/01/1979 \$25.00 Filing Fee Joint and Several	R79-493-80-47

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
425.	Haynes, Robert Lewis Jr. a/k/a Bob Haynes Chicago, IL Date Effective : 06/26/1992 NFA ID - 0184535	\$22,299.81 6.260% from 03/29/1988 Joint and Several	90-R149
426.	Heart, Maximillion Los Angeles, CA	\$5,000.00 11.000% from 12/22/1981 \$25.00 Filing Fee Joint and Several	83-R95
		\$25,000.00 10.080% from 06/01/1982 \$25.00 Filing Fee Joint and Several	83-R222
427.	Heath, V. Joyce a/k/a Joyce Heath N. Miami, FL Date Effective : 04/28/1991 NFA ID - 0047983	\$156,939.70 6.210% from 05/01/1988 Joint and Several	89-R366
428.	Hemphill, William B., Jr. Palo Alto, CA NFA ID - 0004625	\$57,714.65 11.000% from 10/30/1981 \$25.00 Filing Fee	84-R123
		\$17,999.00 11.000% from 10/30/1981 \$25.00 Filing Fee	82-R829
429.	Henson, Howard P. New York, NY Date Effective : 04/16/1992 NFA ID - 0208517	\$21,127.29 8.240% from 06/30/1988 \$200.00 Filing Fee Joint and Several	89-R050
430.	Hernandez, Carlos Miami, FL Date Effective : 12/27/1993 NFA ID - 0195796	\$14,919.54 3.380% from 06/26/1991 \$50.00 Filing Fee	93-R161
431.	Hernandez, Robert P. Bethlehem, PA NFA ID - 0072630	\$6,837.25 7.570% from 09/30/1984	85-R034

RESPONDENT	JUDGEMENT	DOCKET NUMBER
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432. Herps, John New York, NY	\$20,700.00 11.000% from 07/30/1979 \$25.00 Filing Fee Joint and Several	R79-786-80-167
433. Herr, Alan New York, NY NFA ID - 0035126	\$3,704.17 11.000% from 05/01/1984 \$25.00 Filing Fee Joint and Several	82-R956
	\$2,500.00 12.000% from 01/11/1982 \$25.00 Filing Fee Joint and Several	82-R745
434. Herr, Herb New York, NY	\$2,500.00 12.000% from 02/18/1982 \$25.00 Filing Fee Joint and Several	82-R816
435. Herr, Herbert New York, NY	\$3,368.25 11.000% from 05/01/1984 \$25.00 Filing Fee Joint and Several	82-R845
436. Hertzberg, Mel New York, NY NFA ID - 0003765	\$4,755.00 11.000% from 04/05/1983	84-R373
437. Hester, Richard Plano, TX NFA ID - 0019015	\$51,725.83 5.930% from 01/01/1983 \$200.00 Filing Fee Joint and Several	85-R185
438. Highfield Commodities, Ltd. London, England	\$3,704.00 \$25.00 Filing Fee varying interest	82-R683
	\$3,704.00 11.000% from 07/15/1981	82-R610
	\$7,408.00 11.000% from 07/23/1981 \$2,020.00 11.000% from 08/06/1981 \$25.00 Filing Fee Joint and Several	

RESPONDENT	JUDGEMENT	DOCKET NUMBER
----- Highfield Commodities, Ltd. (Continued)	\$6,287.12 12.000% from 05/01/1981 \$25.00 Filing Fee	82-R937
439. Highfield of America, Inc. Hollywood, CA	\$5,645.00 11.000% from 03/30/1982 \$25.00 Filing Fee	83-R130
	\$6,975.00 11.000% from 01/22/1983 \$25.00 Filing Fee Joint and Several	83-R534
440. Highfield of America, Inc. Jersey City, NJ	\$2,734.91 11.000% from 05/01/1983 Joint and Several	83-R5
	\$3,704.00 11.000% from 07/15/1981	82-R610
	\$7,408.00 11.000% from 07/23/1981 \$2,020.00 11.000% from 08/06/1981 \$25.00 Filing Fee Joint and Several	
	\$24,095.00 12.000% from 02/24/1984	83-R7
	\$6,649.49 11.000% from 05/01/1983 Joint and Several	82-R1002
	\$4,049.37 11.000% from 05/01/1983 \$25.00 Filing Fee Joint and Several	82-R1
	\$3,705.00 11.000% from 06/04/1981	83-R463
	\$1,835.00 9.080% from 02/19/1984 \$25.00 Filing Fee	83-R270

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Highfield of America, Inc. (Continued)	\$2,430.00 9.170% from 05/15/1985 \$25.00 Filing Fee Joint and Several	83-R113
441. Highfield of America, Inc. Los Angeles, CA Jersey City. NJ	\$6,287.12 12.000% from 05/01/1981 \$25.00 Filing Fee	82-R937
Highfield of America, Inc. Los Angeles, CA	\$3,940.00 11.000% from 12/18/1981 \$25.00 Filing Fee Joint and Several	82-R898
Highfield of America, Inc. Los Angeles, CA Jersey City. NJ	\$11,470.00 11.000% from 08/05/1982 \$25.00 Filing Fee Joint and Several	83-R147
Highfield of America, Inc. Los Angeles, CA	\$3,659.00 11.000% from 11/01/1982	83-R401
	\$5,651.00 11.000% from 07/01/1982 Joint and Several	
	\$3,570.00 11.000% from 08/03/1982 \$25.00 Filing Fee Joint and Several	83-R360
442. Hightower Investments, Inc. d/b/a Christopher J. Hightower Barrington, RI Date Effective : 04/26/1993 NFA ID - 0191645	\$11,861.00 Joint and Several	91-R199
443. Hightower, Christopher Jermire Barrington, RI Date Effective : 04/26/1993 NFA ID - 0191646	\$11,861.00 Joint and Several	91-R199

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
444. Hinman, James A. Fort Lauderdale, FL Costa Mesa, CA Date Effective : 02/16/1991 NFA ID - 0178669	\$8,445.45 6.710% from 09/02/1986 \$100.00 Filing Fee Joint and Several	87-R331
445. Hirano, Harry Honolulu, HI	\$4,000.00 12.000% from 04/30/1981 \$25.00 Filing Fee	82-R419
446. Hishmeh, James A. Milwaukee, WI NFA ID - 0052680	\$4,343.76 6.530% from 12/31/1984 \$100.00 Filing Fee Joint and Several	86-R212
447. Hodek, Charles St. Louis Park, MN	\$276,880.00 16.000% from 08/01/1979 \$25.00 Filing Fee Joint and Several	R81-1289-82-497
448. Hoefler, Steven C. Ft. Lauderdale, FL NFA ID - 0133732	\$1,080.00 11.000% from 04/07/1982 Joint and Several	83-R583
449. Hoffman, Everett Carl Newport Beach, CA NFA ID - 0017929	\$33,996.60 20.000% from 12/15/1980 \$25.00 Filing Fee	82-R345
450. Hoffman, Ted New York, NY	\$12,025.50 20.000% from 09/25/1980 \$25.00 Filing Fee Joint and Several	R81-392-81-490
451. Hogan-Orr, Inc. Chicago, IL	\$247.50 20.000% from 12/11/1979 \$25.00 Filing Fee	R80-624-80-581
	\$2,835.00 12.000% from 07/31/1980 \$692.66 costs	R78-527-79-152
452. Hollander, Frederick Neil Sunrise, FL Date Effective : 05/23/1994 NFA ID - 0114057	\$19,500.00 4.030% from 01/23/1992 \$200.00 Filing Fee	93-R160

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Hollander, Frederick Neil (Continued) Date Effective : 03/25/1995	\$5,500.00 7.340% from 05/12/1992 \$25.00 Filing Fee	93-R121
453. Holm, Kenneth G. Solano Beach, CA Date Effective : 10/16/1991 NFA ID - 0192694	\$411,088.00 6.390% from 01/01/1988 \$200.00 Filing Fee Joint and Several	91-R145
454. Holm, Kenneth Gahagen d/b/a Incline Financial Advisor Solano Beach, CA Date Effective : 10/16/1991 NFA ID - 0192693	\$411,088.00 6.260% from 01/01/1988 \$200.00 Filing Fee Joint and Several	91-R145
455. Hope, Ginnee Anne Fort Lauderdale, FL Date Effective : 03/25/1998 NFA ID - 0258009	\$13,599.96 5.341% from 12/31/1994 \$125.00 Filing Fee Joint and Several	97-R155
456. Horn, Joseph A. New York, NY NFA ID - 0038333	\$5,000.00 7.870% \$25.00 Filing Fee Joint and Several	R81-444-81-534
457. Horton, Joseph T., Jr. Medford, NJ Date Effective : 04/16/1992 NFA ID - 0035328	\$5,576.74 6.070% from 12/08/1989 \$100.00 Filing Fee Joint and Several	90-R157
458. Howe, William A. Melrose, MA NFA ID - 0034191	\$10,458.25 11.000% from 03/20/1981 \$25.00 Filing Fee Joint and Several	R81-195-82-410
Howe, William A. Melrose, MA Saugus, MA NFA ID - 0034191	\$2,255.00 12.000% from 02/15/1979 \$25.00 Filing Fee Joint and Several	R79-611-80-116
Howe, William A. Melrose, MA NFA ID - 0034191	\$11,400.00 7.850% from 06/23/1983 \$25.00 Filing Fee Joint and Several	85-R075

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Howe, William A. (Continued) Saugus, MA	\$10,000.00 Joint and Several	85-R406
459. Hsu, Grace Flushing, NY Date Effective : 04/17/1998 NFA ID - 0262835	\$6,690.00 5.232% from 05/22/1997 \$125.00 Filing Fee Joint and Several	97-R154
Date Effective : 11/06/1998	\$8,732.28 5.271% from 04/30/1997 \$125.00 Filing Fee Joint and Several	98-R139
460. Huff, Virgil R. Raleigh, NC Birmingham. MI NFA ID - 0035394	\$9,464.10 5.770% from 07/02/1982 \$100.00 Filing Fee	84-R440
461. Hunt, Toni Hallandale, FL	\$7,465.45 7.030% from 05/22/1982 \$25.00 Filing Fee Joint and Several	R81-884-81-826
462. Huot, Joseph E. Miami, FL Date Effective : 01/14/1990 NFA ID - 0200981	\$1,350.00 \$25.00 Filing Fee Joint and Several Pre-Judg. Interest from 5/1/89	89-R365
Date Effective : 07/11/1990	\$96,000.00 8.700% from 12/31/1988 \$25.00 Filing Fee Joint and Several	90-R125
Date Effective : 12/28/1991	\$7,864.06 5.370% from 03/27/1989 \$100.00 Filing Fee Joint and Several	91-R217
Huot, Joseph Ernest Miami, FL Date Effective : 01/08/1990 NFA ID - 0200981	\$6,479.00 7.900% from 06/21/1988 \$100.00 Filing Fee Joint and Several	88-R409

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
463.	I & L Global Consultants, Ltd. Brooklyn, NY Date Effective : 08/28/1997 NFA ID - 0249865	\$5,000.00 5.880% from 04/08/1994 \$125.00 Filing Fee	97-R064
464.	ICG Trading, Inc. New York, NY Date Effective : 04/19/2000 NFA ID - 0257292	\$8,350.00 6.287% from 08/05/1997 \$125.00 Filing Fee	00-R005
	Date Effective : 10/29/1999	\$44,759.75 5.224% from 12/27/1996 \$50.00 Filing Fee	99-R133
465.	Imperial Capital, Inc. Boca Raton, FL NFA ID - 0000386	\$10,839.56 7.850% from 02/07/1983 \$25.00 Filing Fee Joint and Several	83-R679
	Imperial Capital, Inc. Dallas, TX NFA ID - 0000386	\$1,974.40 8.450% from 05/27/1983 \$25.00 Filing Fee Joint and Several	83-R783
		\$4,522.24 9.100% from 08/18/1983 \$25.00 Filing Fee Joint and Several	84-R143
		\$22,054.57 7.700% from 07/02/1982 \$25.00 Filing Fee Joint and Several	82-R803
		\$10,829.24 8.080% from 01/04/1984 \$25.00 Filing Fee	84-R171
		\$4,500.00 8.570% from 12/01/1982	83-R857
		\$42,000.00 8.570% from 02/24/1983 \$25.00 Filing Fee	84-R238

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Imperial Capital, Inc. (Continued)	\$1,505.97 7.060% from 04/24/1986	85-R234
	\$14,739.84 6.310% from 11/01/1982 \$25.00 Filing Fee	85-R092
	\$2,750.00 6.310% from 07/21/1983 \$25.00 Filing Fee	86-R002
	\$4,192.90 5.790% from 11/19/1986 \$25.00 Filing Fee Joint and Several	85-R065
	\$51,725.83 5.930% from 01/01/1983 \$200.00 Filing Fee Joint and Several	85-R185
Imperial Capital, Inc. Newport Beach, CA NFA ID - 0000386	\$3,611.73 11.000% from 07/01/1984 \$25.00 Filing Fee Joint and Several	83-R055
	\$3,527.32 9.090% from 01/24/1983 \$25.00 Filing Fee	83-R676
466. Incline Financial a/k/a Stockton Commodities Incline Village, NV Date Effective : 08/24/1992 NFA ID - 0087438	\$3,075.52 5.370% from 06/29/1988 \$25.00 Filing Fee	90-R110
467. Infinity Trading Co., Inc., Inc. Playa Del Rey, CA Date Effective : 08/28/1996 NFA ID - 0234700	\$30,000.00 \$50.00 Filing Fee Joint and Several	96-R070
Date Effective : 02/09/1998	\$9,496.79 \$50.00 Filing Fee	97-R071

RESPONDENT	JUDGEMENT	DOCKET NUMBER
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Infinity Trading Company, Inc. (Continued) Playa Del Rey, CA Date Effective : 11/27/1996 NFA ID - 0224700	\$3,853.00 \$50.00 Filing Fee Joint and Several \$637.50 Joint and Several	95-R141
468. Ingram, Douglas Grant Palm Beach Gardens, FL Date Effective : 07/26/2002 NFA ID - 0280635	\$7,700.00 \$50.00 Filing Fee	02-R013
469. Intercontinent Commodity Advisors, Inc. Ft. Lauderdale, FL NFA ID - 0000789	\$8,580.24 9.150% from 10/15/1984	85-R116
	\$9,749.62 6.560% from 12/31/1984 \$25.00 Filing Fee	86-R065
	\$9,612.00 5.750% from 12/15/1986	85-R379
470. International Commodities Corp. Chicago, IL	\$16,569.05 12.000% from 04/20/1977	R78-230-79-468
471. International Commodity Investments, Inc. Carlsbad, CA NFA ID - 0090362	\$20,270.48 12.000% from 01/15/1981 \$25.00 Filing Fee Joint and Several	R81-1395-82-466
	\$18,541.04 9.090% from 06/29/1981 \$25.00 Filing Fee Joint and Several	R81-611-82-325
	\$9,270.52 9.090% from 07/02/1981 \$25.00 Filing Fee Joint and Several	R81-1085-82-293
	\$10,000.00 9.090% from 03/08/1982 \$25.00 Filing Fee Joint and Several	82-R524

RESPONDENT	JUDGEMENT	DOCKET NUMBER
----- International Commodity Investments, Inc. (Continued)	\$10,000.00 9.090% from 07/13/1982 \$25.00 Filing Fee Joint and Several	82-R883
472. International Dynamics, Inc. New York, NY NFA ID - 0002697	\$2,500.00 11.000% from 04/09/1982 Joint and Several	83-R292
	\$2,675.00 11.000% from 09/25/1981 Joint and Several	84-R048
	\$5,350.00 11.000% from 12/30/1982 \$25.00 Filing Fee	83-R882
	\$2,675.00 9.080% from 01/01/1982	83-R765
	\$17,850.00 11.000% from 04/09/1982 Joint and Several	83-R608
	\$9,659.32 11.000% from 10/01/1982	83-R362
	\$10,350.00 11.000% from 01/07/1983 Joint and Several	83-R295
	\$8,800.00 Joint and Several	83-R243
473. International Futures Strategists, Inc. Chicago, IL Date Effective : 09/24/1990 NFA ID - 0192218	\$11,288.95 9.970% from 09/01/1987 \$200.00 Filing Fee Joint and Several	88-R362
Date Effective : 11/25/1990	\$14,031.00	90-R83

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
International Futures Strategists, Inc. (Continued)	\$8,000.00 Joint and Several	90-R189
474. International Metals Exchange, Inc. New York, NY	\$6,500.00 20.000% from 06/30/1979 \$25.00 Filing Fee Joint and Several	R81-349-81-558
	\$6,450.00 20.000% from 05/11/1979 \$25.00 Filing Fee Joint and Several	R81-319-81-523
475. International Monetary Group, Inc. Dallas, TX NFA ID - 0105769	\$8,500.00 11.000% from 03/06/1981 Joint and Several	R81-302-81-817
	\$9,600.00 6.560% from 07/14/1980 \$25.00 Filing Fee Joint and Several	R81-720-82-188
476. International Trading Group, Ltd. San Mateo, CA Date Effective : 06/29/1992 NFA ID - 0002912	\$130,120.00 8.190% from 03/19/1986 \$200.00 Filing Fee Joint and Several	88-R321
477. Investment Managers Commodity Corporation Libertyville, IL	\$13,696.61 \$25.00 Filing Fee Joint and Several	R77-293
478. Investment Syndication Corp. N. Miami, FL Date Effective : 03/09/1989 NFA ID - 0086844	\$6,800.00 7.540% from 10/17/1984 Joint and Several	86-R267
	Date Effective : 04/01/1990 \$12,100.00 Joint and Several	89-R68
479. Irwin, Jerry Frederick Danbury, CT	\$6,300.00 5.770% from 03/19/1984 \$100.00 Filing Fee	86-R156

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
480.	Irwin, John Frederick Sunrise, FL NFA ID - 0081273	\$861.68 5.630% from 05/31/1984 \$25.00 Filing Fee	86-R122
481.	Isotalo, Karl S. Sausalito, CA Date Effective : 04/29/1993 NFA ID - 0211694	\$19,648.28 3.450% from 07/10/1989 \$25.00 Filing Fee Joint and Several	90-R119
482.	Ivey, James K., Jr. LaJolla, CA NFA ID - 0025349	\$10,514.50 7.710% from 10/05/1983 \$25.00 Filing Fee	85-R437
		\$5,211.65 7.710% from 05/23/1983 \$25.00 Filing Fee	85-R438
483.	Jacobsohn, Robert L. Hollywood, FL Date Effective : 01/14/1990 NFA ID - 0190019	\$7,011.00 7.900% from 11/17/1987 \$100.00 Filing Fee Joint and Several	89-R107
	Date Effective : 01/15/1990	\$72,502.80 7.900% from 04/14/1988 \$25.00 Filing Fee Joint and Several	89-R220
484.	Jankowski, Christopher Northlake, IL	\$3,500.00 12.000% from 05/19/1978 \$25.00 Filing Fee Joint and Several	R79-441-80-168
		\$3,900.00 6.000% from 11/16/1978 - to 01/31/1980 12.000% from 02/01/1980 - to 01/31/1982 20.000% from 02/01/1982 - to 12/31/1982 16.000% from 01/01/1983 \$25.00 Filing Fee Joint and Several	R79-383-80-109
		\$4,670.07 12.000% from 02/05/1979 \$25.00 Filing Fee Joint and Several	R79-201-79-355

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
485.	Jarrait, Charles O., Sr. Southfield, MI Highfield. MI NFA ID - 0126871	\$7,671.29 11.000% from 07/28/1981	83-R264
486.	Jasek, Stanley K. Chicago, IL Grand Haven. MI Date Effective : 10/30/1989 NFA ID - 0026363	\$16,533.48 7.590% from 06/25/1986 \$200.00 Filing Fee Joint and Several	87-R139
487.	JCC, Inc. Miami, FL Date Effective : 05/30/1995 NFA ID - 0001612	\$28,386.00 \$50.00 Filing Fee	95-R046
488.	Jones, Billy Romeroy, WA NFA ID - 0059087	\$11,659.39 6.710% from 02/18/1986 \$25.00 Filing Fee Joint and Several	87-R353
489.	Jones, D.E., Commodities, Inc. New York, NY NFA ID - 0002586	\$4,350.00 7.870% from 07/23/1982 \$25.00 Filing Fee Joint and Several	84-R340
		\$47,000.00 5.750% from 11/08/1982 \$200.00 Filing Fee Joint and Several	84-R514
		\$6,000.00 7.710% from 08/03/1983 \$25.00 Filing Fee Joint and Several	84-R481
490.	Jones, William H. a/k/a Billy Jones Romeroy, WA NFA ID - 0059087	\$45,451.00	86-R219
491.	Jonlyn Investor Services, Ltd. New York, NY	\$84,500.00 12.000% from 01/08/1980	R80-489-80-333

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Jonlyn Investor Services, Ltd. (Continued)	\$671,200.00 12.000% from 02/02/1980	R80-497-80-335
492. Josten, Michael Gerard Newport Beach, CA NFA ID - 0093459	\$18,622.34 8.180% from 07/26/1984	85-R030
493. Josten, Walter S. Ft. Lauderdale, FL NFA ID - 0006315	\$22,054.57 7.700% from 07/02/1982 \$25.00 Filing Fee Joint and Several	82-R803
494. Kahn, William R. New York, NY	\$5,951.00 5.560% from 02/05/1981 \$25.00 Filing Fee Joint and Several	R81-897-81-725
495. Kalina, Daniel Mark Berwyn, IL Date Effective : 08/17/1992 NFA ID - 0092786	\$16,596.83 6.210% from 05/09/1988 \$200.00 Filing Fee Joint and Several	90-R175
496. Kaminsky, Kenneth W. Minnetonka, MN	\$276,880.00 16.000% from 08/01/1979 \$25.00 Filing Fee	R81-1286-82-497
497. Kane, Edward Hollywood, CA	\$6,578.25 20.000% from 01/10/1980 \$25.00 Filing Fee Joint and Several	R81-509-82-245
498. Kanno, George Chicago, IL	\$21,000.00 11.000% from 09/25/1979 \$25.00 Filing Fee	82-R798
499. Kaplan, Errol S. Vernon Hill, IL NFA ID - 0086674	\$4,462.51 7.850% from 12/23/1982 \$25.00 Filing Fee	85-R279
500. Karlberg, Louis, Jr. Boston, MA Beverly Farms. MA	\$9,500.00 11.000% from 12/01/1980 \$25.00 Filing Fee Joint and Several	R81-1295-82-292

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Karlberg, Louis, Jr. (Continued)	\$30,000.00 16.000% from 01/12/1981 \$25.00 Filing Fee Joint and Several	R81-1142-82-247
501. Kartis, Peter N. Miami, FL NFA ID - 0152731	\$8,500.00 10.080% from 03/05/1982 \$25.00 Filing Fee Joint and Several	82-R890
502. Kashouxy, Michael N. Boca Raton, FL	\$3,267.45 11.000% from 12/13/1982	83-R279
503. KATES, KEVIN DAVID POMPANO BEACH, FL Date Effective : 11/09/1998 NFA ID - 0261691	\$1,775.00 Joint and Several	98-R079
504. Katz, Alan Brooklyn, NY NFA ID - 0053582	\$3,900.00 6.000% from 11/16/1978 - to 01/31/1980 12.000% from 02/01/1980 - to 01/31/1982 20.000% from 01/01/1982 - to 12/31/1982 16.000% from 01/01/1983 \$25.00 Filing Fee Joint and Several	R79-383-80-109
505. Kaye, David S. Hollywood, FL Date Effective : 05/13/1991 NFA ID - 0178501	\$24,077.88 6.210% from 04/04/1988 \$200.00 Filing Fee	88-R358
506. Kayne, Richard N. Miami, FL	\$3,900.00 12.000% from 06/05/1980 \$25.00 Filing Fee Joint and Several	R78-112-78-278
507. Kearse-McNeill, Carlo Scott Los Angeles, CA Date Effective : 09/05/2000 NFA ID - 0235991	\$45,050.00 4.727% from 04/01/1996	98-R114

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
508.	Keerkel, Mark Hallandale, FL	\$4,925.24 12.000% from 04/15/1981 \$25.00 Filing Fee Joint and Several	82-R470
509.	Kelleher, Charles W. Gobles, MI NFA ID - 0034430	\$5,000.00 11.000% from 08/17/1983 \$25.00 Filing Fee Joint and Several	84-R58
510.	Kelly, Kathy Los Angeles, CA Date Effective : 08/28/1996 NFA ID - 0064748	\$30,000.00 \$50.00 Filing Fee Joint and Several	96-R070
	Date Effective : 11/27/1996	\$3,853.00 \$50.00 Filing Fee Joint and Several	95-R141
		\$637.50 Joint and Several	
	Date Effective : 02/09/1998	\$9,496.79 \$50.00 Filing Fee	97-R071
511.	Kent, Herbert J. Lexington, MA NFA ID - 0068058	\$9,000.00 20.000% from 12/16/1982 \$25.00 Filing Fee Joint and Several	R81-1123-82-311
		\$9,000.00 20.000% from 12/15/1982 \$25.00 Filing Fee Joint and Several	R81-916-82-187
	Kent, Herbert J. Woburn, MA NFA ID - 0068058	\$10,000.00 6.300% from 03/27/1981 \$25.00 Filing Fee Joint and Several	83-R486
512.	Kepreos, Constantine T. a/k/a Kepreos, C. Ted Camden, NJ NFA ID - 0040649	\$8,894.32 7.850% from 10/05/1981 \$25.00 Filing Fee Joint and Several	82-R556

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Kepreos, Constantine T. (Continued) a/k/a Kepreos, C. Ted Hanover, MA	\$9,289.00 7.570% from 10/26/1981 \$25.00 Filing Fee	82-R364
Kepreos, Constantine T. a/k/a Kepreos, C. Ted Norwell, MA NFA ID - 0040649	\$5,000.00 12.000% from 11/01/1981 \$25.00 Filing Fee Joint and Several	82-R562
Kepreos, Constantine T. a/k/a Kepreos, C. Ted Yardley, PA NFA ID - 0040649	\$6,347.58 10.080% from 11/02/1981 \$25.00 Filing Fee Joint and Several	82-R264
513. Keystone Trading Corporation Chicago, IL Date Effective : 03/19/1999 NFA ID - 0002396	\$4,259.38 4.545% from 12/02/1996 \$50.00 Filing Fee	99-R043
514. Kimmes, Troy A. Tampa, FL Date Effective : 10/30/1990 NFA ID - 0212337	\$7,270.96 7.880% from 02/21/1990 Joint and Several \$100 in costs.	90-R198
515. King, Roger Los Angeles, CA	\$5,651.00 11.000% from 07/01/1982 Joint and Several	83-R401
516. Kinsler, James D. Coral Springs, FL	\$12,000.00 11.000% from 08/01/1980 Joint and Several	R80-449-81-585
	\$12,569.00 12.000% from 08/01/1980 \$25.00 Filing Fee	R81-1185-82-37
517. Kirby, Jonathan Los Angeles, CA London, England	\$3,570.00 11.000% from 08/03/1982 \$25.00 Filing Fee Joint and Several	83-R360

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
518.	Kitsianis, John Laguna Niguel, CA NFA ID - 0028503	\$20,000.00 8.180% from 07/12/1983 \$200.00 Filing Fee Joint and Several	84-R470
519.	Kleinerman, Frederick Los Angeles, CA	\$5,560.00 11.000% from 03/08/1982 \$25.00 Filing Fee Joint and Several	83-R351
520.	Kleinjan, Willis Dwight Volga, SD NFA ID - 0072342	\$13,114.96 6.560% from 07/01/1983 Joint and Several	84-R333
521.	KLINOV, MARK BORISOVITCH MCLEAN, VA Date Effective : 08/13/2001 NFA ID - 0278497	\$80,000.00 3.700% from 10/01/1999 \$250.00 Filing Fee	00-R125
522.	Knapp, Charles D. West Chicago, IL Date Effective : 06/09/1988 NFA ID - 0019316	\$16,134.65 6.640% from 07/11/1984 \$200.00 Filing Fee Joint and Several	86-R215
523.	Kohler, Michael Andrew Coconut Creek, FL Date Effective : 06/18/2004 NFA ID - 0273186	\$36,924.24 1.300% from 04/01/2001 \$250.00 Filing Fee Call Proceedings For Details	03-R052
524.	Kornreich Commodities, Inc. Los Angeles, CA NFA ID - 0001020	\$1,350.73 6.350% from 02/09/1984 \$100.00 Filing Fee	86-R039
525.	Koston, Demetrios M. San Francisco, CA Date Effective : 03/16/1990 NFA ID - 0192295	\$8,417.74 7.740% from 11/25/1987 \$25.00 Filing Fee	90-R60
526.	Krauss, George Scott Bradley Beach, NJ Date Effective : 02/06/2002 NFA ID - 0300682	\$3,310.12 2.110% from 03/21/2000 \$50.00 Filing Fee	01-R082

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
527. Kretchmar, Bill Hallandale, FL	\$7,465.45 7.030% from 05/22/1981 \$25.00 Filing Fee Joint and Several	R81-884-81-826
528. Kunz, Dale E. San Mateo, CA Date Effective : 04/14/1990 NFA ID - 0095583	\$3,095.00 \$25.00 Filing Fee Pre-Jdgmt. Int. from 04/06/89	90-R27
529. Kunz, Wendell R. Salt Lake City, UT NFA ID - 0047847	\$1,200.00 12.000% from 12/15/1980 - to 01/31/1982 20.000% from 02/01/1982 - to 12/31/1982 16.000% from 01/01/1983 - to 06/30/1983 11.000% from 07/01/1983 \$25.00 Filing Fee Joint and Several	82-R295
530. Kurzman, Bruce M. Monsey, NY Date Effective : 08/31/1989 NFA ID - 0080171	\$5,500.00 8.850% from 12/02/1985 \$100.00 Filing Fee Joint and Several	88-R092
531. Kusen, Kenneth A. Chicago, IL NFA ID - 0014270	\$4,137.08 8.320% from 07/21/1986 \$25.00 Filing Fee	87-R313
532. Kvistad, Paul J. Schaumburg, IL Date Effective : 10/01/1990 NFA ID - 0026107	\$2,506.00 7.880% from 06/15/1988 \$25.00 Filing Fee	89-R277
533. Kyle, John N., II Miami, FL Date Effective : 08/26/1989 NFA ID - 0057313	\$4,361.10 8.850% from 03/06/1986 \$25.00 Filing Fee Joint and Several	88-R288
Date Effective : 10/06/1989	\$6,410.00 8.160% from 05/15/1987 \$100.00 Filing Fee	89-R021

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Kyle, John N., II (Continued) Date Effective : 11/30/1989	\$7,289.00 8.270% from 09/23/1986 \$25.00 Filing Fee Joint and Several	89-R333
Date Effective : 01/09/1990	\$5,000.00 7.900% from 02/10/1988 \$100.00 Filing Fee Joint and Several	89-R002
Date Effective : 02/09/1990	\$6,531.00 9.200% from 10/06/1986 \$200.00 Filing Fee Joint and Several	88-R088
Date Effective : 05/13/1991	\$4,000.00 6.210% from 01/14/1988 \$200.00 Filing Fee	88-R358
Kyle, John N., II Miami Beach, FL Date Effective : 03/29/1989 NFA ID - 0057313	\$8,503.11 9.160% from 03/05/1987 \$100.00 Filing Fee Joint and Several	88-R130
Date Effective : 07/20/1991	\$5,576.74 6.070% from 12/08/1989 \$100.00 Filing Fee Joint and Several	90-R157
534. Lahm, Steven G. Key Biscayne, FL NFA ID - 0064088	\$1,375.79 8.080% from 04/01/1983 \$25.00 Filing Fee Joint and Several	83-R894
535. Lai, Peter T. Forest Hills, NY Date Effective : 11/17/1995 NFA ID - 0243721	\$10,000.00 5.890% from 04/10/1995 \$125.00 Filing Fee Joint and Several	95-R119
Date Effective : 06/12/1997	\$4,617.30 6.000% from 03/25/1995 \$50.00 Filing Fee Joint and Several	97-R046

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
536.	Landmark Group, The Falmouth, MA NFA ID - 0107141	\$10,325.21 11.000% from 01/12/1981 \$25.00 Filing Fee Joint and Several	82-R638
537.	Lane, Bob New York, NY	\$20,700.00 11.000% from 07/30/1979 \$25.00 Filing Fee Joint and Several	R79-786-80-167
538.	Lawrence, J. Randall, Corporation Richardson, TX	\$3,750.00 11.000% from 03/09/1981	83-R260
539.	Lawson, Samuel R. Beaumont, TX Date Effective : 04/28/1991 NFA ID - 0059984	\$3,143.74 6.210% from 07/15/1988 \$25.00 Filing Fee	91-R062
540.	LAWTON FINANCIAL GROUP SERVICES MIAMI, FL Date Effective : 01/25/2001 NFA ID - 0292046	\$10,000.00 6.241% from 02/02/2000 \$250.00 Filing Fee	00-R093
	Date Effective : 02/26/2001	\$5,000.00 6.052% from 08/10/1999 \$125.00 Filing Fee Joint and Several	00-R051
541.	Leary, Timothy J. Miami, FL Date Effective : 01/19/1991 NFA ID - 0383052	\$68,317.30 7.280% from 11/19/1990 Joint and Several	90-R136
542.	Leavitt, Alan Hallandale, FL	\$10,200.00 11.000% from 10/31/1980 Joint and Several	R81-1247-82-165
		\$14,687.61 7.000% from 12/05/1980 \$25.00 Filing Fee Joint and Several	82-R467

<u>RESPONDENT</u>	<u>JUDGEMENT</u>	<u>DOCKET NUMBER</u>
543. Leavitt, Allen Ft. Lauderdale, FL	\$7,465.45 7.030% from 05/22/1981 \$25.00 Filing Fee Joint and Several	R81-884-81-826
544. Leclair, Raymond K., Jr. Ft. Lee, NJ Old Tappan. NJ Date Effective : 12/07/1989 NFA ID - 0099507	\$9,557.00 8.190% from 05/25/1988 \$100.00 Filing Fee	89-R192
545. Lee, Larry New York, NY	\$6,000.00 12.000% from 09/28/1979 \$25.00 Filing Fee Joint and Several	R81-304-81-311
546. Left, Andrew Edward Boca Raton, FL Date Effective : 06/03/1996 NFA ID - 0253075	\$1,595.20 \$50.00 Filing Fee Joint and Several	95-R122
547. Lehrer, Ken	\$19,000.00 11.000% from 06/17/1981 Joint and Several	83-R124
	\$4,925.24 12.000% from 04/15/1981 \$25.00 Filing Fee Joint and Several	82-R470
548. Leng, Bob Ft. Lauderdale, FL	\$5,772.98 7.710% from 12/10/1980 Joint and Several	R81-705-81-677
549. Levine, Scott Jeffrey Davie, FL Miami Beach. FL Date Effective : 03/02/1992 NFA ID - 0187972	\$12,900.00 4.410% from 04/16/1991 Joint and Several	91-R132
Levine, Scott Jeffrey Miami Beach, FL Date Effective : 04/13/1992 NFA ID - 0187972	\$41,500.00 4.210% from 08/24/1989 \$200.00 Filing Fee	92-R018

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
550. Levitt, Alan C. Miami, FL	\$14,200.00 11.000% from 10/10/1980 \$25.00 Filing Fee Joint and Several	R81-1382-82-511
551. Levy, Lawrence B. a/k/a Levy, Larry N. Miami Beach, FL NFA ID - 0005966	\$5,951.00 5.560% from 02/05/1981 \$25.00 Filing Fee Joint and Several	R81-897-81-725
552. Levy, Michael E. New York, NY	\$63,400.00 12.000% from 02/16/1984 Joint and Several	82-R183
553. Lewis, Lloyd J. Pompano Beach, FL Date Effective : 12/24/1990 NFA ID - 0213030	\$1,245.60	90-R133
554. Lewis, Tony Los Angeles, CA	\$2,430.00 9.170% from 05/15/1985 \$25.00 Filing Fee Joint and Several	83-R113
555. Lincolnwood Commodities, Inc. Palo Alto, CA	\$735.00 11.000% from 07/29/1977 \$25.00 Filing Fee	R78-260-78-301
556. Lincolnwood, Inc. New York, NY NFA ID - 0001005	\$38,945.00 7.060% from 05/01/1979 \$25.00 Filing Fee Joint and Several	R79-493-80-47
	\$45,045.00 7.870% from 04/12/1982 \$25.00 Filing Fee Joint and Several	82-R592

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
557.	Linker, Howard New York, NY NFA ID - 0078162	\$2,647.25 6.000% from 08/01/1978 - to 01/31/1980 12.000% from 02/01/1980 - to 01/31/1982 20.000% from 02/01/1982 - to 12/31/1982 16.000% from 01/01/1983 - to 06/30/1983 11.000% from 07/01/1983 \$25.00 Filing Fee	R79-276-80-33
558.	Lipton, Mitchell Stuart a/k/a Lipowitz, Mitchell Stuart N. Miami, FL Date Effective : 07/05/1990 NFA ID - 0204679	\$9,830.00 8.320% from 02/02/1988 \$100.00 Filing Fee	89-R163
559.	Lischin, Michael P. San Diego, CA NFA ID - 0126988	\$3,896.84 11.000% from 03/30/1983 \$25.00 Filing Fee	83-R457
560.	Livenston, Morton S. a/k/a Livingston, Mort Ft. Lauderdale, FL NFA ID - 0048818	\$15,617.72 6.560% from 09/30/1982 \$25.00 Filing Fee Joint and Several	82-R1032
561.	Livoti, Randi Ellen Gold, Randi Ellen Deerfield Beach, FL Date Effective : 12/01/2003 NFA ID - 0190209	\$5,093.00 2.270% from 04/10/2000 \$125.00 Filing Fee	02-R042
562.	LMB Trading Group, Inc., Palm Beach Gardens, FL Date Effective : 08/18/2000 NFA ID - 0280869	\$4,439.00 6.375% from 04/06/1999 \$50.00 Filing Fee Joint and Several	99-R148
	Date Effective : 08/02/2001	\$15,278.10 6.280% from 08/04/1998 \$125.00 Filing Fee Joint and Several	99-R045

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
563.	Lobue, Nicholas Vincent Homewood, IL Date Effective : 03/16/2000 NFA ID - 0254339	\$18,800.00 5.670% from 10/01/1994 \$250.00 Filing Fee Joint and Several	98-R118
564.	Losee, George T. Tusten, CA NFA ID - 0027422	\$4,853.16 9.080% from 08/16/1983 \$25.00 Filing Fee Joint and Several	84-R024
		\$4,690.87 7.700% from 10/13/1983 \$25.00 Filing Fee	85-R127
565.	Lundgren, Martin J. Chicago, IL NFA ID - 0019452	\$5,000.00 6.310% from 07/12/1983 \$25.00 Filing Fee	84-R083
566.	Lynn, Kevin H. New York, NY NFA ID - 0406379	\$6,619.18 7.870% from 05/05/1983 \$25.00 Filing Fee Joint and Several	84-R014
567.	Lyons, Bob Babylon, NY	\$831.69 9.150% from 03/14/1983 \$25.00 Filing Fee Joint and Several	83-R613
568.	MacDonald, Gordon A. Gurnee, IL	\$13,696.61 \$25.00 Filing Fee Joint and Several	R77-293
569.	Machin, Raul H. North Miami Beach, FL Date Effective : 03/27/1998 NFA ID - 0036437	\$17,380.84 \$50.00 Filing Fee	97-R119
570.	Macro International Group, Inc. New York, NY NFA ID - 0002434	\$8,720.94 6.300% from 10/01/1983 \$200.00 Filing Fee Joint and Several	85-R264
571.	Maddox, Michael L. Seal Beach, CA	\$5,218.15 10.000% from 02/03/1983 \$25.00 Filing Fee	83-R393

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
572. Mahalal, Adie Y. New York, NY Brooklyn. NY NFA ID - 0061405	\$42,000.00 12.000% from 10/25/1978 Joint and Several	R79-538-80-111
573. Malerbra, Maria New York, NY	\$8,800.00 Joint and Several	83-R243
574. Malsbury, Michelle Kaye Ft. Lauderdale, FL Date Effective : 02/24/1999 NFA ID - 0271374	\$9,802.00 4.513% from 10/04/1996 \$125.00 Filing Fee Joint and Several	99-R005
575. Manganiello, Lou Hallandale, FL	\$19,000.00 11.000% from 06/17/1981 Joint and Several	83-R124
	\$4,925.24 12.000% from 04/15/1981 \$25.00 Filing Fee Joint and Several	82-R470
576. Mantel, Jeffrey Miami, FL NFA ID - 0030933	\$4,280.00 7.710% from 05/27/1983 \$25.00 Filing Fee Joint and Several	83-R901
577. Manzey, Richard A. Kailua-Kona, HA NFA ID - 0011263	\$13,114.96 6.560% from 07/01/1983 Joint and Several	84-R333
578. Margolis, Joel B. Miami, FL NFA ID - 0033748	\$9,839.08 11.000% from 09/05/1980 \$25.00 Filing Fee Joint and Several	R81-1023-82-471
579. Marie, Diana Rose a/k/a Norris, Diana R. a/k/a Bullis, Diana R. Boca Raton, FL Deerfield Beach. FL Date Effective : 01/07/1990 NFA ID - 0198458	\$15,506.64 7.900% from 09/29/1988 \$25.00 Filing Fee Joint and Several	89-R350

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
580.	Marino, Thomas Carl Tiburon, CA NFA ID - 0112232	\$1,697.83 7.020% from 05/01/1981 \$25.00 Filing Fee	83-R394
581.	Marken, Wayne M. San Rafael, CA NFA ID - 0020412	\$12,035.17 7.200% from 06/01/1984 \$200.00 Filing Fee Joint and Several	86-R189
582.	Marks, Steven Jay Deerfield Beach, FL Pompano Beach, FL Date Effective : 02/09/1989 NFA ID - 0201180	\$4,977.00 8.550% from 11/11/1987 \$100.00 Filing Fee Joint and Several	88-R140
583. *	MARSH, ROBERT WILLIAM Los Angeles, CA Date Effective : 05/05/2005 NFA ID - 0102102	\$9,048.40 3.050% from 09/12/2001 \$125.00 Filing Fee	03-R064
584.	Mart, Donald S. Beverly Hills, CA Date Effective : 10/23/1989 NFA ID - 0085185	\$7,581.00 7.750% from 11/03/1987 \$25.00 Filing Fee	88-R316
585.	Martenson, Richard Minneapolis, MN	\$75,980.00 9.150% from 01/07/1980 \$25.00 Filing Fee Joint and Several	R82-50-82-404
586.	Massaro, James N. Albany, NY NFA ID - 0154778	\$5,000.00 11.000% from 08/01/1981 Joint and Several	83-R236
		\$10,000.00 16.000% from 11/01/1981 Joint and Several	82-R992
		\$15,000.00 16.000% from 05/05/1982 \$25.00 Filing Fee Joint and Several	82-R1009

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Massaro, James N. (Continued) Date Effective : 02/09/1984	\$12,935.00 11.000% from 09/30/1981 \$25.00 Filing Fee Joint and Several	82-R459
	\$45,045.00 7.870% from 04/12/1982 \$25.00 Filing Fee Joint and Several	82-R592
587. Masters Trading Organization, Ltd. Chicago, IL NFA ID - 0087140	\$16,533.48 7.590% from 06/25/1986 \$200.00 Filing Fee Joint and Several	87-R139
588. Matassa, Robert Hart Santa Anna, CA NFA ID - 0051194	\$3,500.00 \$25.00 Filing Fee	87-R107
589. Matchica, Stratton Nicholas Lansdowne, PA NFA ID - 0063928	\$500.00 7.610% from 06/20/1983 \$100.00 Filing Fee	85-R291
590. Mathias, Carl New York, NY	\$2,700.00 16.000% from 09/01/1981 \$25.00 Filing Fee Joint and Several	83-R333
591. McCalman, H. Duvall Hollywood, CA	\$6,096.00 16.000% from 12/31/1979 \$25.00 Filing Fee Joint and Several	R80-1224-81-679
592. McConnell, Howard J. Wayne, IL NFA ID - 0039629	\$2,500.00 9.090% from 05/03/1983	84-R208
593. McCoy, Tom Los Angeles, CA	\$11,470.00 11.000% from 08/05/1982 \$25.00 Filing Fee Joint and Several	83-R147
594. McCoy, Tom Newport Beach, CA Jersey City. NJ	\$24,095.00 12.000% from 02/24/1984	83-R7

	RESPONDENT	JUDGEMENT	DOCKET NUMBER
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595.	McGee, Debra Ann South Barrington, IL Date Effective : 11/02/1992 NFA ID - 0099396	\$1,162.00 7.950% from 11/07/1986 \$100.00 Filing Fee	90-R017
596.	McGinnis, Scott Allan Southfield, MI Livonia. MI Date Effective : 06/19/1992 NFA ID - 0182898	\$51,282.14 8.360% from 08/20/1987 \$200.00 Filing Fee	88-R314
597.	McGuinn, Craig P. New York, NY NFA ID - 0078589	\$4,522.24 9.100% from 08/18/1983 \$25.00 Filing Fee Joint and Several	84-R143
		\$4,192.90 5.790% from 11/19/1986 \$25.00 Filing Fee Joint and Several	85-R065
598.	McIntyre, David John Pembroke Pines, FL Date Effective : 12/01/2003 NFA ID - 0100560	\$9,769.00 1.220% from 05/28/2000 \$50.00 Filing Fee Joint and Several	01-R031
599.	McIntyre, Peter D. Hanover, MA Date Effective : 10/08/1991 NFA ID - 0045754	\$11,500.00 6.260% from 09/08/1987 \$25.00 Filing Fee Joint and Several	90-R154
600.	Mckay, Steven M. Costa Mesa, CA Date Effective : 01/19/1991 NFA ID - 0211305	\$36,652.71 7.280% from 11/20/1990 Joint and Several	90-R085
601.	McKenna, Donald W. Oak Lawn, IL Date Effective : 04/11/1991 NFA ID - 0076595	\$7,730.00 6.620% from 11/04/1988 \$25.00 Filing Fee	91-R024
602.	McKerr, James T. & Company Chicago, IL NFA ID - 0000536	\$4,417.22 5.740% from 04/29/1988 \$25.00 Filing Fee Joint and Several	85-R104

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
McKerr, James T. & Company (Continued) Ft. Lauderdale, FL NFA ID - 0000536	\$4,684.00 7.140% from 04/02/1986 \$25.00 Filing Fee Joint and Several	87-R245
603. Meek, David W. Ft. Lauderdale, FL NFA ID - 0070058	\$8,580.24 9.150% from 10/15/1984	85-R116
	\$5,000.00 7.710% from 10/28/1983 \$25.00 Filing Fee	85-R416
	\$5,211.65 7.710% from 05/23/1983 \$25.00 Filing Fee	85-R438
	\$10,514.50 7.710% from 10/05/1983 \$25.00 Filing Fee	85-R437
	\$8,350.00 6.560% from 01/31/1984 \$100.00 Filing Fee	86-R035
	\$9,749.62 6.560% from 12/31/1984 \$25.00 Filing Fee	86-R065
	\$55,000.00 6.560% from 01/01/1983 \$200.00 Filing Fee	86-R098
	\$5,000.00	85-R412
	\$3,453.78 7.220% from 12/31/1984 \$100.00 Filing Fee	85-R335
604. Melchiona, Dana Ann Miami, FL Date Effective : 01/28/1990 NFA ID - 0205894	\$2,800.00 Joint and Several	89-R234

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
605.	Melschau, Hans-Dieter Newport Beach, CA NFA ID - 0085871	\$2,326.50 11.000% from 04/15/1981 \$25.00 Filing Fee	82-R336
606.	Menendez, Henry North Miami, FL	\$8,500.00 10.080% from 03/05/1982 \$25.00 Filing Fee Joint and Several	82-R890
607.	Mercafe, Inc. New York, NY Date Effective : 06/03/1996 NFA ID - 0183397	\$1,595.20 \$50.00 Filing Fee Joint and Several	95-R122
608.	Militano, Robert Jude, Jr. a/k/a Militano, Robert Jude Las Vegas, NV Date Effective : 10/30/1992 NFA ID - 0228622	\$707.10 4.260% from 04/26/1991 \$25.00 Filing Fee Joint and Several	91-R219
	Date Effective : 04/04/1994	\$2,045.00 3.670% from 09/30/1991 \$100.00 Filing Fee Joint and Several	93-R200
609.	Milliaressis, Spiro George Hoboken, NJ Date Effective : 11/04/1991 NFA ID - 0212093	\$6,800.00 5.680% from 06/20/1988 \$200.00 Filing Fee Joint and Several	90-R200
610.	Millman, Mindy L. Boca Raton, FL North Miami Beach. FL Date Effective : 12/09/1991 NFA ID - 0195469	\$21,500.00 6.620% from 08/05/1987 Joint and Several	90-R015
611.	Mills, Mark Mamaroneck, NY	\$2,500.00 16.000% from 11/17/1980 \$25.00 Filing Fee	R81-1114-82-77
612.	Mills, Thomas F. Chicago, IL Date Effective : 06/29/1992 NFA ID - 0189083	\$3,801.60 8.700% from 01/14/1987 \$100.00 Filing Fee	89-R101

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
613.	Monaco, Randell A. a/k/a Manaco, Randall A. Santa Ana, CA NFA ID - 0039305	\$5,559.77 7.030% from 07/28/1983 \$25.00 Filing Fee Joint and Several	83-R801
614.	Monteko, Michael San Francisco, CA	\$6,332.12 6.560% from 02/16/1983 \$25.00 Filing Fee Joint and Several	83-R344
615.	Moore, Stephanie Ft. Lauderdale, FL	\$1,080.00 11.000% from 04/07/1982 Joint and Several	83-R583
616.	Moorthy's Commodity Services, Inc. New York, NY CFTC ID - 0023836 NFA ID - 0104412	\$10,000.00 16.000% from 09/30/1981 \$25.00 Filing Fee	82-R687
		\$4,000.00 11/30/1981 Varying Int. \$25.00 Filing Fee	82-R395
		\$10,000.00 11.000% from 12/14/1981	82-R268
617.	Moosbrugger, August Walnut Creek, CA Date Effective : 11/24/1991 NFA ID - 0199765	\$10,000.00 5.570% from 09/20/1991 Joint and Several	91-R072
618.	Morocco, William J. Hollywood, FL Date Effective : 09/11/1992 NFA ID - 0200963	\$1,674.00 6.090% from 11/03/1989 \$25.00 Filing Fee Joint and Several	90-R091
619.	Morrill, Leon L., Jr. Newbury Port, MA NFA ID - 0054926	\$10,000.00 Joint and Several	85-R406
		\$11,400.00 7.850% from 06/23/1983 \$25.00 Filing Fee Joint and Several	85-R75

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
620.	Mukawa, Fumiya San Francisco, CA NFA ID - 0066427	\$15,000.00 11.000% from 01/21/1980	R82-94-82-415
621.	Mullan, Philip Carl Boca Raton, FL Date Effective : 11/13/1995 NFA ID - 0239566	\$27,386.00 5.070% from 07/08/1992	95-R103
622.	Muller, John J. Miami, FL	\$33,300.00 11.000% from 02/14/1977 \$25.00 Filing Fee Joint and Several	R77-282
623.	Muller, Marcia M. Miami, FL	\$33,300.00 11.000% from 02/14/1977 \$25.00 Filing Fee Joint and Several	R77-282
624.	Multi-National Holding Corp. New York, NY NFA ID - 0105611	\$2,500.00 12.000% from 01/11/1982 \$25.00 Filing Fee Joint and Several	82-R745
		\$3,368.25 11.000% from 05/01/1984 Joint and Several	82-R845
		\$786.00 11.000% from 05/01/1984 \$25.00 Filing Fee	82-R895
		\$2,500.00 \$25.00 Filing Fee Joint and Several	82-R816
		\$3,704.17 11.000% from 05/01/1984 \$25.00 Filing Fee Joint and Several	82-R956
625.	Munoz, David P. Redondo Beach, CA NFA ID - 0029046	\$3,037.70 7.700% from 02/15/1984 \$25.00 Filing Fee	85-R023

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Munoz, David P. (Continued)	\$10,000.00 6.180% from 03/14/1984 \$100.00 Filing Fee	85-R149
626. Murlas Commodities, Inc. Chicago, IL Date Effective : 06/22/1989 NFA ID - 0002806	\$10,419.00 9.510% from 06/04/1987	88-R305
Date Effective : 06/26/1989	\$9,762.00 9.510% from 09/30/1986 \$25.00 Filing Fee Joint and Several	88-R156
Date Effective : 06/26/1989	\$3,120.00 9.510% from 12/11/1987 \$25.00 Filing Fee	88-R259
Date Effective : 07/24/1989	\$3,341.96 9.150% from 01/18/1988 \$100.00 Filing Fee	89-R194
Date Effective : 08/21/1989	\$66,000.00 8.850% from 11/07/1986 \$200.00 Filing Fee Joint and Several \$209.50	88-R207
Date Effective : 08/27/1989	\$7,800.00 8.850% from 06/23/1988 \$25.00 Filing Fee	89-R228
Date Effective : 09/25/1989	\$10,000.00	89-R150
Date Effective : 10/09/1989	\$10,000.00 7.750% from 04/10/1987 \$100.00 Filing Fee Joint and Several	89-R211
Date Effective : 10/09/1989	\$52,807.44 7.750% from 01/30/1987 Joint and Several	88-R036

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Murlas Commodities, Inc. (Continued)	\$7,016.03 7.750% from 02/05/1987	88-R047
Date Effective : 10/09/1989	Joint and Several	
Date Effective : 10/09/1989	\$2,097.75 \$400.00 Filing Fee Joint and Several \$750 Expert Witness Fee	88-R036/88-R047
Date Effective : 10/30/1989	\$2,500.00 9.430% from 10/20/1986 \$25.00 Filing Fee Joint and Several	88-R282
Date Effective : 11/24/1989	\$3,406.00 7.750% from 06/30/1988 \$25.00 Filing Fee	89-R277
Date Effective : 11/02/1989	\$4,137.08 8.320% from 07/21/1986 \$25.00 Filing Fee	87-R313
Date Effective : 11/06/1989	\$10,000.00	89-R171
Date Effective : 12/04/1989	\$2,944.00 7.140% from 11/13/1984 \$25.00 Filing Fee Joint and Several	87-R044
Date Effective : 12/30/1989	\$2,223.20 7.900% from 12/09/1988 \$25.00 Filing Fee	89-R344
Date Effective : 02/03/1990	\$4,930.19 7.900% from 06/16/1986 \$25.00 Filing Fee Joint and Several	89-R029
Date Effective : 04/02/1990	\$14,269.32 7.740% from 02/14/1984 \$200.00 Filing Fee Joint and Several	86-R075
Date Effective : 04/14/1990	\$2,615.00 7.970% from 08/30/1988 \$100.00 Filing Fee	89-R263

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Murlas Commodities, Inc. (Continued)	\$2,570.00	90-R053
Date Effective : 04/23/1990	7.970% from 12/06/1988 \$25.00 Filing Fee Joint and Several	
Date Effective : 04/26/1990	\$5,912.00 9.970% from 07/07/1988 \$25.00 Filing Fee Joint and Several	90-R081
Date Effective : 06/22/1990	\$2,921.00 9.200% from 04/04/1987 \$100.00 Filing Fee Joint and Several	87-R310
Date Effective : 07/11/1990	\$9,408.92 8.700% from 09/01/1987	89-R181
Date Effective : 07/23/1990	\$9,900.00 8.700% from 01/14/1987 \$100.00 Filing Fee Joint and Several	89-R101
Date Effective : 07/30/1990	\$2,977.00 8.700% from 03/28/1988 \$100.00 Filing Fee Joint and Several	90-R142
Date Effective : 07/26/1990	\$10,000.00 8.700% from 07/25/1988 \$100.00 Filing Fee	89-R222
Date Effective : 07/29/1990	\$4,129.00 8.700% from 05/26/1988 \$100.00 Filing Fee	89-R340
Date Effective : 10/16/1990	\$16,596.83 7.880% from 05/09/1988 \$200.00 Filing Fee	90-R175
Date Effective : 11/28/1990	\$21,085.00 7.950% from 11/07/1986 \$100.00 Filing Fee	90-R017
Date Effective : 12/22/1990	\$7,000.00 7.780% from 04/08/1988 \$25.00 Filing Fee	90-R226

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Murlas Commodities, Inc. (Continued) Date Effective : 12/29/1990	\$6,904.00 7.510% from 04/26/1988 \$25.00 Filing Fee Joint and Several	90-R225
Date Effective : 02/24/1991	\$3,500.00 Joint and Several	91-R006
Date Effective : 12/02/1991	\$9,961.60	91-R187
Murlas Commodities, Inc. Elmwood Park, IL Chicago. IL Date Effective : 12/31/1991 NFA ID - 0002806	\$1,643.44 5.370% from 12/30/1988 \$100.00 Filing Fee	91-R105
627. Murphy, Donald F. Lauderhill, FL Date Effective : 12/02/1991 NFA ID - 0189527	\$148,327.00 5.570% from 03/28/1988 \$200.00 Filing Fee	90-R212
628. Murphy, James T. Mamaroneck, NY NFA ID - 0067685	\$2,500.00 16.000% from 11/17/1980 \$25.00 Filing Fee	R81-1114-82-77
629. Nadell, Irwin Sunrise, FL NFA ID - 0064132	\$4,280.00 7.710% from 05/27/1983 \$25.00 Filing Fee Joint and Several	83-R901
630. Natal, Steven A. Dayton, TN N. Miami Beach. FL Date Effective : 01/14/1990 NFA ID - 0202714	\$7,011.00 7.900% from 11/17/1987 \$100.00 Filing Fee Joint and Several	89-R107
Date Effective : 01/15/1990	\$72,502.80 7.900% from 04/14/1988 \$25.00 Filing Fee Joint and Several	89-R220

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Natal, Steven A. (Continued) N. Miami Beach, FL Date Effective : 04/02/1990 NFA ID - 0202714	\$55,226.00 \$200.00 Filing Fee Joint and Several 7.74% from date account closed	89-R160
Natal, Steven A. North Miami, FL Date Effective : 11/29/1991 NFA ID - 0202714	\$31,172.52 6.390% from 11/15/1988 \$25.00 Filing Fee	89-R084
631. Nathanson, Steven A. Rolling Meadows, IL	\$272.12 11.000% from 02/02/1977 \$25.00 Filing Fee	R77-499-79-49
632. National Century Financial Corporation Century City, CA NFA ID - 0103989	\$11,000.00 11.000% from 10/26/1981	84-R269
	\$2,289.77 9.170% from 04/18/1985 \$25.00 Filing Fee	83-R085
	\$2,500.00 7.870% from 05/03/1982 \$25.00 Filing Fee Joint and Several	84-R205
National Century Financial Corporation Los Angeles, CA NFA ID - 0103989	\$5,000.00 11.000% from 12/22/1981 \$25.00 Filing Fee Joint and Several	83-R095
	\$1,000.00 11.000% from 08/31/1982 \$25.00 Filing Fee	83-R340
	\$9,544.86 11.000% from 05/31/1982 \$25.00 Filing Fee Joint and Several	83-R388
	\$5,000.00 11.000% from 08/03/1982 \$25.00 Filing Fee Joint and Several	83-R374

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
National Century Financial Corporation (Continued)	\$5,560.00 11.000% from 03/08/1982 \$25.00 Filing Fee Joint and Several	83-R351
	\$4,160.33 9.150% from 08/01/1981 \$25.00 Filing Fee Joint and Several	83-R070
	\$10,000.00 7.030% from 12/11/1981 \$25.00 Filing Fee Joint and Several	83-R419
633. National Coal Exchange Memphis, TN	\$13,034.69 11.000% from 05/01/1981 \$25.00 Filing Fee Joint and Several	R81-1255-82-179
634. National Commodities Traders, Ltd. Honolulu, HI	\$4,000.00 12.000% from 04/30/1981 \$25.00 Filing Fee Joint and Several	82-R419
635. National Commodity Traders, National Commodity T San Jose, CA	\$2,330.90 11.000% from 10/19/1982	83-R370
636. National Metals Group, Inc. New York, NY	\$1,875.00 12.000% from 06/22/1981 - to 01/31/1982 20.000% from 02/01/1982 - to 12/31/1982 16.000% from 01/01/1983 - to 06/30/1983 11.000% from 07/01/1983 \$25.00 Filing Fee	82-R78
637. National Monetary Fund, Inc. Miami Shore, FL NFA ID - 0001706	\$8,150.00 7.600% from 01/22/1985 \$25.00 Filing Fee Joint and Several	83-R208

<u>RESPONDENT</u>	<u>JUDGEMENT</u>	<u>DOCKET NUMBER</u>
National Monetary Fund, Inc. (Continued) N. Miami Beach, FL	\$8,549.00 11.000% from 06/14/1981 \$25.00 Filing Fee Joint and Several	83-R078
	\$8,647.50 8.570% from 08/23/1982 \$25.00 Filing Fee	83-R076
	\$8,578.60 11.000% from 05/01/1982 \$25.00 Filing Fee	83-R259
	\$12,702.60 9.500% from 04/01/1982 \$25.00 Filing Fee Joint and Several	83-R805
	\$8,999.80 9.150% from 02/22/1980 \$25.00 Filing Fee	84-R013
	\$8,016.10 6.180% from 04/30/1982 \$25.00 Filing Fee Joint and Several	82-R938
638. National Trading Institute, LLC Los Angeles, CA Date Effective : 03/24/2003 NFA ID - 0301856	\$3,985.00 \$50.00 Filing Fee	02-R064
	Date Effective : 05/05/2003 \$2,985.00 \$50.00 Filing Fee	02-R044
639. Nationwide Futures Corp. San Diego, CA Date Effective : 01/13/1992 NFA ID - 0088755	\$181,600.00 8.750% from 06/13/1985 Joint and Several	87-R435
640. Needle, Howard William Boca Raton, FL Date Effective : 10/23/1998 NFA ID - 0034965	\$53,942.00 5.413% from 04/04/1996 \$250.00 Filing Fee Joint and Several	98-R111

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
641.	Neimann-Lloyds, Inc. Laguna Beach, CA NFA ID - 0002826	\$5,700.00 7.570% from 01/23/1984 \$100.00 Filing Fee	84-R424
	Neimann-Lloyds, Inc. Palm Desert, CA NFA ID - 0002826	\$10,000.00 6.180% from 03/14/1984 \$100.00 Filing Fee	85-R149
	Neimann-Lloyds, Inc. Palms Desert, CA Laguna Beach, CA NFA ID - 0002826	\$16,356.00 8.180% from 05/25/1983 \$25.00 Filing Fee Joint and Several	85-R105
		\$12,072.50 7.910% from 08/03/1984 \$25.00 Filing Fee	85-R317
		\$3,037.70 7.700% from 02/15/1984 \$25.00 Filing Fee	85-R023
642.	Nelson Ghun and Associates, Inc. New York, NY	\$12,025.00 20.000% from 09/25/1980 \$25.00 Filing Fee Joint and Several	R81-392-81-490
		\$640.00 20.000% from 12/04/1980 \$25.00 Filing Fee Joint and Several	
		\$10,000.00 12.000% from 06/04/1980 \$25.00 Filing Fee Joint and Several	R81-184-81-264
		\$2,000.00 20.000% from 10/02/1979 \$25.00 Filing Fee	R81-402-81-518
		\$4,928.13 20.000% from 07/31/1980 \$25.00 Filing Fee	R81-240-81-296
		\$6,000.00 12.000% from 09/28/1979 \$25.00 Filing Fee Joint and Several	R81-304-81-311

RESPONDENT	JUDGEMENT	DOCKET NUMBER
----- Nelson Ghun and Associates, Inc. (Continued)	\$2,272.80 20.000% from 10/02/1979 \$25.00 Filing Fee	R81-630-81-489
	\$4,601.50 16.000% from 06/06/1979 \$25.00 Filing Fee	R81-1001-82-377
	\$3,260.50 6.000% from 11/27/1978 - to 01/31/1980 12.000% from 02/01/1980 - to 01/31/1982 20.000% from 02/01/1982 - to 12/31/1982 16.000% from 01/01/1983 - to 06/30/1983 11.000% from 07/01/1983 \$25.00 Filing Fee Joint and Several	R80-291-80-293
	\$9,000.00 11.000% from 05/25/1980 \$25.00 Filing Fee	R81-1-81-241
	\$5,000.00 7.780% \$25.00 Filing Fee Joint and Several	R81-444-81-534
	\$9,400.00 7.060% from 04/02/1980 \$25.00 Filing Fee Joint and Several	R81-668-81-808
	\$10,000.00 6.310% from 01/05/1979 \$25.00 Filing Fee	R80-253-80-253
643. Nelson, Stephen Edward West Palm Beach, FL Date Effective : 10/25/1993 NFA ID - 0237098	\$3,490.00 3.430% from 03/09/1992 \$25.00 Filing Fee	93-R126
Date Effective : 12/02/1994	\$12,425.00 5.690% from 09/19/1994 \$200.00 Filing Fee Joint and Several	94-R006

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
644.	New York Forex Ex-Center Corp., New York Forex Ex-Ce New York, NY Date Effective : 06/12/1997 NFA ID - 0256058	\$4,617.30 6.000% from 03/25/1995 \$50.00 Filing Fee Joint and Several	97-R046
	New York Forex Ex-Center Corporation New York, NY Date Effective : 11/17/1995 NFA ID - 0256058	\$10,000.00 5.890% from 04/10/1995 \$125.00 Filing Fee Joint and Several	95-R119
645.	Newcomb Commodities Corporation Chicago, IL NFA ID - 0000690	\$17,800.00 9.150% from 02/22/1983 \$25.00 Filing Fee	83-R602
646.	Newham, Richard D. Safford, AZ	\$276,880.00 16.000% from 08/01/1979 \$25.00 Filing Fee Joint and Several	R81-1289-82-497
647.	Newman, Clive Frederick Miami Lake, FL Date Effective : 12/24/1989 NFA ID - 0195431	\$9,433.00 8.190% from 01/06/1986 \$100.00 Filing Fee Joint and Several	88-R287
648.	Newport Investment Management Corporation Los Angeles, CA	\$33,996.60 20.000% from 12/15/1980 \$25.00 Filing Fee	82-R345
649.	NICKOLAOU, NICHOLAS JAMES LEMONT, IL Date Effective : 03/12/2001 NFA ID - 0237120	\$38,229.40 6.025% from 02/03/2001 \$50.00 Filing Fee Joint and Several	00-R070
650.	Nielsen, Kenneth L. Fairfield, IA Date Effective : 01/20/1990 NFA ID - 0206996	\$600.00 7.900% from 02/03/1988 \$25.00 Filing Fee Joint and Several	89-R363
651.	Northeast Investment Services, Inc. Norwell, MA NFA ID - 0104926	\$5,000.00 12.000% from 11/01/1981 \$25.00 Filing Fee Joint and Several	82-R562

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
652. Northwest Commodities, Inc. Chicago, IL NFA ID - 0086124	\$2,722.46 9.500% from 12/30/1983 \$25.00 Filing Fee	84-R464
	\$3,260.88 7.710% from 04/19/1983 \$25.00 Filing Fee	84-R250
	\$8,221.03 5.630% from 03/02/1987	85-R454
	\$4,343.76 6.530% from 12/31/1984 \$100.00 Filing Fee Joint and Several	86-R212
653. Norwell Trade Winds, Ltd. Boston, MA	\$5,500.00 6.640% from 06/17/1980 \$25.00 Filing Fee Joint and Several	82-R375
654. Norwood, Michael P. Garland, TX NFA ID - 0053655	\$206,069.05 6.590% from 03/26/1988	86-R284
655. O'Connor, John Joseph, III Chicago, IL Date Effective : 06/22/1990 NFA ID - 0187282	\$2,921.00 9.200% from 04/04/1987 \$100.00 Filing Fee Joint and Several	87-R310
656. Odena, Amanda Marie Miami, FL Date Effective : 02/06/2003 NFA ID - 0301414	\$9,421.00 \$50.00 Filing Fee	02-R051
657. O'Grady, David Boston, MA	\$15,000.00 16.000% from 02/15/1980 \$25.00 Filing Fee Joint and Several	R80-1348-81-109
658. Ohannessian, Steven E. Malibu, CA Chicago. IL	\$1,911.50 11.000% from 10/29/1980 \$25.00 Filing Fee Joint and Several	83-R112

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
659.	Okrusko, Mark E. Santa Barbara, CA NFA ID - 0176100	\$3,165.80 6.930% from 03/06/1985 \$200.00 Filing Fee	87-R159
660.	Olson, James San Diego, CA	\$5,400.00 11.000% from 07/13/1981 \$25.00 Filing Fee Joint and Several	83-R206
661.	O'Neill, Michael Patrick Santa Barbara, CA Date Effective : 12/31/1991 NFA ID - 0177948	\$6,180.00 5.370% from 12/31/1988 \$25.00 Filing Fee Joint and Several	91-R210
662.	Pacific International Enterprise, Inc. Houston, TX	\$8,576.00 11.000% from 09/24/1982 \$25.00 Filing Fee	83-R379
663.	Packer, Larry E. Placentica, CA NFA ID - 0065120	\$1,350.73 6.350% from 02/09/1984 \$100.00 Filing Fee	86-R039
		\$13,006.94 7.200% from 02/09/1984 \$200.00 Filing Fee	86-R038
664.	Page, Andrew Garner, NC Hallandale. FL NFA ID - 0032389	\$10,000.00 16.000% from 10/15/1980 \$25.00 Filing Fee Joint and Several	R82-16-82-357
665.	Palazzolo, John F. Arlington, MA NFA ID - 0093423	\$21,226.55 6.900% from 10/23/1984 \$200.00 Filing Fee Joint and Several	85-R282
666.	Parker, Daniel Jay Parkland, FL Date Effective : 03/22/1999 NFA ID - 0264402	\$20,000.00 \$50.00 Filing Fee	98-R149
667.	Parks, Maurice Las Vegas, NV	\$53,330.97 11.000% from 08/31/1982 Joint and Several	83-R431

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
668. Pastrel, Richard New York, NY	\$10,500.00 5.630% from 09/09/1977 \$25.00 Filing Fee Joint and Several	R79-590-80-511
669. Paul, Lewis New York, NY	\$2,500.00 12.000% from 01/11/1982 \$25.00 Filing Fee Joint and Several	82-R745
	\$3,704.17 11.000% from 05/01/1984 \$25.00 Filing Fee Joint and Several	82-R956
670. Payne, Douglas Bloomington, MN	\$276,880.00 16.000% from 08/01/1979 \$25.00 Filing Fee	R81-1289-82-497
671. PDS Investments International, Inc. Chicago, IL Date Effective : 12/30/1991 NFA ID - 0087125	\$8,894.26	90-R070
672. Peabody Trading Co. of Boston Boston, MA	\$4,208.50 11.000% from 06/01/1980 \$25.00 Filing Fee	R80-949-80-692
	\$15,000.00 16.000% from 02/15/1980 \$25.00 Filing Fee	R80-1348-81-109
673. Peck, Benjamin B. Boca Raton, FL Date Effective : 03/31/1994 NFA ID - 0199283	\$42,658.35 3.670% from 04/01/1991	93-R175
674. Pelham Trading Co., Inc. New York, NY Date Effective : 08/24/1989 NFA ID - 0184383	\$21,084.43 8.850% from 06/23/1986 \$200.00 Filing Fee Joint and Several	89-R137
675. Performance Investment Company, Inc. San Diego, CA NFA ID - 0072903	\$957.00 11.000% from 02/04/1982	83-R474

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Performance Investment Company, Inc. (Continued)	\$5,400.00 11.000% from 07/13/1981 \$25.00 Filing Fee Joint and Several	83-R206
	\$3,694.00 11.000% from 08/24/1981 \$25.00 Filing Fee Joint and Several	83-R191
676. Perry, Harlan A. San Juan Capistrano, CA Date Effective : 07/24/1995 NFA ID - 0134940	\$2,911.36 5.690% from 11/13/1990 \$100.00 Filing Fee Joint and Several	92-R103
677. Peterson, Erik E. San Diego, CA NFA ID - 0048903	\$9,363.14 7.570% from 04/01/1983 \$25.00 Filing Fee Joint and Several	85-R103
	\$4,417.22 5.740% from 04/29/1988 \$25.00 Filing Fee Joint and Several	85-R104
678. Peterson, James Encinitas, CA	\$1,375.79 8.080% from 04/01/1983 \$25.00 Filing Fee Joint and Several	83-R894
679. Peterson, James R. Miami, FL NFA ID - 0049873	\$20,500.00 11.000% from 05/26/1983 \$25.00 Filing Fee Joint and Several	83-R653
680. Plourde, William D. Hinsdale, IL NFA ID - 0096847	\$7,787.57 7.540% from 07/29/1985 \$200.00 Filing Fee Joint and Several	87-R225
681. PNM, Inc. Summit, NJ Date Effective : 08/05/1992 NFA ID - 0207498	\$2,075.00 5.680% from 06/01/1989 \$25.00 Filing Fee Joint and Several	90-R118
	\$1,826.00 5.680% from 12/23/1988	

<u>RESPONDENT</u>	<u>JUDGEMENT</u>	<u>DOCKET NUMBER</u>
682. * PORRY, WILLIAM JOSEPH Miami, FL Date Effective : 08/10/2005 NFA ID - 0288221	\$4,968.86 3.350% from 05/01/2003 \$125.00 Filing Fee Joint and Several	04-R083
683. Powell Mineral Corporation Barbourville, KY	\$4,824.60 7.600% from 10/31/1981 \$25.00 Filing Fee Joint and Several	82-R838
684. Powell, John Hollywood, CA	\$5,645.00 11.000% from 03/30/1982 Joint and Several	83-R130
685. Powell, John Los Angeles, CA	\$3,940.00 11.000% from 12/18/1981 \$25.00 Filing Fee Joint and Several	82-R898
686. Precious Metals Associates, Inc. Boston, MA	\$13,791.99 20.000% from 01/03/1979 \$25.00 Filing Fee Joint and Several	82-R177
687. Precision Futures & Options, Inc. North Miami, FL Date Effective : 12/03/1992 NFA ID - 0216354	\$2,420.00 3.130% from 09/28/1990 \$25.00 Filing Fee	92-R055
688. Pressley, Anthony C. Chicago, IL Date Effective : 07/30/1990 NFA ID - 0098117	\$2,977.00 8.700% from 03/28/1988 \$100.00 Filing Fee Joint and Several	90-R142
Date Effective : 07/23/1990	\$9,900.00 8.700% from 01/14/1987 \$100.00 Filing Fee Joint and Several	89-R101
Date Effective : 09/06/1990	\$4,129.00 8.090% from 05/26/1988 \$100.00 Filing Fee	89-R340

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
689.	Prestige Capital Investments Corp. aka Prestige West Capital Investments/Joseph Adams Hayward, CA Date Effective : 06/13/1989 NFA ID - 0195765	\$3,370.00 Joint and Several	89-R15
	aka Prestige West Capital Investments/Joseph Adams Date Effective : 09/07/1989	\$88,996.10 8.160% from 07/29/1988 Joint and Several	89-R37
	Prestige West Capital Investments aka Joseph Adams/Prestige Capital Investments Corp Hayward, CA Date Effective : 11/13/1989 NFA ID - 0195765	\$2,000.00	88-R271
690.	Prewett, Ivan Dallas, TX	\$9,600.00 6.560% from 07/14/1980 \$25.00 Filing Fee Joint and Several	R81-720-82-188
691.	Professional Commodity Services, Inc. Boca Raton, FL NFA ID - 0000761	\$4,417.22 5.740% from 04/29/1988 \$25.00 Filing Fee Joint and Several	85-R104
692.	Professional Market Brokerage, Inc. Chicago, IL Date Effective : 01/22/2003 NFA ID - 0268415	\$23,000.00 1.510% from 12/12/1998 \$125.00 Filing Fee	02-R077
693.	Pugliese, Michael L. Brooklyn, NY NFA ID - 0013063	\$26,758.60 6.640% from 10/18/1984 \$200.00 Filing Fee Joint and Several	86-R028
		\$41,877.90 6.710% from 03/27/1984 \$200.00 Filing Fee	86-R281

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Pugliese, Michael L. (Continued)	\$1,425.00 7.140% from 01/29/1986	86-R170
	\$5,000.00 7.140% from 01/29/1986 \$200.00 Filing Fee Joint and Several	
Pugliese, Michael L. Rockaway, NJ Brooklyn, NY NFA ID - 0013063	\$2,600.00 6.300% from 06/01/1988 \$100.00 Filing Fee	85-R242
694. Puncerelli, Kenneth J. N. Miami, FL NFA ID - 0076372	\$4,350.00 7.870% from 07/23/1982 \$25.00 Filing Fee Joint and Several	84-R340
695. Quinn and Company, Inc. Salem, MA NFA ID - 0002264	\$8,344.78 11.000% from 09/30/1984 \$25.00 Filing Fee	84-R272
696. Quinn, Terence Marblehead, MA NFA ID - 0070534	\$8,344.78 11.000% from 09/30/1984 \$25.00 Filing Fee	84-R272
	\$30,000.00 16.000% from 01/12/1981 \$25.00 Filing Fee Joint and Several	R81-1142-82-247
	\$2,469.48 8.180% from 05/18/1983 \$25.00 Filing Fee	84-R243
Quinn, Terence Marblehead, MA	\$10,000.00 11.000% from 01/17/1984	84-R374
	\$10,000.00 7.570% from 01/23/1984 \$100.00 Filing Fee	84-R468
697. Ramachandran, Chinniah Rego Park, NY NFA ID - 0003608	\$10,000.00 11.000% from 12/14/1981	82-R268

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
698.	Rappold, Ray Englewood Cliffs, NJ San Francisco. CA	\$4,800.00 11.000% from 03/01/1981 \$25.00 Filing Fee Joint and Several	82-R612
699.	Raymond, Allen William Ft. Lauderdale, FL Date Effective : 09/01/2000 NFA ID - 0251270	\$3,000.00 6.375% from 02/24/1999 \$50.00 Filing Fee	00-R060
700.	Regal Commodities, Inc. Ft. Lauderdale, FL NFA ID - 0003056	\$5,000.00 7.710% from 10/28/1983 \$25.00 Filing Fee	85-R416
701.	Reininger, William J. Inverrary, FL NFA ID - 0082420	\$7,000.00 11.000% from 10/05/1982 Joint and Several	83-R591
702.	Republic Advisory Corporation Englewood Cliffs, NJ	\$4,002.00 16.000% from 10/28/1980 \$25.00 Filing Fee Joint and Several	R81-779-82-132
		\$4,880.20 11.000% from 03/01/1981 \$25.00 Filing Fee Joint and Several	82-R612
703.	Republic Resources of America, Inc. New York, NY	\$42,000.00 12.000% from 10/25/1978 Joint and Several	R79-538-80-111
704.	Resnick, Benjamin Ft. Lauderdale, FL NFA ID - 0132153	\$8,716.45 11.000% from 08/18/1982 Joint and Several	83-R207
705.	Revord, Mark E. Dallas, TX NFA ID - 0063213	\$1,974.40 8.450% from 05/27/1983 \$25.00 Filing Fee Joint and Several	83-R783
706.	Rhoades, Donald E. Miami Shore, FL NFA ID - 0063557	\$8,150.00 7.600% from 01/22/1985 \$25.00 Filing Fee Joint and Several	83-R208

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Rhoades, Donald E. (Continued) N. Miami Beach, FL NFA ID - 0063557	\$8,549.00 11.000% from 06/14/1981 \$25.00 Filing Fee Joint and Several	83-R078
	\$8,999.80 9.150% from 02/22/1982 \$25.00 Filing Fee	84-R013
707. Rice, Allison B. a/k/a Bart Rice Spring Valley, CA Date Effective : 04/13/1992 NFA ID - 0223631	\$29,655.28 4.020% from 10/16/1989 \$25.00 Filing Fee	91-R257
708. Richards, George, Jr. Richmond, VA Chicago. IL Date Effective : 01/08/1990 NFA ID - 0030310	\$20,000.00 7.900% from 03/20/1984 \$25.00 Filing Fee	89-R323
709. Richardson, Ernest, Jr. New York, NY NFA ID - 0173671	\$3,368.25 11.000% from 05/01/1984 Joint and Several	82-R845
710. Rick, Anthony Lee Boca Raton, FL Date Effective : 11/13/1995 NFA ID - 0235626	\$5,433.00 5.070% from 11/02/1992	95-R103
711. Rietz, Charles R. Camino, CA NFA ID - 0039149	\$4,370.21 11.000% from 05/31/1982 \$25.00 Filing Fee	83-R466
	\$1,966.93 9.500% from 08/31/1982 Joint and Several	83-R719
712. Risman, Inc. Chicago, IL Date Effective : 01/08/1990 NFA ID - 0090224	\$20,000.00 7.900% from 03/20/1989 \$25.00 Filing Fee	89-R323

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
713.	Rittmeister Capital d/b/a Udo Rotmistrenko New York, NY Date Effective : 10/25/2004 NFA ID - 0300565	\$67,000.00 \$125.00 Filing Fee Joint and Several Call Proceedings for Details	04-R034
	* d/b/a Udo Rotmistrenko Date Effective : 01/10/2005	\$130,127.10 2.270% from 08/01/2003 \$250.00 Filing Fee	04-R026
714.	Roach, Thomas McDonald Colusa, CA Date Effective : 10/09/1998 NFA ID - 0239382	\$4,731.14 \$50.00 Filing Fee Joint and Several	98-R133
715.	Road, Anthony W. Miami, FL Date Effective : 02/09/1989 NFA ID - 0197295	\$1,259.60 8.550% from 11/04/1986 \$100.00 Filing Fee Joint and Several	88-R51
716.	Robertson, David I. Chicago, IL Date Effective : 12/31/1989 NFA ID - 0207644	\$2,223.20 7.900% from 12/09/1988 \$25.00 Filing Fee	89-R344
	Date Effective : 06/30/1990	\$2,570.00 7.970% from 12/06/1988 \$25.00 Filing Fee Joint and Several	90-R53
717.	Robinson, Jack E. Boston, MA NFA ID - 0058865	\$1,860.50 11.000% from 12/30/1983 Joint and Several	82-R304
		\$2,100.00 3/19/1981 Varying Int.	R81-1009-81-815
		\$16,918.00 7.700% from 05/21/1982 \$25.00 Filing Fee Joint and Several	82-R614

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Robinson, Jack E. (Continued)	\$9,436.00 7.700% from 12/17/1981 \$25.00 Filing Fee Joint and Several	82-R238
718. Robinson, Marcus S. Honolulu, HI San Diego. CA Date Effective : 12/17/1989 NFA ID - 0051893	\$2,397.44 8.270% from 06/22/1987 \$100.00 Filing Fee	88-R441
719. Rodriguez, Joseph M. San Diego, CA NFA ID - 0182504	\$48,500.00 7.590% from 01/28/1986 \$200.00 Filing Fee Joint and Several	88-R111
Date Effective : 07/24/1989	\$10,163.94 9.150% from 10/10/1985 \$200.00 Filing Fee	88-R139
720. Rodriguez, Noelani Rita San Diego, CA Date Effective : 07/24/1989 NFA ID - 0099412	\$10,163.94 9.150% from 10/10/1985 \$200.00 Filing Fee	88-R139
721. Rogers, Frank Paul South Palm Beach, FL Date Effective : 03/09/1992 NFA ID - 0090534	\$3,482.50	91-R262
722. Romer, William R. Ft. Lauderdale, FL NFA ID - 0153808	\$3,875.76 9.080% from 07/22/1983 \$25.00 Filing Fee	84-R015
723. Romero, Fred Hialeah, FL NFA ID - 0123180	\$3,640.00 11.000% from 12/08/1981 Joint and Several	82-R735
724. Rondoni, Peter Francis Chicago, IL Date Effective : 09/12/2002 NFA ID - 0266052	\$38,834.00 2.100% from 02/02/1999 Joint and Several	01-R077
725. Roodman, Harvey A. Newport Beach, CA NFA ID - 0047171	\$7,017.50 7.910% from 09/26/1985 \$25.00 Filing Fee	85-R150

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Roodman, Harvey A. (Continued)	\$10,000.00 8.180% from 01/17/1983 \$25.00 Filing Fee	85-R151
726. Rose, Jack Ft. Lauderdale, FL	\$126,253.00 11.000% from 11/27/1984 Joint and Several	83-R47
	\$15,617.72 6.560% from 09/30/1982 \$25.00 Filing Fee Joint and Several	82-R1032
727. Rose, Jonathan R. Boca Raton, FL NFA ID - 0146276	\$10,839.56 7.850% from 02/07/1983 \$25.00 Filing Fee Joint and Several	83-R679
728. Rosen, Robert J. Sunrise, FL Date Effective : 09/21/1989 NFA ID - 0200677	\$18,942.66 8.750% \$200.00 Filing Fee Joint and Several	88-R292
	Date Effective : 09/05/1990 \$13,454.01 8.090% from 02/13/1989 \$200.00 Filing Fee Joint and Several	90-R51
729. Rosenberg, Samuel Ft. Lauderdale, FL NFA ID - 0020517	\$1,930.00 6.180% from 06/18/1987 \$25.00 Filing Fee	83-R756
730. Rosenthal, Martin B. Ft. Lauderdale, FL NFA ID - 0058527	\$13,026.14 8.320% from 01/26/1987	87-R351
731. Ross, David A. N. Miami, FL NFA ID - 0039636	\$29,389.21 6.350% from 07/29/1986 \$25.00 Filing Fee Joint and Several	84-R050
732. Rothenberg, Harold Brooklyn, NY NFA ID - 0055820	\$23,800.00 12.000% from 11/01/1979	R80-497-80-335

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
733. Rotmistrenko, Udo Kew Gardens Hills, NY Date Effective : 10/25/2004 NFA ID - 0263927	\$67,000.00 \$125.00 Filing Fee Joint and Several Call Proceedings For Details	04-R034
* Date Effective : 01/10/2005	\$130,127.10 2.270% from 08/01/2003 \$250.00 Filing Fee	04-R026
734. Rouse Woodstock, Inc. a/k/a Oppenheimer Rouse Futures, Inc. New York, NY NFA ID - 0001756	\$20,000.00 8.180% from 07/12/1983 \$200.00 Filing Fee Joint and Several	84-R470
735. Roy, Dennis Los Angeles, CA Jersey City. NJ	\$24,095.00 12.000% from 02/24/1984	83-R7
	\$2,734.91 11.000% from 05/01/1983 Joint and Several	83-R5
736. Rubenstein, Bruce D. Marlboro, NJ NFA ID - 0153851	\$3,390.00 6.560% from 07/29/1981 \$25.00 Filing Fee	82-R1025
737. Russell, Raymond D. Pembroke Pines, FL	\$3,611.73 11.000% from 07/01/1984 \$25.00 Filing Fee Joint and Several	83-R55
738. Russo, Sal Hallandale, FL	\$6,000.00 20.000% from 10/20/1980 \$25.00 Filing Fee Joint and Several	R81-751-81-800
739. Ryan Bradley & Co., Inc. Ft. Lauderdale, FL Date Effective : 10/30/1990 NFA ID - 0206272	\$7,270.96 7.880% from 02/21/1990 Joint and Several \$100 in costs.	90-R198
740. S. S. Associates Ann Arbor, MI NFA ID - 0105626	\$9,000.00 11.000% from 08/31/1981 \$25.00 Filing Fee	82-R353

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
741.	Sabatini, Mark Newport Beach, CA	\$805.60 7.910% from 11/02/1982 \$25.00 Filing Fee	83-R483
742.	Sadeh, Robert A. Ft. Lauderdale, FL Date Effective : 07/17/1989 NFA ID - 0203859	\$2,184.00 9.150% from 01/29/1988 \$100.00 Filing Fee Joint and Several	88-R212
743.	Saffer, Michael A. a/k/a Safer, Mike New York, NY NFA ID - 0016877	\$6,619.18 7.870% from 05/05/1983 \$25.00 Filing Fee Joint and Several	84-R014
744.	Sage Group, Inc., The Irvine, CA Date Effective : 12/23/1990 NFA ID - 0088644	\$13,909.90 \$200.00 Filing Fee Joint and Several	88-R343
745.	Sanborn, Lee W. Woburn, MA NFA ID - 0069590	\$19,000.00 11.000% from 03/15/1981 Joint and Several	R81-768-81-794
746.	Sanders, John Los Angeles, CA	\$2,430.00 9.170% from 05/15/1985 \$25.00 Filing Fee Joint and Several	83-R113
747.	Sands, Deborah N. Miami Beach, FL	\$8,578.60 11.000% from 05/01/1982 \$25.00 Filing Fee	83-R259
748.	Sanet, Jay New York, NY	\$4,925.00 11.000% from 12/04/1981 \$25.00 Filing Fee Joint and Several	82-R287
749.	Sartain, Glen A. Goleta, CA San Diego. CA NFA ID - 0095322	\$5,894.25 5.720% from 11/30/1984 \$25.00 Filing Fee Joint and Several	85-R339
750.	Sasson, Frank Elie N. Miami, FL	\$2,497.50 11.000% from 09/16/1981 \$25.00 Filing Fee	82-R377

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
751.	Satz, Barry El Portal, FL Date Effective : 02/09/1990 NFA ID - 0082743	\$5,575.00 9.200% from 09/04/1986 \$200.00 Filing Fee Joint and Several	88-R088
	Date Effective : 02/20/1991	\$9,110.78 7.020% from 09/08/1987 \$200.00 Filing Fee Joint and Several	88-R290
752.	Sawyer, Steven J. Ft. Lauderdale, FL NFA ID - 0034326	\$170,000.00 8.180% from 02/04/1982	84-R244
		\$8,000.00 9.150% from 11/12/1980 \$25.00 Filing Fee Joint and Several	83-R348
		\$344,500.00 7.570% from 08/01/1980 \$25.00 Filing Fee	84-R246
753.	Schaefer, Richard J. Homewood, IL Date Effective : 02/02/1990 NFA ID - 0083825	\$4,732.00 7.690% from 09/01/1987 Joint and Several	88-R437
754.	Schecter, Hugh S. North Miami Beach, FL Date Effective : 02/21/1990 NFA ID - 0007201	\$20,221.26 7.660% from 06/24/1988 Joint and Several	89-R132
755.	Schmid, Bradley Thomas San Diego, CA Lauderdale By The Sea, FL Date Effective : 04/27/2000 NFA ID - 0259971	\$3,250.00 6.287% from 01/12/1998 \$50.00 Filing Fee	98-R162
756.	Schwartz, Marty New York, NY	\$10,000.00 12.000% from 06/04/1980 \$25.00 Filing Fee Joint and Several	R81-184-81-264

RESPONDENT	JUDGEMENT	DOCKET NUMBER
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757. Sciortino, Gerald J. Ft. Lauderdale, FL NFA ID - 0087517	\$1,513.50 9.500% from 09/19/1983 \$25.00 Filing Fee Joint and Several	84-R40
758. Scribner, Paula Ellen La Mesa, CA Date Effective : 06/29/1992 NFA ID - 0181107	\$130,120.00 8.190% from 03/19/1986 \$200.00 Filing Fee Joint and Several	88-R321
759. Seide, Mary Jane Hollywood, FL NFA ID - 0074358	\$5,149.32 11.000% from 12/01/1981 \$25.00 Filing Fee	82-R805
760. Seigel, Gene Stewart a/k/a Gene Stewart New York, NY NFA ID - 0028192	\$12,000.00 7.600% from 07/16/1980 \$25.00 Filing Fee	R81-1161-82-43
761. Serfling, Scott R. Chicago, IL Date Effective : 11/17/1989 NFA ID - 0081413	\$22,157.20 7.590% from 02/11/1985	87-R148
762. Sexauer Commodities & Agri-Marketing, Inc. Sioux Falls, SD Date Effective : 08/21/1992 NFA ID - 0178570	\$148,228.50 7.510% from 08/12/1985 \$200.00 Filing Fee Joint and Several	87-R330
763. Sexauer, Thomas J. Sioux Falls, SD Date Effective : 08/21/1992 NFA ID - 0085758	\$148,228.50 7.510% from 08/12/1985 \$200.00 Filing Fee Joint and Several	87-R330
764. Shand, Calbert C. Plantation, FL Date Effective : 02/10/1992 NFA ID - 0204169	\$4,594.00 4.980% from 04/18/1989 \$25.00 Filing Fee	91-R249
765. Shane, Larry Ft. Lauderdale, FL	\$6,360.00 6.350% from 05/13/1980 \$25.00 Filing Fee Joint and Several	R81-10-81-197

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
766. Shane, Larry Hallandale, FL	\$16,500.00 11.000% from 06/10/1980 \$25.00 Filing Fee	R81-1292-82-136
767. Shapiro, Deborah Ann N. Miami Beach, FL NFA ID - 0025383	\$8,578.60 11.000% from 05/01/1982 \$25.00 Filing Fee	83-R259
768. Sharp, Thomas R. Ft. Lauderdale, FL Date Effective : 06/12/1989 NFA ID - 0184739	\$18,942.66 8.750% \$200.00 Filing Fee Joint and Several	88-R292
Date Effective : 09/17/1989	\$4,855.51 8.850% from 06/02/1988 \$25.00 Filing Fee	89-R146
Date Effective : 11/30/1989	\$7,289.00 8.270% from 09/23/1986 \$25.00 Filing Fee Joint and Several	89-R333
Date Effective : 07/11/1990	\$18,237.00 8.700% from 04/01/1987 \$200.00 Filing Fee Joint and Several	89-R202
Date Effective : 09/05/1990	\$13,454.01 8.090% from 02/13/1989 \$200.00 Filing Fee Joint and Several	90-R51
Sharp, Thomas R. Landlakes, FL Ft. Lauderdale. FL NFA ID - 0184739	\$8,645.18 8.320% from 08/25/1986	88-R355
Sharp, Thomas R. Sunrise, FL Dania. FL Ft. Lauderdale. FL Date Effective : 12/08/1989 NFA ID - 0184739	\$5,911.50 8.190% from 04/27/1987 \$25.00 Filing Fee	87-R365

<u>RESPONDENT</u>	<u>JUDGEMENT</u>	<u>DOCKET NUMBER</u>
769. Shatner, Donald G. Chicago, IL NFA ID - 0013597	\$1,500.00 9.080% from 02/16/1984 \$100.00 Filing Fee	84-R435
	\$4,675.90 7.870% from 02/24/1984 \$25.00 Filing Fee Joint and Several	84-R331
	\$14,087.85 6.180% from 06/21/1983 \$200.00 Filing Fee Joint and Several	84-R426
	\$20,000.00 6.180% from 08/26/1983 \$200.00 Filing Fee Joint and Several	84-R443
770. Shaw, Kenneth P. N. Miami, FL NFA ID - 0008390	\$3,555.55 11.000% from 04/30/1982 \$25.00 Filing Fee Joint and Several	83-R398
	\$34,466.00 7.850% from 09/15/1982 Joint and Several	84-R054
771. Shaw, Richard M. Boston, MA NFA ID - 0059229	\$8,894.32 7.850% from 10/05/1981 \$25.00 Filing Fee Joint and Several	82-R556
Shaw, Richard M. Norwell, MA NFA ID - 0059229	\$4,191.25 12.000% from 11/01/1981 \$25.00 Filing Fee Joint and Several	82-R484
	\$2,257.70 12.000% from 11/01/1981 \$25.00 Filing Fee Joint and Several	82-R477
	\$2,876.07 11.000% from 01/15/1982 \$25.00 Filing Fee	82-R583

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Shaw, Richard M. (Continued)	\$99,967.49 7.850% from 09/16/1981 \$25.00 Filing Fee	82-R352
	\$6,347.58 10.080% from 11/02/1981 \$25.00 Filing Fee Joint and Several	82-R264
772. Shehadeh, Imad Chicago, IL Date Effective : 12/03/1991 NFA ID - 0215520	\$9,186.00 5.570% from 06/30/1989 \$25.00 Filing Fee	91-R202
773. Shelton, Hope Stacey Aventura, FL Date Effective : 06/24/1993 NFA ID - 0048685	\$6,000.00 3.280% from 11/02/1988 \$100.00 Filing Fee	91-R076
774. Shun Pun Limited New York, NY Date Effective : 07/18/1994 NFA ID - 0089769	\$70,800.00 5.020% from 01/01/1990 \$25.00 Filing Fee	94-R059
	Date Effective : 10/03/1994 \$60,000.00 5.310% from 09/01/1993 \$100.00 Filing Fee	94-R098
775. * SIEGEL TRADING CO., INC., THE Chicago, IL Date Effective : 05/05/2005 NFA ID - 0001085	\$9,048.40 3.050% from 09/12/2001 \$125.00 Filing Fee	03-R064
776. Siegel, David H. Ft. Lauderdale, FL	\$19,475.00 11.000% from 05/27/1980	83-R198
	\$16,500.00 11.000% from 06/10/1980 \$25.00 Filing Fee	R81-1292-82-136
	\$7,500.00 6.560% from 07/07/1980 \$25.00 Filing Fee Joint and Several	82-R233

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
777. Siegel, David H. Hallandale, FL	\$15,815.00 12.000% from 10/09/1980 Joint and Several	R81-906-81-693
	\$8,650.00 11.000% from 07/11/1980 \$25.00 Filing Fee Joint and Several	R81-380-81-455
	\$9,000.00 12.000% from 05/23/1980 \$25.00 Filing Fee	R81-802-82-737
778. Siegel, David H., Inc. Ft. Lauderdale, FL	\$19,475.00 11.000% from 05/27/1980 \$25.00 Filing Fee	83-R198
779. Siegel, David H., Inc. Hallandale, FL	\$6,350.00 11.000% from 03/11/1981 \$25.00 Filing Fee	83-R383
	\$16,500.00 11.000% from 06/10/1980 \$25.00 Filing Fee	R81-1292-82-136
	\$15,815.00 12.000% from 10/09/1980 Joint and Several	R81-906-81-693
	\$7,500.00 6.560% from 07/07/1980 \$25.00 Filing Fee Joint and Several	82-R233
	\$6,360.00 6.350% from 05/13/1980 \$25.00 Filing Fee Joint and Several	R81-10-81-197
780. Siekerman, Lee Beverly Hills, CA NFA ID - 0013461	\$3,000.00 11.000% from 03/01/1983 \$25.00 Filing Fee	83-R572
Siekerman, Lee Chicago, IL NFA ID - 0013461	\$10,000.00 9.170% from 11/09/1983 \$25.00 Filing Fee	84-R234

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
781.	Silverman, Gene D. Glenside, PA Date Effective : 05/29/1990 NFA ID - 0203417	\$6,165.00 8.360% from 12/21/1988 \$25.00 Filing Fee Joint and Several	90-R99
782.	Simon, Bob New York, NY	\$640.00 20.000% from 12/04/1980 \$25.00 Filing Fee Joint and Several	R81-392-81-490
783.	Sinclair Global Brokerage Corp. New York, NY NFA ID - 0001007	\$1,459.00 7.910% from 11/18/1981 \$25.00 Filing Fee Joint and Several	84-R166
784.	Sinclair, James, Trading Corp. New York, NY NFA ID - 0088601	\$9,311.75 7.030% from 01/04/1980 \$25.00 Filing Fee	R81-600-81-686
785.	Singer, Marvin Emanuel San Francisco, CA NFA ID - 0095729	\$995.56 7.190% from 06/15/1986 \$25.00 Filing Fee Joint and Several	86-R155
786.	Siu, Frank C.W. Houston, TX	\$8,576.00 11.000% from 09/24/1982 \$25.00 Filing Fee	83-R379
787.	SLACK, JON RICHARD FORT LAUDERDALE, FL Date Effective : 01/16/2001 NFA ID - 0280529	\$23,283.45 6.241% from 03/11/1999 \$125.00 Filing Fee	00-R079
788.	Slavicek, William A. Glen Ellyn, IL NFA ID - 0076061	\$8,542.00 7.030% from 01/04/1983 Joint and Several	83-R300
	Slavicek, William A. Wheaton, IL NFA ID - 0076061	\$5,000.00 11.000% from 11/30/1982 Joint and Several	83-R294
		\$5,000.00 11.000% from 11/30/1982 Joint and Several	83-R293

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
789.	Small, Mike Aaron Deerfield Beach, FL Date Effective : 01/25/2002 NFA ID - 0257896	\$28,241.79 1.990% from 04/12/2000 \$125.00 Filing Fee	01-R067
790.	Smith, David R. Davie, FL Date Effective : 02/09/1989 NFA ID - 0180834	\$4,274.98 8.550% from 04/06/1986 \$25.00 Filing Fee	87-R245
791.	Smith, Harold K. Detroit, MI	\$3,124.66 11.000% from 08/31/1982 \$25.00 Filing Fee	83-R588
792.	Smith, Jackie Ft. Lauderdale, FL	\$1,080.00 11.000% from 04/07/1982 Joint and Several	83-R583
793.	Smith, Lynn San Diego, CA NFA ID - 0074771	\$5,551.91 7.870% from 02/02/1983 \$25.00 Filing Fee	83-R470
794.	Smith, Mark Jeffrey Newport Beach, CA NFA ID - 0023585	\$14,600.00	92-R068
795.	Smith, Philip New York, NY	\$6,777.00 11.000% from 01/05/1983	R80-4-80-415
		\$4,750.00 11.000% from 04/05/1979	R80-784-81-430
		\$4,750.00 11.000% from 04/05/1979	R80-784-82-447
		\$4,750.00 11.000% from 04/05/1979	R80-784-81-603
796.	Smith, Phillip M. New York, NY	\$38,945.00 7.060% from 05/01/1979 \$25.00 Filing Fee Joint and Several	R79-493-80-47

<u>RESPONDENT</u>	<u>JUDGEMENT</u>	<u>DOCKET NUMBER</u>
797. Soltes, John Z. Ontario, Canada, "" Ann Arbor. MI NFA ID - 0080821	\$9,000.00 11.000% from 08/31/1981 \$25.00 Filing Fee Joint and Several	82-R353
798. Sorkin, Harold New York, NY	\$2,500.00 11.000% from 03/17/1982 Joint and Several	83-R608
	\$37,500.00 11.000% from 10/05/1981	83-R265
	\$5,350.00 11.000% from 12/31/1982 \$25.00 Filing Fee	83-R465
	\$2,500.00 11.000% from 02/04/1981	83-R494
	\$2,675.00 11.000% from 06/06/1982	83-R341
	\$10,350.00 11.000% from 01/07/1983 Joint and Several	83-R318
	\$21,859.00 11.000% from 08/31/1982	83-R331
	\$2,700.00 16.000% from 09/01/1981 \$25.00 Filing Fee Joint and Several	83-R333
	\$2,675.00 11.000% from 09/25/1981	83-R362
	\$17,850.00 11.000% from 04/09/1982 Joint and Several	83-R295
	\$8,800.00 Joint and Several	83-R243

	RESPONDENT	JUDGEMENT	DOCKET NUMBER
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799.	Sparks, Steven D. Queens Village, NY NFA ID - 0029167	\$129,750.25 7.220% from 12/17/1982 \$200.00 Filing Fee Joint and Several	85-R012
800.	Spear Street Trading, Inc. San Francisco, CA NFA ID - 0182400	\$1,220.56 5.790% from 02/21/1986 \$25.00 Filing Fee Joint and Several	86-R155
801.	Spectra, Ltd. New York, NY	\$8,800.00 Joint and Several	83-R243
802.	Spiegel, Roy D. San Diego, CA Date Effective : 01/13/1992 NFA ID - 0021358	\$181,600.00 8.750% from 06/13/1985 Joint and Several	87-R435
803.	Springer, Joseph R. San Mateo, CA Date Effective : 10/30/1990 NFA ID - 0181209	\$34,194.00 7.950% from 05/06/1987 \$200.00 Filing Fee	89-R245
804.	Springer, Michael D. Dunwoody, GA NFA ID - 0065818	\$5,000.00 11.000% from 03/19/1982 \$25.00 Filing Fee Joint and Several	82-R730
805.	Squadrito, Salvatore R. Ft. Lauderdale, FL Date Effective : 03/15/1989 NFA ID - 0199437	\$7,500.00 8.320% from 06/27/1987 \$25.00 Filing Fee Joint and Several	87-R390
806.	Stamm, Leslie Tilden Margate, FL Date Effective : 04/29/1993 NFA ID - 0084568	\$5,185.00 4.040% from 04/26/1988 \$25.00 Filing Fee Joint and Several	90-R188
	Date Effective : 12/27/1993	\$1,750.00	92-R055
807.	Stanford Management Corporation Ft. Lauderdale, FL NFA ID - 0107010	\$19,000.00 11.000% from 06/17/1981 Joint and Several	83-R124

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Stanford Management Corporation (Continued)	\$19,475.00 11.000% from 05/27/1980	83-R198
	\$7,200.00 12.000% from 12/01/1980 \$25.00 Filing Fee	82-R162
	\$5,243.80 20.000% from 04/28/1982 16.000% from 01/06/1983 11.000% from 01/07/1983	82-R893
	\$4,925.24 12.000% from 04/15/1981 \$25.00 Filing Fee Joint and Several	82-R470
	\$8,650.00 11.000% from 07/11/1980 \$25.00 Filing Fee Joint and Several	R81-380-81-455
	\$2,823.02 16.000% from 01/01/1981 Joint and Several	R81-705-81-677
	\$6,000.00 20.000% from 10/20/1980 \$25.00 Filing Fee Joint and Several	R81-751-81-800
	\$1,100.00 11.000% from 12/31/1980 \$25.00 Filing Fee	R81-474-81-594
	\$14,200.00 11.000% from 10/10/1980 \$25.00 Filing Fee	R81-1382-82-511
	\$8,525.00 20.000% from 01/01/1981 Joint and Several	R81-576-81-589
	\$7,500.00 11.000% from 12/04/1980 \$25.00 Filing Fee	R81-889-82-83

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Stanford Management Corporation (Continued)	\$911.35 16.000% from 04/05/1981 \$25.00 Filing Fee	R81-1130-82-62
	\$12,000.00 11.000% from 08/01/1980 Joint and Several	R80-449-81-585
	\$6,454.63 11.000% from 01/04/1981 \$25.00 Filing Fee Joint and Several	R81-1125-82-57
	\$12,569.00 12.000% from 08/01/1980 \$25.00 Filing Fee	R81-1185-82-37
	\$10,200.00 11.000% from 10/31/1980 Joint and Several	R81-1247-82-165
	\$9,839.08 11.000% from 09/05/1980 \$25.00 Filing Fee Joint and Several	R81-1023-82-471
	\$10,000.00 16.000% from 10/15/1980 \$25.00 Filing Fee Joint and Several	R82-16-82-357
	\$6,000.00 20.000% from 09/19/1980 \$25.00 Filing Fee	R81-147-81-670
	\$9,335.91 8.570% from 08/25/1981 \$25.00 Filing Fee	82-R649
	\$5,149.32 11.000% from 12/01/1981 \$25.00 Filing Fee	82-R805
	\$21,552.71 16.000% from 09/15/1980 \$25.00 Filing Fee	82-R620

RESPONDENT	JUDGEMENT	DOCKET NUMBER
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Stanford Management Corporation (Continued)	\$170,000.00 8.180% from 02/04/1982	84-R244
	\$6,000.00 9.500% from 05/08/1981 \$25.00 Filing Fee	84-R452
	\$7,456.42 7.060% from 11/13/1980 Joint and Several	R81-1379-82-210
	\$18,402.34 7.060% from 09/09/1980 Joint and Several	R81-729-81-757
	\$7,465.45 7.030% from 05/22/1981 \$25.00 Filing Fee Joint and Several	R81-884-81-826
Stanford Management Corporation Ft. Lauderdale, FL Hallandale, FL NFA ID - 0107010	\$7,500.00 6.560% from 07/07/1980 \$25.00 Filing Fee Joint and Several	82-R233
Stanford Management Corporation Hallandale, FL NFA ID - 0107010	\$8,276.00 12.000% from 10/23/1980 \$25.00 Filing Fee Joint and Several	82-R209
a/k/a Stanford Management Company	\$344,500.00 7.570% from 08/01/1980 \$25.00 Filing Fee	84-R246
	\$14,687.61 7.000% from 12/05/1980 \$25.00 Filing Fee Joint and Several	82-R467
808. Stanford Strategic Industrial Metals, Inc. Hallandale, FL	\$19,000.00 11.000% from 06/17/1981 Joint and Several	83-R124
809. Stanford, Peter Gaston Playa Del Rey, CA NFA ID - 0063263	\$4,559.75 10.000% from 01/04/1983	84-R372

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
810.	Staryk, Michael Frederick Winter Haven, FL Date Effective : 04/07/2000 NFA ID - 0227615	\$22,639.10 5.997% from 04/14/1998 - to 11/10/1999 \$12,639.10 5.997% from 11/11/1999 \$125.00 Filing Fee	99-R048
811.	Stein, Murray L. Miami Beach, FL Date Effective : 11/01/1992 NFA ID - 0176153	\$20,000.00 5.420% from 08/01/1989	91-R142
812.	Steinberg, Allan B. Marietta, GA NFA ID - 0083066	\$2,500.00 11.000% from 03/15/1981 \$25.00 Filing Fee	85-R182
813.	Steinert, Steven I. Boston, MA NFA ID - 0124053	\$8,999.80 9.150% from 02/22/1982 \$25.00 Filing Fee	84-R013
814.	Stephens, E. David Commodities Corp. Lake Success, NY Date Effective : 04/13/1992 NFA ID - 0086898	\$42,117.00 4.020% from 08/07/1989 \$100.00 Filing Fee	92-R024
	Stephens, E. David Commodities Corp. Lake Success, NY Garden City, NY Date Effective : 04/16/1992 NFA ID - 0086898	\$21,127.29 8.240% from 06/30/1988 \$200.00 Filing Fee Joint and Several	89-R050
815.	STEPHENS, JAMES EDWARD, III AMERICUS, GA Date Effective : 08/13/2001 NFA ID - 0289825	\$7,689.92 \$50.00 Filing Fee	01-R035
	Date Effective : 03/28/2002	\$6,615.95 \$50.00 Filing Fee	01-R092

RESPONDENT	JUDGEMENT	DOCKET NUMBER
----- STEPHENS, JAMES EDWARD, III (Continued) Date Effective : 05/28/2002	\$9,615.00 2.580% from 08/01/2000 \$50.00 Filing Fee Joint and Several	02-R012
816. Stephens, Neil Associates, Inc. Providence, RI NFA ID - 0103622	\$3,002.90 \$25.00 Filing Fee varying interest	82-R483
	\$7,000.00 20.000% from 01/18/1982 Joint and Several	82-R349
	\$14,000.00 20.000% from 01/21/1982 Joint and Several	82-R329
	\$2,691.59 \$25.00 Filing Fee varying interest	82-R344
	\$14,000.00 20.000% from 01/18/1982 Joint and Several	82-R323
	\$2,538.66 \$25.00 Filing Fee varying interest	82-R379
	\$7,000.00 20.000% from 01/18/1982 Joint and Several	82-R350
	\$2,896.48 \$25.00 Filing Fee varying interest	82-R339
	\$2,905.75 12.000% from 11/30/1981 - to 01/31/1982 20.000% from 02/01/1982 - to 12/31/1982 16.000% from 01/01/1983 - to 06/30/1983 11.000% from 07/01/1983 \$25.00 Filing Fee	82-R378

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
817.	Sterk, Danny Coral Springs, FL Date Effective : 11/25/1993 NFA ID - 0200399	\$7,489.00 3.430% from 12/22/1989 \$100.00 Filing Fee Joint and Several	92-R031
818.	Sterling Investments of America Ashland, OR Date Effective : 09/20/1996 NFA ID - 0257300	\$12,731.00 5.890% from 08/18/1993 \$125.00 Filing Fee Joint and Several	95-R083
	Date Effective : 09/20/1996	\$12,731.00 5.890% from 08/18/1993 \$125.00 Filing Fee Joint and Several	95-R082
	Date Effective : 10/10/1997	\$10,020.00 5.560% from 02/15/1994 \$50.00 Filing Fee Joint and Several	96-R129
819.	Sterling Investments of America, Inc. Ashland, OR Date Effective : 03/26/1997 NFA ID - 0257299	\$25,598.90 5.610% from 03/07/1995 \$125.00 Filing Fee	95-R154
	Sterling Investments of America, Inc. Ashland, OR Date Effective : 09/23/1996 NFA ID - 0252354	\$38,651.00 5.890% from 03/28/1994 \$250.00 Filing Fee Joint and Several	96-R028
	Date Effective : 11/25/1996	\$69,111.00 5.900% from 03/25/1994 Joint and Several	96-R029
820.	Stewart, Sean New York, NY	\$8,800.00 Joint and Several	83-R243
821.	STIREK, MARK ANTHONY LAS VEGAS, NV Date Effective : 11/27/1998 NFA ID - 0084957	\$990.00 4.730% from 10/20/1997 \$125.00 Filing Fee	98-R147

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
822.	Stockton Commodities a/k/a Incline Financial Incline Village, NV Date Effective : 05/21/1992 NFA ID - 0087438	\$1,222.00 6.390% from 08/10/1988 \$25.00 Filing Fee Joint and Several	90-R107
823.	Stone, Matthew New York, NY	\$8,800.00 Joint and Several	83-R243
824.	Strategic Futures d/b/a Marcus Stephen Curvin Nashville, TN Date Effective : 12/01/1994 NFA ID - 0243816	\$3,651.78 5.690% from 06/23/1993 \$125.00 Filing Fee	94-R155
825.	Sullivan, Brian E. Miami, FL NFA ID - 0051371	\$6,350.00 11.000% from 03/11/1981 \$25.00 Filing Fee	83-R383
826.	Sullivan, Kevin Joseph Sacramento, CA Date Effective : 11/16/1992 NFA ID - 0177947	\$6,732.00 6.260% from 06/06/1989 \$200.00 Filing Fee	91-R150
827.	Sullivan, William M. Evanston, RI NFA ID - 0022412	\$7,900.00 7.220% from 12/22/1983 \$200.00 Filing Fee Joint and Several	85-R409
828.	Sutton Ross Associates, Inc. Mamaroneck, NY NFA ID - 0103332	\$2,500.00 16.000% from 11/17/1980 \$25.00 Filing Fee	R81-1114-82-77
829.	Sutton, Ralph A. Batavia, IL Date Effective : 01/11/1991 NFA ID - 0003562	\$14,269.32 7.740% from 02/14/1984 \$200.00 Filing Fee Joint and Several	86-R075
830.	Svagdis, Edward J. Norwell, MA	\$5,500.00 6.640% from 06/17/1980 \$25.00 Filing Fee Joint and Several	82-R375

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
831.	Syfrett, Freddie G. Dallas, GA	\$8,500.00 9.000% from 05/09/1979 \$25.00 Filing Fee	R77-406-79-41
832.	Symex Trading Group d/b/a Simon Todd Allen Lutz, FL Date Effective : 07/06/1992 NFA ID - 0205450	\$5,324.00 4.550% from 05/02/1989	92-R001
833.	Taiyo General USA, Inc. New York, NY Date Effective : 02/23/2000 NFA ID - 0249843	\$176,548.77 5.670% from 07/16/1997 \$250.00 Filing Fee	99-R186
834.	Talamantes, Christopher John Whittier, CA Date Effective : 02/06/2002 NFA ID - 0279711	\$7,000.00 2.350% from 09/15/1998 \$125.00 Filing Fee	01-R073
835.	Tan, Dennis K. Phoenix, AZ Date Effective : 03/09/1989 NFA ID - 0180440	\$1,000.00 9.200% from 08/01/1987 \$25.00 Filing Fee Joint and Several	88-R408
	Date Effective : 05/03/1989	\$5,000.00 9.320% from 12/15/1987 \$25.00 Filing Fee	88-R340
	Date Effective : 06/19/1989	\$4,135.00 9.510% from 03/03/1987 \$25.00 Filing Fee Joint and Several	88-R392
	Date Effective : 02/02/1990	\$4,048.68 7.690% from 02/01/1988 \$200.00 Filing Fee	88-R337
836.	Tara Securities Corp. D/B/A Financial Group Pompano Beach, FL Date Effective : 09/17/1989 NFA ID - 0177594	\$4,855.51 8.850% from 06/02/1988 \$25.00 Filing Fee	89-R146

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Tara Securities Corp. (Continued) Sunrise, FL Date Effective : 06/12/1989	\$18,942.66 8.750% \$200.00 Filing Fee Joint and Several	88-R292
Date Effective : 09/05/1990	\$13,454.01 8.090% from 02/13/1989 \$200.00 Filing Fee Joint and Several	90-R51
837. Tauschek, Richard Patrick Oakland, NJ Date Effective : 04/27/1990 NFA ID - 0190524	\$20,031.00 7.970% from 03/25/1990 \$25.00 Filing Fee	90-R083
838. Taylor, Cynthia M. Medfield, MA NFA ID - 0077474	\$10,325.21 11.000% from 01/12/1981 \$25.00 Filing Fee Joint and Several	82-R638
839. TEC Industries, Inc. Greenwich, CT	\$13,034.69 11.000% from 05/01/1981	R81-1255-82-179
840. Tedesco, William Lee Irvine, CA Date Effective : 12/29/1994 NFA ID - 0226657	\$2,911.36 5.690% from 11/13/1990 \$100.00 Filing Fee Joint and Several	92-R103
841. Thaler, Elliot Edgewater, NJ Date Effective : 11/04/1991 NFA ID - 0077846	\$6,800.00 5.680% from 06/20/1988 \$200.00 Filing Fee Joint and Several	90-R200
842. Thiessen, Russell James Menifee, CA Santa Ana, CA Date Effective : 06/19/1992 NFA ID - 0068571	\$4,380.00 7.690% from 07/23/1987 \$100.00 Filing Fee	88-R327
843. Thomas, Jack New York, NY	\$10,000.00 9.150% from 03/06/1979 \$25.00 Filing Fee Joint and Several	R80-1167-81-110

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
844.	Thomas, Jefferson B. Winthrop, MA NFA ID - 0131702 NFA ID - 0086697	\$24,455.00 6.300% from 09/30/1982 \$200.00 Filing Fee Joint and Several	85-R085
845.	Thomas, Ryan Ft. Lauderdale, FL	\$7,456.42 7.060% from 11/13/1980 Joint and Several	R81-1379-82-210
846.	Thompson, R.B. Associates, Inc. Boston, MA	\$9,500.00 11.000% from 12/01/1980 \$25.00 Filing Fee Joint and Several	R81-1295-82-292
		\$3,445.75 11.000% from 03/01/1982 \$25.00 Filing Fee Joint and Several	82-R814
847.	Thompson, Richard B. a/k/a Thompson, R.B. Boston, MA NFA ID - 0070763	\$30,000.00 16.000% from 01/12/1981 \$25.00 Filing Fee Joint and Several	R81-1142-82-247
	Thompson, Richard B. a/k/a Thompson, R.B. Londonberry, NH NFA ID - 0070763	\$3,445.75 11.000% from 03/01/1982 \$25.00 Filing Fee Joint and Several	82-R814
848.	Tien, Ron K. Boston, MA NFA ID - 0038432	\$9,436.00 7.700% from 12/17/1981 \$25.00 Filing Fee Joint and Several	82-R238
849.	Tinnerman, Gayle San Diego, CA	\$3,694.00 11.000% from 08/24/1981 \$25.00 Filing Fee Joint and Several	83-R191
850.	Titan Trading Group, Inc. Sunrise, FL	\$1,513.50 9.500% from 09/19/1983 \$25.00 Filing Fee Joint and Several	84-R040

RESPONDENT	JUDGEMENT	DOCKET NUMBER
851. Tomaszewski, Steven M. Elmhurst, IL Date Effective : 04/20/1990 NFA ID - 0199509	\$9,724.00 8.190% from 12/22/1987 \$100.00 Filing Fee	88-R363
852. Top Step Trading, Chicago, IL Date Effective : 09/12/2002 NFA ID - 0289742	\$38,834.00 2.100% from 02/02/1999 Joint and Several	01-R077
853. Toscano, Dominick J. N. Miami, FL New York, NY Date Effective : 02/13/1989 NFA ID - 0183087	\$8,000.00 8.550% from 08/28/1986 \$100.00 Filing Fee Joint and Several	88-R165
Toscano, Dominick J. N. Miami, FL Date Effective : 08/31/1989 NFA ID - 0183087	\$6,762.74 8.850% from 09/16/1986 \$25.00 Filing Fee Joint and Several	88-R404
Toscano, Dominick J. New York, NY Date Effective : 05/24/1989 NFA ID - 0183087	\$19,752.00 9.430% from 09/11/1986 Joint and Several	87-R209
854. TRADE TECHNOLOGIES, LLC IRVINE, CA Date Effective : 01/03/2001 NFA ID - 0287346	\$3,759.00 6.241% from 05/29/1999 \$50.00 Filing Fee Joint and Several	00-R110
855. TRADE TECHNOLOGIES, LLC, LLC IRVINE, CA Date Effective : 02/20/2001 NFA ID - 0269265	\$4,144.60 6.025% from 05/12/1998 \$125.00 Filing Fee	00-R124
856. Traders International, Inc. New Fairfield, CT NFA ID - 0088596 NFA ID - 0106841	\$19,600.00 9.080% from 10/17/1980 \$25.00 Filing Fee Joint and Several	82-R018

RESPONDENT	JUDGEMENT	DOCKET NUMBER
----- Traders International, Inc. (Continued) Inverrary, FL	\$4,000.00 11.000% from 12/31/1982	83-R357
	\$2,065.28 11.000% from 03/15/1982	83-R323
	\$47.33 11.000% from 10/29/1982 \$25.00 Filing Fee	83-R306
	\$7,000.00 11.000% from 10/05/1982 \$25.00 Filing Fee	83-R591
Traders International, Inc. Lauderhill, FL NFA ID - 0088596	\$19,877.10 11.000% from 01/08/1982 \$25.00 Filing Fee	82-R811
	\$1,000.00 11.000% from 01/09/1983 \$25.00 Filing Fee	83-R311
857. Trans-American Commodity Corporation Boston, MA NFA ID - 0106386	\$1,860.50 11.000% from 12/30/1983 \$25.00 Filing Fee Joint and Several	82-R304
	\$2,100.00 3/19/1981 Varying Int. \$25.00 Filing Fee	R81-1009-81-815
	\$16,918.00 7.700% from 05/21/1982 \$25.00 Filing Fee Joint and Several	82-R614
	\$9,436.00 7.700% from 12/17/1981 \$25.00 Filing Fee Joint and Several	82-R238

<u>RESPONDENT</u>	<u>JUDGEMENT</u>	<u>DOCKET NUMBER</u>
858. TRENDSYSTEMS, INC. AMERICUS, GA Date Effective : 08/13/2001 NFA ID - 0289823	\$7,689.92 \$50.00 Filing Fee	01-R035
Date Effective : 03/28/2002	\$6,615.95 \$50.00 Filing Fee	01-R092
Date Effective : 05/28/2002	\$9,615.00 2.580% from 08/01/2000 \$50.00 Filing Fee Joint and Several	02-R012
859. Treyz, Peter S., Jr. Greenwich, CT NFA ID - 0011871	\$6,300.00 5.770% from 03/19/1984 \$100.00 Filing Fee	86-R156
860. Trinity Financial Group, Inc. Ft. Lauderdale, FL Date Effective : 06/06/1994 NFA ID - 0231027	\$155.23 4.220% from 01/17/1992 \$25.00 Filing Fee	93-R030
Trinity Financial Group, Inc. Tampa, FL Date Effective : 03/28/1994 NFA ID - 0231027	\$5,174.98	93-R068
Trinity Financial Group, Inc. Tampa, FL Ft. Lauderdale. FL Date Effective : 04/04/1994 NFA ID - 0231027	\$2,045.00 3.670% from 09/30/1991 \$100.00 Filing Fee Joint and Several	93-R200
Trinity Financial Group, Inc. Tampa, FL Date Effective : 05/12/1994 NFA ID - 0231027	\$5,555.00 3.740% from 07/07/1991 \$100.00 Filing Fee	93-R045
Date Effective : 10/30/1997	\$111,052.72 5.600% from 10/21/1992 \$50.00 Filing Fee	94-R169

<u>RESPONDENT</u>	<u>JUDGEMENT</u>	<u>DOCKET NUMBER</u>
861. Trivette, Donald Gray Union Grove, NC Date Effective : 12/08/1999 NFA ID - 0028388	\$4,164.12 5.285% from 05/12/1997 \$50.00 Filing Fee	99-R168
Date Effective : 12/13/1999	\$29,866.52 5.285% from 01/03/1995 \$125.00 Filing Fee	99-R171
862. Turcotte, Thomas S. Waltham, MA NFA ID - 0022865	\$1,000.00 20.000% from 12/15/1982	R81-916-82-187
	\$1,000.00 20.000% from 12/15/1982	R81-1123-82-311
	\$10,000.00 6.300% from 03/27/1981 \$25.00 Filing Fee Joint and Several	83-R486
863. Turino, John M. Whitestone, NY NFA ID - 0100712	\$18,622.34 8.180% from 07/26/1984	85-R030
	\$4,942.77 7.570% from 08/30/1984	85-R295
	\$8,075.00 7.710% from 05/19/1986 \$25.00 Filing Fee	85-R037
	\$6,264.12 7.850% from 08/01/1984	85-R097
	\$17,290.54 6.310% from 09/26/1982 \$25.00 Filing Fee Joint and Several	83-R212
	\$4,500.00 5.630% from 10/16/1986	85-R111

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Turino, John M. (Continued)	\$5,727.92 5.630% from 10/15/1986 \$100.00 Filing Fee	85-R108
	\$3,110.00 5.790% from 11/20/1986	85-R241
864. TUROCZI, JANOS NEW YORK, NY Date Effective : 11/02/1998 NFA ID - 0268058	\$11,331.98 5.271% from 04/18/1996 \$125.00 Filing Fee	97-R152
865. U.S. Trading, Inc. New York, NY Date Effective : 02/09/1989 NFA ID - 0178730	\$7,167.66 8.550% from 04/24/1986 \$200.00 Filing Fee	88-R200
866. Ugino, Michael V. Chicago, IL Date Effective : 05/15/1991 NFA ID - 0183870	\$20,000.00 6.460% from 06/14/1988 Joint and Several	90-R163
867. Umamoto, Daniel J. San Jose, CA NFA ID - 0089647	\$2,330.90 11.000% from 10/19/1982	83-R370
868. United States Investment Company, Ltd. Woburn, MA	\$9,000.00 20.000% from 12/16/1982 \$25.00 Filing Fee Joint and Several	R81-1123-82-311
	\$19,000.00 11.000% from 03/15/1981 Joint and Several	R81-786-81-794
	\$9,000.00 20.000% from 12/15/1982 \$25.00 Filing Fee Joint and Several	R81-916-82-187
	\$10,000.00 6.300% from 03/27/1981 \$25.00 Filing Fee Joint and Several	83-R486

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
869.	Universal Precious Metals, Inc. Ft. Lauderdale, FL	\$63,030.70 11.000% from 06/30/1982 \$25.00 Filing Fee	83-R375
870.	Urevich, Edward John Stuart, FL Date Effective : 11/19/1999 NFA ID - 0277821	\$9,580.00 5.224% from 02/19/1998 \$50.00 Filing Fee	98-R199
871.	US Options Corp., US Options Corp. Miami, FL Date Effective : 09/08/2003 NFA ID - 0274665	\$3,427.00 \$50.00 Filing Fee	02-R035
872.	Valley Business Group, Ltd. Allentown, PA NFA ID - 0002429	\$6,837.25 7.570% from 09/30/1984	85-R034
873.	Varbero, Vincent Patrick d/b d/b/a Gallant Trading Grp Lisle, IL Date Effective : 12/17/1997 NFA ID - 0260057	\$16,000.00 5.600% from 05/11/1995 \$125.00 Filing Fee Joint and Several	97-R082
874.	Varbero, Vincent Patrick Brooklyn, NY Date Effective : 12/17/1997 NFA ID - 0254216	\$16,000.00 5.600% from 05/11/1995 \$125.00 Filing Fee Joint and Several	97-R082
875.	Vasquez, David A. Huntington Beach, CA Date Effective : 05/21/1991 NFA ID - 0091796	\$11,500.00 6.460% from 03/20/1991	90-R238
	Date Effective : 10/30/1991	\$99,706.96 5.680% from 11/15/1989	90-R240
876.	Vermeulen, Blaine G. Fort Lauderdale, FL Date Effective : 02/24/1991 NFA ID - 0217944	\$1,810.78 Joint and Several	90-R241

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
877.	Vernello, Martin J. Coral Springs, FL NFA ID - 0180647	\$3,751.00 7.010% from 03/06/1987 \$25.00 Filing Fee	88-R144
878.	Verrilli Altschuler Schwartz, Inc. New York, NY NFA ID - 0002013	\$30,859.30 9.170% from 11/05/1982 \$25.00 Filing Fee Joint and Several	83-R492
879.	Vilinsky, Stanley N. Miami, FL	\$3,900.00 12.000% from 06/05/1980 \$25.00 Filing Fee Joint and Several	R78-112-78-278
880.	Viola, Joseph J. Phoenix, AZ NFA ID - 0091122	\$5,950.00 5.740% from 11/30/1984 \$100.00 Filing Fee Joint and Several	87-R029
881.	Vitti, Albert New York, NY	\$20,700.00 11.000% from 07/30/1979 \$25.00 Filing Fee Joint and Several	R79-786-80-167
882.	Voss, Kenneth Lee Foothill Ranch, CA Date Effective : 01/21/2003 NFA ID - 0292864	\$7,753.00 2.320% from 12/03/1999 \$125.00 Filing Fee	01-R086
	Date Effective : 05/02/2003	\$6,665.50	02-R008
		\$2,853.00 1.300% from 12/09/1999 \$3,812.50 1.300% from 01/27/2000	
883.	Vue Venir Associates, Inc. Old Saybrook, CT NFA ID - 0183486	\$4,744.22 7.540% from 02/20/1986 \$25.00 Filing Fee	88-R160
884.	Wachholder, Allen Jay Miami, FL Ft. Lauderdale. FL Date Effective : 11/02/1992 NFA ID - 0200728	\$1,471.12 6.390% from 11/15/1988 \$25.00 Filing Fee	89-R084

	RESPONDENT	JUDGEMENT	DOCKET NUMBER
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885.	Walls, Daniel Alan Ft. Lauderdale, FL NFA ID - 0184583	\$14,232.57 6.900% from 11/27/1985 \$25.00 Filing Fee	87-R004
886.	Warner, Glenn H. Pompano Beach, FL Deerfield Beach. FL Date Effective : 04/15/1989 NFA ID - 0063947	\$19,829.79 9.160% from 11/04/1986 \$25.00 Filing Fee Joint and Several	88-R072
887.	Warren, Richard Jersey City, NJ Hollywood. CA	\$6,975.00 11.000% from 08/22/1983 \$25.00 Filing Fee Joint and Several	83-R447
888.	Wasserman, Michael Chicago, IL NFA ID - 0052188	\$21,403.69 6.980% from 06/02/1982 \$25.00 Filing Fee Joint and Several	83-R697
		\$4,012.00 6.590% from 08/26/1983 \$25.00 Filing Fee Joint and Several	85-R389
889.	WATERFORD FINANCIAL GROUP, INC. MIAMI, FL Date Effective : 01/16/2001 NFA ID - 0281246	\$23,283.45 6.241% from 03/11/1999 \$125.00 Filing Fee	00-R079
	Date Effective : 05/04/2001	\$13,504.38 6.025% \$125.00 Filing Fee Joint and Several	00-R053
890.	Waters, John P. Chandler, AZ Date Effective : 03/09/1989 NFA ID - 0099500	\$1,000.00 9.200% from 08/01/1987 \$25.00 Filing Fee Joint and Several	88-R408
	Date Effective : 05/03/1989	\$5,000.00 9.320% from 12/15/1987 \$25.00 Filing Fee	88-R340

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Waters, John P. (Continued) Date Effective : 06/19/1989	\$4,135.00 9.510% from 03/03/1987 \$25.00 Filing Fee Joint and Several	88-R392
Date Effective : 02/02/1990	\$4,048.68 7.690% from 02/01/1988 \$200.00 Filing Fee	88-R337
891. Waters, Patrick Balfe Las Vegas, NV Date Effective : 12/31/1991 NFA ID - 0041483	\$6,180.00 5.370% from 12/31/1988 \$25.00 Filing Fee Joint and Several	91-R210
892. Waters, Tan & Co., Inc. Tempe, AZ Date Effective : 06/19/1989 NFA ID - 0180439	\$4,135.00 9.510% from 03/03/1987 \$25.00 Filing Fee Joint and Several	88-R392
Waters, Tan & Company, Inc. Tempe, AZ Date Effective : 03/09/1989 NFA ID - 0180439	\$1,000.00 9.200% from 08/01/1987 \$25.00 Filing Fee Joint and Several	88-R408
Date Effective : 05/03/1989	\$5,000.00 9.320% from 12/15/1987 \$25.00 Filing Fee	88-R340
Waters, Tan & Company, Inc. Tempe, AZ Chandler. AZ Date Effective : 05/27/1989 NFA ID - 0180439	\$5,000.00 9.430% from 03/10/1987 \$100.00 Filing Fee	88-R276
893. Weinberger, Paul Charles Studio City, CA Date Effective : 12/08/1999 NFA ID - 0265074	\$4,632.20 5.285% from 01/08/1999 \$50.00 Filing Fee	99-R130
894. Weiss, Kenneth S. Highland Park, IL Date Effective : 07/18/1991 NFA ID - 0020147	\$20,000.00 6.460% from 06/14/1988 Joint and Several	90-R163

RESPONDENT	JUDGEMENT	DOCKET NUMBER
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895. Weitman, Edward P. Chicago, IL NFA ID - 0112586	\$2,359.75 11.000% from 07/30/1981 \$25.00 Filing Fee	82-R886
	\$725.52 11.000% from 07/01/1984 \$25.00 Filing Fee	83-R350
	\$4,440.24 11.000% from 09/24/1982 \$25.00 Filing Fee	83-R447
896. Werber, Samuel Rex, NY	\$63,400.00 12.000% from 02/16/1984 Joint and Several	82-R183
897. Western Financial Management Camino, CA NFA ID - 0105247	\$4,370.21 11.000% from 05/31/1982 \$25.00 Filing Fee	83-R466
	\$1,966.93 9.500% from 08/31/1982 Joint and Several	83-R719
898. Weston, David E. Yorktown Heights, NY NFA ID - 0016374	\$63,400.00 12.000% from 02/16/1984 Joint and Several	82-R183
899. Weston, David E., & Associates, Inc. Yorktown Heights, NY NFA ID - 0106126	\$63,400.00 12.000% from 02/16/1984 Joint and Several	82-R183
900. Wexler, Frederick N. Miami, FL Date Effective : 01/08/1990 NFA ID - 0007552	\$6,479.00 7.900% from 06/21/1988 \$100.00 Filing Fee Joint and Several	88-R409
Date Effective : 07/11/1990	\$96,000.00 8.700% from 12/31/1988 \$25.00 Filing Fee Joint and Several	90-R125

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
901.	White, Larry North Miami Beach, FL NFA ID - 0057506	\$12,702.60 9.500% from 04/01/1982 \$25.00 Filing Fee Joint and Several	83-R805
902.	White, William J. Novato, CA Date Effective : 01/07/1989 NFA ID - 0032425	\$7,898.40 7.190% from 09/17/1986 \$25.00 Filing Fee Joint and Several	86-R151
903.	Wiele, Neville Honolulu, HI NFA ID - 0081522	\$12,072.50 7.910% from 08/03/1984 \$25.00 Filing Fee	85-R317
		\$16,356.00 8.180% from 05/25/1983 \$25.00 Filing Fee Joint and Several	85-R105
		\$10,000.00 6.180% from 03/14/1984 \$100.00 Filing Fee	85-R149
904.	Wilcox, Dale L. Irvine, CA NFA ID - 0112570	\$9,593.55 9.170% from 11/30/1983	84-R344
905.	Wilcox, Ron Los Angeles, CA	\$11,470.00 11.000% from 08/05/1982 \$25.00 Filing Fee Joint and Several	83-R147
906.	Williams, Eddy A., Jr. New York, NY NFA ID - 0090664	\$8,094.00 11.000% from 01/16/1980 \$25.00 Filing Fee Joint and Several	R81-1232-82-513
907.	Williams, Glenn F. Miami, FL Date Effective : 02/08/1990 NFA ID - 0195361	\$4,881.00 7.690% from 01/19/1989 \$25.00 Filing Fee Joint and Several	89-R278
908.	Wilpadco, Inc. Anchorage, AK NFA ID - 0086334	\$23,878.00 7.030% from 12/19/1983 \$25.00 Filing Fee Joint and Several	84-R150

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
909.	Wilson, Dwight Wayne Anchorage, AK NFA ID - 0016556	\$23,878.00 7.030% from 12/19/1983 \$25.00 Filing Fee Joint and Several	84-R150
910.	Wilson, Mark Long Branch, NJ Date Effective : 10/09/1998 NFA ID - 0270011	\$5,950.00 \$50.00 Filing Fee Joint and Several	98-R141
911.	Wilson, W.A. New York, NY	\$25,000.00 16.000% from 06/01/1980 \$25.00 Filing Fee	R80-1321-81-352
912.	Windsor Trading Highwood, IL Date Effective : 01/14/1989 NFA ID - 0209016	\$1,959.50 8.550% from 07/13/1988 \$25.00 Filing Fee Joint and Several	88-R422
	Date Effective : 05/08/1990	\$3,176.29 7.970% from 06/30/1987 \$25.00 Filing Fee Joint and Several	89-R189
913.	Winsett, Rick & Associates Shawnee, OK Date Effective : 06/15/1994 NFA ID - 0231127	\$1,000.00 Joint and Several	94-R051
914.	Winsett, Rick P. Shawnee, OK Date Effective : 06/15/1994 NFA ID - 0182058	\$1,000.00 Joint and Several	94-R051
915.	Wolf Commodities Corp., Inc., Inc. d/b/a Bear & Wolf Co., Inc. Boca Raton, FL Date Effective : 02/27/1998 NFA ID - 0265565	\$27,987.00 5.460% from 05/13/1996 \$125.00 Filing Fee	96-R167
	Date Effective : 02/27/1998	\$15,500.00 5.460% from 06/30/1996 \$125.00 Filing Fee	97-R115

RESPONDENT	JUDGEMENT	DOCKET NUMBER
----- Wolf Commodities Corporation, Inc. (Continued) Boca Raton, FL Date Effective : 09/11/1998	\$27,000.00 5.413% from 04/24/1996 \$50.00 Filing Fee Joint and Several	98-R142
916. Wolf Commodity Corp., Inc. d/b/a Bear & Wolf Corp., Inc. Boca Raton, FL Date Effective : 02/27/1998 NFA ID - 0271239	\$15,500.00 5.460% from 06/30/1996 \$125.00 Filing Fee	96-R167
d/b/a Bear & Wolf, Co., Inc. Date Effective : 03/02/1998	\$56,658.74 5.460% from 10/07/1996 \$250.00 Filing Fee	97-R106
917. WOLF FUTURES GROUP, INC. CHICAGO, IL Date Effective : 11/20/1998 NFA ID - 0265236	\$13,438.50 5.271% from 09/03/1996 \$125.00 Filing Fee Joint and Several	97-R020
918. Wolf, Barry Jay d/b/a Wolf Commodity Corp. Boca Raton, FL Date Effective : 08/19/1998 NFA ID - 0265565	\$5,000.00 5.434% from 03/08/1996 \$125.00 Filing Fee Joint and Several	98-R090
919. Wolf, Barry Jay Palm Beach, FL Date Effective : 02/17/1998 NFA ID - 0263702	\$15,500.00 5.420% from 06/30/1996 \$125.00 Filing Fee	96-R167
Date Effective : 02/27/1998	\$27,987.00 5.460% from 05/13/1996 \$125.00 Filing Fee	97-R115
Date Effective : 03/02/1998	\$56,658.74 5.460% from 10/07/1996 \$250.00 Filing Fee	97-R106
Date Effective : 08/19/1998	\$5,000.00 5.434% from 03/08/1996 \$125.00 Filing Fee Joint and Several	98-R090

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
Wolf, Barry Jay (Continued) Date Effective : 09/11/1998	\$27,000.00 5.413% from 04/24/1996 \$50.00 Filing Fee Joint and Several	98-R142
920. WOLF, SCOTT ALLEN CHICAGO, IL Date Effective : 11/20/1998 NFA ID - 0053274	\$13,438.50 5.271% from 09/03/1996 \$125.00 Filing Fee Joint and Several	97-R020
921. Wolfe, Scott David San Diego, CA La Jolla. CA Date Effective : 04/22/1996 NFA ID - 0088408	\$7,347.00 5.160% from 04/20/1994 \$125.00 Filing Fee Joint and Several	95-R015
922. Wolfe, Scott David, CTA, Inc. Day Traders Edge San Diego, CA Date Effective : 04/22/1996 NFA ID - 0236622	\$7,347.00 5.160% from 04/20/1994 \$125.00 Filing Fee Joint and Several	95-R015
923. Wolfe, William T. Ft. Lauderdale, FL NFA ID - 0092771	\$25,666.00 7.700% from 05/27/1982 \$25.00 Filing Fee	83-R375
	\$15,617.72 6.560% from 09/30/1982 \$25.00 Filing Fee Joint and Several	82-R1032
924. Wolosky, Jan R. Miami, FL Date Effective : 07/11/1990 NFA ID - 0072703	\$96,000.00 8.700% from 12/31/1988 \$25.00 Filing Fee Joint and Several	90-R125
Date Effective : 11/29/1991	\$31,172.52 6.390% from 11/15/1988 \$25.00 Filing Fee	89-R084

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
925.	Wong, Moses Lung Wah a/k/a Wah, Wong Lung Monterey Park, CA Date Effective : 12/08/1994 NFA ID - 0206441	\$6,623.49 5.670% from 08/01/1992 \$50.00 Filing Fee	94-R171
	a/k/a Moses Wong Date Effective : 01/17/1995	\$23,698.96 6.060% from 12/16/1992 \$50.00 Filing Fee	94-R177
926.	Word, Calvin L. Smyrna, GA N. Miami. FL NFA ID - 0077624	\$8,871.40 7.540% from 09/09/1985 Joint and Several	87-R407
	Word, Calvin Lee Smyrna, GA Date Effective : 06/08/1992 NFA ID - 0077624	\$17,511.91 4.580% from 04/07/1986 Joint and Several	89-R300
927.	World Trading Group d/b/a World Trading Group, Inc. Merritt Island, FL Date Effective : 06/28/1993 NFA ID - 0088760	\$2,668.14	92-R095
928.	Yang, Patrick Daly City, CA Date Effective : 04/22/1998 NFA ID - 0259236	\$15,672.00 5.880% from 02/14/1995 \$25.00 Filing Fee Joint and Several	95-R152
929.	Yarusso, Gary Louis Lake Park, FL Date Effective : 10/04/1999 NFA ID - 0272256	\$24,634.39 4.966% from 09/19/1996 \$125.00 Filing Fee	98-R160
930.	Yeatman, Paul D. Lafayette, CA Date Effective : 04/21/1991 NFA ID - 0190550	\$13,846.50 6.210% from 01/22/1987 \$25.00 Filing Fee	89-R133
931.	Yopp, Wessley M. Cordova, TN	\$4,824.60 7.600% from 10/31/1981 \$25.00 Filing Fee	82-R838

<u>RESPONDENT</u>	<u>JUDGEMENT</u>	<u>DOCKET NUMBER</u>
932. Yorkstone Research, Inc. Albany, NY NFA ID - 0107115	\$5,000.00 11.000% from 08/01/1981 Joint and Several	82-R236
Date Effective : 02/09/1984	\$12,935.00 11.000% from 09/30/1981 \$25.00 Filing Fee Joint and Several	82-R459
	\$15,000.00 16.000% from 05/05/1982 \$25.00 Filing Fee Joint and Several	82-R1009
	\$45,045.00 7.870% from 04/12/1982 \$25.00 Filing Fee Joint and Several	82-R592
933. Young, Stephen J. Honolulu, HI Date Effective : 04/01/1990 NFA ID - 0192545	\$23,180.00 7.740% from 01/31/1990	90-R34
934. Yung, Allen W. a/k/a Alan Yung Ft. Lauderdale, FL NFA ID - 0154632	\$45,729.00 8.080% from 01/18/1982 \$25.00 Filing Fee Joint and Several	83-R003
935. Zakharoff, Leo O. San Francisco, CA NFA ID - 0162694	\$4,700.00 10.080% from 06/17/1981 \$25.00 Filing Fee Joint and Several	R81-991-82-190
	\$14,405.00 11.000% from 02/25/1981 \$25.00 Filing Fee Joint and Several	82-R174
936. Zarachoff, Joseph L. Ventura, CA NFA ID - 0062312	\$1,614.00 9.170% from 04/26/1985 \$25.00 Filing Fee	84-R068

	RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
937.	Zeff, Edward Jay Hollywood, FL Date Effective : 11/01/1992 NFA ID - 0049287	\$20,000.00 5.420% from 08/01/1989	91-R142
938.	Zeitlin, William S. Marina Del Rey, CA Date Effective : 04/18/1996 NFA ID - 0240120	\$15,000.00 5.160% from 11/19/1993 \$50.00 Filing Fee Joint and Several	96-R009
	Zeitlin, William S. Marina Del Rey, CA Date Effective : 02/24/1995 NFA ID - 0049156	\$100,000.00 7.220% from 03/08/1994 \$250.00 Filing Fee Joint and Several	94-R184
	Date Effective : 11/16/1995	\$16,000.00 5.890% from 02/09/1994 \$125.00 Filing Fee Joint and Several	95-R118
	Date Effective : 04/18/1996	\$15,000.00 5.160% from 11/19/1993 \$50.00 Filing Fee Joint and Several	96-R009
939.	Ziegler, Richard M. Sunrise, FL NFA ID - 0121582	\$1,326.71 11.000% from 09/10/1982 \$25.00 Filing Fee	83-R276
940.	Ziegler, Terry R. Inverrary, FL NFA ID - 0026007	\$2,065.28 11.000% from 03/15/1982 Joint and Several	83-R323
	Ziegler, Terry R. Lauderhill, FL NFA ID - 0026007	\$3,977.50 9.100% from 06/22/1981 Joint and Several	84-R081
		\$2,500.00 7.600% from 12/29/1985 \$25.00 Filing Fee Joint and Several	83-R848
941.	Zilbert, Marc G. Hollywood, FL NFA ID - 0063530	\$5,617.50 8.080% from 03/02/1982 \$25.00 Filing Fee	82-R516

RESPONDENT -----	JUDGEMENT -----	DOCKET NUMBER -----
942. Zilch, Lee Hallandale, FL	\$14,200.00 11.000% from 10/10/1980 \$25.00 Filing Fee Joint and Several	R81-1382-82-511
943. Zipkin, William L. a/k/a Larry Zipkin Ft. Lauderdale, FL NFA ID - 0055221	\$9,839.08 11.000% from 09/05/1980 \$25.00 Filing Fee Joint and Several	R81-1023-82-471
Zipkin, William L. a/k/a Larry Zipkin Hallandale, FL NFA ID - 0055221	\$6,350.00 11.000% from 03/11/1981 \$25.00 Filing Fee	83-R383
a/k/a Larry Zipkin	\$2,823.02 16.000% from 01/01/1981 Joint and Several	R81-705-81-677
a/k/a Larry Zipkin	\$6,454.63 11.000% from 01/04/1981 \$25.00 Filing Fee Joint and Several	R81-1125-82-57
Zipkin, William L. a/k/a Larry Zipkin Miami, FL NFA ID - 0055221	\$16,500.00 11.000% from 06/10/1980 \$25.00 Filing Fee	R81-1292-82-136
a/k/a Larry Zipkin	\$8,000.00 9.150% from 11/12/1980 \$25.00 Filing Fee Joint and Several	83-R348
	\$12,005.77 9.150% from 06/01/1982 \$25.00 Filing Fee	83-R874
	\$34,466.00 7.850% from 09/15/1982 Joint and Several	84-R054
	\$3,000.00 7.870% from 03/24/1983 \$25.00 Filing Fee Joint and Several	84-R162

RESPONDENT

Zipkin, William L.
(Continued)
a/k/a Larry Zipkin

JUDGEMENT

\$7,500.00
6.560% from 07/07/1980
\$25.00 Filing Fee
Joint and Several

DOCKET NUMBER

82-R233