

REPARATIONS SANCTIONS IN EFFECT

BOOK 2

(JANUARY 1, 1984 – DECEMBER 31, 2003)

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
1. Ace American, Inc. San Francisco, CA NFA ID - 0000364	\$12,500.00 11.000% from 07/22/82 \$25.00 Filing Fee Joint and Several	83-R310
	\$8,340.00 16.000% from 12/28/79 \$25.00 Filing Fee	R80-1008-81-132
	\$15,000.00 11.000% from 01/21/80 \$25.00 Filing Fee	R82-94-82-415
	\$16,571.24 11.000% from 11/11/82 \$25.00 Filing Fee	83-R701
	\$20,500.00 11.000% from 05/26/83 \$25.00 Filing Fee Joint and Several	83-R653
	\$4,700.00 10.080% from 06/17/81 \$25.00 Filing Fee Joint and Several	R81-991-82-190
	\$2,765.00 8.570% from 05/19/80 \$25.00 Filing Fee	R80-1165-81-89
	\$14,176.00 16.000% from 02/09/81 \$25.00 Filing Fee	82-R174
	\$6,332.12 6.560% from 02/16/83 \$25.00 Filing Fee Joint and Several	83-R344

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
2. Ackermann, John Fredrick Ashland, OR Date Effective : 09/20/96 NFA ID - 0198562	\$12,731.00 5.890% from 08/18/93 \$125.00 Filing Fee Joint and Several	95-R083
Date Effective : 09/20/96	\$12,731.00 5.890% from 08/18/93 \$125.00 Filing Fee Joint and Several	95-R082
Date Effective : 09/23/96	\$38,651.00 5.890% from 03/28/94 \$250.00 Filing Fee Joint and Several	96-R028
Date Effective : 11/25/96	\$69,111.00 5.900% from 03/25/94 Joint and Several	96-R029
3. Acton, Charles W. Ft. Lauderdale, FL Date Effective : 04/27/90 NFA ID - 0194827	\$5,469.00 7.970% from 01/20/87 \$25.00 Filing Fee	89-R121
4. Adams, Joseph H. a/k/a Prestige West Capital Investments Hayward, CA Chicago, IL Date Effective : 11/13/89 NFA ID - 0195765	\$2,000.00	88-R271
5. Adams, Joseph H. Glencoe, IL Date Effective : 09/07/89 NFA ID - 0188136	\$88,996.10 8.160% from 07/29/88 Joint and Several \$1,313.00	89-R037
6. Advanced Commodity Trading Novato, CA NFA ID - 0105738	\$14,035.00 11.000% from 07/07/80 \$25.00 Filing Fee	83-R072
7. Alderdice, William L. Ft. Lauderdale, FL NFA ID - 0015632	\$1,080.00 11.000% from 04/07/82 Joint and Several	83-R583
8. Alechman, Sheldon North Miami Beach, FL	\$12,569.00 12.000% from 08/01/80 \$25.00 Filing Fee	R81-1185-82-37

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
9. Alexander, Michele A. a/k/a U.S. Center Corp. Futures Jupiter, FL Date Effective : 07/20/89 NFA ID - 0176217	\$5,000.00 9.150% from 01/04/88 \$25.00 Filing Fee	89-R166
10. Alexandre, Serge, II Novato, CA Date Effective : 12/30/89 NFA ID - 0058495	\$7,029.28 7.900% from 09/12/86 \$100.00 Filing Fee	89-R076
Date Effective : 06/14/91	\$43,198.00 6.260% from 12/01/87 Joint and Several	89-R204
Date Effective : 11/09/92	\$12,020.00 3.410% from 05/13/88 \$200.00 Filing Fee	91-R256
11. Alexandre-Thyssen, Elizabeth New York, NY NFA ID - 0062109	\$5,000.00 11.000% from 12/26/80 Joint and Several	83-R126
	\$1,113.74 10.000% from 11/30/82 \$25.00 Filing Fee	83-R784
12. Allen, Arthur P. Ft. Lauderdale, FL NFA ID - 0049129	\$19,000.00 11.000% from 06/17/81 Joint and Several	83-R124
	\$12,569.00 12.000% from 08/01/80 \$25.00 Filing Fee	R81-1185-82-37
	\$14,687.61 7.000% from 12/05/80 \$25.00 Filing Fee Joint and Several	82-R467
13. Allen, James W. Bloomfield Hills, MI NFA ID - 0040328	\$30,000.00 12.000% from 05/31/80 to 01/31/82 20.000% from 02/01/82 to 12/31/82 16.000% from 01/01/83 to 06/30/83 11.000% from 07/01/83 \$25.00 Filing Fee	82-R263

RESPONDENT	JUDGEMENT	DOCKET NO.
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14. Allen, Richard West Bloomfield, MI	\$2,500.00 12.000% from 12/31/81 \$25.00 Filing Fee Joint and Several	82-R607
15. Alpine Financial Corporation Boca Raton, FL Date Effective : 10/28/96 NFA ID - 0251031	\$7,802.00 5.810% from 05/31/94 \$50.00 Filing Fee	96-R089
Date Effective : 11/04/96	\$1,849.93 5.670% from 10/28/94 \$50.00 Filing Fee	96-R106
Date Effective : 12/09/96	\$10,000.00 5.900% from 09/30/94 \$125.00 Filing Fee	96-R030
16. Altieri, Linda F. Duxbury, MA Date Effective : 04/01/89 NFA ID - 0033574	\$19,557.00 9.160% from 11/26/84 \$25.00 Filing Fee	87-R079
17. Amadio, Thomas Joseph Chicago Heights, IL Date Effective : 03/16/00 NFA ID - 0257393	\$18,800.00 5.670% from 10/01/94 \$250.00 Filing Fee Joint and Several	98-R118
18. Amato, Charles Pembroke Pines, FL Date Effective : 01/07/98 NFA ID - 0195427	\$7,801.60 5.600% from 05/31/94 \$50.00 Filing Fee	96-R089
19. AMER-Royal Strategic Metals, Ltd. Southfield, MI	\$7,671.29 11.000% from 07/28/81	83-R264
20. American Board of Trade, Inc. New York, NY	\$3,200.00 9.090% from 02/11/83 \$25.00 Filing Fee	83-R453
21. American Dynamics Corporation New York, NY	\$8,800.00 Joint and Several	83-R243
22. American Financial Group Services, Inc. Jupiter, FL Date Effective : 02/22/00 NFA ID - 0275199	\$9,580.00 5.471% from 03/20/98 \$50.00 Filing Fee	98-R199

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
23. American Financial Services, Inc. New York, NY Date Effective : 11/22/00 NFA ID - 0001512	\$29,134.00 6.241% from 12/09/97 \$125.00 Filing Fee Joint and Several	00-R065
Date Effective : 09/28/98	\$12,026.70 5.375% from 09/30/97 \$125.00 Filing Fee	98-R059
Date Effective : 10/09/98	\$4,731.14 \$50.00 Filing Fee Joint and Several	98-R133
Date Effective : 10/09/98	\$5,950.00 \$50.00 Filing Fee Joint and Several	98-R141
Date Effective : 11/04/98	\$10,017.00 5.271% from 04/22/96 \$125.00 Filing Fee Joint and Several	97-R121
Date Effective : 01/11/99	\$13,480.00 4.242% from 02/19/97 \$50.00 Filing Fee Joint and Several	98-R187
Date Effective : 01/22/99	\$2,000.00 4.616% from 11/01/98 \$125.00 Filing Fee Joint and Several \$5,000.00 4.616% from 10/26/96 to 10/31/98	97-R112
Date Effective : 07/09/99	\$21,477.00 4.727% from 04/16/97 \$125.00 Filing Fee	99-R077
Date Effective : 11/26/99	\$8,000.00 5.224% from 06/12/97 \$50.00 Filing Fee Joint and Several	99-R158
American Financial Services, Inc. New York,, NY Date Effective : 08/24/98 NFA ID - 0001512	\$4,061.45 5.434% from 09/17/97 \$125.00 Filing Fee	98-R068

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
24. American Futures Group, Inc. New York, NY Date Effective : 04/14/00 NFA ID - 0089434	\$66,821.72 5.375% from 11/14/95 \$250.00 Filing Fee Joint and Several	97-R083
Date Effective : 02/26/98	\$2,056.08 \$50.00 Filing Fee	97-R026
Date Effective : 04/10/98	\$10,000.00 5.431% from 02/22/95 \$125.00 Filing Fee	97-R068
Date Effective : 04/17/98	\$2,311.54 5.468% from 03/10/95 \$50.00 Filing Fee	97-R028
Date Effective : 07/06/98	\$50,023.80 5.391% from 05/31/96 \$250.00 Filing Fee	98-R105
Date Effective : 07/13/98	\$10,606.00 5.407% from 02/06/95 \$125.00 Filing Fee Joint and Several	97-R040
Date Effective : 09/21/98	\$53,942.00 5.413% from 04/04/96 \$250.00 Filing Fee	98-R111
Date Effective : 11/02/98	\$24,634.39 5.271% from 09/10/96 \$125.00 Filing Fee	98-R160
Date Effective : 11/04/98	\$10,017.00 5.271% from 04/22/96 \$125.00 Filing Fee Joint and Several	97-R121
Date Effective : 01/11/99	\$13,480.00 4.242% from 02/19/97 \$50.00 Filing Fee Joint and Several	98-R187
Date Effective : 01/22/99	\$2,000.00 4.616% from 11/01/98 \$125.00 Filing Fee Joint and Several \$5,000.00 4.616% from 10/26/96 to 10/31/98	97-R112

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
25. American Gold Dealers Association Newport Beach, CA	\$2,326.50 11.000% from 04/15/81 \$25.00 Filing Fee	83-R336
26. American Gold, Inc. Newport Beach, CA NFA ID - 0002106	\$805.60 7.910% from 11/02/82 \$25.00 Filing Fee Joint and Several	83-R483
	\$4,207.90 8.180% from 02/28/83 \$25.00 Filing Fee Joint and Several	83-R628
	\$5,000.00 9.090% from 07/01/82 \$25.00 Filing Fee Joint and Several	83-R127
27. American Independent Management, Inc. Irvine, CA NFA ID - 0002813	\$3,500.00 \$25.00 Filing Fee	87-R107
28. American Metals Exchange Boca Raton, FL	\$3,267.45 11.000% from 12/13/82	83-R279
29. American Monetary Associated Services, Inc. Newport Beach, CA NFA ID - 0001554	\$4,853.16 9.080% from 08/16/83 \$25.00 Filing Fee Joint and Several	84-R024
	\$5,559.77 7.030% from 07/28/83 \$25.00 Filing Fee Joint and Several	83-R801
30. *Americas Trading Group Name Changed To: Americas Global Traders, Inc. Boca Raton, FL Date Effective : 12/01/03 NFA ID - 0280599	\$9,769.00 1.220% from 05/28/00 \$50.00 Filing Fee Joint and Several	01-R031
31. Amster, Scott Brian North Miami Beach, FL Date Effective : 10/04/91 NFA ID - 0101728	\$56,020.00 6.390% from 01/01/87 \$25.00 Filing Fee Joint and Several	90-R061

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
32. Anctil, Stephen Michael North Miami Beach, FL Date Effective : 10/29/91 NFA ID - 0042388	\$4,873.00 5.680% from 04/28/89 \$100.00 Filing Fee	91-R162
33. ANDERSON, RAYMOND ANTOINE LOS ANGELES, CA Date Effective : 02/16/01 NFA ID - 0274667	\$5,000.00 6.052% from 03/16/98 \$3,105.30 6.052% from 08/18/98 \$8,120.00 6.052% from 08/25/98 \$125.00 Filing Fee	00-R114
34. Andring, Ronald V. Pompano Beach, FL Date Effective : 06/26/89 NFA ID - 0177899	\$3,350.00 9.510% from 04/28/86 \$25.00 Filing Fee	88-R379
35. Angrick, Alan Santa Barbara, CA NFA ID - 0074634	\$25,793.30 6.900% from 03/30/84 \$200.00 Filing Fee	86-R047
	\$24,737.50 6.900% from 03/22/84 \$200.00 Filing Fee	86-R048
36. Ansell, Murray New York, NY NFA ID - 0054787	\$11,761.00 11.000% from 12/04/80 \$25.00 Filing Fee Joint and Several	82-R356
37. Apache Trading Corp. Ft. Lauderdale, FL NFA ID - 0000649	\$8,130.00 6.350% from 05/11/83 \$25.00 Filing Fee	85-R283
	\$6,619.18 7.870% from 05/05/83 \$25.00 Filing Fee Joint and Several	84-R014
	\$2,470.20 6.930% from 03/31/86 \$100.00 Filing Fee	87-R203
	\$3,885.36 7.540% from 04/10/86 \$100.00 Filing Fee	88-R167
	\$3,157.00 7.540% from 04/28/86 \$25.00 Filing Fee	88-R232

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Apache Trading Corp. (Continued) Date Effective : 04/21/89	\$25,837.32 9.320% from 04/07/86	88-R032
Date Effective : 04/21/89	\$12,033.33 9.320% from 09/13/86	88-R030
Date Effective : 08/11/89	\$6,537.66 9.150% from 01/21/86 Joint and Several	87-R246
Date Effective : 08/11/89	\$3,052.23 9.150% from 01/23/86 Joint and Several	88-R031
Date Effective : 08/11/89	\$6,408.78 9.150% from 01/08/86 Joint and Several	88-R033
Date Effective : 08/31/89	\$5,500.00 8.850% from 12/02/85 \$100.00 Filing Fee Joint and Several	88-R092
Date Effective : 02/16/91	\$8,445.45 6.710% from 09/02/86 \$100.00 Filing Fee Joint and Several	87-R331
38. Ark, Frank David, Jr. Encinitas, CA Date Effective : 04/17/92 NFA ID - 0181206	\$3,000.00 6.460% from 04/13/87 \$25.00 Filing Fee	89-R180
39. Armstrong, William New York, NY	\$4,000.00 11.000% from 11/15/82 \$25.00 Filing Fee	83-R349
40. Arnoux, Mark Harry Elgin, IL NFA ID - 0077599	\$5,000.00 5.770% from 09/04/84 \$100.00 Filing Fee Joint and Several	85-R239
41. Arroyo, Peter Joseph, Sr. Las Vegas, NV Date Effective : 03/07/94 NFA ID - 0206431	\$14,706.00 3.610% from 11/27/90 \$200.00 Filing Fee Joint and Several	93-R023

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
42. Assured Futures, Inc. San Diego, CA Date Effective : 01/12/89 NFA ID - 0806377	\$160,702.60 8.150% from 11/09/88 \$200.00 Filing Fee Joint and Several	87-R006
43. Athanson, Harry J. Valparaiso, IN NFA ID - 0074128	\$4,000.00 6.310% from 05/01/84 \$100.00 Filing Fee	86-R073
	\$3,950.00 6.300% from 05/23/87 \$100.00 Filing Fee	85-R263
44. Atienza, Teodoro Jack Pleasanton, CA Date Effective : 06/13/89 NFA ID - 0182762	\$3,370.00 Joint and Several	89-R015
Date Effective : 09/07/89	\$88,996.19 8.160% from 07/29/88 Joint and Several \$1,313.00	89-R037
45. Atlantic Corporation, The Chicago, IL NFA ID - 0002356	\$28,323.01 6.090% from 09/10/84 \$200.00 Filing Fee Joint and Several	86-R191
	\$4,049.25 7.200% from 05/18/88	86-R119
46. Atlantic Futures Fund, L.P. North Palm Beach, FL Date Effective : 01/27/94 NFA ID - 0225244	\$3,250.00	93-R193
Atlantic Futures, Ltd. North Palm Beach, FL Date Effective : 01/27/94 NFA ID - 0225244	\$3,250.00	93-R193
47. Atlantic Mercantile Group, Inc. Coral Springs, FL Date Effective : 03/02/92 NFA ID - 0219013	\$5,519.10 Joint and Several	92-R003
Date Effective : 03/02/92	\$12,900.00 4.410% from 04/16/91 Joint and Several	91-R132

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Atlantic Mercantile Group, Inc. (Continued) Date Effective : 04/13/92	\$41,500.00 4.210% from 08/24/89 \$200.00 Filing Fee	92-R018
48. Badger, Alfred Joseph Chelmsford, MA Date Effective : 08/24/92 NFA ID - 0079483	\$2,937.20 6.260% from 12/28/87 \$25.00 Filing Fee Joint and Several	90-R154
49. Bailey, Donald C. Pittsburgh, PA NFA ID - 0072427	\$39,392.75 11.000% from 06/29/81 \$25.00 Filing Fee Joint and Several	R81-35-82-435
50. Baker, John Alden Redford Township, MI Anchoville, MI NFA ID - 0094367	\$214,887.30 7.000% from 05/01/84 \$200.00 Filing Fee Joint and Several	87-R005
51. Baker, William Marina Del Rey, CA Date Effective : 05/23/90 NFA ID - 0186190	\$6,274.50 7.740% from 09/29/88	88-R414
Date Effective : 05/23/90	\$5,019.61 7.740% from 09/29/88	88-R416
Date Effective : 05/23/90	\$3,137.26 7.740% from 09/29/88	88-R417
Date Effective : 05/23/90	\$1,568.63 7.740% from 09/29/88	88-R415
Date Effective : 07/13/90	\$40,701.85 7.740% from 11/01/87 \$200.00 Filing Fee	88-R433
52. Balzotti, James D. Pembroke, MA NFA ID - 0003491	\$6,347.58 10.080% from 11/02/81 \$25.00 Filing Fee Joint and Several	82-R264
53. Banacos, Charles M. Boston, MA Date Effective : 03/08/89 NFA ID - 0032634	\$17,000.00 6.640% from 07/31/84 \$200.00 Filing Fee Joint and Several	86-R080

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
54. Barker, Bob Inverrary, FL	\$2,065.28 11.000% from 03/15/82 Joint and Several	83-R323
55. Barnard, Stephen L. Cincinnati, OH NFA ID - 0031040	\$5,880.00 6.310% from 11/24/82 \$25.00 Filing Fee Joint and Several	83-R571
Barnard, Stephen L. Flossmoor, IL NFA ID - 0031040	\$6,175.35 7.000% from 12/09/87 \$25.00 Filing Fee	85-R254
56. Barrios, George San Diego, CA	\$8,320.00 9.170% from 02/28/83 \$25.00 Filing Fee	83-R616
57. Barron, George New York, NY	\$2,500.00 11.000% from 03/05/80	R80-1360-81-351
58. Basham, Debra L. Oakland Park, FL NFA ID - 0003758	\$20,357.00 8.570% from 06/18/82 \$25.00 Filing Fee	83-R189
	\$30,000.00 7.870% from 04/20/82 \$200.00 Filing Fee Joint and Several	84-R422
59. Bateman, David John Fort Lauderdale, FL Date Effective : 05/22/95 NFA ID - 0250801	\$14,440.00 6.570% from 09/24/93 \$100.00 Filing Fee	94-R187
60. BATISTA, ALEXANDER MIAMI, FL Date Effective : 01/25/01 NFA ID - 0276084	\$10,000.00 6.241% from 02/02/00 \$250.00 Filing Fee	00-R093
Date Effective : 02/26/01	\$5,000.00 6.052% from 08/10/99 \$125.00 Filing Fee Joint and Several	00-R051
61. Baxter, David Craig Deephaven, MN Date Effective : 08/05/99 NFA ID - 0019896	\$4,549.00 4.727% from 01/09/95 \$50.00 Filing Fee	99-R014

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
62. Baxton, Jerry B. San Francisco, CA NFA ID - 0049726	\$25,000.00 6.930% from 12/18/87	87-R340
63. Bayer, Daniel L. Chicago, IL NFA ID - 0070232	\$6,928.50 5.750% from 04/22/85 \$25.00 Filing Fee	85-R10
64. Bayer, Leonard Pembroke Pines, FL NFA ID - 0072619	\$3,977.50 9.100% from 06/22/81 Joint and Several	84-R081
65. Beaghan, Doris San Diego, CA	\$6,181.24 10.080% from 12/10/80 \$25.00 Filing Fee Joint and Several	R81-294-82-87
66. Bear & Wolf Co., Inc. d/b/a Wolf Commodity Corp., Inc. Boca Raton, FL Date Effective : 02/27/98 NFA ID - 0271239	\$27,987.00 5.460% from 05/13/96 \$125.00 Filing Fee	97-R115
67. Beckson, Jonathan H. Livonia, MI NFA ID - 0149143	\$3,086.25 11.000% from 09/13/82 \$25.00 Filing Fee	83-R518
68. Beigel, Julius Hallandale, FL	\$19,475.00 11.000% from 05/27/80	83-R198
69. Bendtsen, Harry G., Jr. Palos Park, IL Date Effective : 10/25/90 NFA ID - 0079129	\$52,807.44 7.750% from 01/30/87 Joint and Several	88-R036
Date Effective : 10/25/90	\$7,016.03 7.750% from 02/05/87	88-R047
Bendtsen, Harry G., Jr. Palos Park, IL Date Effective : 10/25/90 NFA ID - 0079129	\$1,475.91 7.750% from 04/15/87 Joint and Several	88-R047
Date Effective : 10/25/90	\$5,894.55 7.750% from 04/29/87 Joint and Several	88-R036

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Bendtsen, Harry G., Jr. (Continued) Date Effective : 10/25/90	\$1,623.88 Joint and Several Trans Chgs,Exp Wit&filing fees	88-R036/88-R047
70. BENITEZ, ROBERT BROOKLYN, NY Date Effective : 11/01/01 NFA ID - 0293680 - 0304582	\$5,200.00 6.025% from 06/23/99 \$50.00 Filing Fee	01-R070
Date Effective : 11/01/01	\$20,000.00 3.440% from 08/06/99 \$125.00 Filing Fee	00-R066
Date Effective : 07/01/02	\$13,273.00 1.640% from 06/17/99 \$125.00 Filing Fee Joint and Several J. and S. for award to \$11,277	01-R105
71. Bennett, David Ray Ft. Lauderdale, FL Date Effective : 04/22/90 NFA ID - 0197033	\$17,595.87	89-R354
Date Effective : 11/25/91	\$3,500.00 5.680% from 03/01/89 \$25.00 Filing Fee	91-R182
72. Berard, Andrew H., Jr. Redlands, CA Date Effective : 03/05/90 NFA ID - 0026613	\$13,068.00 \$25.00 Filing Fee Pre-Judgment Int. from 9/9/87	90-R009
73. Berg, Lewis C. Ft. Lauderdale, FL Date Effective : 08/20/90 NFA ID - 0188497	\$4,500.00 8.240% from 11/05/87 \$200.00 Filing Fee Joint and Several	88-R169
74. Berkley, Errol Dana Point, CA Laguna Niguel, CA Date Effective : 01/24/94 NFA ID - 0196521	\$3,141.71	93-R082

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
75. Berry, Arthur N. San Diego, CA Date Effective : 02/14/90 NFA ID - 0196360	\$5,380.86 7.690% from 03/23/88 \$100.00 Filing Fee	90-R019
76. Bertsche, Richard Brian Chicago, IL Date Effective : 09/12/02 NFA ID - 0256290	\$38,834.00 2.100% from 02/02/99 Joint and Several	01-R077
77. Best Commodities Services, Inc. Chicago, IL NFA ID - 0000482	\$4,675.90 7.870% from 02/24/84 \$25.00 Filing Fee Joint and Several	84-R331
	\$568.93 5.790% from 01/17/83 Joint and Several	84-R337
	\$2,499.00 Joint and Several	85-R395
	\$20,000.00 6.180% from 08/26/83 \$200.00 Filing Fee Joint and Several	84-R443
	\$14,087.85 6.180% from 06/21/83 \$200.00 Filing Fee Joint and Several	84-R426
	\$10,000.00 7.190% from 06/20/84 \$100.00 Filing Fee Joint and Several	85-R274
	\$1,975.00 6.300% from 05/10/88 \$100.00 Filing Fee	85-R263
Date Effective : 06/09/88	\$16,134.65 6.640% from 07/11/84 \$200.00 Filing Fee Joint and Several	86-R215
78. Bienstock, Seymour aka Bienstock, Sy Woodmere Long Island, NY NFA ID - 0006652	\$5,100.00 7.030% from 09/20/83 \$100.00 Filing Fee Joint and Several	85-R017

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
79. Bilbrey, Clint Seal Beach, CA	\$5,218.15 10.000% from 02/03/83 \$25.00 Filing Fee	83-R393
80. Birnbaum, Laurence a/k/a Birnbaum, Larry N. Miami Beach, FL Date Effective : 07/23/90 NFA ID - 0145360	\$20,653.84 8.320% Joint and Several	89-R223
81. Black, Arthur Hollywood, CA	\$6,975.00 11.000% from 08/22/83 \$25.00 Filing Fee Joint and Several	83-R534
82. Blake, Benjamin Neil Palo Alto, CA Date Effective : 03/16/00 NFA ID - 0282912	\$10,185.86 5.471% from 07/09/98 \$50.00 Filing Fee	00-R018
83. Blardo, Josh N. Miami, FL	\$5,519.18 6.650% from 07/29/81 Joint and Several	82-R1025
84. Blesch, Susan H. Miami, FL NFA ID - 0043781	\$8,577.75 7.140% from 03/17/86 \$25.00 Filing Fee Joint and Several	86-R121
85. Bloch, Harvey M. Ft. Lauderdale, FL NFA ID - 0085474	\$3,807.86 11.000% from 10/02/84 \$25.00 Filing Fee	R81-1212-82-217
	\$8,000.00 9.150% from 11/12/80 \$25.00 Filing Fee Joint and Several	83-R348
	\$9,335.91 8.570% from 08/25/85 \$25.00 Filing Fee	82-R649
	\$6,000.00 9.500% from 05/08/81 \$25.00 Filing Fee	84-R452
	\$344,500.00 7.570% from 08/01/80 \$25.00 Filing Fee	84-R246

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Bloch, Harvey M. (Continued)	\$18,402.34 7.060% from 09/09/80 Joint and Several	R81-729-81-757
	\$7,456.42 7.060% from 11/13/80 Joint and Several	R81-1370-82-210
	\$7,465.45 7.030% from 05/22/81 \$25.00 Filing Fee Joint and Several	R81-884-81-826
Bloch, Harvey M. Hallandale, FL NFA ID - 0085474	\$6,000.00 20.000% from 10/20/80 \$25.00 Filing Fee Joint and Several	R81-751-81-800
	\$8,525.00 20.000% from 01/01/81 Joint and Several	R81-576-81-589
	\$16,500.00 11.000% from 06/10/80 \$25.00 Filing Fee	R81-1292-82-136
	\$12,569.00 12.000% from 08/01/80 \$25.00 Filing Fee	R81-1185-82-37
	\$10,200.00 11.000% from 10/31/80 Joint and Several	R81-1247-82-165
	\$19,000.00 11.000% from 06/17/81 Joint and Several	83-R124
	\$9,839.08 11.000% from 09/05/80 \$25.00 Filing Fee Joint and Several	R81-1023-82-471
	\$21,552.71 16.000% from 09/15/80 \$25.00 Filing Fee	82-R620

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Bloch, Harvey M. (Continued)	\$4,028.90 7.570% from 01/07/81 \$25.00 Filing Fee Joint and Several	82-R209
	\$5,772.98 7.710% from 12/10/80 Joint and Several	R81-705-81-677
	\$14,687.61 7.000% from 12/05/80 \$25.00 Filing Fee Joint and Several	82-R467
Bloch, Harvey M. Miami, FL NFA ID - 0085474	\$4,925.24 12.000% from 04/15/81 \$25.00 Filing Fee Joint and Several	82-R470
Bloch, Harvey M. Miami Beach, FL NFA ID - 0085474	\$19,475.00 11.000% from 05/27/80	83-R198
Bloch, Harvey M. New York, NY Hallandale, FL NFA ID - 0085474	\$10,000.00 16.000% from 10/15/80 \$25.00 Filing Fee Joint and Several	R82-16-82-357
86. Bloch, Harvey M., Inc. Hallandale, FL NFA ID - 0105245	\$16,500.00 11.000% from 06/10/80 \$25.00 Filing Fee	R81-1292-82-136
87. Boily, John Charles Boston, MA NFA ID - 0088899	\$3,000.00 \$25.00 Filing Fee Joint and Several	86-R174
88. Bolard Precious Metals Corporation New York, NY	\$20,700.00 11.000% from 07/30/79 \$25.00 Filing Fee Joint and Several	R79-786-80-167
89. Booth, Calvin L. Honolulu, HI Date Effective : 07/22/90 NFA ID - 0197272	\$15,020.00	89-R371

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
90. Boratgis, Charles San Diego, CA Date Effective : 12/04/02 NFA ID - 0276277	\$54,000.00 1.730% from 05/05/99 \$250.00 Filing Fee Joint and Several	01-R054
91. Borell Financial Group, Inc. Margate, FL NFA ID - 0106773	\$800.00 11.000% from 04/28/82 \$25.00 Filing Fee	83-R601
Borell Financial Group, Inc. Pompano Beach, FL NFA ID - 0106773	\$2,500.00 11.000% from 10/31/81 \$25.00 Filing Fee Joint and Several	82-R773
92. Borell, Anthony Margate, FL NFA ID - 0060556	\$712.32 11.000% from 06/10/82 \$25.00 Filing Fee	83-R204
	\$800.00 11.000% from 04/28/82 \$25.00 Filing Fee	83-R601
	\$18,402.34 7.060% from 09/09/80 \$25.00 Filing Fee Joint and Several	R81-729-81-757
Borell, Anthony Pompano Beach, FL NFA ID - 0060556	\$2,500.00 11.000% from 10/31/81 \$25.00 Filing Fee Joint and Several	82-R773
93. Bork, Don New York, NY	\$22,019.00 6.000% from 07/01/79 to 01/31/80 12.000% from 02/01/80 to 01/31/82 20.000% from 02/01/82 to 12/31/82 16.000% from 01/01/83 \$25.00 Filing Fee Joint and Several	R81-89-81-227
94. BORRASSO, JOSEPH ANDREW IRVINE, CA Date Effective : 01/03/01 NFA ID - 0066813	\$3,759.00 6.241% from 05/29/99 \$50.00 Filing Fee Joint and Several	00-R110

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Branch, David Clinton (Continued) Date Effective : 10/12/93	\$11,200.00 Joint and Several 3.58% from date of investment	93-R019
Date Effective : 10/12/93	\$18,000.00 Joint and Several 3.58% from date of investment	93-R047
Date Effective : 01/13/94	\$2,179.00 3.380% \$25.00 Filing Fee Joint and Several	93-R120
Date Effective : 01/20/94	\$4,146.00 3.380% from 03/13/92 \$25.00 Filing Fee Joint and Several	93-R192
Branch, David Clinton Lantana, FL North Palm Beach, FL Date Effective : 02/07/94 NFA ID - 0215385	\$3,371.00 3.570% from 02/26/92 \$25.00 Filing Fee Joint and Several	93-R197
Date Effective : 03/31/94	\$5,963.00 3.670% from 04/28/92 \$200.00 Filing Fee Joint and Several	93-R194
Branch, David Clinton Lantana, FL Date Effective : 06/02/94 NFA ID - 0215385	\$11,800.96 4.220% from 03/31/92 \$200.00 Filing Fee Joint and Several	93-R203
Date Effective : 12/05/94	\$15,448.00 5.690% from 10/01/91 \$25.00 Filing Fee Joint and Several	94-R002
Date Effective : 12/27/94	\$5,132.00	93-R204
Date Effective : 02/17/95	\$44,108.76 \$50.00 Filing Fee Joint and Several	94-R189

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Branch, David Clinton Lantana Beach, FL North Palm Beach, FL Date Effective : 11/17/93 NFA ID - 0215385	\$10,767.00 3.430% from 02/28/91 \$25.00 Filing Fee Joint and Several	93-R155
Date Effective : 11/15/93	\$7,007.00 3.430% from 04/12/91 \$25.00 Filing Fee Joint and Several	93-R156
Branch, David Clinton North Palm Beach, FL Date Effective : 06/13/93 NFA ID - 0215385	\$7,535.00 3.210% from 01/04/91 \$100.00 Filing Fee Joint and Several	92-R050
100. Bratman, Frank I. Irvine, CA Newport Beach, CA Date Effective : 10/26/92 NFA ID - 0121747	\$91,644.64 6.930% from 01/01/84 \$200.00 Filing Fee	85-R107
101. Braxton, Jerry B. San Francisco, CA NFA ID - 0049726	\$9,677.50 7.140% from 01/20/88 \$100.00 Filing Fee	87-R329
102. Breinling, Stephen A. Chicago, IL Date Effective : 08/06/90 NFA ID - 0050120	\$2,977.00 8.700% from 03/28/88 \$100.00 Filing Fee Joint and Several	90-R142
103. Brendel, Irving Boca Raton, FL Date Effective : 04/01/90 NFA ID - 0041439	\$12,100.00 Joint and Several	89-R068
104. Brennan, Patrick J., III Ft. Lauderdale, FL Date Effective : 03/15/89 NFA ID - 0200482	\$7,500.00 8.320% from 06/27/87 \$25.00 Filing Fee Joint and Several	87-R390
105. BRESCIA, THOMAS ALBERT STATEN ISLAND, NY Date Effective : 12/27/01 NFA ID - 0276380	\$8,350.00 2.390% from 08/05/97 \$125.00 Filing Fee	00-R005
Date Effective : 12/27/01	\$8,350.00 2.390% from 08/05/97 \$125.00 Filing Fee	00-R017

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
106. Brown, Jerry Newport Beach, CA	\$2,326.50 11.000% from 04/15/81 \$25.00 Filing Fee	83-R336
107. Brown, Richard H. Oak Brook, IL Date Effective : 01/15/91 NFA ID - 0186752	\$7,787.57 7.540% from 07/29/85 \$200.00 Filing Fee	87-R225
108. Brown, Robert New York, NY	\$7,461.25 11.000% from 10/15/81 \$25.00 Filing Fee Joint and Several	82-R1031
109. Brown, Robert M. Malibu, CA NFA ID - 0060117	\$1,911.50 11.000% from 10/29/80	83-R112
110. Brown, Roosevelt Charles, Jr. a/k/a Brown, Charles Denver, CO NFA ID - 0187547	\$6,292.80 6.640% from 06/03/88 \$100.00 Filing Fee	86-R297
111. Brownrigg, John Bloomington, MN	\$276,880.00 16.000% from 08/01/79 \$25.00 Filing Fee Joint and Several	R81-1289-82-497
112. Bryant, James A., Jr. Pompono Beach, FL Date Effective : 06/22/89 NFA ID - 0179347	\$1,000.00 9.510% from 02/13/87 \$25.00 Filing Fee	89-R142
113. Buchbinder, Sam Skokie, IL NFA ID - 0009127	\$5,413.00 7.700% from 05/26/83 \$25.00 Filing Fee	84-R005
114. Bull Market Commodities, Inc. New York, NY NFA ID - 0106091 - 0086136	\$6,836.00 5.790% from 04/17/84 \$25.00 Filing Fee	84-R084
115. Burg, James Peter Santa Barbara, CA Date Effective : 03/22/91 NFA ID - 0186433	\$8,219.24	91-R016

RESPONDENT	JUDGEMENT	DOCKET NO.
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116. Burgmeier, Michael J. Barrington, IL NFA ID - 0047681	\$4,343.76 6.530% from 12/31/84 \$100.00 Filing Fee Joint and Several	86-R212
117. Burke, Robert John Lantana, FL Date Effective : 01/20/94 NFA ID - 0223339	\$4,146.00 3.380% from 03/13/92 \$25.00 Filing Fee Joint and Several	93-R192
118. Burleigh, Don Ft. Lauderdale, FL	\$1,080.00 11.000% from 04/07/82 Joint and Several	83-R583
119. Burns, John George a/k/a Jack Burns Rancho Santa Margari, CA Date Effective : 10/04/91 NFA ID - 0184897	\$56,020.00 6.390% from 01/01/87 \$25.00 Filing Fee Joint and Several	90-R061
120. Burns, Sean Bernard London, EN Date Effective : 07/16/93 NFA ID - 0176288	\$306.00 3.760% from 03/03/89 \$100.00 Filing Fee Joint and Several	91-R066
121. Burrell, Tony Hallandale, FL	\$19,475.00 11.000% from 05/27/80	83-R198
122. Burris, James D. a/k/a DeBurris, James San Diego, CA Date Effective : 04/16/92 NFA ID - 0089285	\$10,163.94 9.150% from 10/10/85 \$200.00 Filing Fee	88-R139
123. Busa, Duane Wm. Coconut Grove, FL Date Effective : 02/28/90 NFA ID - 0195471	\$5,012.00 7.660% from 07/21/87 \$25.00 Filing Fee	89-R375
124. Buzzi, Stephen Boston, MA	\$16,918.00 7.700% from 05/21/82 \$25.00 Filing Fee Joint and Several	82-R614
125. Byers, Dan Newport Beach, CA	\$8,000.00 7.030% from 07/30/80 \$25.00 Filing Fee	R81-582-81-680

RESPONDENT	JUDGEMENT	DOCKET NO.
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126. CA-NI INDUSTRIES, LTD. LAKE MARY, FL Date Effective : 03/21/01 NFA ID - 0237117	\$38,229.40 6.025% from 02/03/01 \$50.00 Filing Fee Joint and Several	00-R070
127. Cahoon, Francis Kaare a/k/a Cahoon, Kaare A. Hallandale, FL Date Effective : 06/24/91 NFA ID - 0151350	\$999.42	90-R222
128. Caldeira, Eduardo Pires Do Rio New York, NY Date Effective : 09/21/89 NFA ID - 0052240	\$591,581.00 8.160% from 01/15/88 \$200.00 Filing Fee	89-R217
129. California Commodity Futures International Marina Del Rey, CA Date Effective : 02/24/95 NFA ID - 0245019	\$100,000.00 7.220% from 03/08/94 \$250.00 Filing Fee Joint and Several	94-R184
Date Effective : 11/16/95	\$16,000.00 5.890% from 02/09/94 \$125.00 Filing Fee Joint and Several	95-R118
Date Effective : 04/18/96	\$15,000.00 5.160% from 11/19/93 \$50.00 Filing Fee Joint and Several	96-R009
130. Cambridge Financial Corporation Fort Lauderdale, FL Date Effective : 09/25/97 NFA ID - 0269421	\$2,887.80 \$50.00 Filing Fee	97-R065
Date Effective : 02/26/98	\$5,565.98 \$50.00 Filing Fee	97-R130
Cambridge Financial Corporation Ft. Lauderdale, FL Date Effective : 04/24/00 NFA ID - 0269421	\$16,000.00 5.400% from 12/01/96 \$250.00 Filing Fee	98-R004
131. Campbell, Colin S. Las Vegas, NV NFA ID - 0135041	\$7,113.12 11.000% from 08/31/82 Joint and Several	83-R111

RESPONDENT	JUDGEMENT	DOCKET NO.
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132. Campbell, J.S. & Co., Inc. Santa Ana, CA Newport Beach, CA Date Effective : 12/11/89 NFA ID - 0092582	\$100,000.00 6.710% from 06/01/84	85-R271
133. Campbell, J.S. & Co., Inc. Newport Beach, CA NFA ID - 0090278	\$36,209.51 5.750% from 04/24/84 \$200.00 Filing Fee	85-R276
134. Cane, Jeffrey Todd Baldwin, NY Date Effective : 04/14/00 NFA ID - 0235704	\$66,821.72 5.375% from 11/14/95 \$250.00 Filing Fee Joint and Several	97-R083
135. Capital Management Group, Inc. East Brunswick, NJ Date Effective : 05/12/94 NFA ID - 0235002	\$26,718.60 4.220% from 10/16/91 \$25.00 Filing Fee	94-R010
136. Capital Management Group, Inc. Chicago, IL Date Effective : 08/20/92 NFA ID - 0214982	\$14,276.62 4.260% from 11/01/90 Joint and Several	91-R242
137. Capital Options Investments, Inc. Hollywood, FL Date Effective : 02/09/89 NFA ID - 0199039	\$6,500.00 8.550% from 10/19/87 \$200.00 Filing Fee	88-R227
	Date Effective : 08/20/90 \$4,500.00 8.240% from 11/05/87 \$200.00 Filing Fee Joint and Several	88-R169
138. Carnevale, Richard Everett, MA	\$12,500.00 11.000% from 06/28/79 \$25.00 Filing Fee Joint and Several	R80-985-80-623
139. Caron, Michael Louis Owatonna, MN NFA ID - 0030946	\$2,499.00 6.900% from 10/03/86 \$100.00 Filing Fee Joint and Several	87-R019

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
140. Carrillo, James M. Santa Ana, CA Date Effective : 01/19/91 NFA ID - 0198514	\$68,317.30 7.280% from 11/19/90 Joint and Several	90-R136
141. Carroll, Kevin F. Franklin Lakes, NJ NFA ID - 0056255	\$8,716.45 11.000% from 08/18/82 Joint and Several	83-R207
Carroll, Kevin F. Ft. Lauderdale, FL NFA ID - 0056255	\$126,253.00 11.000% from 11/27/84 Joint and Several	83-R047
142. Carruthers, David R. Newport Beach, CA NFA ID - 0038930	\$14,739.84 6.310% from 11/01/82 \$25.00 Filing Fee	85-R092
	\$51,725.83 5.930% from 01/01/83 \$200.00 Filing Fee Joint and Several	85-R185
143. Carter, John W. Boston, MA	\$13,791.99 20.000% from 01/03/79 \$25.00 Filing Fee Joint and Several	82-R177
144. Casciato, David B. Goleta, GA Date Effective : 02/10/91 NFA ID - 0180228	\$24,500.00 Joint and Several	90-R244
145. Cash, Ken Hallandale, FL	\$4,925.24 12.000% from 04/15/81 \$25.00 Filing Fee Joint and Several	82-R470
146. Castle Commodities Corporation Vienna, VA Date Effective : 12/16/97 NFA ID - 0217352	\$32,536.00 6.060% from 08/31/92 \$250.00 Filing Fee	95-R030
147. CAVANAGH, PATRICK J. PALM BEACH GARDENS, FL Date Effective : 07/30/01 NFA ID - 0285506	\$15,278.10 6.280% from 08/04/98 \$125.00 Filing Fee Joint and Several	99-R045
148. Cayman Associates, Ltd. Chicago, IL	\$1,627.00 20.000% from 04/25/78 \$25.00 Filing Fee	R80-568-81-207

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
149. Cayman Associates, Ltd. Lombard, IL	\$4,579.70 12.000% from 02/10/84 \$25.00 Filing Fee	R79-324-79-523
	\$3,500.00 12.000% from 05/19/78 \$25.00 Filing Fee Joint and Several	R79-441-80-168
	\$4,670.07 12.000% from 02/05/79 \$25.00 Filing Fee Joint and Several	R79-201-79-355
150. Cayman Associates, Ltd. North Lake, IL	\$2,392.50 20.000% from 11/15/78 \$25.00 Filing Fee	R80-319-81-566
	\$3,900.00 6.000% from 11/16/78 to 01/31/80 12.000% from 02/01/80 to 01/31/82 20.000% from 02/01/82 to 12/31/82 16.000% from 01/01/83 \$25.00 Filing Fee Joint and Several	R79-383-80-109
151. Cecil, Carol Ann Chicago, IL Date Effective : 11/11/91 NFA ID - 0206178	\$15,212.00 5.680% from 11/18/89 \$25.00 Filing Fee	91-R174
152. Centennial Commodities, Inc. Phoenix, AZ Date Effective : 09/25/89 NFA ID - 0085954	\$66,477.04 8.160% from 10/01/84 \$200.00 Filing Fee	89-R239
153. CenterCorp Futures a/k/a Michele A. Alexander Jupiter, FL Date Effective : 01/14/89 NFA ID - 0176217	\$1,975.00 8.550% from 01/28/88 \$25.00 Filing Fee	88-R330
154. Cercone, Lou Boston, MA	\$6,450.00 20.000% from 05/11/79 \$25.00 Filing Fee Joint and Several	R81-319-81-523

RESPONDENT	JUDGEMENT	DOCKET NO.
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155. Certified Commodities, Inc. Crown Point, IN NFA ID - 0086144	\$850.00 7.590% from 07/20/87 \$25.00 Filing Fee	88-R102
156. Chan, Jason D. Chicago, IL Date Effective : 08/31/89 NFA ID - 0199019	\$3,341.96 9.150% from 01/18/88 \$100.00 Filing Fee Joint and Several	89-R194
Chan, Jason Douglas Chicago, IL Date Effective : 12/02/91 NFA ID - 0199019	\$9,961.60	91-R187
157. Chang, Way San Francisco, CA Date Effective : 06/18/98 NFA ID - 0279619	\$12,026.70 5.391% from 09/30/97 \$125.00 Filing Fee	98-R059
158. Charles, Robert Futures, Inc. Bellevue, WA Date Effective : 04/05/91 NFA ID - 0002455	\$1,599.65 6.620% from 01/15/87 \$100.00 Filing Fee	88-R300
159. Charles, Steven New York, NY	\$1,113.74 10.000% from 11/30/82 \$25.00 Filing Fee	83-R784
160. Charrier, Michael E. New York, NY NFA ID - 0076479	\$1,113.74 10.000% from 11/30/82 \$25.00 Filing Fee	83-R784
161. Chartered Systems Corp. of New York, Ltd. New York, NY NFA ID - 0106687 - 0103793	\$5,976.00 11.000% from 10/31/80 \$25.00 Filing Fee	83-R851
	\$7,580.00 11.000% from 12/31/81 \$25.00 Filing Fee	83-R754

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Chartered Systems Corp. of New York, Ltd. (Continued)	\$9,000.00 7.000% from 08/19/77 to 01/31/78 6.000% from 02/01/78 to 01/31/80 12.000% from 02/01/80 to 01/31/82 20.000% from 02/01/82 to 12/31/82 16.000% from 01/01/83 \$25.00 Filing Fee	R79-53-79-376
	\$4,925.00 11.000% from 12/04/81 \$25.00 Filing Fee Joint and Several	82-R287
	\$12,107.30 7.700% from 02/01/80	R81-1353-82-337
	\$4,650.00 8.980% from 09/19/80	R81-648-81-592
	\$8,350.00 6.000% from 01/25/78 to 01/31/80 12.000% from 02/01/80 to 01/31/82 16.000% from 01/01/83 Joint and Several	R80-333-81-37
	\$4,900.00 16.000% from 11/09/81 \$25.00 Filing Fee Joint and Several	82-R488
	\$10,500.00 5.630% from 09/09/77 \$25.00 Filing Fee Joint and Several	R79-590-80-511
	\$5,951.00 5.560% from 02/05/81 \$25.00 Filing Fee Joint and Several	R81-897-81-725

RESPONDENT	JUDGEMENT	DOCKET NO.
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Chartered Systems Corp. of New York, Ltd. (Continued)	\$13,553.40 5.630% from 08/20/80 \$25.00 Filing Fee	R81-351-81-340
162. Chasm, Thomas A. Salem, OR NFA ID - 0032518	\$7,330.80 7.140% from 04/11/86 \$25.00 Filing Fee	86-R139
163. Chen, William Springfield, VA Date Effective : 01/20/95 NFA ID - 0249242	\$6,000.00 \$50.00 Filing Fee Joint and Several	94-R163
164. Cherry, Patricia Dallas, TX Richardson, TX	\$3,750.00 11.000% from 03/09/81	83-R260
165. Cheung, Curtis K. New York, NY Date Effective : 07/18/94 NFA ID - 0098901	\$70,800.00 5.020% from 01/01/90 \$25.00 Filing Fee	94-R059
Date Effective : 10/03/94	\$60,000.00 5.310% from 09/01/93 \$100.00 Filing Fee	94-R098
166. Chicago Commodity Corporation Miami Beach, FL Date Effective : 04/27/89 NFA ID - 0086366	\$8,194.08	88-R402
Chicago Commodity Corporation Miami Beach, FL N. Bay Village, FL Date Effective : 03/09/90 NFA ID - 0086366	\$4,775.00 7.660% from 08/19/87 \$100.00 Filing Fee Joint and Several	88-R377
Chicago Commodity Corporation N. Bay Village, FL Date Effective : 05/13/89 NFA ID - 0086366	\$8,395.00 9.320% from 04/27/87 \$100.00 Filing Fee Joint and Several	88-R094
Date Effective : 12/07/89	\$7,186.00 8.190% from 11/05/86 \$100.00 Filing Fee	89-R162

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Chicago Commodity Corporation (Continued) Date Effective : 01/28/90	\$2,800.00 Joint and Several	89-R234
Date Effective : 05/02/90	\$107,975.58 7.970% from 08/31/88 \$200.00 Filing Fee Joint and Several	89-R292
Date Effective : 05/23/90	\$6,003.77 8.360% from 02/01/88 \$100.00 Filing Fee Joint and Several	89-R155
Date Effective : 07/16/90	\$8,452.07 Joint and Several	90-R47
Chicago Commodity Corporation North Bay Village, FL Date Effective : 05/30/90 NFA ID - 0086366	\$7,311.82 8.360% from 02/11/88 \$25.00 Filing Fee Joint and Several	89-R127
Date Effective : 05/23/90	\$9,920.80 9.970% from 08/01/87 \$25.00 Filing Fee Joint and Several	89-R294
Date Effective : 10/06/90	\$6,190.16 7.880% from 05/01/87 Joint and Several	89-R221
Date Effective : 10/06/90	\$13,700.00	90-R104
Date Effective : 03/18/91	\$21,500.00 6.620% from 08/05/87 Joint and Several	90-R015
Date Effective : 05/24/91	\$26,898.00 6.460% from 04/20/88	89-R123
Date Effective : 10/10/91	\$1,395.64 6.260% from 05/13/87 \$25.00 Filing Fee Joint and Several	89-R281

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Chicago Commodity Corporation (Continued) Date Effective : 08/03/92	\$5,185.00 4.400% from 04/26/88 \$25.00 Filing Fee Joint and Several	90-R188
167. Chilmark Commodities Corp. New York, NY Date Effective : 05/13/91 NFA ID - 0177592	\$24,077.88 6.210% from 04/04/88 \$200.00 Filing Fee Joint and Several	88-R358
Chilmark Commodities Corp. Miami, FL New York, NY Date Effective : 06/16/89 NFA ID - 0177592	\$6,410.00 9.430% from 05/15/87 \$100.00 Filing Fee	89-R21
Date Effective : 12/14/89	\$8,168.10 8.270% from 07/16/87 \$200.00 Filing Fee Joint and Several	89-R13
Date Effective : 12/07/89	\$9,575.00 8.160% from 10/28/86 \$25.00 Filing Fee	88-R431
Chilmark Commodities Corp. Miami, FL Date Effective : 05/20/90 NFA ID - 0177592	\$4,381.13 8.360% from 04/23/87 \$25.00 Filing Fee Joint and Several	89-R226
Chilmark Commodities Corp. New York, NY NFA ID - 0177592	\$7,250.00 7.950% from 07/27/87	88-R49
	\$10,000.00 8.040% from 03/31/87	88-R23
Date Effective : 01/09/89	\$3,751.00 8.150% from 03/05/87 \$25.00 Filing Fee Joint and Several	88-R144
Date Effective : 12/30/88	\$10,796.74 8.150% from 12/13/87 Joint and Several	88-R256

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Chilmark Commodities Corp. (Continued) Date Effective : 02/09/89	\$4,977.00 8.550% from 11/11/87 \$100.00 Filing Fee Joint and Several	88-R140
Date Effective : 02/11/89	\$6,000.00 8.150% from 05/12/87 \$200.00 Filing Fee Joint and Several	88-R50
Date Effective : 02/13/89	\$8,000.00 8.550% from 08/28/86 \$100.00 Filing Fee Joint and Several	88-R165
Date Effective : 03/09/89	\$10,000.00 8.320% from 09/11/86 \$100.00 Filing Fee Joint and Several	88-R132
Date Effective : 03/09/89	\$12,106.00 9.200% from 09/04/86 \$200.00 Filing Fee Joint and Several	88-R88
Date Effective : 03/15/89	\$7,500.00 8.320% from 06/27/87 \$25.00 Filing Fee Joint and Several	87-R390
Date Effective : 03/29/89	\$8,503.11 9.160% from 03/05/87 \$100.00 Filing Fee Joint and Several	88-R130
Date Effective : 04/15/89	\$19,829.79 9.160% from 11/04/86 \$25.00 Filing Fee Joint and Several	88-R72
Date Effective : 04/09/89	\$2,285.00 9.160% \$25.00 Filing Fee	88-R85
Date Effective : 04/22/89	\$15,357.00 9.320% from 07/09/87 \$200.00 Filing Fee	88-R264

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Chilmark Commodities Corp. (Continued) Date Effective : 04/22/89	\$5,298.69 9.320% from 04/29/87 \$200.00 Filing Fee	88-R346
Date Effective : 05/13/89	\$18,956.90 9.430% from 10/24/86 \$25.00 Filing Fee Joint and Several	88-R197
Date Effective : 05/10/89	\$23,300.00 Joint and Several	88-R384
Date Effective : 05/28/89	\$3,879.00 9.430% from 03/19/87 \$100.00 Filing Fee	89-R120
Date Effective : 05/24/89	\$19,752.00 9.430% from 09/11/86 Joint and Several	87-R209
Chilmark Commodities Corp. New York, NY Miami, FL Date Effective : 07/27/89 NFA ID - 0177592	\$9,809.99 9.150% from 01/29/88 \$100.00 Filing Fee	89-R163
Date Effective : 07/24/89	\$2,685.68 9.150% from 11/13/86 \$25.00 Filing Fee	88-R378
Date Effective : 07/28/89	\$4,727.25 9.150% from 10/06/87 \$25.00 Filing Fee Joint and Several	88-R251
Date Effective : 07/17/89	\$2,184.00 9.150% from 01/29/88 \$100.00 Filing Fee Joint and Several	88-R212
Date Effective : 07/24/89	\$7,243.00 9.150% from 07/08/86 \$25.00 Filing Fee	89-R89
Chilmark Commodities Corp. New York, NY Date Effective : 08/31/89 NFA ID - 0177592	\$3,111.41 8.850% from 06/01/87 \$25.00 Filing Fee Joint and Several	88-R341

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Chilmark Commodities Corp. (Continued) Date Effective : 08/26/89	\$4,361.10 8.850% from 03/06/86 \$25.00 Filing Fee Joint and Several	88-R288
Date Effective : 08/31/89	\$6,762.74 8.850% from 09/16/86 \$25.00 Filing Fee Joint and Several	88-R404
Date Effective : 09/14/89	\$8,000.00 8.850% from 11/02/87 \$25.00 Filing Fee	89-R117
Date Effective : 09/17/89	\$1,933.28 8.850% from 08/10/87 \$25.00 Filing Fee	89-R225
Date Effective : 09/25/89	\$4,000.00 8.150% from 01/01/86 \$25.00 Filing Fee	89-R25
Date Effective : 09/28/89	\$8,644.00 8.850% from 09/04/86 \$100.00 Filing Fee \$227.20	88-R355
Date Effective : 10/16/89	\$9,959.62 7.590% from 04/01/87 \$25.00 Filing Fee	88-R10
Date Effective : 10/29/89	\$15,500.00 7.750% from 06/10/87 \$200.00 Filing Fee Joint and Several \$500.00	88-R452
Date Effective : 11/30/89	\$7,289.00 8.270% from 09/23/86 \$25.00 Filing Fee Joint and Several	89-R333
Date Effective : 01/09/90	\$9,967.75 7.900% from 11/17/87 \$200.00 Filing Fee Joint and Several	89-R351

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Chilmark Commodities Corp. (Continued) Date Effective : 12/16/89	\$2,585.00 8.190% from 01/30/88 \$100.00 Filing Fee	89-R332
Date Effective : 01/07/90	\$15,506.64 7.900% from 09/29/88 \$25.00 Filing Fee Joint and Several	89-R350
Date Effective : 12/23/89	\$9,900.00 \$25.00 Filing Fee plus interest from 5/4/87	89-R203
Date Effective : 01/01/90	\$17,596.00 7.900% from 08/20/87	89-R354
Date Effective : 01/20/90	\$600.00 7.900% from 02/03/88 \$25.00 Filing Fee Joint and Several	89-R363
Date Effective : 01/09/90	\$5,000.00 7.900% from 02/10/88 \$100.00 Filing Fee Joint and Several	89-R002
Date Effective : 02/17/90	\$116,798.12 7.690% from 12/15/87 \$200.00 Filing Fee 1072.65 Transcript Costs	89-R110
Date Effective : 02/17/90	\$2,185.75 7.690% from 07/28/87 \$200.00 Filing Fee	89-R60
Date Effective : 04/27/90	\$1,753.02 7.970% from 12/18/87 \$25.00 Filing Fee Joint and Several	89-R314
Date Effective : 04/22/90	\$5,099.13 7.970% from 12/28/87 \$100.00 Filing Fee Joint and Several	90-R26

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Chilmark Commodities Corp. (Continued) Date Effective : 07/25/90	\$6,011.60 8.700% from 01/21/88 \$25.00 Filing Fee	90-R108
Date Effective : 09/11/90	\$7,029.28 8.090% from 09/12/86 \$100.00 Filing Fee	89-R76
Date Effective : 11/05/90	\$6,135.00 7.880% Joint and Several costs \$100.00	89-R219
Date Effective : 01/28/91	\$22,354.83 7.280% from 04/01/87 Joint and Several	89-R173
Date Effective : 01/31/91	\$35,625.62 7.280% from 08/28/87 \$200.00 Filing Fee	88-R278
Date Effective : 02/20/91	\$9,110.78 7.020% from 09/08/87 \$200.00 Filing Fee Joint and Several	88-R290
Date Effective : 04/14/91	\$28,316.33 6.210% from 01/28/88 Joint and Several	90-R130
Date Effective : 05/13/91	\$4,000.00 6.210% from 01/14/88 \$200.00 Filing Fee Joint and Several	88-R358
Date Effective : 06/14/91	\$43,198.00 6.260% from 12/01/87 Joint and Several	89-R204
Date Effective : 09/23/91	\$12,738.00 6.390% from 12/24/87 \$25.00 Filing Fee Joint and Several	89-R033
Date Effective : 09/09/91	\$9,930.00 6.390% from 06/23/88	90-R235

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Chilmark Commodities Corp. (Continued) Date Effective : 12/02/91	\$6,800.00 5.680% from 06/20/88 \$200.00 Filing Fee Joint and Several	90-R200
168. Chippas, William Matthew Boynton Beach, FL Date Effective : 05/11/98 NFA ID - 0216717	\$687.50 5.650% from 09/06/96 \$125.00 Filing Fee	97-R080
169. Church, Richard Sunrise, FL NFA ID - 0039949	\$12,000.00 7.710% from 09/02/82	83-R049
170. Churchill Group, Inc. Miami, FL Date Effective : 10/30/90 NFA ID - 0211644	\$83,500.00 7.950% from 08/01/88 \$200.00 Filing Fee	90-R206
Date Effective : 07/10/91	\$6,000.00 6.070% from 11/04/88 \$100.00 Filing Fee	91-R076
Date Effective : 11/01/92	\$20,000.00 5.420% from 08/01/89	91-R142
171. Cinna, Michael A. Ft. Lauderdale, FL NFA ID - 0038880	\$7,002.03 11.000% from 11/29/81 Joint and Several	83-R152
172. Citadel Trading Co., Ltd. Clayton, MO Chicago, IL Collinsville, IL	\$3,569.00 12.000% from 08/06/84 \$25.00 Filing Fee	R78-464-79-144
173. Cleveland, Chuck San Francisco, CA NFA ID - 0055097	\$6,332.12 6.560% from 02/16/83 \$25.00 Filing Fee Joint and Several	83-R344
174. CMB Capital Management Corp. Flushing, NY Date Effective : 04/22/98 NFA ID - 0267339	\$25,860.35 5.232% from 04/25/97 \$125.00 Filing Fee	98-R026

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
CMB Capital Management Corp. (Continued) Date Effective : 04/17/98	\$6,690.00 5.232% from 05/22/97 \$125.00 Filing Fee Joint and Several	97-R154
Date Effective : 04/22/98	\$10,979.21 5.232% from 04/25/97 \$125.00 Filing Fee	98-R028
Date Effective : 07/17/98	\$23,585.35 5.407% from 04/25/97 \$125.00 Filing Fee	98-R027
Date Effective : 07/17/98	\$6,860.14 5.407% from 04/18/97 \$125.00 Filing Fee	98-R025
Date Effective : 11/06/98	\$8,732.28 5.271% from 04/30/97 \$125.00 Filing Fee Joint and Several	98-R139
175. Coastal Commodities Corp. Key West, FL Date Effective : 02/28/00 NFA ID - 0278483	\$15,270.25 5.670% from 04/03/98 \$125.00 Filing Fee Joint and Several	99-R069
Date Effective : 11/02/00	\$29,134.00 6.241% from 12/09/97 \$125.00 Filing Fee Joint and Several	00-R065
176. Cohen, Bernard Providence, RI NFA ID - 0015533	\$2,063.46 10/30/81 Varying Int. \$25.00 Filing Fee	82-R343
	\$7,000.00 20.000% from 01/18/82 Joint and Several	82-R349
	\$14,000.00 20.000% from 01/18/82 Joint and Several	82-R329
	\$2,063.46 10/30/81 Varying Int. \$25.00 Filing Fee	82-R449

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Cohen, Bernard (Continued)	\$2,063.46 10/30/81 Varying Int.	82-R379
	\$14,000.00 20.000% from 01/18/82 Joint and Several	82-R323
	\$7,000.00 20.000% from 01/18/82 Joint and Several	82-R350
	\$3,095.19 10/30/81 Varying Int. \$25.00 Filing Fee	82-R222
177. COHEN, BRIAN DAVID HOLLYWOOD, FL Date Effective : 05/04/01 NFA ID - 0258135	\$13,504.38 6.025% \$125.00 Filing Fee Joint and Several	00-R053
178. Cohen, Neil L. Providence, RI NFA ID - 0058641	\$7,000.00 20.000% from 01/18/82 Joint and Several	82-R350
	\$14,000.00 20.000% from 01/21/82 Joint and Several	82-R329
	\$14,000.00 20.000% from 01/18/82 Joint and Several	82-R323
	\$7,000.00 20.000% from 01/18/82 Joint and Several	82-R349
179. Colasurdo, Michael John Fort Lauderdale, FL Date Effective : 12/30/91 NFA ID - 0005315	\$8,894.26	90-R070
180. Cole, Jack New York, NY	\$8,800.00 Joint and Several	83-R243
181. Collier, Mark William Chicago, IL NFA ID - 0015943	\$6,500.00 11.000% from 05/01/83 \$25.00 Filing Fee	84-R152

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
182. Commodity Brokerage Services, Inc. Marshall, MN NFA ID - 0086152	\$2,499.00 6.900% from 10/03/86 \$100.00 Filing Fee Joint and Several	87-R019
183. Commodity Fluctuations Systems, Inc. New York, NY NFA ID - 0001201	\$4,755.00 11.000% from 04/05/83	84-R373
	\$3,050.00 8.180% from 06/23/83 \$25.00 Filing Fee Joint and Several	83-R794
	\$4,280.00 7.710% from 05/27/83 \$25.00 Filing Fee Joint and Several	83-R901
	\$5,100.00 7.030% from 09/20/83 \$100.00 Filing Fee Joint and Several	85-R017
	\$4,350.00 7.870% from 07/23/82 \$25.00 Filing Fee Joint and Several	84-R340
	\$10,698.80 7.870% from 07/12/83 \$25.00 Filing Fee Joint and Several	84-R366
	\$15,958.25 7.700% from 05/12/83 \$25.00 Filing Fee Joint and Several	84-R118
	\$47,000.00 5.750% from 11/08/82 \$200.00 Filing Fee Joint and Several	84-R514
	\$6,000.00 7.710% from 08/03/83 \$25.00 Filing Fee Joint and Several	84-R481

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Commodity Fluctuations Systems, Inc. (Continued)	\$41,346.21 6.300% from 10/01/83 \$200.00 Filing Fee Joint and Several	85-R264
184. Commodity Futures Consultants, Inc. Ft. Lauderdale, FL Date Effective : 03/29/89 NFA ID - 0202879	\$4,518.63 9.160% from 11/09/87 \$100.00 Filing Fee	89-R41
185. Commodity Management Corporation Salt Lake City, UT	\$2,000.00 12.000% from 12/15/80 to 01/31/82 20.000% from 02/01/82 to 12/31/82 16.000% from 01/01/83 to 06/30/83 11.000% from 07/01/83 \$25.00 Filing Fee Joint and Several as to the first \$1,200.00	82-R295
186. Commodity Management Services, Inc. New York, NY	\$11,761.00 11.000% from 12/04/80 \$25.00 Filing Fee Joint and Several	82-R356
187. Commodity Marketing Associates, Inc. N. Miami Beach, FL NFA ID - 0002721	\$9,256.06 6.640% from 08/17/87 \$100.00 Filing Fee	85-R028
188. Commodity Option Specialists, Inc. Miami, FL N. Bay Village, FL Date Effective : 03/09/90 NFA ID - 0198575	\$4,775.00 7.660% from 08/19/87 \$100.00 Filing Fee Joint and Several	88-R377
Commodity Option Specialists, Inc. North Bay Village, FL Date Effective : 01/28/90 NFA ID - 0198575	\$2,800.00 Joint and Several	89-R234

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Commodity Option Specialists, Inc. (Continued) Date Effective : 05/02/90	\$107,975.58 7.970% from 08/31/88 \$200.00 Filing Fee Joint and Several	89-R292
Date Effective : 05/30/90	\$7,311.82 8.360% from 02/11/88 \$25.00 Filing Fee Joint and Several	89-R127
Date Effective : 07/16/90	\$8,452.07 Joint and Several	90-R47
Date Effective : 10/06/90	\$6,190.16 7.880% from 05/01/87 Joint and Several	89-R221
Date Effective : 10/01/90	\$13,700.00	90-R104
Date Effective : 03/18/91	\$21,500.00 6.620% from 08/05/87 Joint and Several	90-R015
Date Effective : 05/24/91	\$26,898.00 6.460% from 04/20/88	89-R123
Date Effective : 10/10/91	\$1,395.64 6.260% from 05/13/87 \$25.00 Filing Fee Joint and Several	89-R281
189. Commodity Resources, Inc. New York, NY	\$32,764.13 16.000% from 06/17/80 \$25.00 Filing Fee Joint and Several	82-R828
	\$12,000.00 7.060% from 07/16/80 \$25.00 Filing Fee	R81-1161-82-43
190. Commodity Trading Company Detroit, MI	\$3,124.66 11.000% from 08/31/82 \$25.00 Filing Fee	83-R588
191. Commodity Trading Corporation Southfield, MI	\$3,086.25 11.000% from 09/13/82 \$25.00 Filing Fee	83-R518

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Commodity Trading Corporation (Continued)	\$2,500.00 12.000% from 12/31/81 \$25.00 Filing Fee Joint and Several	82-R607
	\$9,464.10 5.770% from 07/02/82 \$100.00 Filing Fee	84-R440
192. Commonwealth Commodities Corporation Chicago, IL NFA ID - 0105128	\$754.00 7.910% from 05/17/83 \$25.00 Filing Fee Joint and Several	84-R093
	\$5,655.17 10.080% from 08/25/83 \$25.00 Filing Fee Joint and Several	84-R233
	\$3,350.44 10.080% from 05/20/83 \$25.00 Filing Fee Joint and Several	83-R865
	\$25,575.44 9.150% from 06/01/83 Joint and Several	83-R746
	\$5,000.00 11.000% from 08/17/83 \$25.00 Filing Fee	84-R058
	\$29,389.21 6.350% from 07/29/86 \$25.00 Filing Fee Joint and Several	84-R050
	\$987.20 5.770% from 01/29/87 \$100.00 Filing Fee	85-R091
Commonwealth Commodities Corporation Chicago, IL NFA ID - 0000572	\$5,413.00 7.700% from 05/26/83 \$25.00 Filing Fee	84-R005

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Commonwealth Commodities Corporation (Continued)	\$13,114.96 6.560% from 07/01/83 Joint and Several	84-R333
193. Commonwealth Financial Group, Inc. Fort Lauderdale, FL Date Effective : 03/25/98 NFA ID - 0238065	\$13,599.96 5.341% from 12/31/94 \$125.00 Filing Fee Joint and Several	97-R155
Date Effective : 05/26/98	\$18,184.03 5.650% from 11/22/94 \$125.00 Filing Fee Joint and Several	95-R135
Date Effective : 09/16/98	\$4,443.54 5.413% from 02/08/96 \$125.00 Filing Fee Joint and Several	98-R121
Commonwealth Financial Group, Inc. Ft. Lauderdale, FL Date Effective : 02/09/98 NFA ID - 0238065	\$2,641.00 5.420% from 12/06/96 \$50.00 Filing Fee	97-R125
Date Effective : 02/24/99	\$5,600.00 4.513% from 10/04/96 \$9,802.00 4.513% from 10/04/96 \$125.00 Filing Fee Joint and Several	99-R005
194. Comvest Trading Corporation Saugus, MA	\$1,395.64 12.000% from 04/30/79 \$25.00 Filing Fee Joint and Several \$346.00 12.000% from 04/30/79	R79-568-80-103
	\$5,000.00 12.000% from 05/08/79 \$25.00 Filing Fee	R79-602-80-120
195. Comvest Trading Corporation, Inc. Saugus, MA	\$2,255.00 12.000% from 02/15/79 \$25.00 Filing Fee Joint and Several	R79-611-80-116

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Comvest Trading Corporation, Inc. (Continued)	\$16,470.00 8.000% from 04/11/79 \$3,800.00 8.000% from 04/16/79	R79-531-80-328
196. Comvest, Inc. Saugus, MA	\$1,865.00 12.000% from 04/30/79 \$25.00 Filing Fee Joint and Several \$346.00 12.000% from 04/30/79	R79-568-80-103
	\$12,500.00 11.000% from 06/28/79 \$25.00 Filing Fee Joint and Several	R80-985-80-623
	\$1,495.00 12.000% from 04/30/79 \$25.00 Filing Fee	R79-660-80-218
	\$5,615.00 16.000% from 03/01/79 \$25.00 Filing Fee	R80-351-80-504
197. Concorde Trading Group, Inc. Ft. Lauderdale, FL Date Effective : 05/10/02 NFA ID - 0210383	\$23,475.00 2.240% from 10/20/98 \$125.00 Filing Fee	00-R116
Date Effective : 08/01/02	\$38,478.00 2.400% from 05/24/02	01-R045
Date Effective : 08/26/02	\$7,753.00 2.320% from 12/03/99 Joint and Several	01-R086
Date Effective : 09/09/02	\$18,700.00 2.130% from 08/04/99 \$125.00 Filing Fee	00-R098

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Concorde Trading Group, Inc. (Continued) Date Effective : 09/13/02	\$9,313.00 \$125.00 Filing Fee \$4,710.00 2.320% from 09/30/99 \$1,750.00 2.320% from 12/07/99 \$2,853.00 from 12/09/99	02-R008
Date Effective : 10/04/02	\$13,893.00 2.390% from 10/07/98 \$125.00 Filing Fee	02-R045
Date Effective : 10/23/02	\$8,520.00 1.670% from 06/16/00 \$125.00 Filing Fee	01-R066
Concorde Trading Group, Inc. Ft. Lauderdale, FL N. Miami Beach, FL Date Effective : 12/04/02 NFA ID - 0210383	\$54,000.00 1.730% from 05/06/99 \$250.00 Filing Fee Joint and Several	01-R054
*Concorde Trading Group, Inc. North Miami Beach, FL Ft. Lauderdale, FL Date Effective : 01/16/03 NFA ID - 0210383	\$18,609.00 1.510% from 06/19/00 \$125.00 Filing Fee	02-R057
198. Connelly, Brian Boxford, MA	\$1,000.00 12.000% from 02/01/79 \$25.00 Filing Fee	R79-660-80-218
199. Connole, Marc E. Chicago, IL NFA ID - 0047803	\$568.93 5.790% from 02/17/83 Joint and Several	84-R337
200. Connolly, David A. Quincy, MA NFA ID - 0180907	\$21,800.00 6.710% from 02/01/85 \$25.00 Filing Fee Joint and Several	87-R91

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Connolly, David A. Teaneck, NJ NFA ID - 0180907	\$34,723.82 7.540% from 08/23/85 \$200.00 Filing Fee	87-R95
201. Connolly, David C. Boston, MA NFA ID - 0052612	\$129,750.25 7.220% from 12/17/82 \$200.00 Filing Fee Joint and Several	85-R012
Connolly, David C. Teaneck, NJ NFA ID - 0052612	\$5,259.00 7.540% from 04/08/86 \$25.00 Filing Fee	88-R191
202. Contemporary Financial Corp. Dallas, TX NFA ID - 0000752	\$12,750.00 11.000% from 08/01/83	83-R913
203. Conti, Rose Fair Lawn, NJ	\$4,002.00 16.000% from 10/28/80 \$25.00 Filing Fee Joint and Several	R81-779-82-132
204. Cook, Teddi Jo Huntington Beach, CA Date Effective : 11/01/93 NFA ID - 0201265	\$5,174.52 3.430% from 04/20/89 \$25.00 Filing Fee	91-R195
205. Coppi, Ron Des Moines, IA	\$1,049.80 12.000% from 07/28/80 to 01/31/82 20.000% from 02/01/82 to 12/31/82 16.000% from 01/01/83 to 06/30/83 11.000% from 07/01/73 \$25.00 Filing Fee	R81-622-81-727
206. Coughlin, Kevin J. Boston, MA NFA ID - 0184953	\$66,870.95 7.220% from 03/15/85 \$200.00 Filing Fee Joint and Several	87-R75
Coughlin, Kevin J. South Boston, MA NFA ID - 0184953	\$76,564.90 7.000% from 09/14/84 \$200.00 Filing Fee Joint and Several	86-R209

RESPONDENT	JUDGEMENT	DOCKET NO.
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207. COX, DARRYL SYLVESTER ANAHEIM, CA Date Effective : 12/13/01 NFA ID - 0243709	\$29,595.50 2.490% from 12/03/99 \$125.00 Filing Fee	01-R086
208. Cox, Eugene S. Virginia Beach, VA NFA ID - 0110362	\$15,958.25 7.700% from 05/12/83 \$25.00 Filing Fee Joint and Several	84-R118
209. Cullen, Edward T., Jr. Woodhaven, NY NFA ID - 0084776	\$10,698.80 6.980% from 07/12/83 \$25.00 Filing Fee Joint and Several	84-R366
210. Cullin, Edward S. Dunwoody, GA Date Effective : 06/18/90 NFA ID - 0186891	\$3,242.90 8.320% from 06/10/88 \$25.00 Filing Fee	89-R81
211. Cunningham, John New York, NY	\$6,500.00 16.000% from 06/01/80 \$25.00 Filing Fee	R80-1212-81-313
212. Curtis, G. Management, Inc. a/k/a Gulf Commodity Management, Inc. Farris, TX NFA ID - 0000164	\$12,750.00 11.000% from 08/01/83	83-R913
213. Curtiss, Anthony Glenn Hialeah, FL Date Effective : 01/09/90 NFA ID - 0077478	\$9,967.75 7.900% from 11/17/87 \$200.00 Filing Fee Joint and Several	89-R351
214. Curvin, Marcus Stephen Nashville, TN Date Effective : 12/01/94 NFA ID - 0237072	\$3,651.78 5.690% from 06/23/93 \$125.00 Filing Fee	94-R155
215. Daley, Morgan J., Corporation St. Louis, MO NFA ID - 0104405	\$2,000.00 02/28/80 Varying Int. \$25.00 Filing Fee	82-R331
216. Dameron, Dana Ft. Lauderdale, FL NFA ID - 0039108	\$20,000.00 12.000% from 01/11/80 \$25.00 Filing Fee Joint and Several	R81-62-81-203

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
217. Dameron, Dana Sickerville, NJ	\$3,260.50 6.000% from 11/27/78 to 01/31/80 12.000% from 02/01/80 to 01/31/82 20.000% from 02/01/82 to 12/31/82 16.000% from 01/01/83 to 06/30/83 11.000% from 07/01/83 \$25.00 Filing Fee Joint and Several	R80-291-80-293
218. Dameron, Inc. Ft. Lauderdale, FL NFA ID - 0103921	\$20,000.00 12.000% from 01/11/80 \$25.00 Filing Fee Joint and Several	R81-62-81-203
219. Daniel-Brickman Investment, Inc. Southfield, MI	\$3,086.25 11.000% from 09/13/82 \$25.00 Filing Fee	83-R518
220. Daniels, Abraham Redding, CT	\$2,159.00 11.000% from 09/28/78 \$25.00 Filing Fee Joint and Several	R80-257-80-666
221. Daniels, Abraham M. New Fairfield, CT NFA ID - 0016420	\$15,000.00 11.000% from 03/02/81 \$25.00 Filing Fee Joint and Several	R81-1088-82-514
	\$25,000.00 11.000% from 06/10/80 \$25.00 Filing Fee Joint and Several	82-R480
	\$1,957.50 12.000% from 05/01/82 \$25.00 Filing Fee	82-R831
	\$41,362.45 16.000% from 08/24/81 \$25.00 Filing Fee	82-R474
	\$5,000.00 9.080% from 12/31/81	84-R036
Daniels, Abraham M. New York, NY NFA ID - 0016420	\$19,877.10 11.000% from 01/08/82 \$25.00 Filing Fee	82-R811

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Daniels, Abraham M. (Continued)	\$3,000.00 12.000% from 10/23/80 \$25.00 Filing Fee	82-R870
	\$10,000.00 9.150% from 03/06/79 \$25.00 Filing Fee Joint and Several	R80-1167-81-110
	\$3,576.80 10.000% from 12/01/82	R80-254-80-260
	\$5,000.00 \$25.00 Filing Fee varying interest	R81-754-82-23
	\$19,600.00 9.080% from 10/17/80 \$25.00 Filing Fee Joint and Several	82-R018
	\$30,000.00 7.870% from 04/20/82 \$200.00 Filing Fee Joint and Several	84-R422
	\$10,000.00 5.750% from 07/05/79 \$25.00 Filing Fee Joint and Several	R80-1042-81-212
222. Danze, Lisa Jennifer Boca Raton, FL Date Effective : 03/02/92 NFA ID - 0223564	\$5,519.10 Joint and Several	92-R003
223. Darocy, Steven D. a/k/a Darosy, Stephen Dallas, TX NFA ID - 0075958	\$8,500.00 11.000% from 03/06/81 Joint and Several	R81-302-81-817
224. Data-Trend Commodities, Inc. Chicago, IL NFA ID - 0001211	\$6,000.00 7.850% from 03/27/86	85-R049

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Data-Trend Commodities, Inc. (Continued)	\$9,363.14 7.570% from 04/01/83 \$25.00 Filing Fee Joint and Several	85-R103
	\$9,564.14 8.180% from 12/30/83 \$100.00 Filing Fee Joint and Several	85-R054
225. Dauman, Robert New York, NY	\$32,764.13 16.000% from 06/17/80 \$25.00 Filing Fee Joint and Several	82-R828
226. Davidson, John A. San Diego, CA	\$5,400.00 11.000% from 07/13/81 \$25.00 Filing Fee Joint and Several	83-R206
227. Davis, James A. Arlington, TX NFA ID - 0031761	\$55,140.00 6.300% from 02/28/83 \$25.00 Filing Fee	85-R119
228. Day, Danny L. Los Alamitos, CA	\$33,996.60 20.000% from 12/15/80 \$25.00 Filing Fee	82-R345
229. Day, William San Diego, CA	\$5,400.00 11.000% from 07/13/81 \$25.00 Filing Fee Joint and Several	83-R206
230. DeAngelis, John A. N. Miami, FL NFA ID - 0012863	\$3,885.36 7.540% from 04/10/86 \$100.00 Filing Fee	88-R167
	\$3,157.00 7.540% from 04/28/86 \$25.00 Filing Fee	88-R232
231. Dearie, William E. CenterPort, NY NFA ID - 0004165	\$7,580.00 11.000% from 12/31/81	83-R754

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
232. DeBeauclair, Russell B. San Diego, CA	\$5,400.00 11.000% from 07/13/81 \$25.00 Filing Fee Joint and Several from 7-13-81	83-R206
	\$3,694.00 6.310% from 08/24/81 \$25.00 Filing Fee Joint and Several	83-R191
233. DeBerry, Sims Everett Boston, MA	\$1,500.00 \$25.00 Filing Fee varying interest	82-R234
234. Debiagi, Gianni Deo Pembroke Pine, FL Date Effective : 06/02/94 NFA ID - 0231958	\$11,800.96 4.220% from 03/31/92 \$200.00 Filing Fee Joint and Several	93-R203
Debiagi, Gianni Deo Pembroke Pines, FL Date Effective : 10/12/93 NFA ID - 0231958	\$18,000.00 Joint and Several 3.58% from date of investment	93-R047
Date Effective : 08/01/94	\$5,000.00 5.280% from 08/26/91 \$200.00 Filing Fee Joint and Several	93-R046
Date Effective : 02/17/95	\$44,108.76 \$50.00 Filing Fee Joint and Several	94-R189
235. DeBurriss, James Ft. Lauderdale, FL	\$14,739.84 6.310% from 11/01/82 \$25.00 Filing Fee	85-R92
	\$1,505.97 7.060% from 04/24/86	85-R234
236. Dedios, Joe L. Irvine, CA NFA ID - 0046828	\$16,029.80 6.900% from 07/16/86 \$200.00 Filing Fee	86-R286
237. Del Real, Ricardo Eustaquio, Jr. Ft. Lauderdale, FL Date Effective : 12/09/02 NFA ID - 0284676	\$4,504.40 1.730% from 11/07/02	01-R076

RESPONDENT	JUDGEMENT	DOCKET NO.
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238. Delbridge, David S. St. Louis, MO NFA ID - 0057209	\$2,000.00 02/28/80 Varying Int. \$25.00 Filing Fee Joint and Several	82-R331
239. Deldeo, Paul Elliot N. Miami Beach, FL NFA ID - 0069245	\$8,016.10 6.180% from 04/30/82 \$25.00 Filing Fee Joint and Several	82-R938
240. Deleon, Gary Lee Hollywood, FL North Miami Beach, FL Date Effective : 10/01/92 NFA ID - 0188429	\$4,496.41 4.110% from 01/10/91 \$100.00 Filing Fee	91-R134
241. DeLeonardis, Joseph C. Chicago, IL NFA ID - 0048497	\$25,575.44 9.150% from 06/01/83 Joint and Several	83-R746
242. Delinois, Serge L. Miami, FL Date Effective : 10/06/90 NFA ID - 0195827	\$6,190.16 7.880% from 05/01/87 Joint and Several	89-R221
243. DeLisi, Joseph A. Roseland, NJ NFA ID - 0061542	\$2,658.20 20.000% from 06/27/79 Joint and Several \$7,331.50 20.000% from 07/04/79 Joint and Several	R80-254-80-260
	\$10,000.00 9.150% from 03/06/79 \$25.00 Filing Fee Joint and Several	R80-1167-81-110
	\$10,000.00 5.750% from 07/05/79 \$25.00 Filing Fee Joint and Several	R80-1042-81-212
	\$7,915.00 6.300% from 01/21/80 \$25.00 Filing Fee Joint and Several	R80-902-81-139
244. Dellert, Gary R. Chicago, IL Date Effective : 02/09/89 NFA ID - 0180761	\$1,459.72 8.550% from 08/07/86 \$25.00 Filing Fee	87-R206

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
245. Delphi Commodities, Inc. Ft. Lauderdale, FL NFA ID - 0090259	\$2,159.00 11.000% from 09/28/79 \$25.00 Filing Fee Joint and Several	R80-257-80-666
Delphi Commodities, Inc. Roseland, NJ NFA ID - 0090259	\$10,000.00 9.150% from 03/06/79 \$25.00 Filing Fee Joint and Several	R80-1167-81-110
	\$10,000.00 5.750% from 07/05/79 \$25.00 Filing Fee Joint and Several	R80-1042-81-212
	\$7,915.00 6.300% from 01/21/80 \$25.00 Filing Fee Joint and Several	R80-902-81-139
246. Delphi Commodities, Inc. New York, NY	\$8,094.00 11.000% from 01/16/80 \$25.00 Filing Fee Joint and Several	R81-1232-82-573
	\$2,658.20 20.000% from 06/27/79 Joint and Several \$7,331.50 20.000% from 07/04/79 Joint and Several	R80-254-80-260
247. DeNigris, Michael J., Jr. Farmingville, NY Jackson Heights, NY NFA ID - 0017601	\$9,000.00 7.000% from 08/19/77 to 01/31/78 6.000% from 02/01/78 to 01/31/80 12.000% from 02/01/80 to 01/31/82 20.000% from 02/01/82 to 12/31/82 16.000% from 01/01/83 \$25.00 Filing Fee	R79-53-79-376
248. Desano, Mark Albany, NY Date Effective : 02/09/84 NFA ID - 0220873	\$12,935.00 11.000% from 09/30/81 \$25.00 Filing Fee Joint and Several	82-R459

RESPONDENT	JUDGEMENT	DOCKET NO.
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249. Desir, Anthony C. New York, NY Date Effective : 05/08/90 NFA ID - 0179215	\$16,200.00 7.970% from 11/01/86 \$25.00 Filing Fee	89-R144
250. Di Paola, Victor New York, NY	\$7,915.00 6.300% from 01/21/80 \$25.00 Filing Fee Joint and Several	R80-902-81-139
251. Diaz, Michael R. New York, NY NFA ID - 0070329	\$42,000.00 12.000% from 10/25/78 Joint and Several	R79-538-80-111
	\$3,050.00 8.180% from 06/23/83 \$25.00 Filing Fee Joint and Several	83-R794
252. Dippippo, Peter Ft. Lauderdale, FL	\$15,617.72 6.560% from 09/30/82 \$25.00 Filing Fee Joint and Several	82-R1032
253. Diversified Futures Corporation N. Miami, FL NFA ID - 0106519	\$5,519.18 6.560% from 07/29/81 Joint and Several	82-R1025
Diversified Futures Corporation New York, NY NFA ID - 0106519	\$4,500.00 10.080% from 11/03/81 \$25.00 Filing Fee Joint and Several	82-R1076
254. Diversified Investment Group, Inc. N. Miami, FL NFA ID - 0103600	\$3,555.55 11.000% from 04/30/82 \$25.00 Filing Fee	83-R398
	\$34,446.00 7.850% from 09/15/82 Joint and Several	84-R054
255. Diversified Investors Group, Inc. N. Miami, FL NFA ID - 0000262	\$3,000.00 7.870% from 03/24/83 \$25.00 Filing Fee Joint and Several	84-R162
	\$34,446.00 7.850% from 09/15/82 Joint and Several	84-R54

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
256. Diversified Trading Systems, Inc. West Palm Beach, FL Date Effective : 05/13/93 NFA ID - 0219677	\$5,794.40 3.210% \$25.00 Filing Fee Joint and Several	92-R072
Date Effective : 05/29/93	\$7,500.00 \$100.00 Filing Fee Joint and Several	93-R044
Date Effective : 06/13/93	\$7,535.00 3.210% from 01/04/91 \$100.00 Filing Fee Joint and Several	92-R050
Date Effective : 07/08/93	\$9,765.03 3.370% \$100.00 Filing Fee Joint and Several Interest Compounded Annually	93-R083
Date Effective : 10/12/93	\$18,000.00 Joint and Several 3.58% from date of investment	93-R047
Date Effective : 10/12/93	\$11,200.00 Joint and Several 3.58 % from date of investment	93-R019
Date Effective : 10/25/93	\$3,490.00 3.430% from 03/09/92 \$25.00 Filing Fee	93-R126
Date Effective : 11/29/93	\$7,900.00 3.430% from 04/04/92 \$100.00 Filing Fee	93-R001
Date Effective : 11/17/93	\$10,767.00 3.430% from 02/28/91 \$25.00 Filing Fee Joint and Several	93-R155
Date Effective : 11/15/93	\$7,007.00 3.430% from 04/12/91 \$25.00 Filing Fee Joint and Several	93-R156

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Diversified Trading Systems, Inc. (Continued) Date Effective : 01/13/94	\$2,179.00 3.380% \$25.00 Filing Fee Joint and Several	93-R120
Date Effective : 01/20/94	\$4,146.00 3.380% from 03/13/92 \$25.00 Filing Fee Joint and Several	92-R192
Date Effective : 02/07/94	\$3,371.00 3.570% from 02/26/92 \$25.00 Filing Fee Joint and Several	93-R197
Date Effective : 03/31/94	\$16,214.36 3.570% from 07/07/92 \$25.00 Filing Fee	93-R201
Date Effective : 03/31/94	\$5,963.00 3.670% from 04/28/92 \$200.00 Filing Fee Joint and Several	93-R194
Date Effective : 03/31/94	\$42,658.35 3.670% from 04/01/91	93-R175
Date Effective : 06/02/94	\$11,800.96 4.220% from 03/31/92 \$200.00 Filing Fee Joint and Several	93-R203
Date Effective : 08/01/94	\$5,000.00 5.280% from 08/26/91 \$200.00 Filing Fee Joint and Several \$2,419.13 5.280% from 08/26/91	93-R046
Date Effective : 10/11/94	\$4,331.76	93-R110
Date Effective : 12/02/94	\$12,425.00 5.690% from 09/19/94 \$200.00 Filing Fee Joint and Several	94-R006

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Diversified Trading Systems, Inc. (Continued) Date Effective : 12/05/94	\$15,448.00 5.690% from 10/01/91 \$25.00 Filing Fee Joint and Several	94-R002
Date Effective : 12/27/94	\$5,132.00	93-R204
Date Effective : 02/17/95	\$44,108.76 \$50.00 Filing Fee Joint and Several	94-R189
257. Dixon Wyatt & Co., Inc. New York, NY	\$13,708.28 7.870% from 04/01/80 \$25.00 Filing Fee	R80-1383-81-155
258. Dixon, Wyatt & Co., Inc. New York, NY	\$254,026.67 20.000% from 01/30/80 \$25.00 Filing Fee	R80-1093-81-6
	\$22,019.00 6.000% from 07/01/79 to 01/31/80 12.000% from 02/01/80 to 01/31/82 20.000% from 02/01/82 to 12/31/82 16.000% from 01/01/83 \$25.00 Filing Fee Joint and Several	R81-89-81-227
259. Dobratz, John Patrick Las Vegas, NV Date Effective : 12/01/94 NFA ID - 0198959	\$3,000.00 5.690% from 03/14/91 \$25.00 Filing Fee	93-R053
260. Dombroski, Walter Stanley Old Bridge, NJ NFA ID - 0097538	\$10,239.20 6.930% from 11/29/85 \$25.00 Filing Fee	87-R012
	\$7,814.40 7.010% from 04/25/86 \$25.00 Filing Fee Joint and Several	87-R116
Date Effective : 01/15/89	\$7,431.10 8.150% from 02/08/85 \$100.00 Filing Fee Joint and Several	87-R279

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Dombroski, Walter Stanley (Continued) Date Effective : 08/11/89	\$82,035.50 7.950% from 07/01/85 \$200.00 Filing Fee	87-R211
Date Effective : 11/04/91	\$6,800.00 5.680% from 06/20/88 \$200.00 Filing Fee Joint and Several	90-R200
Date Effective : 07/02/93	\$6,885.97 8.320% from 03/21/86 \$25.00 Filing Fee	87-R210
261. Dotson, Robert Los Angeles, CA	\$20,270.48 12.000% from 01/15/81 \$25.00 Filing Fee Joint and Several	R81-1395-82-466
	\$18,541.04 9.090% from 06/29/81 \$25.00 Filing Fee Joint and Several	R81-611-82-325
	\$9,270.52 9.090% from 07/02/81 \$25.00 Filing Fee Joint and Several	R81-1085-82-293
	\$10,000.00 9.090% from 03/08/82 \$25.00 Filing Fee Joint and Several	82-R524
	\$10,000.00 9.090% from 02/13/82 \$25.00 Filing Fee Joint and Several	82-R883
262. Downs, Harry M. Rensselaer, NY NFA ID - 0021886	\$15,000.00 16.000% from 05/05/82 \$25.00 Filing Fee	82-R1009
263. Drexel Burnham Lambert, Inc. New York, NY Date Effective : 11/01/92 NFA ID - 0001699	\$1,312,209.00 6.210% from 01/03/89 \$200.00 Filing Fee	89-R099

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
264. Dreyfuss Group, Ltd. a/k/a Capistrano Asset Management Capistrano, CA Date Effective : 12/29/94 NFA ID - 0203571	\$2,911.36 5.690% from 11/13/90 \$100.00 Filing Fee Joint and Several	92-R103
265. Duckworth, Thomas Wayne Las Vegas, NV Date Effective : 08/02/93 NFA ID - 0044781	\$2,000.00 3.410% from 01/29/91 \$25.00 Filing Fee Joint and Several	91-R212
266. Dugrot, Felipe Alexander Miami, FL NFA ID - 0048094	\$15,504.40 5.630% from 09/08/86 \$25.00 Filing Fee Joint and Several	84-R427
267. Duncan, Frank Louis Los Alamitos, CA NFA ID - 0047591	\$33,996.60 20.000% from 12/15/80 \$25.00 Filing Fee	82-R345
268. Dunhill Investments Corp. d/b/a Oxford Investments Boca Raton, FL Date Effective : 06/14/91 NFA ID - 0212041	\$43,198.00 6.260% from 12/01/87 Joint and Several	89-R204
d/b/a Oxford Investments Date Effective : 09/09/91	\$9,930.00 6.390% from 06/23/88	90-R235
Dunhill Investments Corporation d/b/a Oxford Investments Boca Raton, FL Date Effective : 11/05/90 NFA ID - 0212041	\$6,135.00 7.880% Joint and Several costs \$100.00	89-R219
d/b/a Oxford Investments Date Effective : 04/14/91	\$28,316.33 6.210% from 01/28/88 Joint and Several	90-R130
269. Dunst, Edward A. Chicago, IL Date Effective : 02/03/90 NFA ID - 0047464	\$4,930.19 7.900% from 06/16/86 \$25.00 Filing Fee Joint and Several	89-R29
270. Dutton, Bob New York, NY	\$9,311.75 7.030% from 01/04/80 \$25.00 Filing Fee	R81-600-81-686

RESPONDENT	JUDGEMENT	DOCKET NO.
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271. EASTERBROOK, ADRIAN PASCAL NEW YORK, NY Date Effective : 11/20/98 NFA ID - 0269403	\$4,743.50 5.271% from 05/02/96 \$125.00 Filing Fee	98-R185
272. Eastern Capital Corporation Boston, MA NFA ID - 0001690	\$39,392.75 11.000% from 06/29/81 \$25.00 Filing Fee Joint and Several	R81-35-82-435
	\$63,400.00 12.000% from 02/16/84 Joint and Several	82-R183
	\$1,639.12 8.080% from 06/30/84 \$100.00 Filing Fee	84-R498
	\$8,285.40 7.030% from 08/20/86 \$100.00 Filing Fee Joint and Several	85-R244
	\$3,000.00 \$25.00 Filing Fee Joint and Several	86-R174
	\$4,759.80 6.560% from 10/01/83 \$100.00 Filing Fee Joint and Several	85-R038
273. Eckles, Oliver Burnham Des Moines, IA Date Effective : 07/06/92 NFA ID - 0084772	\$6,500.00 4.550% from 01/22/92 \$100.00 Filing Fee	92-R053
274. Economic Research Analysts Commodities, Inc. Miami, FL	\$33,300.00 11.000% from 02/14/77 \$25.00 Filing Fee Joint and Several	R77-282
	\$3,900.00 12.000% from 06/05/80 \$25.00 Filing Fee Joint and Several	R78-112-78-278
275. Edco Management Corp. Boca Raton, FL Date Effective : 10/04/91 NFA ID - 0185536	\$56,020.00 6.390% from 01/01/87 \$25.00 Filing Fee Joint and Several	90-R061

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
276. Edwards, Tom Hollywood, CA	\$6,975.00 11.000% from 08/22/83 \$25.00 Filing Fee Joint and Several	83-R534
277. Einstein-Cisvest, Inc. Formerly known as: Einstein Futures Group, Inc. Miami, FL Date Effective : 08/30/94 NFA ID - 0194816	\$1,895.00 Joint and Several	93-R159
278. Eisenberg, Murray Palm Desert, CA	\$5,000.00 11.000% from 10/15/84 \$25.00 Filing Fee	R80-513-80-572
279. Emdens, Jeffrey New York, NY	\$10,000.00 20.000% from 05/30/80	R80-299-81-299
280. Emmems, Tim Jersey City, NJ	\$1,835.00 9.080% from 02/19/84 \$25.00 Filing Fee Joint and Several	83-R270
281. Emmons, Tim Hollywood, CA	\$6,975.00 11.000% from 08/22/83 \$25.00 Filing Fee Joint and Several	83-R534
282. Emmous, Tim Los Angeles, CA London, England	\$3,570.00 11.000% from 08/03/82 \$25.00 Filing Fee Joint and Several	83-R360
283. Ensig, Edward S. Miami Beach, FL NFA ID - 0141889	\$8,650.00 11.000% from 07/11/80 \$25.00 Filing Fee Joint and Several	R81-380-81-455
284. Equity Futures Corp. San Clemente, CA Date Effective : 07/24/89 NFA ID - 0177784	\$10,163.94 9.150% from 10/10/85 \$200.00 Filing Fee	88-R139
285. Equity Partners, Inc. Poway, CA Date Effective : 07/24/89 NFA ID - 0177718	\$10,163.94 9.150% from 10/10/85 \$200.00 Filing Fee	88-R139

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Equity Partners, Inc. San Clemente, CA NFA ID - 0177718	\$43,436.75 7.000% from 12/08/85 \$200.00 Filing Fee Joint and Several	87-R108
286. Etz, John G., Sr. Phoenix, AZ Date Effective : 10/29/89 NFA ID - 0051799	\$66,477.04 8.270% from 10/01/84 \$200.00 Filing Fee	89-R239
287. Eubanks, Kenneth Scott Palm Harbor, FL Date Effective : 03/13/00 NFA ID - 0279703	\$3,114.00 5.670% from 03/24/98 \$125.00 Filing Fee	99-R012
288. Executors Monetary Corp. of California Hollywood, CA	\$6,578.25 20.000% from 01/10/80 \$25.00 Filing Fee Joint and Several	R81-509-82-245
	\$6,096.00 16.000% from 12/31/79 Joint and Several	R80-1224-81-679
289. Fader, Gary Allen Juno Beach, FL Date Effective : 01/03/94 NFA ID - 0241039	\$5,510.44 3.380% from 09/09/92 \$25.00 Filing Fee Joint and Several	93-R188
	Date Effective : 11/13/95 \$9,310.00 5.070% from 05/10/93	95-R103
290. Fahr, George P. Bloomington, MN NFA ID - 0032208	\$276,880.00 16.000% from 08/01/79 \$25.00 Filing Fee Joint and Several	R81-1289-82-497
291. Fallon, Joe Clarkston, GA	\$3,293.00 12.000% from 03/01/77 \$25.00 Filing Fee	R77-216
292. Falls, Jeffrey R. Miami Springs, FL Date Effective : 09/26/88 NFA ID - 0186748	\$7,700.00 7.540% from 10/30/86 \$25.00 Filing Fee	88-R216
	Date Effective : 05/23/90 \$9,920.80 9.970% from 08/01/87 \$25.00 Filing Fee Joint and Several	89-R294

RESPONDENT	JUDGEMENT	DOCKET NO.
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293. Farber, Howard E. Miami, FL Date Effective : 08/20/90 NFA ID - 0078156	\$43,597.37 8.700% from 07/13/88 \$200.00 Filing Fee	89-R297
294. Farber, Lawrence Sunrise, FL Oak Park, MI Date Effective : 06/29/92 NFA ID - 0077052	\$2,782.00 6.460% from 08/17/88 \$100.00 Filing Fee	90-R113
295. Farquhar, Joseph H. Hollywood, FL NFA ID - 0040623	\$4,522.24 9.100% from 08/18/83 \$25.00 Filing Fee Joint and Several	84-R143
296. Fedorchak, James R. Valparaisa, IN NFA ID - 0147915	\$7,856.90 5.930% from 04/10/87 \$100.00 Filing Fee Joint and Several	85-R445
297. Feinberg, Robert B. a/k/a Feinberg, Bob North Miami, FL NFA ID - 0030706	\$575.00 11.000% from 01/05/82 Joint and Several	82-R735
298. Feldman, Richard M. Coral Gable, FL NFA ID - 0084617	\$75,980.00 9.150% from 01/07/80 \$25.00 Filing Fee Joint and Several	R82-50-82-404
299. Fels, Marshall B. Chicago, IL NFA ID - 0090767	\$1,379.62 7.590% from 01/15/88 \$25.00 Filing Fee	87-R191
300. FERNANDEZ, JACK HIPOLITO FT. LAUDERDALE, FL Date Effective : 08/13/01 NFA ID - 0238095	\$7,320.92 \$50.00 Filing Fee	00-R025
301. Ferragamo, Ronald M. San Rafael, CA NFA ID - 0092178	\$12,035.17 7.200% from 06/01/84 \$200.00 Filing Fee Joint and Several	86-R189
Ferragamo, Ronald M. San Rafael, CA Montecito, CA Date Effective : 04/10/89 NFA ID - 0020412	\$110,854.11 8.320% from 01/01/84 \$200.00 Filing Fee Joint and Several	87-R068

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
302. Ferrari, Alfredo Albino North Miami, FL Miramar, FL Date Effective : 08/20/92 NFA ID - 0201554	\$10,650.00 4.550% from 02/28/89 \$200.00 Filing Fee	91-R146
303. Fiel, Marc Daniel Carson, CA Date Effective : 11/27/96 NFA ID - 0249411	\$637.50 \$50.00 Filing Fee Joint and Several	95-R141
304. Filner, Arnold New York, NY	\$1,875.00 12.000% from 06/22/81 to 01/31/82 20.000% from 02/01/82 to 12/31/82 16.000% from 01/01/83 to 06/30/83 11.000% from 07/01/83 \$25.00 Filing Fee	82-R78
305. Financial Alternatives, Inc. Boston, MA NFA ID - 0002130	\$10,458.25 11.000% from 03/20/81 \$25.00 Filing Fee Joint and Several	R81-195-82-410
Financial Alternatives, Inc. Lynnfield, MA NFA ID - 0002130	\$10,000.00	85-R095
	\$10,000.00 Joint and Several	85-R406
Financial Alternatives, Inc. Melrose, MA NFA ID - 0002130	\$11,400.00 7.850% from 06/23/83 \$25.00 Filing Fee Joint and Several	85-R075
306. Financial Planners, Inc. Las Vegas, NV	\$7,113.12 11.000% from 08/31/82 Joint and Several	83-R111
	\$53,330.00 11.000% from 08/31/82 Joint and Several	83-R431
307. Firestone, Joel M. Lakehurst, NJ Date Effective : 01/28/91 NFA ID - 0055450	\$22,354.83 7.280% from 04/01/87 Joint and Several	89-R173

RESPONDENT	JUDGEMENT	DOCKET NO.
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308. Firpo, George E. Pittsburg, CA Date Effective : 03/09/89 NFA ID - 0032353	\$110,854.11 8.320% from 01/01/84 \$200.00 Filing Fee Joint and Several	87-R068
309. First Atlantic Trading Group Ft. Lauderdale, FL Date Effective : 08/29/91 NFA ID - 0216604	\$1,674.60 6.090% from 11/03/89 \$25.00 Filing Fee Joint and Several	90-R091
310. First Brokerage of Illinois, Inc. Chicago, IL Date Effective : 08/03/98 NFA ID - 0237187	\$550.40 \$50.00 Filing Fee	98-R096
311. First Commodity Corporation of Boston Boston, MA NFA ID - 0002574	\$5,880.00 6.310% from 11/24/82 \$25.00 Filing Fee Joint and Several	83-R571
	\$14,386.50 7.870% from 02/01/81 \$25.00 Filing Fee	82-R853
	\$16,193.00 6.180% from 05/06/83 \$25.00 Filing Fee Joint and Several	84-R277
	\$3,260.00 7.000% from 03/28/83 \$200.00 Filing Fee	85-R018
	\$38,092.40 5.750% from 12/06/82 \$25.00 Filing Fee Joint and Several	83-R589
	\$13,256.40 6.090% from 05/17/84 \$200.00 Filing Fee	86-R159
	\$6,175.35 7.000% from 12/09/87 \$25.00 Filing Fee	85-R254

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
First Commodity Corporation of Boston (Continued)	\$24,455.00 6.300% from 09/30/82 \$200.00 Filing Fee Joint and Several	85-R085
	\$26,758.60 6.640% from 10/18/84 \$200.00 Filing Fee Joint and Several	86-R028
	\$9,200.00 6.530% from 03/05/84 \$100.00 Filing Fee Joint and Several	85-R369
	\$45,208.50 7.880% from 07/22/83 \$25.00 Filing Fee Joint and Several	85-R377
	\$27,778.90 7.880% from 02/16/84 \$200.00 Filing Fee	85-R191
	\$21,226.55 6.900% from 10/23/84 \$200.00 Filing Fee Joint and Several	85-R282
	\$198,079.45 6.900% from 06/30/84 \$200.00 Filing Fee	85-R201
	\$10,239.20 6.930% from 11/29/85 \$25.00 Filing Fee Joint and Several	87-R012
	\$76,564.90 7.000% from 09/14/84 \$200.00 Filing Fee Joint and Several	86-R209
	\$11,178.00 7.220% from 10/04/85 \$25.00 Filing Fee Joint and Several	86-R223

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
First Commodity Corporation of Boston (Continued)	\$25,793.30 6.900% from 03/22/84 \$200.00 Filing Fee	86-R047
	\$129,750.25 7.220% from 12/17/82 \$200.00 Filing Fee Joint and Several	85-R012
	\$21,403.69 6.980% from 06/02/82 \$25.00 Filing Fee Joint and Several	83-R697
	\$35,413.66 6.590% from 04/24/84 \$25.00 Filing Fee Joint and Several	87-R090
	\$21,147.06 7.000% from 06/15/82 \$25.00 Filing Fee Joint and Several	83-R596
	\$16,029.80 6.900% from 07/16/86 \$200.00 Filing Fee	86-R286
	\$6,786.00 6.710% from 08/13/86 \$100.00 Filing Fee	87-R220
	\$28,535.67 6.930% from 05/29/84 \$200.00 Filing Fee Joint and Several	86-R016
	\$6,450.00 7.010% from 02/27/84 \$25.00 Filing Fee	87-R051
	\$7,814.40 7.010% from 04/25/86 \$25.00 Filing Fee Joint and Several	87-R116

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
First Commodity Corporation of Boston (Continued)	\$5,100.00 7.010% from 05/15/85 \$200.00 Filing Fee	87-R151
	\$10,606.00 7.140% from 05/14/86 \$200.00 Filing Fee	87-R129
	\$3,717.60 6.590% from 03/31/86 \$25.00 Filing Fee	87-R184
	\$6,206.90 7.140% from 02/19/86 \$25.00 Filing Fee Joint and Several	87-R124
	\$25,390.35 7.220% from 02/22/85 \$200.00 Filing Fee Joint and Several	87-R228
	\$6,525.86 7.140% from 08/23/85	87-R233
	\$12,300.00 6.700% from 09/01/84 \$200.00 Filing Fee	87-R120
	\$8,808.00 7.220% from 07/20/84 \$200.00 Filing Fee Joint and Several	85-R426
	\$4,012.00 6.590% from 08/26/83 \$25.00 Filing Fee Joint and Several	85-R389
	\$7,900.00 7.220% from 12/22/83 \$200.00 Filing Fee Joint and Several	85-R409
	\$17,625.30 7.140% from 03/24/84 \$200.00 Filing Fee	85-R210

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
First Commodity Corporation of Boston (Continued)	\$8,577.75 7.140% from 03/17/86 \$25.00 Filing Fee Joint and Several	86-R121
	\$7,330.80 7.140% from 04/11/86 \$25.00 Filing Fee	86-R139
	\$66,870.95 7.220% from 03/15/85 \$200.00 Filing Fee Joint and Several	87-R075
	\$7,156.35 7.140% from 07/29/83 \$25.00 Filing Fee	86-R087
	\$6,793.70 6.590% from 01/18/84 \$25.00 Filing Fee Joint and Several	86-R086
	\$19,150.44 7.220% from 12/03/84 \$200.00 Filing Fee Joint and Several \$5,993.25 7.220% from 04/01/85 \$200.00 Filing Fee Joint and Several	86-R020
	\$14,232.57 6.900% from 11/27/85 \$25.00 Filing Fee	87-R004
	\$3,268.10 6.930% from 09/05/86 \$25.00 Filing Fee Joint and Several \$1,379.90 6.930% from 09/06/86	86-R276

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
First Commodity Corporation of Boston (Continued)	\$1,425.00 7.140% from 01/29/86 \$5,000.00 7.140% from 01/17/86 \$200.00 Filing Fee Joint and Several	86-R170
	\$3,852.80 7.000% from 06/03/88 \$25.00 Filing Fee	86-R291
	\$5,923.64 7.140% from 10/31/85 \$25.00 Filing Fee Joint and Several	87-R058
	\$8,510.40 7.880% from 09/30/86 \$25.00 Filing Fee Joint and Several	86-R288
	\$6,292.80 6.640% from 06/03/88 \$100.00 Filing Fee	86-R297
	\$12,035.17 7.200% from 06/01/84 \$200.00 Filing Fee Joint and Several	86-R189
	\$24,737.50 6.900% from 03/22/84 \$200.00 Filing Fee	86-R048
	\$17,527.80 7.590% from 08/06/86 \$200.00 Filing Fee Joint and Several	87-R214
	\$7,024.74 7.590% from 12/31/85 \$25.00 Filing Fee	87-R217
	\$7,185.00 7.200% from 08/30/85 \$100.00 Filing Fee	87-R185

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
First Commodity Corporation of Boston (Continued)	\$4,084.00 7.140% from 11/08/86 \$100.00 Filing Fee Joint and Several	87-R358
	\$6,045.40 6.590% from 09/10/85 \$25.00 Filing Fee Joint and Several	87-R106
	\$9,881.63 7.010% from 07/11/84 \$25.00 Filing Fee	87-R138
	\$8,806.15 7.540% from 11/01/85 \$25.00 Filing Fee	87-R117
	\$12,571.17 7.540% from 06/29/84 \$25.00 Filing Fee Joint and Several	87-R385
	\$8,871.40 7.540% from 09/09/85 Joint and Several	87-R407
	\$11,840.35 7.540% from 03/18/85 \$25.00 Filing Fee	88-R100
	\$3,000.00 6.590% from 06/02/86 \$25.00 Filing Fee	87-R235
	\$30,693.00 7.950% from 12/05/84 \$25.00 Filing Fee	87-R200
	\$7,787.57 7.540% from 07/29/85 \$200.00 Filing Fee Joint and Several	87-R225
	\$82,035.50 7.950% from 07/01/85 \$200.00 Filing Fee	87-R211

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
First Commodity Corporation of Boston (Continued)	\$7,927.00 6.710% from 01/18/85 \$25.00 Filing Fee	87-R070
	\$20,611.70 8.320% from 03/06/86 \$200.00 Filing Fee Joint and Several	86-R285
	\$7,287.90 8.320% from 03/21/86 \$25.00 Filing Fee	87-R210
	\$5,894.25 5.720% from 11/30/84 \$25.00 Filing Fee Joint and Several	85-R339
Date Effective : 01/07/89	\$7,898.40 7.190% from 09/17/86 \$25.00 Filing Fee Joint and Several	86-R151
Date Effective : 07/04/88	\$21,800.00 6.710% from 02/01/85 \$25.00 Filing Fee Joint and Several	87-R091
Date Effective : 01/20/89	\$31,302.51 8.550% from 06/28/85 \$200.00 Filing Fee Joint and Several	87-R337
Date Effective : 01/15/89	\$7,431.10 8.150% from 02/08/85 \$100.00 Filing Fee Joint and Several	87-R279
Date Effective : 03/08/89	\$2,000.00 7.140% from 07/14/86 \$100.00 Filing Fee Joint and Several	87-R192
Date Effective : 07/03/88	\$4,200.00 6.710% from 08/01/85 \$25.00 Filing Fee	86-R103

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
First Commodity Corporation of Boston (Continued) Date Effective : 03/09/89	\$110,854.11 8.320% from 01/01/84 \$200.00 Filing Fee Joint and Several	87-R068
Date Effective : 03/28/89	\$9,021.00 8.550% from 09/28/84 \$100.00 Filing Fee Joint and Several	87-R001
Date Effective : 06/26/89	\$4,074.00 9.510% from 07/02/86 \$100.00 Filing Fee	88-R109
Date Effective : 12/04/89	\$49,183.58 8.320% \$200.00 Filing Fee Joint and Several	87-R394
Date Effective : 06/07/90	\$15,031.90 8.360% from 06/19/84 \$200.00 Filing Fee Joint and Several	86-R201
Date Effective : 06/08/92	\$17,511.91 4.580% from 04/07/86 Joint and Several	89-R300
312. First Continental Commodities, Inc. Glen Ellyn, IL NFA ID - 0103487	\$8,542.00 7.030% from 01/04/83 Joint and Several	83-R300
First Continental Commodities, Inc. Wheaton, IL NFA ID - 0103487	\$5,000.00 11.000% from 11/30/82 Joint and Several	83-R294
	\$5,000.00 11.000% from 12/02/82 \$25.00 Filing Fee Joint and Several	83-R293
	\$5,000.00 11.000% from 03/19/82 \$25.00 Filing Fee Joint and Several	82-R730

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
313. First Financial Asset Management, N.A., Inc. Sunrise, FL NFA ID - 0090053	\$13,026.14 8.320% from 01/26/87	87-R351
314. First Financial Corporation of America, Inc. North Miami, FL NFA ID - 0106661	\$7,658.00 11.000% from 06/24/82 \$25.00 Filing Fee	82-R846
	\$29,847.40 7.700% from 10/31/81	83-R767
	\$27,600.00 11.000% from 01/14/81	83-R822
	\$2,370.00 12.000% from 09/09/81 \$25.00 Filing Fee Joint and Several	82-R410
	\$2,497.50 11.000% from 09/16/81 \$25.00 Filing Fee	82-R377
	\$4,500.00 10.080% from 11/03/81 \$25.00 Filing Fee Joint and Several	82-R1076
	\$3,920.00 12.000% from 12/09/80 to 05/31/85 7.700% from 06/01/85 \$25.00 Filing Fee	82-R857
	\$8,500.00 10.080% from 03/05/82 \$25.00 Filing Fee Joint and Several	82-R890
	\$12,660.00 7.600% per annum \$25.00 Filing Fee	83-R217
	\$841.00 7.870% from 03/16/81 \$25.00 Filing Fee Joint and Several	R81-895-81-756

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
First Financial Corporation of America, Inc. (Continued)	\$5,519.18 6.560% from 07/29/81 Joint and Several	82-R1025
	\$575.00 11.000% from 01/05/82 Joint and Several \$3,640.00 11.000% from 12/08/81 Joint and Several	82-R735
315. FIRST FINANCIAL TRADING LIMITED, INC. DEERFIELD BEACH, FL Date Effective : 11/09/98 NFA ID - 0264703	\$1,775.00 Joint and Several	98-R079
316. First Manhattan Commodities Corp. Babylon, NY NFA ID - 0001325	\$831.69 9.150% from 03/14/83 \$25.00 Filing Fee Joint and Several	83-R613
	\$3,110.00 5.790% from 11/20/86	85-R241
317. First National Financial Group Phoenix, AZ NFA ID - 0089934	\$5,950.00 5.740% from 11/30/84 \$100.00 Filing Fee Joint and Several	87-R029
318. First National Futures Group, Inc. New York, NY Date Effective : 12/01/94 NFA ID - 0252597	\$10,000.00 \$50.00 Filing Fee	94-R140
319. First National Monetary Corp. Southfield, MI NFA ID - 0001520	\$214,887.30 7.000% from 05/01/84 \$200.00 Filing Fee Joint and Several	87-R005
320. First New York Commodity Option Limited New York, NY	\$3,293.00 12.000% from 03/01/77 \$25.00 Filing Fee	R77-216

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
321. First Sierra Commodity Corp. name changed to: First Sierra Corp. Las Vegas, NV Date Effective : 08/17/92 NFA ID - 0205421	\$1,677.66 4.260% from 02/19/91 \$100.00 Filing Fee Joint and Several	91-R154
name changed to: First Sierra Corp. Date Effective : 10/29/92	\$707.10 4.260% from 04/26/91 \$25.00 Filing Fee Joint and Several	91-R219
First Sierra Commodity Corp. name changed to: First Sierra Corp. McLean, VA Date Effective : 07/02/93 NFA ID - 0205421	\$3,000.00 3.370% from 04/08/91 \$100.00 Filing Fee	92-R043
First Sierra Commodity Corp. name changed to: First Sierra Corp. McLean, VA Las Vegas, NV Date Effective : 08/02/93 NFA ID - 0205421	\$2,000.00 3.410% from 01/29/91 \$25.00 Filing Fee Joint and Several	91-R212
name changed to: First Sierra Corp. Date Effective : 08/19/93	\$6,600.00 3.540% from 07/31/89 \$25.00 Filing Fee Joint and Several	91-R186
First Sierra Commodity Corp. name changed to: First Sierra Corp. McLean, VA Date Effective : 10/08/93 NFA ID - 0205421	\$7,245.00 3.580% from 06/06/91 \$100.00 Filing Fee	92-R091
name changed to: First Sierra Corp. Date Effective : 11/25/93	\$7,489.00 3.430% from 12/22/89 \$100.00 Filing Fee Joint and Several	92-R031

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
First Sierra Commodity Corp. (Continued) name changed to: First Sierra Corp. Date Effective : 03/07/94	\$14,706.00 3.610% from 11/27/90 \$200.00 Filing Fee Joint and Several	93-R023
First Sierra Corp. Name Changed to: First Sierra Commodity Corp McLean, VA Date Effective : 12/01/94 NFA ID - 0205421	\$3,000.00 5.690% from 03/14/91 \$25.00 Filing Fee	93-R053
322. First United States Coal Corporation Memphis, TN	\$4,824.60 7.600% from 10/31/81 \$25.00 Filing Fee Joint and Several	82-R838
323. Fisackerly, C.K., Jr. a/k/a Fisackerly, Kelly Memphis, TN NFA ID - 0019887	\$14,600.00 11.000% from 03/18/80	82-R786
324. Flax, Jack C. Miami, FL NFA ID - 0147258	\$33,300.00 11.000% from 02/14/77 \$25.00 Filing Fee Joint and Several	R77-282
325. Fleener, James S. Naperville, IL NFA ID - 0042489	\$2,499.00 Joint and Several	85-R395
326. Fogal, Ronald R. Chambersburg, PA Date Effective : 04/21/89 NFA ID - 0220778	\$12,033.33 9.320% from 09/13/86	88-R30
Date Effective : 04/21/89	\$25,837.32 9.320% from 04/07/86	88-R32
Date Effective : 08/11/89	\$3,052.23 9.150% from 01/23/86 Joint and Several	88-R31
Date Effective : 08/11/89	\$6,408.78 9.150% from 01/08/86 Joint and Several	88-R33

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Fogal, Ronald R. (Continued) Date Effective : 08/11/89	\$6,537.66 9.150% from 01/21/86 Joint and Several	87-R246
327. Fortrex Management Corporation Los Angeles, CA Date Effective : 09/10/98 NFA ID - 0279611	\$10,000.00 5.413% from 06/24/97 \$50.00 Filing Fee	98-R092
328. Foster, Elliott Harris Hollywood, FL Date Effective : 04/08/93 NFA ID - 0186474	\$10,000.00 3.450% from 10/01/90 \$25.00 Filing Fee	92-R095
329. Foster, Thomas Norwell, MA	\$5,000.00 12.000% from 11/01/81 \$25.00 Filing Fee Joint and Several	82-R562
330. Fox, Margy L. a/k/a Burg, Margy Louise La Jolla, CA Date Effective : 02/16/90 NFA ID - 0193114	\$7,254.11 7.690% from 02/07/89 \$100.00 Filing Fee	89-R360
331. Franklin, Cedric James d/b/a Trading Places, Ltd. Los Angeles, CA Date Effective : 11/27/95 NFA ID - 0238267	\$25,000.00 5.890% from 02/06/92 \$125.00 Filing Fee	95-R127
332. Franklin, Cedric James North Hollywood, CA Date Effective : 11/27/95 NFA ID - 0050212	\$25,000.00 5.890% from 02/06/92 \$125.00 Filing Fee	95-R127
333. Freedman, David Alan West Palm Beach, FL Date Effective : 03/31/94 NFA ID - 0240981	\$5,963.00 3.670% from 04/28/92 \$200.00 Filing Fee Joint and Several	93-R194
Date Effective : 06/02/94	\$11,800.96 4.220% from 03/31/92 \$200.00 Filing Fee Joint and Several	93-R203

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
334. Freeman, Richard G. Newton Center, MA NFA ID - 0076041	\$6,347.58 10.080% from 11/02/81 \$25.00 Filing Fee Joint and Several	82-R264
335. French, James New York, NY	\$5,350.00 11.000% from 12/31/82 \$25.00 Filing Fee	83-R465
336. Friedman, Alan Stanley Sunrise, FL NFA ID - 0130036	\$14,200.00 11.000% from 10/10/80 \$25.00 Filing Fee Joint and Several	R81-1382-82-511
337. Friedman, Lynn E. Miami Beach, FL NFA ID - 0179624	\$5,923.64 7.140% from 10/31/85 \$25.00 Filing Fee Joint and Several	87-R58
338. FSG International, Inc. Lauderhill, FL Date Effective : 07/01/02 NFA ID - 0202011	\$11,277.00 1.640% from 06/17/99 \$125.00 Filing Fee Joint and Several J. and S. for award to \$11,277	01-R105
Date Effective : 08/21/02	\$5,640.00 2.320% from 04/10/00 \$125.00 Filing Fee	02-R042
339. Gabriel Brokerage, Inc. New York, NY NFA ID - 0001779	\$7,976.00 11.000% from 08/30/83	84-R122
	\$7,461.25 11.000% from 10/15/81 \$25.00 Filing Fee	82-R1031
	\$11,917.75 9.500% from 11/16/81 \$25.00 Filing Fee	84-R100
	\$17,290.54 6.310% from 09/26/82 \$25.00 Filing Fee Joint and Several	83-R212
	\$413.16 8.080% from 04/20/83 \$25.00 Filing Fee	84-R055

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
340. Gabriel, John Corporation New York, NY NFA ID - 0106835	\$3,438.40 11.000% from 01/22/80 \$25.00 Filing Fee	R80-1171-81-243
341. Gale, Frank F. Los Angeles, CA Santa Barbara, CA Date Effective : 01/12/91 NFA ID - 0066236	\$15,031.90 8.360% from 06/19/84 \$200.00 Filing Fee Joint and Several	86-R201
342. Galgano, Thomas S. Valley Cottage, NY NFA ID - 0080863	\$5,072.50 11.000% from 05/06/80 \$25.00 Filing Fee	R81-87-82-228
	\$9,000.00 11.000% from 05/25/80 \$25.00 Filing Fee	R81-1-81-241
	\$9,400.00 7.060% from 04/02/80 \$25.00 Filing Fee Joint and Several	R81-668-81-808
343. Galinsky, William P. a/k/a Gallis, Bill Date Effective : 10/30/90 NFA ID - 0192778	\$83,500.00 7.950% from 08/01/88 \$200.00 Filing Fee	90-R206
Galinsky, William P. Miami Beach, FL Date Effective : 05/23/90 NFA ID - 0192778	\$6,003.77 8.360% from 02/01/88 \$100.00 Filing Fee Joint and Several	89-R155
344. Galligano, Michael Century City, CA NFA ID - 0141111	\$1,000.00 11.000% from 08/31/82 \$25.00 Filing Fee Joint and Several	83-R340
	\$5,000.00 11.000% from 08/31/82 \$25.00 Filing Fee Joint and Several	83-R374
	\$5,560.00 11.000% from 03/08/82 \$25.00 Filing Fee Joint and Several	83-R351
	\$11,000.00 11.000% from 10/26/81	84-R269

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Galligano, Michael (Continued)	\$2,289.77 9.170% from 04/18/85 \$25.00 Filing Fee	83-R085
	\$2,500.00 7.870% from 05/03/82 \$25.00 Filing Fee Joint and Several	84-R205
Galligano, Michael Los Angeles, CA NFA ID - 0141111	\$5,000.00 11.000% from 12/22/81 \$25.00 Filing Fee Joint and Several	83-R095
	\$9,544.86 11.000% from 05/31/82 \$25.00 Filing Fee Joint and Several	83-R388
	\$4,160.33 9.150% from 08/01/81 \$25.00 Filing Fee Joint and Several	83-R070
	\$10,000.00 7.030% from 12/11/81 \$25.00 Filing Fee Joint and Several	83-R419
345. Galvez, Eduardo Henson Redwood, CA Date Effective : 01/03/97 NFA ID - 0184200	\$21,501.35 5.900% from 05/10/94 \$50.00 Filing Fee	96-R158
346. Gann, W.D. Trading Co., Inc. a/k/a Billy Jones Chicago, IL NFA ID - 0000378	\$45,451.00	86-R219
	\$11,659.39 6.710% from 02/18/86 \$25.00 Filing Fee Joint and Several	87-R353
a/k/a Billy Jones	\$48,500.00 7.590% from 01/28/86 \$200.00 Filing Fee Joint and Several	88-R111

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Gann, W.D. Trading Co., Inc. (Continued) a/k/a Billy Jones	\$1,842.00 7.590% from 04/04/87 \$100.00 Filing Fee Joint and Several	87-R428
aka Billy Jones Date Effective : 07/24/89	\$10,163.94 9.150% from 10/10/85 \$200.00 Filing Fee	88-R139
Gann, W.D. Trading Co., Inc. a/k/a Billy Jones San Clemente, CA Chicago, IL NFA ID - 0000378	\$43,436.75 7.000% from 12/08/85 \$200.00 Filing Fee Joint and Several	87-R108
347. Garman, David Alan Boynton Beach, FL Date Effective : 07/08/93 NFA ID - 0239314	\$9,765.03 3.370% \$100.00 Filing Fee Joint and Several Interest Compounded Annually	93-R083
348. Garner, Ralph Margate, FL	\$800.00 11.000% from 04/28/82 \$25.00 Filing Fee	83-R601
349. Garritano, Antonio a/k/a Tony Garritano Chicago, IL Date Effective : 12/19/90 NFA ID - 0195166	\$7,481.00 7.780% from 04/04/87 \$100.00 Filing Fee	89-R211
a/k/a Tony Garritano Date Effective : 12/22/90	\$7,000.00 7.780% from 04/08/88 \$25.00 Filing Fee	90-R226
a/k/a Tony Garritano Date Effective : 12/29/90	\$6,904.00 7.510% from 04/26/88 \$25.00 Filing Fee Joint and Several	90-R225
Date Effective : 02/24/91	\$3,500.00 Joint and Several	91-R006

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
350. Gaudio, Craig Thomas Lake Park, FL Date Effective : 05/29/93 NFA ID - 0239313	\$7,500.00 \$100.00 Filing Fee Joint and Several	93-R044
Date Effective : 10/12/93	\$11,200.00 Joint and Several 3.58% from date of investment	93-R019
Gaudio, Craig Thomas Lake Park, FL Palm Beach Gardens, FL Date Effective : 12/27/94 NFA ID - 0239313	\$5,132.00	93-R204
351. Genovese, Frank T., Jr. New York, NY NFA ID - 0018542	\$8,350.00 6.000% from 01/25/78 to 01/31/80 12.000% from 02/01/80 to 01/31/82 20.000% from 02/01/82 to 12/31/82 16.000% from 01/01/83 \$25.00 Filing Fee Joint and Several	R80-333-81-37
	\$4,100.00 11.000% from 10/15/81 \$25.00 Filing Fee Joint and Several	82-R496
	\$10,500.00 5.630% from 09/09/77 \$25.00 Filing Fee Joint and Several	R79-590-80-511
352. Genovese, Karen T. Mamaroneck, NY NFA ID - 0020983	\$10,698.80 7.870% from 07/12/83 \$25.00 Filing Fee Joint and Several	84-R366
Genovese, Karen T. New York, NY NFA ID - 0020983	\$4,280.00 7.710% from 05/27/83 \$25.00 Filing Fee Joint and Several	83-R901
353. Gentry, Steve Hollywood, CA	\$6,649.49 11.000% from 05/01/83 Joint and Several	82-R1002

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
354. Gentry, Steve Los Angeles, CA	\$3,940.00 11.000% from 12/18/81 \$25.00 Filing Fee Joint and Several	82-R898
355. George, Errick C. Brooklyn, NY NFA ID - 0166335	\$4,601.50 16.000% from 06/06/79 \$25.00 Filing Fee	R81-1001-82-377
356. Gershenson, Ned P. Huntington Beach, CA Date Effective : 01/19/91 NFA ID - 0195665	\$36,652.71 7.280% from 11/20/90 Joint and Several	90-R85
357. Gershenson, Ned P. d/b/a Cal-West Comm., Cal-West Mgmt. & Seasonal Trader a/k/a Fisher Financial Gr. Huntington Beach, CA Date Effective : 01/19/91 NFA ID - 0195664	\$36,652.71 7.280% from 11/20/90 Joint and Several	90-R85
358. Gerstenberg & Co., Inc. Chicago, IL NFA ID - 0001073	\$5,000.00 5.770% from 09/04/84 \$100.00 Filing Fee Joint and Several	85-R239
	\$7,856.90 5.930% from 04/10/87 \$100.00 Filing Fee Joint and Several	85-R445
Date Effective : 03/09/89	\$6,800.00 7.540% from 10/17/84 Joint and Several	86-R267
359. Giguere, Raymond Vincent Key West, FL Date Effective : 02/28/00 NFA ID - 0271375	\$15,270.25 5.670% from 04/03/00 \$125.00 Filing Fee Joint and Several	99-R069
Date Effective : 11/22/00	\$28,647.00 6.241% from 12/09/97 \$125.00 Filing Fee	00-R065
360. Gilkison, Kenneth La Jolla, CA	\$10,355.65 8.650% from 01/01/81 \$25.00 Filing Fee	R81-1200-82-109

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
361. Gilkison, Kenneth Simi Valley, CA	\$29,486.05 9.080% from 10/01/81 \$25.00 Filing Fee	82-R289
362. Gillespie, Henry C., III Marina Del Ray, CA NFA ID - 0056046	\$53,001.51 11.000% from 12/01/80 \$25.00 Filing Fee	R81-1201-82-288
363. Gillespie, Sharon D. Marina Del Ray, CA	\$53,001.51 11.000% from 12/01/80 \$25.00 Filing Fee	R81-1201-82-288
364. Gimenez, Richard Chicago, IL Date Effective : 08/20/92 NFA ID - 0208155	\$14,276.62 4.260% from 11/01/90 Joint and Several	91-R242
365. GLADSTONE, ALFRED ALBERT LOUIS WOODLAND HILLS, CA Date Effective : 12/10/01 NFA ID - 0190591	\$7,579.00 2.490% from 09/10/99 \$50.00 Filing Fee	01-R088
366. Glatter, Joseph Englewood Cliffs, NJ	\$3,600.00 16.000% from 05/01/79 \$25.00 Filing Fee	R79-536-79-534
367. Glazer, Stephen L. New York, NY NFA ID - 0030126	\$11,281.24 8.320% from 09/14/88	88-R189
368. Glover, Thomas Rudel, II North Palm Beach, FL Date Effective : 08/18/00 NFA ID - 0272084	\$4,439.00 6.375% from 04/06/99 \$50.00 Filing Fee Joint and Several	99-R148
369. Gold, Phillip H. Miami Beach, FL NFA ID - 0110170	\$19,000.00 11.000% from 06/17/81 Joint and Several	83-R124
370. Goldstein, Gerald Deerfield Beach, FL NFA ID - 0151927	\$2,750.00 6.310% from 07/21/83 \$25.00 Filing Fee	86-R002
371. Goldstein, Michael D. Plantation, FL	\$75,980.00 9.150% from 01/07/80 \$25.00 Filing Fee Joint and Several	R82-50-82-404

RESPONDENT	JUDGEMENT	DOCKET NO.
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372. Gollin, Lawrence J. Pembroke Pines, FL Date Effective : 09/05/90 NFA ID - 0177595	\$13,454.01 8.090% from 02/13/89 \$200.00 Filing Fee Joint and Several	90-R51
373. Goodsmith, Cary Hallandale, FL	\$12,000.00 11.000% from 08/01/80	R80-449-81-585
374. Goodsmith, Cary J. Coral Springs, FL	\$12,569.00 12.000% from 08/01/80 \$25.00 Filing Fee	R81-1185-82-37
375. GORRIE, ROBERT IAN MISSION VIEJO, CA Date Effective : 02/20/01 NFA ID - 0083780	\$2,072.30 6.025% from 05/12/98 \$125.00 Filing Fee Joint and Several	00-R124
376. Gotthelf, Edward B. New York, NY NFA ID - 0026652	\$30,250.55 16.000% from 02/18/81 \$25.00 Filing Fee Joint and Several	R81-921-82-209
377. Gottlieb, Finley New York, NY NFA ID - 0089640	\$254,026.67 20.000% from 01/30/80 \$25.00 Filing Fee Joint and Several	R80-1093-81-6
378. Graham, Fred P. Boca Raton, FL Date Effective : 04/28/91 NFA ID - 0040415	\$156,939.71 6.210% from 05/01/88 Joint and Several	89-R366
379. Graham, William Kevin Jupiter, FL Date Effective : 11/26/99 NFA ID - 0254619	\$8,000.00 5.224% from 06/12/97 \$50.00 Filing Fee Joint and Several	99-R158
380. Grand Capital Commodity, Inc. Vienna, VA Date Effective : 12/16/94 NFA ID - 0249241	\$6,000.00 \$50.00 Filing Fee	94-R163
381. Graves, Patricia E. a/k/a Boutros, Patricia E. Hollywood, FL Sunrise, FL Date Effective : 12/04/89 NFA ID - 0197425	\$15,500.00 7.750% from 06/10/87 \$200.00 Filing Fee Joint and Several \$500.00 Expert Witness Fee	88-R452

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Graves, Patricia E. (Continued) a/k/a Boutros, Patricia E. Date Effective : 12/14/89	\$8,168.10 8.270% from 07/16/87 \$200.00 Filing Fee Joint and Several	89-R13
382. Great American Commodities, Inc. Miami, FL Date Effective : 01/14/90 NFA ID - 0190158	\$7,011.00 7.900% from 11/17/87 \$100.00 Filing Fee Joint and Several	89-R107
Date Effective : 01/14/90	\$1,350.00 \$25.00 Filing Fee Joint and Several Pre-Judg. Interest from 5/1/89	89-R365
Date Effective : 01/15/90	\$72,502.80 7.900% from 04/14/88 \$25.00 Filing Fee Joint and Several	89-R220
Date Effective : 01/08/90	\$6,479.00 7.900% from 06/21/88 \$100.00 Filing Fee Joint and Several	88-R409
Date Effective : 02/08/90	\$4,881.00 7.690% from 01/19/89 \$25.00 Filing Fee Joint and Several	89-R278
Date Effective : 02/21/90	\$20,221.26 7.660% from 06/24/88 Joint and Several	89-R132
Date Effective : 04/02/90	\$55,226.00 \$200.00 Filing Fee Joint and Several 7.74% from date account closed	89-R160
Date Effective : 06/09/90	\$20,653.84 8.320% Joint and Several	89-R223

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Great American Commodities, Inc. (Continued) Date Effective : 07/11/90	\$18,237.00 8.700% from 04/01/87 \$200.00 Filing Fee Joint and Several	89-R202
Date Effective : 07/11/90	\$96,000.00 8.700% from 12/31/88 \$25.00 Filing Fee Joint and Several	90-R125
Date Effective : 11/04/91	\$31,172.52 6.390% from 11/15/88 \$25.00 Filing Fee	89-R084
Date Effective : 12/28/91	\$7,864.06 5.370% from 03/27/89 \$100.00 Filing Fee Joint and Several	91-R217
383. Green, Jeffrey R. Kansas City, MO NFA ID - 0070412	\$1,459.00 7.910% from 11/18/81 \$25.00 Filing Fee Joint and Several	84-R166
384. Green, Mandel D. Chicago, IL Date Effective : 11/25/90 NFA ID - 0096659	\$7,950.00 Joint and Several	90-R83
Date Effective : 11/28/90	\$8,000.00 Joint and Several	90-R189
Date Effective : 12/29/90	\$29,019.56 7.780% from 06/30/88 \$25.00 Filing Fee Joint and Several	90-R204
Date Effective : 01/19/91	\$68,317.30 7.280% from 11/19/90 Joint and Several	90-R136
Date Effective : 02/10/91	\$24,500.00 Joint and Several	90-R244
Date Effective : 04/28/91	\$156,939.71 6.210% from 05/01/88 Joint and Several	89-R366

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Green, Mandel D. (Continued) Date Effective : 06/28/91	\$31,799.81 6.260% from 03/29/88 Joint and Several	90-R149
Date Effective : 11/24/91	\$10,000.00 5.570% from 09/20/91 Joint and Several	91-R072
Date Effective : 02/04/92	\$5,873.92	91-R147
Date Effective : 04/29/93	\$19,648.28 3.450% from 07/10/89 \$25.00 Filing Fee Joint and Several	90-R119
385. Green, Mark N. Irvine, CA NFA ID - 0036262	\$5,000.00 11.000% from 12/22/81 \$25.00 Filing Fee Joint and Several	83-R095
Green, Mark N. Newport Beach, CA NFA ID - 0036262	\$25,000.00 10.080% from 06/01/82 \$25.00 Filing Fee Joint and Several	83-R222
386. Greenbaum, James Lauderhill, FL NFA ID - 0060201	\$2,500.00 7.600% from 12/29/82 \$25.00 Filing Fee Joint and Several	83-R848
	\$3,977.50 9.100% from 06/22/81	84-R081
387. Greenbaum, Wayne L. Boca Raton, FL N. Miami Beach, FL Date Effective : 11/29/91 NFA ID - 0027208	\$31,172.52 6.390% from 11/15/88 \$25.00 Filing Fee	89-R084
Greenbaum, Wayne L. N. Miami Beach, FL Boca Raton, FL Date Effective : 04/02/90 NFA ID - 0027208	\$55,226.00 \$200.00 Filing Fee Joint and Several 7.74% from date account closed	89-R160
388. Greene, Arnold New York, NY	\$6,500.00 20.000% from 06/30/79 \$25.00 Filing Fee Joint and Several	R81-349-81-558

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
389. Gritz, Neal M. Cheltenham, PA Date Effective : 05/29/90 NFA ID - 0203635	\$6,165.00 8.360% from 12/21/88 \$25.00 Filing Fee Joint and Several	90-R99
390. Grossman, Martin Louis Boca Raton, FL Date Effective : 04/28/92 NFA ID - 0200627	\$4,764.51	91-R192
391. Guardian Investment Company North Palm Beach, FL Date Effective : 07/01/94 NFA ID - 0244457	\$5,292.10 4.510% from 06/30/93 \$200.00 Filing Fee	93-R196
392. Guarino, Anthony Louis Brooklyn, NY NFA ID - 0033505	\$17,290.54 6.310% from 09/26/82 \$25.00 Filing Fee Joint and Several	83-R212
393. Guarino, Nicholas A., Jr. Harrison, AR NFA ID - 0035931	\$30,637.00 6.590% from 06/11/84 \$25.00 Filing Fee	87-R047
394. Guilford, David Robert San Diego, CA Date Effective : 02/22/00 NFA ID - 0279798	\$884.00 5.471% from 03/18/99 \$50.00 Filing Fee	99-R178
395. Gulf Commodity Management, Inc. a/k/a G. Curtis Management Farris, TX NFA ID - 0000164	\$12,750.00 11.000% from 08/01/83	83-R913
396. H.G.S.E. Commodities, Inc. Houston, TX NFA ID - 0000622	\$30,637.00 6.590% from 06/11/84 \$25.00 Filing Fee	87-R047
397. Haage, Edward Z. Highwood, IL Date Effective : 01/14/89 NFA ID - 0091669	\$1,959.50 8.550% from 07/13/88 \$25.00 Filing Fee Joint and Several	88-R422
Date Effective : 05/08/90	\$3,176.29 7.970% from 06/30/87 \$25.00 Filing Fee Joint and Several	89-R189

RESPONDENT	JUDGEMENT	DOCKET NO.
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398. Haines, Kenneth Robert Lingwood, WV Date Effective : 06/27/94 NFA ID - 0233831	\$30,000.00 Joint and Several	94-R016
399. Haines, Peter A. a/k/a Hanes, Peter A. New York, NY NFA ID - 0019497	\$30,859.30 9.170% from 11/05/82 \$25.00 Filing Fee Joint and Several	83-R492
Haines, Peter A. New York, NY	\$8,800.00 Joint and Several	83-R243
400. Hall, Susan J. State Line, NV Incline Village, NV Date Effective : 04/11/89 NFA ID - 0185916	\$2,000.00 7.140% from 07/14/86 \$100.00 Filing Fee Joint and Several	87-R192
401. Haller, William Robert Bethlehem, PA Summit, NJ Date Effective : 08/05/92 NFA ID - 0206694	\$2,075.00 5.680% from 06/01/89 \$25.00 Filing Fee Joint and Several	90-R118
402. Hamburg, Robert Harold Solana Beach, CA Del Mar, CA Date Effective : 04/22/96 NFA ID - 0253795	\$7,347.00 5.160% from 04/20/94 \$125.00 Filing Fee Joint and Several	95-R015
403. Hammer, Ernst Peter Pompano Beach, FL Date Effective : 07/11/90 NFA ID - 0191502	\$18,237.00 8.700% from 04/01/87 \$200.00 Filing Fee Joint and Several	89-R202
404. Hampshire Asset Management, Inc. d/b/a Horton Financial Management Medford, NJ Date Effective : 07/20/91 NFA ID - 0180970	\$5,576.74 6.070% from 12/08/89 \$100.00 Filing Fee Joint and Several	90-R157
d/b/a Horton Financial Management Date Effective : 08/19/91	\$1,810.78	90-R241
405. Hanbury, Loretta A. New York, NY NFA ID - 0027042	\$10,000.00 7.010% from 09/10/86 \$100.00 Filing Fee	88-R132

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
406. Handel, David S. Chicago, IL Date Effective : 04/02/90 NFA ID - 0012272	\$14,269.32 7.740% from 02/14/84 \$200.00 Filing Fee Joint and Several	86-R075
407. Haneman, Jack Novato, CA NFA ID - 0029639	\$49,183.58 8.320% \$200.00 Filing Fee	87-R394
Date Effective : 01/20/89	\$31,302.51 8.550% from 06/28/85 \$200.00 Filing Fee Joint and Several	87-R337
Date Effective : 01/19/89	\$27,060.00 8.150% from 12/01/85 \$200.00 Filing Fee Joint and Several	87-R267
Date Effective : 02/09/89	\$9,021.00 8.550% from 09/28/84 \$100.00 Filing Fee Joint and Several	87-R001
Haneman, Jack W. Novato, CA NFA ID - 0029639	\$8,806.15 7.540% from 11/01/85 \$25.00 Filing Fee	87-R117
Date Effective : 09/21/89	\$27,060.00 8.150% from 12/01/85 \$200.00 Filing Fee Joint and Several	87-R267
408. Hardwick, Wells & Winthrop Commodities, Inc. New York, NY NFA ID - 0103651	\$1,113.74 10.000% from 11/30/82 \$25.00 Filing Fee	83-R784
	\$5,000.00 11.000% from 12/26/80 Joint and Several	83-R126
409. Hardy, David E. Lake Forest, CA NFA ID - 0011039	\$17,586.52 5.750% from 07/19/85 \$200.00 Filing Fee	85-R401
410. Harrell, Tod A. a/k/a Harrell, Todd Chicago, IL NFA ID - 0178818	\$1,842.00 7.590% from 04/04/87 \$100.00 Filing Fee Joint and Several	87-R428

RESPONDENT	JUDGEMENT	DOCKET NO.
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411. Harris, Conrad A. Crown Point, IN Date Effective : 04/13/00 NFA ID - 0187793	\$2,550.00 6.287% from 04/01/99 \$125.00 Filing Fee	00-R011
412. Harris, John L. Brooklyn, NY NFA ID - 0095293	\$41,346.21 6.300% from 10/01/83 \$200.00 Filing Fee Joint and Several	85-R264
413. Hartford Financial Group, Inc. Fort Lauderdale, FL Date Effective : 08/27/98 NFA ID - 0275227	\$11,404.00 5.434% from 10/31/97 \$125.00 Filing Fee	98-R122
Hartford Financial Group, Inc. Ft. Lauderdale, FL Date Effective : 04/24/00 NFA ID - 0275227	\$16,000.00 5.400% from 12/01/96 \$250.00 Filing Fee	98-R004
414. Harvey, Robert T. New York, NY NFA ID - 0057540	\$5,000.00 7.870% \$25.00 Filing Fee Joint and Several	R81-444-81-534
415. Hattan, William John Santa Ana, CA NFA ID - 0092239	\$12,072.50 7.910% from 08/03/84 \$25.00 Filing Fee	85-R317
416. Hauze, Mark Todd Encinitas, CA Date Effective : 12/04/02 NFA ID - 0263584	\$54,000.00 1.730% from 05/06/99 \$250.00 Filing Fee Joint and Several	01-R054
417. Havens, Thomas C. New York, NY NFA ID - 0035042	\$38,945.00 7.060% from 05/01/79 \$25.00 Filing Fee Joint and Several	R79-493-80-47
418. Haynes, Robert Lewis Jr. a/k/a Bob Haynes Chicago, IL Date Effective : 06/26/92 NFA ID - 0184535	\$22,299.81 6.260% from 03/29/88 Joint and Several	90-R149
419. Heart, Maximillion Los Angeles, CA	\$5,000.00 11.000% from 12/22/81 \$25.00 Filing Fee Joint and Several	83-R95

RESPONDENT	JUDGEMENT	DOCKET NO.
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Heart, Maximillion (Continued)	\$25,000.00 10.080% from 06/01/82 \$25.00 Filing Fee Joint and Several	83-R222
420. Heath, V. Joyce a/k/a Joyce Heath N. Miami, FL Date Effective : 04/28/91 NFA ID - 0047983	\$156,939.71 6.210% from 05/01/88 Joint and Several	89-R366
421. Hemphill, William B., Jr. Palo Alto, CA NFA ID - 0004625	\$57,714.65 11.000% from 10/30/81 \$25.00 Filing Fee	84-R123
	\$17,999.00 11.000% from 10/30/81 \$25.00 Filing Fee	82-R829
422. Henson, Howard P. New York, NY Date Effective : 04/16/92 NFA ID - 0208517	\$21,127.29 8.240% from 06/30/88 \$200.00 Filing Fee Joint and Several	89-R050
423. Hernandez, Carlos Miami, FL Date Effective : 12/27/93 NFA ID - 0195796	\$14,919.54 3.380% from 06/26/91 \$50.00 Filing Fee	93-R161
424. Hernandez, Robert P. Bethlehem, PA NFA ID - 0072630	\$6,837.25 7.570% from 09/30/84	85-R034
425. Herps, John New York, NY	\$20,700.00 11.000% from 07/30/79 \$25.00 Filing Fee Joint and Several	R79-786-80-167
426. Herr, Alan New York, NY NFA ID - 0035126	\$3,704.17 11.000% from 05/01/84 \$25.00 Filing Fee Joint and Several	82-R956
	\$2,500.00 12.000% from 01/11/82 \$25.00 Filing Fee Joint and Several	82-R745

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
427. Herr, Herb New York, NY	\$2,500.00 12.000% from 02/18/82 \$25.00 Filing Fee Joint and Several	82-R816
428. Herr, Herbert New York, NY	\$3,368.25 11.000% from 05/01/84 \$25.00 Filing Fee Joint and Several	82-R845
429. Hertzberg, Mel New York, NY NFA ID - 0003765	\$4,755.00 11.000% from 04/05/83	84-R373
430. Hester, Richard Plano, TX NFA ID - 0019015	\$51,725.83 5.930% from 01/01/83 \$200.00 Filing Fee Joint and Several	85-R185
431. Highfield Commodities, Ltd. London, England	\$3,704.00 \$25.00 Filing Fee varying interest	82-R683
	\$3,704.00 11.000% from 07/15/81 \$7,408.00 11.000% from 07/23/81 \$2,020.00 11.000% from 08/06/81 \$25.00 Filing Fee Joint and Several	82-R610
	\$6,287.12 12.000% from 05/01/81 \$25.00 Filing Fee	82-R937
432. Highfield of America, Inc. Hollywood, CA	\$5,645.00 11.000% from 03/30/82 \$25.00 Filing Fee	83-R130
	\$6,975.00 11.000% from 01/22/83 \$25.00 Filing Fee Joint and Several	83-R534
433. Highfield of America, Inc. Jersey City, NJ	\$2,734.91 11.000% from 05/01/83 Joint and Several	83-R5

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Highfield of America, Inc. (Continued)	\$3,704.00 11.000% from 07/15/81 \$7,408.00 11.000% from 07/23/81 \$2,020.00 11.000% from 08/06/81 \$25.00 Filing Fee Joint and Several	82-R610
	\$24,095.00 12.000% from 02/24/84	83-R7
	\$6,649.49 11.000% from 05/01/83 Joint and Several	82-R1002
	\$4,049.37 11.000% from 05/01/83 \$25.00 Filing Fee Joint and Several	82-R1
	\$3,705.00 11.000% from 06/04/81	83-R463
	\$1,835.00 9.080% from 02/19/84 \$25.00 Filing Fee	83-R270
	\$2,430.00 9.170% from 05/15/85 \$25.00 Filing Fee Joint and Several	83-R113
434. Highfield of America, Inc. Los Angeles, CA Jersey City, NJ	\$6,287.12 12.000% from 05/01/81 \$25.00 Filing Fee	82-R937
Highfield of America, Inc. Los Angeles, CA	\$3,940.00 11.000% from 12/18/81 \$25.00 Filing Fee Joint and Several	82-R898
Highfield of America, Inc. Los Angeles, CA Jersey City, NJ	\$11,470.00 11.000% from 08/05/82 \$25.00 Filing Fee Joint and Several	83-R147

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Highfield of America, Inc. Los Angeles, CA	\$3,659.00 11.000% from 11/01/82 \$5,651.00 11.000% from 07/01/82 Joint and Several	83-R401
	\$3,570.00 11.000% from 08/03/82 \$25.00 Filing Fee Joint and Several	83-R360
435. Hightower Investments, Inc. d/b/a Christopher J. Hightower Barrington, RI Date Effective : 04/26/93 NFA ID - 0191645	\$11,861.00 Joint and Several	91-R199
436. Hightower, Christopher Jermire Barrington, RI Date Effective : 04/26/93 NFA ID - 0191646	\$11,861.00 Joint and Several	91-R199
437. HINMAN, CHARLES JOHN FT. LAUDERDALE, FL Date Effective : 11/04/98 NFA ID - 0265567	\$10,017.00 5.271% from 04/22/96 \$125.00 Filing Fee Joint and Several	97-R121
438. Hinman, James A. Fort Lauderdale, FL Costa Mesa, CA Date Effective : 02/16/91 NFA ID - 0178669	\$8,445.45 6.710% from 09/02/86 \$100.00 Filing Fee Joint and Several	87-R331
439. Hirano, Harry Honolulu, HI	\$4,000.00 12.000% from 04/30/81 \$25.00 Filing Fee	82-R419
440. Hishmeh, James A. Milwaukee, WI NFA ID - 0052680	\$4,343.76 6.530% from 12/31/84 \$100.00 Filing Fee Joint and Several	86-R212
441. Hodek, Charles St. Louis Park, MN	\$276,880.00 16.000% from 08/01/79 \$25.00 Filing Fee Joint and Several	R81-1289-82-497

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
442. Hoefler, Steven C. Ft. Lauderdale, FL NFA ID - 0133732	\$1,080.00 11.000% from 04/07/82 Joint and Several	83-R583
443. Hoffman, Everett Carl Newport Beach, CA NFA ID - 0017929	\$33,996.60 20.000% from 12/15/80 \$25.00 Filing Fee	82-R345
444. Hoffman, Ted New York, NY	\$12,025.50 20.000% from 09/25/80 \$25.00 Filing Fee Joint and Several	R81-392-81-490
445. Hogan-Orr, Inc. Chicago, IL	\$247.50 20.000% from 12/11/79 \$25.00 Filing Fee	R80-624-80-581
	\$2,835.00 12.000% from 07/31/80 \$692.66 costs	R78-527-79-152
446. Hollander, Frederick Neil Sunrise, FL Date Effective : 05/23/94 NFA ID - 0114057	\$19,500.00 4.030% from 01/23/92 \$200.00 Filing Fee	93-R160
	Date Effective : 03/25/95 \$5,500.00 7.340% from 05/12/92 \$25.00 Filing Fee	93-R121
447. Holm, Kenneth G. Solano Beach, CA Date Effective : 10/16/91 NFA ID - 0192694	\$411,088.00 6.390% from 01/01/88 \$200.00 Filing Fee Joint and Several	91-R145
448. Holm, Kenneth Gahagen d/b/a Incline Financial Advisor Solano Beach, CA Date Effective : 10/16/91 NFA ID - 0192693	\$411,088.00 6.260% from 01/01/88 \$200.00 Filing Fee Joint and Several	91-R145
449. Hope, Ginnee Anne Fort Lauderdale, FL Date Effective : 03/25/98 NFA ID - 0258009	\$13,599.96 5.341% from 12/31/94 \$125.00 Filing Fee Joint and Several	97-R155
450. Horn, Joseph A. New York, NY NFA ID - 0038333	\$5,000.00 7.870% \$25.00 Filing Fee Joint and Several	R81-444-81-534

RESPONDENT	JUDGEMENT	DOCKET NO.
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451. Horton, Joseph T., Jr. Medford, NJ Date Effective : 04/16/92 NFA ID - 0035328	\$5,576.74 6.070% from 12/08/89 \$100.00 Filing Fee Joint and Several	90-R157
452. Howe, William A. Melrose, MA NFA ID - 0034191	\$10,458.25 11.000% from 03/20/81 \$25.00 Filing Fee Joint and Several	R81-195-82-410
Howe, William A. Melrose, MA Saugus, MA NFA ID - 0034191	\$2,255.00 12.000% from 02/15/79 \$25.00 Filing Fee Joint and Several	R79-611-80-116
Howe, William A. Melrose, MA NFA ID - 0034191	\$11,400.00 7.850% from 06/23/83 \$25.00 Filing Fee Joint and Several	85-R075
Howe, William A. Saugus, MA NFA ID - 0034191	\$10,000.00 Joint and Several	85-R406
453. Hsu, Grace Flushing, NY Date Effective : 04/17/98 NFA ID - 0262835	\$6,690.00 5.232% from 05/22/97 \$125.00 Filing Fee Joint and Several	97-R154
Date Effective : 11/06/98	\$8,732.28 5.271% from 04/30/97 \$125.00 Filing Fee Joint and Several	98-R139
454. Huff, Virgil R. Raleigh, NC Birmingham, MI NFA ID - 0035394	\$9,464.10 5.770% from 07/02/82 \$100.00 Filing Fee	84-R440
455. Hunt, Toni Hallandale, FL	\$7,465.45 7.030% from 05/22/82 \$25.00 Filing Fee Joint and Several	R81-884-81-826
456. Huot, Joseph E. Miami, FL Date Effective : 01/14/90 NFA ID - 0200981	\$1,350.00 \$25.00 Filing Fee Joint and Several Pre-Judg. Interest from 5/1/89	89-R365

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Huot, Joseph E. (Continued) Date Effective : 07/11/90	\$96,000.00 8.700% from 12/31/88 \$25.00 Filing Fee Joint and Several	90-R125
Date Effective : 12/28/91	\$7,864.06 5.370% from 03/27/89 \$100.00 Filing Fee Joint and Several	91-R217
Huot, Joseph Ernest Miami, FL Date Effective : 01/08/90 NFA ID - 0200981	\$6,479.00 7.900% from 06/21/88 \$100.00 Filing Fee Joint and Several	88-R409
457. I & L Global Consultants, Ltd. Brooklyn, NY Date Effective : 08/28/97 NFA ID - 0249865	\$5,000.00 5.880% from 04/08/94 \$125.00 Filing Fee	97-R064
458. ICG Trading, Inc. New York, NY Date Effective : 04/19/00 NFA ID - 0257292	\$8,350.00 6.287% from 08/05/97 \$125.00 Filing Fee	00-R005
Date Effective : 10/29/99	\$44,759.75 5.224% from 12/27/96 \$50.00 Filing Fee	99-R133
459. Imperial Capital, Inc. Boca Raton, FL NFA ID - 0000386	\$10,839.56 7.850% from 02/07/83 \$25.00 Filing Fee Joint and Several	83-R679
Imperial Capital, Inc. Dallas, TX NFA ID - 0000386	\$1,974.40 8.450% from 05/27/83 \$25.00 Filing Fee Joint and Several	83-R783
	\$4,522.24 9.100% from 08/18/83 \$25.00 Filing Fee Joint and Several	84-R143
	\$22,054.57 7.700% from 07/02/82 \$25.00 Filing Fee Joint and Several	82-R803

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Imperial Capital, Inc. (Continued)	\$10,829.24 8.080% from 01/04/84 \$25.00 Filing Fee	84-R171
	\$4,500.00 8.570% from 12/01/82	83-R857
	\$42,000.00 8.570% from 02/24/83 \$25.00 Filing Fee	84-R238
	\$1,505.97 7.060% from 04/24/86	85-R234
	\$14,739.84 6.310% from 11/01/82 \$25.00 Filing Fee	85-R092
	\$2,750.00 6.310% from 07/21/83 \$25.00 Filing Fee	86-R002
	\$4,192.90 5.790% from 11/19/86 \$25.00 Filing Fee Joint and Several	85-R065
	\$51,725.83 5.930% from 01/01/83 \$200.00 Filing Fee Joint and Several	85-R185
Imperial Capital, Inc. Newport Beach, CA NFA ID - 0000386	\$3,611.73 11.000% from 07/01/84 \$25.00 Filing Fee Joint and Several	83-R055
	\$3,527.32 9.090% from 01/24/83 \$25.00 Filing Fee	83-R676
460. Incline Financial a/k/a Stockton Commodities Incline Village, NV Date Effective : 08/24/92 NFA ID - 0087438	\$3,075.52 5.370% from 06/29/88 \$25.00 Filing Fee	90-R110

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
461. Infinity Trading Co., Inc. Playa Del Rey, CA Date Effective : 08/28/96 NFA ID - 0234700	\$30,000.00 \$50.00 Filing Fee Joint and Several	96-R070
Date Effective : 02/09/98	\$9,496.79 \$50.00 Filing Fee	97-R071
Infinity Trading Company, Inc. Playa Del Rey, CA Date Effective : 11/27/96 NFA ID - 0234700	\$3,853.00 \$50.00 Filing Fee Joint and Several \$637.50 Joint and Several	95-R141
462. Ingram, Douglas Grant Palm Beach Gardens, FL Date Effective : 07/26/02 NFA ID - 0280635	\$7,700.00 \$50.00 Filing Fee	02-R013
463. Intercontinent Commodity Advisors, Inc. Ft. Lauderdale, FL NFA ID - 0000789	\$8,580.24 9.150% from 10/15/84	85-R116
	\$9,749.62 6.560% from 12/31/84 \$25.00 Filing Fee	86-R065
	\$9,612.00 5.750% from 12/15/86	85-R379
464. International Commodities Corp. Chicago, IL	\$16,569.05 12.000% from 04/20/77	R78-230-79-468
465. International Commodity Investments, Inc. Carlsbad, CA NFA ID - 0090362	\$20,270.48 12.000% from 01/15/81 \$25.00 Filing Fee Joint and Several	R81-1395-82-466
	\$18,541.04 9.090% from 06/29/81 \$25.00 Filing Fee Joint and Several	R81-611-82-325
	\$9,270.52 9.090% from 07/02/81 \$25.00 Filing Fee Joint and Several	R81-1085-82-293

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
International Commodity Investments, Inc. (Continued)	\$10,000.00 9.090% from 03/08/82 \$25.00 Filing Fee Joint and Several	82-R524
	\$10,000.00 9.090% from 07/13/82 \$25.00 Filing Fee Joint and Several	82-R883
466. International Dynamics, Inc. New York, NY NFA ID - 0002697	\$2,500.00 11.000% from 04/09/82 Joint and Several	83-R292
	\$2,675.00 11.000% from 09/25/81 Joint and Several	84-R048
	\$5,350.00 11.000% from 12/30/82 \$25.00 Filing Fee	83-R882
	\$2,675.00 9.080% from 01/01/82	83-R765
	\$17,850.00 11.000% from 04/09/82 Joint and Several	83-R608
	\$9,659.32 11.000% from 10/01/82	83-R362
	\$10,350.00 11.000% from 01/07/83 Joint and Several	83-R295
	\$8,800.00 Joint and Several	83-R243
467. International Futures Strategists, Inc. Chicago, IL Date Effective : 09/24/90 NFA ID - 0192218	\$11,288.95 9.970% from 09/01/87 \$200.00 Filing Fee Joint and Several	88-R362
Date Effective : 11/25/90	\$14,031.00	90-R83

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
International Futures Strategists, Inc. (Continued) Date Effective : 11/28/90	\$8,000.00 Joint and Several	90-R189
468. International Metals Exchange, Inc. New York, NY	\$6,500.00 20.000% from 06/30/79 \$25.00 Filing Fee Joint and Several	R81-349-81-558
	\$6,450.00 20.000% from 05/11/79 \$25.00 Filing Fee Joint and Several	R81-319-81-523
469. International Monetary Group, Inc. Dallas, TX NFA ID - 0105769	\$8,500.00 11.000% from 03/06/81 Joint and Several	R81-302-81-817
	\$9,600.00 6.560% from 07/14/80 \$25.00 Filing Fee Joint and Several	R81-720-82-188
470. International Trading Group, Ltd. San Mateo, CA Date Effective : 06/29/92 NFA ID - 0002912	\$130,120.00 8.190% from 03/19/86 \$200.00 Filing Fee Joint and Several	88-R321
471. Investment Managers Commodity Corporation Libertyville, IL	\$13,696.61 \$25.00 Filing Fee Joint and Several	R77-293
472. Investment Syndication Corp. N. Miami, FL Date Effective : 03/09/89 NFA ID - 0086844	\$6,800.00 7.540% from 10/17/84 Joint and Several	86-R267
Date Effective : 04/01/90	\$12,100.00 Joint and Several	89-R68
473. Irwin, Jerry Frederick Danbury, CT	\$6,300.00 5.770% from 03/19/84 \$100.00 Filing Fee	86-R156

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
474. Irwin, John Frederick Sunrise, FL NFA ID - 0081273	\$861.68 5.630% from 05/31/84 \$25.00 Filing Fee	86-R122
475. Isotalo, Karl S. Sausalito, CA Date Effective : 04/29/93 NFA ID - 0211694	\$19,648.28 3.450% from 07/10/89 \$25.00 Filing Fee Joint and Several	90-R119
476. Ivey, James K., Jr. LaJolla, CA NFA ID - 0025349	\$10,514.50 7.710% from 10/05/83 \$25.00 Filing Fee	85-R437
	\$5,211.65 7.710% from 05/23/83 \$25.00 Filing Fee	85-R438
477. Jacobsohn, Robert L. Hollywood, FL Date Effective : 01/14/90 NFA ID - 0190019	\$7,011.00 7.900% from 11/17/87 \$100.00 Filing Fee Joint and Several	89-R107
Date Effective : 01/15/90	\$72,502.80 7.900% from 04/14/88 \$25.00 Filing Fee Joint and Several	89-R220
478. Jankowski, Christopher Northlake, IL	\$3,500.00 12.000% from 05/19/78 \$25.00 Filing Fee Joint and Several	R79-441-80-168
	\$3,900.00 6.000% from 11/16/78 to 01/31/80 12.000% from 02/01/80 to 01/31/82 20.000% from 02/01/82 to 12/31/82 16.000% from 01/01/83 \$25.00 Filing Fee Joint and Several	R79-383-80-109
	\$4,670.07 12.000% from 02/05/79 \$25.00 Filing Fee Joint and Several	R79-201-79-355

RESPONDENT	JUDGEMENT	DOCKET NO.
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479. Jarrait, Charles O., Sr. Southfield, MI Highfield, MI NFA ID - 0126871	\$7,671.29 11.000% from 07/28/81	83-R264
480. Jasek, Stanley K. Chicago, IL Grand Haven, MI Date Effective : 10/30/89 NFA ID - 0026363	\$16,533.48 7.590% from 06/25/86 \$200.00 Filing Fee Joint and Several	87-R139
481. JCC, Inc. Miami, FL Date Effective : 05/30/95 NFA ID - 0001612	\$28,386.00 \$50.00 Filing Fee	95-R046
482. Jones, Billy Romeroy, WA NFA ID - 0059087	\$11,659.39 6.710% from 02/18/86 \$25.00 Filing Fee Joint and Several	87-R353
483. Jones, D.E., Commodities, Inc. New York, NY NFA ID - 0002586	\$4,350.00 7.870% from 07/23/82 \$25.00 Filing Fee Joint and Several	84-R340
	\$47,000.00 5.750% from 11/08/82 \$200.00 Filing Fee Joint and Several	84-R514
	\$6,000.00 7.710% from 08/03/83 \$25.00 Filing Fee Joint and Several	84-R481
484. Jones, William H. a/k/a Billy Jones Romeroy, WA NFA ID - 0059087	\$45,451.00	86-R219
485. Jonlyn Investor Services, Ltd. New York, NY	\$84,500.00 12.000% from 01/08/80	R80-489-80-333
	\$671,200.00 12.000% from 02/02/80	R80-497-80-335
486. Josten, Michael Gerard Newport Beach, CA NFA ID - 0093459	\$18,622.34 8.180% from 07/26/84	85-R030

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
487. Josten, Walter S. Ft. Lauderdale, FL NFA ID - 0006315	\$22,054.57 7.700% from 07/02/82 \$25.00 Filing Fee Joint and Several	82-R803
488. Kahn, William R. New York, NY	\$5,951.00 5.560% from 02/05/81 \$25.00 Filing Fee Joint and Several	R81-897-81-725
489. Kalina, Daniel Mark Berwyn, IL Date Effective : 08/17/92 NFA ID - 0092786	\$16,596.83 6.210% from 05/09/88 \$200.00 Filing Fee Joint and Several	90-R175
490. Kaminsky, Kenneth W. Minnetonka, MN	\$276,880.00 16.000% from 08/01/79 \$25.00 Filing Fee	R81-1286-82-497
491. Kane, Edward Hollywood, CA	\$6,578.25 20.000% from 01/10/80 \$25.00 Filing Fee Joint and Several	R81-509-82-245
492. Kanno, George Chicago, IL	\$21,000.00 11.000% from 09/25/79 \$25.00 Filing Fee	82-R798
493. Kaplan, Errol S. Vernon Hill, IL NFA ID - 0086674	\$4,462.51 7.850% from 12/23/82 \$25.00 Filing Fee	85-R279
494. Karlberg, Louis, Jr. Boston, MA Beverly Farms, MA	\$9,500.00 11.000% from 12/01/80 \$25.00 Filing Fee Joint and Several	R81-1295-82-292
	\$30,000.00 16.000% from 01/12/81 \$25.00 Filing Fee Joint and Several	R81-1142-82-247
495. Kartis, Peter N. Miami, FL NFA ID - 0152731	\$8,500.00 10.080% from 03/05/82 \$25.00 Filing Fee Joint and Several	82-R890
496. Kashouxy, Michael N. Boca Raton, FL	\$3,267.45 11.000% from 12/13/82	83-R279

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
497. KATES, KEVIN DAVID POMPANO BEACH, FL Date Effective : 11/09/98 NFA ID - 0261691	\$1,775.00 Joint and Several	98-R079
498. Katz, Alan Brooklyn, NY NFA ID - 0053582	\$3,900.00 6.000% from 11/16/78 to 01/31/80 12.000% from 02/01/80 to 01/31/82 20.000% from 01/01/82 to 12/31/82 16.000% from 01/01/83 \$25.00 Filing Fee Joint and Several	R79-383-80-109
499. Kaye, David S. Hollywood, FL Date Effective : 05/13/91 NFA ID - 0178501	\$24,077.88 6.210% from 04/04/88 \$200.00 Filing Fee	88-R358
500. Kayne, Richard N. Miami, FL	\$3,900.00 12.000% from 06/05/80 \$25.00 Filing Fee Joint and Several	R78-112-78-278
501. Kearse-McNeill, Carlo Scott Los Angeles, CA Date Effective : 09/05/00 NFA ID - 0235991	\$45,050.00 4.727% from 04/01/96	98-R114
502. Keerkel, Mark Hallandale, FL	\$4,925.24 12.000% from 04/15/81 \$25.00 Filing Fee Joint and Several	82-R470
503. Kelleher, Charles W. Gobles, MI NFA ID - 0034430	\$5,000.00 11.000% from 08/17/83 \$25.00 Filing Fee Joint and Several	84-R58
504. Kelly, Kathy Los Angeles, CA Date Effective : 08/28/96 NFA ID - 0064748	\$30,000.00 \$50.00 Filing Fee Joint and Several	96-R070

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Kelly, Kathy (Continued) Date Effective : 11/27/96	\$3,853.00 \$50.00 Filing Fee Joint and Several \$637.50 Joint and Several	95-R141
Date Effective : 02/09/98	\$9,496.79 \$50.00 Filing Fee	97-R071
505. Kent, Herbert J. Lexington, MA NFA ID - 0068058	\$9,000.00 20.000% from 12/16/82 \$25.00 Filing Fee Joint and Several	R81-1123-82-311
	\$9,000.00 20.000% from 12/15/82 \$25.00 Filing Fee Joint and Several	R81-916-82-187
Kent, Herbert J. Woburn, MA NFA ID - 0068058	\$10,000.00 6.300% from 03/27/81 \$25.00 Filing Fee Joint and Several	83-R486
506. Kepreos, Constantine T. a/k/a Kepreos, C. Ted Camden, NJ NFA ID - 0040649	\$8,894.32 7.850% from 10/05/81 \$25.00 Filing Fee Joint and Several	82-R556
Kepreos, Constantine T. a/k/a Kepreos, C. Ted Hanover, MA NFA ID - 0040649	\$9,289.00 7.570% from 10/26/81 \$25.00 Filing Fee	82-R364
Kepreos, Constantine T. a/k/a Kepreos, C. Ted Norwell, MA NFA ID - 0040649	\$5,000.00 12.000% from 11/01/81 \$25.00 Filing Fee Joint and Several	82-R562
Kepreos, Constantine T. a/k/a Kepreos, C. Ted Yardley, PA NFA ID - 0040649	\$6,347.58 10.080% from 11/02/81 \$25.00 Filing Fee Joint and Several	82-R264
507. Keystone Trading Corporation Chicago, IL Date Effective : 03/19/99 NFA ID - 0002396	\$4,259.38 4.545% from 12/02/96 \$50.00 Filing Fee	99-R043

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
508. Kimmes, Troy A. Tampa, FL Date Effective : 10/30/90 NFA ID - 0212337	\$7,270.96 7.880% from 02/21/90 Joint and Several \$100 in costs.	90-R198
509. King, Roger Los Angeles, CA	\$5,651.00 11.000% from 07/01/82 Joint and Several	83-R401
510. Kinsler, James D. Coral Springs, FL	\$12,000.00 11.000% from 08/01/80 Joint and Several	R80-449-81-585
	\$12,569.00 12.000% from 08/01/80 \$25.00 Filing Fee	R81-1185-82-37
511. Kirby, Jonathan Los Angeles, CA London, England	\$3,570.00 11.000% from 08/03/82 \$25.00 Filing Fee Joint and Several	83-R360
512. Kitsianis, John Laguna Niguel, CA NFA ID - 0028503	\$20,000.00 8.180% from 07/12/83 \$200.00 Filing Fee Joint and Several	84-R470
513. Kleinerman, Frederick Los Angeles, CA	\$5,560.00 11.000% from 03/08/82 \$25.00 Filing Fee Joint and Several	83-R351
514. Kleinjan, Willis Dwight Volga, SD NFA ID - 0072342	\$13,114.96 6.560% from 07/01/83 Joint and Several	84-R333
515. KLINOV, MARK BORISOVITCH MCLEAN, VA Date Effective : 08/13/01 NFA ID - 0278497	\$80,000.00 3.700% from 10/01/99 \$250.00 Filing Fee	00-R125
516. Knapp, Charles D. West Chicago, IL Date Effective : 06/09/88 NFA ID - 0019316	\$16,134.65 6.640% from 07/11/84 \$200.00 Filing Fee Joint and Several	86-R215
517. Kornreich Commodities, Inc. Los Angeles, CA NFA ID - 0001020	\$1,350.73 6.350% from 02/09/84 \$100.00 Filing Fee	86-R039

RESPONDENT	JUDGEMENT	DOCKET NO.
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518. Koston, Demetrios M. San Francisco, CA Date Effective : 03/16/90 NFA ID - 0192295	\$8,417.74 7.740% from 11/25/87 \$25.00 Filing Fee	90-R60
519. Krauss, George Scott Bradley Beach, NJ Date Effective : 02/06/02 NFA ID - 0300682	\$3,310.12 2.110% from 03/21/00 \$50.00 Filing Fee	01-R082
520. Kretchmar, Bill Hallandale, FL	\$7,465.45 7.030% from 05/22/81 \$25.00 Filing Fee Joint and Several	R81-884-81-826
521. Kunz, Dale E. San Mateo, CA Date Effective : 04/14/90 NFA ID - 0095583	\$3,095.00 \$25.00 Filing Fee Pre-Jdgmt. Int. from 04/06/89	90-R27
522. Kunz, Wendell R. Salt Lake City, UT NFA ID - 0047847	\$1,200.00 12.000% from 12/15/80 to 01/31/82 20.000% from 02/01/82 to 12/31/82 16.000% from 01/01/83 to 06/30/83 11.000% from 07/01/83 \$25.00 Filing Fee Joint and Several	82-R295
523. Kurzman, Bruce M. Monsey, NY Date Effective : 08/31/89 NFA ID - 0080171	\$5,500.00 8.850% from 12/02/85 \$100.00 Filing Fee Joint and Several	88-R092
524. Kusen, Kenneth A. Chicago, IL NFA ID - 0014270	\$4,137.08 8.320% from 07/21/86 \$25.00 Filing Fee	87-R313
525. Kvistad, Paul J. Schaumburg, IL Date Effective : 10/01/90 NFA ID - 0026107	\$2,506.00 7.880% from 06/15/88 \$25.00 Filing Fee	89-R277
526. Kyle, John N., II Miami, FL Date Effective : 08/26/89 NFA ID - 0057313	\$4,361.10 8.850% from 03/06/86 \$25.00 Filing Fee Joint and Several	88-R288

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Kyle, John N., II (Continued) Date Effective : 10/06/89	\$6,410.00 8.160% from 05/15/87 \$100.00 Filing Fee	89-R021
Date Effective : 11/30/89	\$7,289.00 8.270% from 09/23/86 \$25.00 Filing Fee Joint and Several	89-R333
Date Effective : 01/09/90	\$5,000.00 7.900% from 02/10/88 \$100.00 Filing Fee Joint and Several	89-R002
Date Effective : 02/09/90	\$6,531.00 9.200% from 10/06/86 \$200.00 Filing Fee Joint and Several	88-R088
Date Effective : 05/13/91	\$4,000.00 6.210% from 01/14/88 \$200.00 Filing Fee	88-R358
Kyle, John N., II Miami Beach, FL Date Effective : 03/29/89 NFA ID - 0057313	\$8,503.11 9.160% from 03/05/87 \$100.00 Filing Fee Joint and Several	88-R130
Date Effective : 07/20/91	\$5,576.74 6.070% from 12/08/89 \$100.00 Filing Fee Joint and Several	90-R157
527. Lahm, Steven G. Key Biscayne, FL NFA ID - 0064088	\$1,375.79 8.080% from 04/01/83 \$25.00 Filing Fee Joint and Several	83-R894
528. Lai, Peter T. Forest Hills, NY Date Effective : 11/17/95 NFA ID - 0243721	\$10,000.00 5.890% from 04/10/95 \$125.00 Filing Fee Joint and Several	95-R119
Date Effective : 06/12/97	\$4,617.30 6.000% from 03/25/95 \$50.00 Filing Fee Joint and Several	97-R046

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
529. Landmark Group, The Falmouth, MA NFA ID - 0107141	\$10,325.21 11.000% from 01/12/81 \$25.00 Filing Fee Joint and Several	82-R638
530. Lane, Bob New York, NY	\$20,700.00 11.000% from 07/30/79 \$25.00 Filing Fee Joint and Several	R79-786-80-167
531. Lawrence, J. Randall, Corporation Richardson, TX	\$3,750.00 11.000% from 03/09/81	83-R260
532. Lawson, Samuel R. Beaumont, TX Date Effective : 04/28/91 NFA ID - 0059984	\$3,143.74 6.210% from 07/15/88 \$25.00 Filing Fee	91-R062
533. LAWTON FINANCIAL GROUP SERVICES MIAMI, FL Date Effective : 01/25/01 NFA ID - 0292046	\$10,000.00 6.241% from 02/02/00 \$250.00 Filing Fee	00-R093
Date Effective : 02/26/01	\$5,000.00 6.052% from 08/10/99 \$125.00 Filing Fee Joint and Several	00-R051
534. Leary, Timothy J. Miami, FL Date Effective : 01/19/91 NFA ID - 0383052	\$68,317.30 7.280% from 11/19/90 Joint and Several	90-R136
535. Leavitt, Alan Hallandale, FL	\$10,200.00 11.000% from 10/31/80 Joint and Several	R81-1247-82-165
	\$14,687.61 7.000% from 12/05/80 \$25.00 Filing Fee Joint and Several	82-R467
536. Leavitt, Allen Ft. Lauderdale, FL	\$7,465.45 7.030% from 05/22/81 \$25.00 Filing Fee Joint and Several	R81-884-81-826

RESPONDENT	JUDGEMENT	DOCKET NO.	
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537. Leclair, Raymond K., Jr. Ft. Lee, NJ Old Tappan, NJ Date Effective : 12/07/89 NFA ID - 0099507	\$9,557.00 8.190% from 05/25/88 \$100.00 Filing Fee	89-R192	
538. Lee, Larry New York, NY	\$6,000.00 12.000% from 09/28/79 \$25.00 Filing Fee Joint and Several	R81-304-81-311	
539. Left, Andrew Edward Boca Raton, FL Date Effective : 06/03/96 NFA ID - 0253075	\$1,595.20 \$50.00 Filing Fee Joint and Several	95-R122	
540. Lehrer, Ken	\$19,000.00 11.000% from 06/17/81 Joint and Several	83-R124	
	\$4,925.24 12.000% from 04/15/81 \$25.00 Filing Fee Joint and Several	82-R470	
541. Leng, Bob Ft. Lauderdale, FL	\$5,772.98 7.710% from 12/10/80 Joint and Several	R81-705-81-677	
542. Levine, Scott Jeffrey Davie, FL Miami Beach, FL Date Effective : 03/02/92 NFA ID - 0187972	\$12,900.00 4.410% from 04/16/91 Joint and Several	91-R132	
	Levine, Scott Jeffrey Miami Beach, FL Date Effective : 04/13/92 NFA ID - 0187972	\$41,500.00 4.210% from 08/24/89 \$200.00 Filing Fee	92-R018
543. Levitt, Alan C. Miami, FL	\$14,200.00 11.000% from 10/10/80 \$25.00 Filing Fee Joint and Several	R81-1382-82-511	
544. Levy, Lawrence B. a/k/a Levy, Larry N. Miami Beach, FL NFA ID - 0005966	\$5,951.00 5.560% from 02/05/81 \$25.00 Filing Fee Joint and Several	R81-897-81-725	

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
545. Levy, Michael E. New York, NY	\$63,400.00 12.000% from 02/16/84 Joint and Several	82-R183
546. Lewis, Lloyd J. Pompano Beach, FL Date Effective : 12/24/90 NFA ID - 0213030	\$1,245.60	90-R133
547. Lewis, Tony Los Angeles, CA	\$2,430.00 9.170% from 05/15/85 \$25.00 Filing Fee Joint and Several	83-R113
548. Lincolnwood Commodities, Inc. Palo Alto, CA	\$735.00 11.000% from 07/29/77 \$25.00 Filing Fee	R78-260-78-301
549. Lincolnwood, Inc. New York, NY NFA ID - 0001005	\$38,945.00 7.060% from 05/01/79 \$25.00 Filing Fee Joint and Several	R79-493-80-47
	\$45,045.00 7.870% from 04/12/82 \$25.00 Filing Fee Joint and Several	82-R592
550. Linker, Howard New York, NY NFA ID - 0078162	\$2,647.25 6.000% from 08/01/78 to 01/31/80 12.000% from 02/01/80 to 01/31/82 20.000% from 02/01/82 to 12/31/82 16.000% from 01/01/83 to 06/30/83 11.000% from 07/01/83 \$25.00 Filing Fee	R79-276-80-33
551. Lipton, Mitchell Stuart a/k/a Lipowitz, Mitchell Stuart N. Miami, FL Date Effective : 07/05/90 NFA ID - 0204679	\$9,830.00 8.320% from 02/02/88 \$100.00 Filing Fee	89-R163
552. Lischin, Michael P. San Diego, CA NFA ID - 0126988	\$3,896.84 11.000% from 03/30/83 \$25.00 Filing Fee	83-R457

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
553. Livenston, Morton S. a/k/a Livingston, Mort Ft. Lauderdale, FL NFA ID - 0048818	\$15,617.72 6.560% from 09/30/82 \$25.00 Filing Fee Joint and Several	82-R1032
554. *Livoti, Randi Ellen Gold, Randi Ellen Deerfield Beach, FL Date Effective : 12/01/03 NFA ID - 0190209	\$5,093.00 2.270% from 04/10/00 \$125.00 Filing Fee	02-R042
555. LMB Trading Group, Inc. Palm Beach Gardens, FL Date Effective : 08/18/00 NFA ID - 0280869	\$4,439.00 6.375% from 04/06/99 \$50.00 Filing Fee Joint and Several	99-R148
Date Effective : 08/02/01	\$15,278.10 6.280% from 08/04/98 \$125.00 Filing Fee Joint and Several	99-R045
556. Lobue, Nicholas Vincent Homewood, IL Date Effective : 03/16/00 NFA ID - 0254339	\$18,800.00 5.670% from 10/01/94 \$250.00 Filing Fee Joint and Several	98-R118
557. Losee, George T. Tusten, CA NFA ID - 0027422	\$4,853.16 9.080% from 08/16/83 \$25.00 Filing Fee Joint and Several	84-R024
	\$4,690.87 7.700% from 10/13/83 \$25.00 Filing Fee	85-R127
558. Lundgren, Martin J. Chicago, IL NFA ID - 0019452	\$5,000.00 6.310% from 07/12/83 \$25.00 Filing Fee	84-R083
559. Lynn, Kevin H. New York, NY NFA ID - 0406379	\$6,619.18 7.870% from 05/05/83 \$25.00 Filing Fee Joint and Several	84-R014
560. Lyons, Bob Babylon, NY	\$831.69 9.150% from 03/14/83 \$25.00 Filing Fee Joint and Several	83-R613

RESPONDENT	JUDGEMENT	DOCKET NO.
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561. MacDonald, Gordon A. Gurnee, IL	\$13,696.61 \$25.00 Filing Fee Joint and Several	R77-293
562. Machin, Raul H. North Miami Beach, FL Date Effective : 03/27/98 NFA ID - 0036437	\$17,380.84 \$50.00 Filing Fee	97-R119
563. Macro International Group, Inc. New York, NY NFA ID - 0002434	\$8,720.94 6.300% from 10/01/83 \$200.00 Filing Fee Joint and Several	85-R264
564. Maddox, Michael L. Seal Beach, CA	\$5,218.15 10.000% from 02/03/83 \$25.00 Filing Fee	83-R393
565. Mahalal, Adie Y. New York, NY Brooklyn, NY NFA ID - 0061405	\$42,000.00 12.000% from 10/25/78 Joint and Several	R79-538-80-111
566. Malerbra, Maria New York, NY	\$8,800.00 Joint and Several	83-R243
567. Malsbury, Michelle Kaye Ft. Lauderdale, FL Date Effective : 02/24/99 NFA ID - 0271374	\$9,802.00 4.513% from 10/04/96 \$125.00 Filing Fee Joint and Several	99-R005
568. Manganiello, Lou Hallandale, FL	\$19,000.00 11.000% from 06/17/81 Joint and Several	83-R124
	\$4,925.24 12.000% from 04/15/81 \$25.00 Filing Fee Joint and Several	82-R470
569. Mantel, Jeffrey Miami, FL NFA ID - 0030933	\$4,280.00 7.710% from 05/27/83 \$25.00 Filing Fee Joint and Several	83-R901
570. Manzey, Richard A. Kailua-Kona, HA NFA ID - 0011263	\$13,114.96 6.560% from 07/01/83 Joint and Several	84-R333

RESPONDENT	JUDGEMENT	DOCKET NO.
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571. Margolis, Joel B. Miami, FL NFA ID - 0033748	\$9,839.08 11.000% from 09/05/80 \$25.00 Filing Fee Joint and Several	R81-1023-82-471
572. Marie, Diana Rose a/k/a Norris, Diana R. a/k/a Bullis, Diana R. Boca Raton, FL Deerfield Beach, FL Date Effective : 01/07/90 NFA ID - 0198458	\$15,506.64 7.900% from 09/29/88 \$25.00 Filing Fee Joint and Several	89-R350
573. Marino, Thomas Carl Tiburon, CA NFA ID - 0112232	\$1,697.83 7.020% from 05/01/81 \$25.00 Filing Fee	83-R394
574. Marken, Wayne M. San Rafael, CA NFA ID - 0020412	\$12,035.17 7.200% from 06/01/84 \$200.00 Filing Fee Joint and Several	86-R189
575. Marks, Steven Jay Deerfield Beach, FL Pompano Beach, FL Date Effective : 02/09/89 NFA ID - 0201180	\$4,977.00 8.550% from 11/11/87 \$100.00 Filing Fee Joint and Several	88-R140
576. Mart, Donald S. Beverly Hills, CA Date Effective : 10/23/89 NFA ID - 0085185	\$7,581.00 7.750% from 11/03/87 \$25.00 Filing Fee	88-R316
577. Martenson, Richard Minneapolis, MN	\$75,980.00 9.150% from 01/07/80 \$25.00 Filing Fee Joint and Several	R82-50-82-404
578. Massaro, James N. Albany, NY NFA ID - 0154778	\$5,000.00 11.000% from 08/01/81 Joint and Several	83-R236
	\$10,000.00 16.000% from 11/01/81 Joint and Several	82-R992
	\$15,000.00 16.000% from 05/05/82 \$25.00 Filing Fee Joint and Several	82-R1009

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Massaro, James N. (Continued) Date Effective : 02/09/84	\$12,935.00 11.000% from 09/30/81 \$25.00 Filing Fee Joint and Several	82-R459
	\$45,045.00 7.870% from 04/12/82 \$25.00 Filing Fee Joint and Several	82-R592
579. Masters Trading Organization, Ltd. Chicago, IL NFA ID - 0087140	\$16,533.48 7.590% from 06/25/86 \$200.00 Filing Fee Joint and Several	87-R139
580. Matassa, Robert Hart Santa Anna, CA NFA ID - 0051194	\$3,500.00 \$25.00 Filing Fee	87-R107
581. Matchica, Stratton Nicholas Lansdowne, PA NFA ID - 0063928	\$500.00 7.610% from 06/20/83 \$100.00 Filing Fee	85-R291
582. Mathias, Carl New York, NY	\$2,700.00 16.000% from 09/01/81 \$25.00 Filing Fee Joint and Several	83-R333
583. McCalman, H. Duvalle Hollywood, CA	\$6,096.00 16.000% from 12/31/79 \$25.00 Filing Fee Joint and Several	R80-1224-81-679
584. McConnell, Howard J. Wayne, IL NFA ID - 0039629	\$2,500.00 9.090% from 05/03/83	84-R208
585. McCoy, Tom Los Angeles, CA	\$11,470.00 11.000% from 08/05/82 \$25.00 Filing Fee Joint and Several	83-R147
586. McCoy, Tom Newport Beach, CA Jersey City, NJ	\$24,095.00 12.000% from 02/24/84	83-R7

RESPONDENT	JUDGEMENT	DOCKET NO.
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587. McGee, Debra Ann South Barrington, IL Date Effective : 11/02/92 NFA ID - 0099396	\$1,162.00 7.950% from 11/07/86 \$100.00 Filing Fee	90-R017
588. McGinnis, Scott Allan Southfield, MI Livonia, MI Date Effective : 06/19/92 NFA ID - 0182898	\$51,282.14 8.360% from 08/20/87 \$200.00 Filing Fee	88-R314
589. McGuinn, Craig P. New York, NY NFA ID - 0078589	\$4,522.24 9.100% from 08/18/83 \$25.00 Filing Fee Joint and Several	84-R143
	\$4,192.90 5.790% from 11/19/86 \$25.00 Filing Fee Joint and Several	85-R065
590. *McIntyre, David John Pembroke Pines, FL Date Effective : 12/01/03 NFA ID - 0100560	\$9,769.00 1.220% from 05/28/00 \$50.00 Filing Fee Joint and Several	01-R031
591. McIntyre, Peter D. Hanover, MA Date Effective : 10/08/91 NFA ID - 0045754	\$11,500.00 6.260% from 09/08/87 \$25.00 Filing Fee Joint and Several	90-R154
592. Mckay, Steven M. Costa Mesa, CA Date Effective : 01/19/91 NFA ID - 0211305	\$36,652.71 7.280% from 11/20/90 Joint and Several	90-R085
593. McKenna, Donald W. Oak Lawn, IL Date Effective : 04/11/91 NFA ID - 0076595	\$7,730.00 6.620% from 11/04/88 \$25.00 Filing Fee	91-R024
594. McKerr, James T. & Company Chicago, IL NFA ID - 0000536	\$4,417.22 5.740% from 04/29/88 \$25.00 Filing Fee Joint and Several	85-R104
McKerr, James T. & Company Ft. Lauderdale, FL NFA ID - 0000536	\$4,684.00 7.140% from 04/02/86 \$25.00 Filing Fee Joint and Several	87-R245

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
595. Meek, David W. Ft. Lauderdale, FL NFA ID - 0070058	\$8,580.24 9.150% from 10/15/84	85-R116
	\$5,000.00 7.710% from 10/28/83 \$25.00 Filing Fee	85-R416
	\$5,211.65 7.710% from 05/23/83 \$25.00 Filing Fee	85-R438
	\$10,514.50 7.710% from 10/05/83 \$25.00 Filing Fee	85-R437
	\$8,350.00 6.560% from 01/31/84 \$100.00 Filing Fee	86-R035
	\$9,749.62 6.560% from 12/31/84 \$25.00 Filing Fee	86-R065
	\$55,000.00 6.560% from 01/01/83 \$200.00 Filing Fee	86-R098
	\$5,000.00	85-R412
	\$3,453.78 7.220% from 12/31/84 \$100.00 Filing Fee	85-R335
596. Melchiona, Dana Ann Miami, FL Date Effective : 01/28/90 NFA ID - 0205894	\$2,800.00 Joint and Several	89-R234
597. Melschau, Hans-Dieter Newport Beach, CA NFA ID - 0085871	\$2,326.50 11.000% from 04/15/81 \$25.00 Filing Fee	83-R336
598. Menendez, Henry North Miami, FL	\$8,500.00 10.080% from 03/05/82 \$25.00 Filing Fee Joint and Several	82-R890

RESPONDENT	JUDGEMENT	DOCKET NO.
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599. Mercafe, Inc. New York, NY Date Effective : 06/03/96 NFA ID - 0183397	\$1,595.20 \$50.00 Filing Fee Joint and Several	95-R122
600. Militano, Robert Jude Jr. a/k/a Militano, Robert Jude Las Vegas, NV Date Effective : 10/30/92 NFA ID - 0228622	\$707.10 4.260% from 04/26/91 \$25.00 Filing Fee Joint and Several	91-R219
Militano, Robert Jude, Jr. Las Vegas, NV Date Effective : 04/04/94 NFA ID - 0228622	\$2,045.00 3.670% from 09/30/91 \$100.00 Filing Fee Joint and Several	93-R200
601. Milliaressis, Spiro George Hoboken, NJ Date Effective : 11/04/91 NFA ID - 0212093	\$6,800.00 5.680% from 06/20/88 \$200.00 Filing Fee Joint and Several	90-R200
602. Millman, Mindy L. Boca Raton, FL North Miami Beach, FL Date Effective : 12/09/91 NFA ID - 0195469	\$21,500.00 6.620% from 08/05/87 Joint and Several	90-R015
603. Mills, Mark Mamaroneck, NY	\$2,500.00 16.000% from 11/17/80 \$25.00 Filing Fee	R81-1114-82-77
604. Mills, Thomas F. Chicago, IL Date Effective : 06/29/92 NFA ID - 0189083	\$3,801.60 8.700% from 01/14/87 \$100.00 Filing Fee	89-R101
605. Monaco, Randell A. a/k/a Manaco, Randall A. Santa Ana, CA NFA ID - 0039305	\$5,559.77 7.030% from 07/28/83 \$25.00 Filing Fee Joint and Several	83-R801
606. Monteko, Michael San Francisco, CA	\$6,332.12 6.560% from 02/16/83 \$25.00 Filing Fee Joint and Several	83-R344
607. Moore, Stephanie Ft. Lauderdale, FL	\$1,080.00 11.000% from 04/07/82 Joint and Several	83-R583

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
608. Moorthy's Commodity Services, Inc. New York, NY CFTC ID - 0023836 NFA ID - 0104412	\$10,000.00 16.000% from 09/30/81 \$25.00 Filing Fee	82-R687
	\$4,000.00 11/30/81 Varying Int. \$25.00 Filing Fee	82-R395
	\$10,000.00 11.000% from 12/14/81	82-R268
609. Moosbrugger, August Walnut Creek, CA Date Effective : 11/24/91 NFA ID - 0199765	\$10,000.00 5.570% from 09/20/91 Joint and Several	91-R072
610. Morocco, William J. Hollywood, FL Date Effective : 09/11/92 NFA ID - 0200963	\$1,674.00 6.090% from 11/03/89 \$25.00 Filing Fee Joint and Several	90-R091
611. Morrill, Leon L., Jr. Newbury Port, MA NFA ID - 0054926	\$10,000.00 Joint and Several	85-R406
	\$11,400.00 7.850% from 06/23/83 \$25.00 Filing Fee Joint and Several	85-R75
612. Mukawa, Fumiya San Francisco, CA NFA ID - 0066427	\$15,000.00 11.000% from 01/21/80	R82-94-82-415
613. Mullan, Philip Carl Boca Raton, FL Date Effective : 11/13/95 NFA ID - 0239566	\$27,386.00 5.070% from 07/08/92	95-R103
614. Muller, John J. Miami, FL	\$33,300.00 11.000% from 02/14/77 \$25.00 Filing Fee Joint and Several	R77-282
615. Muller, Marcia M. Miami, FL	\$33,300.00 11.000% from 02/14/77 \$25.00 Filing Fee Joint and Several	R77-282

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
616. Multi-National Holding Corp. New York, NY NFA ID - 0105611	\$2,500.00 12.000% from 01/11/82 \$25.00 Filing Fee Joint and Several	82-R745
	\$3,368.25 11.000% from 05/01/84 Joint and Several	82-R845
	\$786.00 11.000% from 05/01/84 \$25.00 Filing Fee	82-R895
	\$2,500.00 \$25.00 Filing Fee Joint and Several	82-R816
	\$3,704.17 11.000% from 05/01/84 \$25.00 Filing Fee Joint and Several	82-R956
617. Munoz, David P. Redondo Beach, CA NFA ID - 0029046	\$3,037.70 7.700% from 02/15/84 \$25.00 Filing Fee	85-R023
	\$10,000.00 6.180% from 03/14/84 \$100.00 Filing Fee	85-R149
618. Murlas Commodities, Inc. Chicago, IL Date Effective : 06/22/89 NFA ID - 0002806	\$10,419.00 9.510% from 06/04/87	88-R305
Date Effective : 06/26/89	\$9,762.00 9.510% from 09/30/86 \$25.00 Filing Fee Joint and Several	88-R156
Date Effective : 06/26/89	\$3,120.00 9.510% from 12/11/87 \$25.00 Filing Fee	88-R259
Date Effective : 07/24/89	\$3,341.96 9.150% from 01/18/88 \$100.00 Filing Fee	89-R194

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Murlas Commodities, Inc. (Continued) Date Effective : 08/21/89	\$66,000.00 8.850% from 11/07/86 \$200.00 Filing Fee Joint and Several \$209.50	88-R207
Date Effective : 08/27/89	\$7,800.00 8.850% from 06/23/88 \$25.00 Filing Fee	89-R228
Date Effective : 09/25/89	\$10,000.00	89-R150
Date Effective : 10/09/89	\$10,000.00 7.750% from 04/10/87 \$100.00 Filing Fee Joint and Several	89-R211
Date Effective : 10/09/89	\$52,807.44 7.750% from 01/30/87 Joint and Several	88-R036
Date Effective : 10/09/89	\$7,016.03 7.750% from 02/05/87 Joint and Several	88-R047
Date Effective : 10/09/89	\$2,097.75 \$400.00 Filing Fee Joint and Several \$750 Expert Witness Fee	88-R036/88-R047
Date Effective : 10/30/89	\$2,500.00 9.430% from 10/20/86 \$25.00 Filing Fee Joint and Several	88-R282
Date Effective : 11/24/89	\$3,406.00 7.750% from 06/30/88 \$25.00 Filing Fee	89-R277
Date Effective : 11/02/89	\$4,137.08 8.320% from 07/21/86 \$25.00 Filing Fee	87-R313
Date Effective : 11/06/89	\$10,000.00	89-R171
Date Effective : 12/04/89	\$2,944.00 7.140% from 11/13/84 \$25.00 Filing Fee Joint and Several	87-R044

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Murlas Commodities, Inc. (Continued) Date Effective : 12/30/89	\$2,223.20 7.900% from 12/09/88 \$25.00 Filing Fee	89-R344
Date Effective : 02/03/90	\$4,930.19 7.900% from 06/16/86 \$25.00 Filing Fee Joint and Several	89-R029
Date Effective : 04/02/90	\$14,269.32 7.740% from 02/14/84 \$200.00 Filing Fee Joint and Several	86-R075
Date Effective : 04/14/90	\$2,615.00 7.970% from 08/30/88 \$100.00 Filing Fee	89-R263
Date Effective : 04/23/90	\$2,570.00 7.970% from 12/06/88 \$25.00 Filing Fee Joint and Several	90-R053
Date Effective : 04/26/90	\$5,912.00 9.970% from 07/07/88 \$25.00 Filing Fee Joint and Several	90-R081
Date Effective : 06/22/90	\$2,921.00 9.200% from 04/04/87 \$100.00 Filing Fee Joint and Several	87-R310
Date Effective : 07/11/90	\$9,408.92 8.700% from 09/01/87	89-R181
Date Effective : 07/23/90	\$9,900.00 8.700% from 01/14/87 \$100.00 Filing Fee Joint and Several	89-R101
Date Effective : 07/30/90	\$2,977.00 8.700% from 03/28/88 \$100.00 Filing Fee Joint and Several	90-R142
Date Effective : 07/26/90	\$10,000.00 8.700% from 07/25/88 \$100.00 Filing Fee	89-R222

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Murlas Commodities, Inc. (Continued) Date Effective : 07/29/90	\$4,129.00 8.700% from 05/26/88 \$100.00 Filing Fee	89-R340
Date Effective : 10/16/90	\$16,596.83 7.880% from 05/09/88 \$200.00 Filing Fee	90-R175
Date Effective : 11/28/90	\$21,085.00 7.950% from 11/07/86 \$100.00 Filing Fee	90-R017
Date Effective : 12/22/90	\$7,000.00 7.780% from 04/08/88 \$25.00 Filing Fee	90-R226
Date Effective : 12/29/90	\$6,904.00 7.510% from 04/26/88 \$25.00 Filing Fee Joint and Several	90-R225
Date Effective : 02/24/91	\$3,500.00 Joint and Several	91-R006
Date Effective : 12/02/91	\$9,961.60	91-R187
Murlas Commodities, Inc. Elmwood Park, IL Chicago, IL Date Effective : 12/31/91 NFA ID - 0002806	\$1,643.44 5.370% from 12/30/88 \$100.00 Filing Fee	91-R105
619. Murphy, Donald F. Lauderhill, FL Date Effective : 12/02/91 NFA ID - 0189527	\$148,327.00 5.570% from 03/28/88 \$200.00 Filing Fee	90-R212
620. Murphy, James T. Mamaroneck, NY NFA ID - 0067685	\$2,500.00 16.000% from 11/17/80 \$25.00 Filing Fee	R81-1114-82-77
621. Nadell, Irwin Sunrise, FL NFA ID - 0064132	\$4,280.00 7.710% from 05/27/83 \$25.00 Filing Fee Joint and Several	83-R901

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
622. Natal, Steven A. Dayton, TN N. Miami Beach, FL Date Effective : 01/14/90 NFA ID - 0202714	\$7,011.00 7.900% from 11/17/87 \$100.00 Filing Fee Joint and Several	89-R107
Date Effective : 01/15/90	\$72,502.80 7.900% from 04/14/88 \$25.00 Filing Fee Joint and Several	89-R220
Natal, Steven A. N. Miami Beach, FL Date Effective : 04/02/90 NFA ID - 0202714	\$55,226.00 \$200.00 Filing Fee Joint and Several 7.74% from date account closed	89-R160
Natal, Steven A. North Miami, FL Date Effective : 11/29/91 NFA ID - 0202714	\$31,172.52 6.390% from 11/15/88 \$25.00 Filing Fee	89-R084
623. Nathanson, Steven A. Rolling Meadows, IL	\$272.12 11.000% from 02/02/77 \$25.00 Filing Fee	R77-499-79-49
624. National Century Financial Corporation Century City, CA NFA ID - 0103989	\$11,000.00 11.000% from 10/26/81	84-R269
	\$2,289.77 9.170% from 04/18/85 \$25.00 Filing Fee	83-R085
	\$2,500.00 7.870% from 05/03/82 \$25.00 Filing Fee Joint and Several	84-R205
National Century Financial Corporation Los Angeles, CA NFA ID - 0103989	\$5,000.00 11.000% from 12/22/81 \$25.00 Filing Fee Joint and Several	83-R095
	\$1,000.00 11.000% from 08/31/82 \$25.00 Filing Fee	83-R340

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
National Century Financial Corporation (Continued)	\$9,544.86 11.000% from 05/31/82 \$25.00 Filing Fee Joint and Several	83-R388
	\$5,000.00 11.000% from 08/03/82 \$25.00 Filing Fee Joint and Several	83-R374
	\$5,560.00 11.000% from 03/08/82 \$25.00 Filing Fee Joint and Several	83-R351
	\$4,160.33 9.150% from 08/01/81 \$25.00 Filing Fee Joint and Several	83-R070
	\$10,000.00 7.030% from 12/11/81 \$25.00 Filing Fee Joint and Several	83-R419
625. National Coal Exchange Memphis, TN	\$13,034.69 11.000% from 05/01/81 \$25.00 Filing Fee Joint and Several	R81-1255-82-179
626. National Commodities Traders, Ltd. Honolulu, HI	\$4,000.00 12.000% from 04/30/81 \$25.00 Filing Fee Joint and Several	82-R419
627. National Commodity Traders San Jose, CA	\$2,330.90 11.000% from 10/19/82	83-R370
628. National Metals Group, Inc. New York, NY	\$1,875.00 12.000% from 06/22/81 to 01/31/82 20.000% from 02/01/82 to 12/31/82 16.000% from 01/01/83 to 06/30/83 11.000% from 07/01/83 \$25.00 Filing Fee	82-R78

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
629. National Monetary Fund, Inc. Miami Shore, FL NFA ID - 0001706	\$8,150.00 7.600% from 01/22/85 \$25.00 Filing Fee Joint and Several	83-R208
National Monetary Fund, Inc. N. Miami Beach, FL NFA ID - 0001706	\$8,549.00 11.000% from 06/14/81 \$25.00 Filing Fee Joint and Several	83-R078
	\$8,647.50 8.570% from 08/23/82 \$25.00 Filing Fee	83-R076
	\$8,578.60 11.000% from 05/01/82 \$25.00 Filing Fee	83-R259
	\$12,702.60 9.500% from 04/01/82 \$25.00 Filing Fee Joint and Several	83-R805
	\$8,999.80 9.150% from 02/22/80 \$25.00 Filing Fee	84-R013
	\$8,016.10 6.180% from 04/30/82 \$25.00 Filing Fee Joint and Several	82-R938
630. *National Trading Institute, LLC Los Angeles, CA Date Effective : 03/24/03 NFA ID - 0301856	\$3,985.00 \$50.00 Filing Fee	02-R064
Date Effective : 05/05/03 *	\$2,985.00 \$50.00 Filing Fee	02-R044
631. Nationwide Futures Corp. San Diego, CA Date Effective : 01/13/92 NFA ID - 0088755	\$181,600.00 8.750% from 06/13/85 Joint and Several	87-R435
632. Needle, Howard William Boca Raton, FL Date Effective : 10/23/98 NFA ID - 0034965	\$53,942.00 5.413% from 04/04/96 \$250.00 Filing Fee Joint and Several	98-R111

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
633. Neimann-Lloyds, Inc. Laguna Beach, CA NFA ID - 0002826	\$5,700.00 7.570% from 01/23/84 \$100.00 Filing Fee	84-R424
Neimann-Lloyds, Inc. Palm Desert, CA NFA ID - 0002826	\$10,000.00 6.180% from 03/14/84 \$100.00 Filing Fee	85-R149
Neimann-Lloyds, Inc. Palms Desert, CA Laguna Beach, CA NFA ID - 0002826	\$16,356.00 8.180% from 05/25/83 \$25.00 Filing Fee Joint and Several	85-R105
	\$12,072.50 7.910% from 08/03/84 \$25.00 Filing Fee	85-R317
	\$3,037.70 7.700% from 02/15/84 \$25.00 Filing Fee	85-R023
634. Nelson Ghun and Associates, Inc. New York, NY	\$12,025.00 20.000% from 09/25/80 \$25.00 Filing Fee Joint and Several \$640.00 20.000% from 12/04/80 \$25.00 Filing Fee Joint and Several	R81-392-81-490
	\$10,000.00 12.000% from 06/04/80 \$25.00 Filing Fee Joint and Several	R81-184-81-264
	\$2,000.00 20.000% from 10/02/79 \$25.00 Filing Fee	R81-402-81-518
	\$4,928.13 20.000% from 07/31/80 \$25.00 Filing Fee	R81-240-81-296
	\$6,000.00 12.000% from 09/28/79 \$25.00 Filing Fee Joint and Several	R81-304-81-311
	\$2,272.80 20.000% from 10/02/79 \$25.00 Filing Fee	R81-630-81-489

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Nelson Ghun and Associates, Inc. (Continued)	\$4,601.50 16.000% from 06/06/79 \$25.00 Filing Fee	R81-1001-82-377
	\$3,260.50 6.000% from 11/27/78 to 01/31/80 12.000% from 02/01/80 to 01/31/82 20.000% from 02/01/82 to 12/31/82 16.000% from 01/01/83 to 06/30/83 11.000% from 07/01/83 \$25.00 Filing Fee Joint and Several	R80-291-80-293
	\$9,000.00 11.000% from 05/25/80 \$25.00 Filing Fee	R81-1-81-241
	\$5,000.00 7.780% \$25.00 Filing Fee Joint and Several	R81-444-81-534
	\$9,400.00 7.060% from 04/02/80 \$25.00 Filing Fee Joint and Several	R81-668-81-808
	\$10,000.00 6.310% from 01/05/79 \$25.00 Filing Fee	R80-253-80-253
635. Nelson, Stephen Edward West Palm Beach, FL Date Effective : 10/25/93 NFA ID - 0237098	\$3,490.00 3.430% from 03/09/92 \$25.00 Filing Fee	93-R126
Date Effective : 12/02/94	\$12,425.00 5.690% from 09/19/94 \$200.00 Filing Fee Joint and Several	94-R006

RESPONDENT	JUDGEMENT	DOCKET NO.
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636. New York Forex Ex-Center Corp. New York, NY Date Effective : 06/12/97 NFA ID - 0256058	\$4,617.30 6.000% from 03/25/95 \$50.00 Filing Fee Joint and Several	97-R046
New York Forex Ex-Center Corporation New York, NY Date Effective : 11/17/95 NFA ID - 0256058	\$10,000.00 5.890% from 04/10/95 \$125.00 Filing Fee Joint and Several	95-R119
637. Newcomb Commodities Corporation Chicago, IL NFA ID - 0000690	\$17,800.00 9.150% from 02/22/83 \$25.00 Filing Fee	83-R602
638. Newham, Richard D. Safford, AZ	\$276,880.00 16.000% from 08/01/79 \$25.00 Filing Fee Joint and Several	R81-1289-82-497
639. Newman, Clive Frederick Miami Lake, FL Date Effective : 12/24/89 NFA ID - 0195431	\$9,433.00 8.190% from 01/06/86 \$100.00 Filing Fee Joint and Several	88-R287
640. Newport Investment Management Corporation Los Angeles, CA	\$33,996.60 20.000% from 12/15/80 \$25.00 Filing Fee	82-R345
641. NICKOLAOU, NICHOLAS JAMES LEMONT, IL Date Effective : 03/12/01 NFA ID - 0237120	\$38,229.40 6.025% from 02/03/01 \$50.00 Filing Fee Joint and Several	00-R070
642. Nielsen, Kenneth L. Fairfield, IA Date Effective : 01/20/90 NFA ID - 0206996	\$600.00 7.900% from 02/03/88 \$25.00 Filing Fee Joint and Several	89-R363
643. Northeast Investment Services, Inc. Norwell, MA NFA ID - 0104926	\$5,000.00 12.000% from 11/01/81 \$25.00 Filing Fee Joint and Several	82-R562
644. Northwest Commodities, Inc. Chicago, IL NFA ID - 0086124	\$2,722.46 9.500% from 12/30/83 \$25.00 Filing Fee	84-R464

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Northwest Commodities, Inc. (Continued)	\$3,260.88 7.710% from 04/19/83 \$25.00 Filing Fee	84-R250
	\$8,221.03 5.630% from 03/02/87	85-R454
	\$4,343.76 6.530% from 12/31/84 \$100.00 Filing Fee Joint and Several	86-R212
645. Norwell Trade Winds, Ltd. Boston, MA	\$5,500.00 6.640% from 06/17/80 \$25.00 Filing Fee Joint and Several	82-R375
646. Norwood, Michael P. Garland, TX NFA ID - 0053655	\$206,069.05 6.590% from 03/26/88	86-R284
647. O'Connor, John Joseph, III Chicago, IL Date Effective : 06/22/90 NFA ID - 0187282	\$2,921.00 9.200% from 04/04/87 \$100.00 Filing Fee Joint and Several	87-R310
648. O'Grady, David Boston, MA	\$15,000.00 16.000% from 02/15/80 \$25.00 Filing Fee Joint and Several	R80-1348-81-109
649. O'Neill, Michael Patrick Santa Barbara, CA Date Effective : 12/31/91 NFA ID - 0177948	\$6,180.00 5.370% from 12/31/88 \$25.00 Filing Fee Joint and Several	91-R210
650. *Odena, Amanda Marie Miami, FL Date Effective : 02/06/03 NFA ID - 0301414	\$9,421.00 \$50.00 Filing Fee	02-R051
651. Ohannessian, Steven E. Malibu, CA Chicago, IL	\$1,911.50 11.000% from 10/29/80 \$25.00 Filing Fee Joint and Several	83-R112

RESPONDENT	JUDGEMENT	DOCKET NO.
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652. Okrusko, Mark E. Santa Barbara, CA NFA ID - 0176100	\$3,165.80 6.930% from 03/06/85 \$200.00 Filing Fee	87-R159
653. Olson, James San Diego, CA	\$5,400.00 11.000% from 07/13/81 \$25.00 Filing Fee Joint and Several	83-R206
654. Pacific International Enterprise, Inc. Houston, TX	\$8,576.00 11.000% from 09/24/82 \$25.00 Filing Fee	83-R379
655. Packer, Larry E. Placentica, CA NFA ID - 0065120	\$1,350.73 6.350% from 02/09/84 \$100.00 Filing Fee	86-R039
	\$13,006.94 7.200% from 02/09/84 \$200.00 Filing Fee	86-R038
656. Page, Andrew Garner, NC Hallandale, FL NFA ID - 0032389	\$10,000.00 16.000% from 10/15/80 \$25.00 Filing Fee Joint and Several	R82-16-82-357
657. Palazzolo, John F. Arlington, MA NFA ID - 0093423	\$21,226.55 6.900% from 10/23/84 \$200.00 Filing Fee Joint and Several	85-R282
658. Parker, Daniel Jay Parkland, FL Date Effective : 03/22/99 NFA ID - 0264402	\$20,000.00 \$50.00 Filing Fee	98-R149
659. Parks, Maurice Las Vegas, NV	\$53,330.97 11.000% from 08/31/82 Joint and Several	83-R431
660. Pastrel, Richard New York, NY	\$10,500.00 5.630% from 09/09/77 \$25.00 Filing Fee Joint and Several	R79-590-80-511
661. Paul, Lewis New York, NY	\$2,500.00 12.000% from 01/11/82 \$25.00 Filing Fee Joint and Several	82-R745

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Paul, Lewis (Continued)	\$3,704.17 11.000% from 05/01/84 \$25.00 Filing Fee Joint and Several	82-R956
662. Payne, Douglas Bloomington, MN	\$276,880.00 16.000% from 08/01/79 \$25.00 Filing Fee	R81-1289-82-497
663. PDS Investments International, Inc. Chicago, IL Date Effective : 12/30/91 NFA ID - 0087125	\$8,894.26	90-R070
664. Peabody Trading Co. of Boston Boston, MA	\$4,208.50 11.000% from 06/01/80 \$25.00 Filing Fee	R80-949-80-692
	\$15,000.00 16.000% from 02/15/80 \$25.00 Filing Fee	R80-1348-81-109
665. Peck, Benjamin B. Boca Raton, FL Date Effective : 03/31/94 NFA ID - 0199283	\$42,658.35 3.670% from 04/01/91	93-R175
666. Pelham Trading Co., Inc. New York, NY Date Effective : 08/24/89 NFA ID - 0184383	\$21,084.43 8.850% from 06/23/86 \$200.00 Filing Fee Joint and Several	89-R137
667. Performance Investment Company, Inc. San Diego, CA NFA ID - 0072903	\$957.00 11.000% from 02/04/82	83-R474
	\$5,400.00 11.000% from 07/13/81 \$25.00 Filing Fee Joint and Several	83-R206
	\$3,694.00 11.000% from 08/24/81 \$25.00 Filing Fee Joint and Several	83-R191

RESPONDENT	JUDGEMENT	DOCKET NO.
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668. Perry, Harlan A. San Juan Capistrano, CA Date Effective : 07/24/95 NFA ID - 0134940	\$2,911.36 5.690% from 11/13/90 \$100.00 Filing Fee Joint and Several	92-R103
669. Peterson, Erik E. San Diego, CA NFA ID - 0048903	\$9,363.14 7.570% from 04/01/83 \$25.00 Filing Fee Joint and Several	85-R103
	\$4,417.22 5.740% from 04/29/88 \$25.00 Filing Fee Joint and Several	85-R104
670. Peterson, James Encinitas, CA	\$1,375.79 8.080% from 04/01/83 \$25.00 Filing Fee Joint and Several	83-R894
671. Peterson, James R. Miami, FL NFA ID - 0049873	\$20,500.00 11.000% from 05/26/83 \$25.00 Filing Fee Joint and Several	83-R653
672. Plourde, William D. Hinsdale, IL NFA ID - 0096847	\$7,787.57 7.540% from 07/29/85 \$200.00 Filing Fee Joint and Several	87-R225
673. PNM, Inc. Summit, NJ Date Effective : 08/05/92 NFA ID - 0207498	\$2,075.00 5.680% from 06/01/89 \$25.00 Filing Fee Joint and Several \$1,826.00 5.680% from 12/23/88	90-R118
674. Powell Mineral Corporation Barbourville, KY	\$4,824.60 7.600% from 10/31/81 \$25.00 Filing Fee Joint and Several	82-R838
675. Powell, John Hollywood, CA	\$5,645.00 11.000% from 03/30/82 Joint and Several	83-R130
676. Powell, John Los Angeles, CA	\$3,940.00 11.000% from 12/18/81 \$25.00 Filing Fee Joint and Several	82-R898

RESPONDENT	JUDGEMENT	DOCKET NO.
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677. Precious Metals Associates, Inc. Boston, MA	\$13,791.99 20.000% from 01/03/79 \$25.00 Filing Fee Joint and Several	82-R177
678. Precision Futures & Options, Inc. North Miami, FL Date Effective : 12/03/92 NFA ID - 0216354	\$2,420.00 3.130% from 09/28/90 \$25.00 Filing Fee	92-R055
679. Pressley, Anthony C. Chicago, IL Date Effective : 07/30/90 NFA ID - 0098117	\$2,977.00 8.700% from 03/28/88 \$100.00 Filing Fee Joint and Several	90-R142
Date Effective : 07/23/90	\$9,900.00 8.700% from 01/14/87 \$100.00 Filing Fee Joint and Several	89-R101
Date Effective : 09/06/90	\$4,129.00 8.090% from 05/26/88 \$100.00 Filing Fee	89-R340
680. Prestige Capital Investments Corp. aka Prestige West Capital Investments/Joseph Adams Hayward, CA Date Effective : 06/13/89 NFA ID - 0195765	\$3,370.00 Joint and Several	89-R15
aka Prestige West Capital Investments/Joseph Adams Date Effective : 09/07/89	\$88,996.10 8.160% from 07/29/88 Joint and Several	89-R37
Prestige West Capital Investments aka Joseph Adams/Prestige Capital Investments Corp Hayward, CA Date Effective : 11/13/89 NFA ID - 0195765	\$2,000.00	88-R271
681. Prewett, Ivan Dallas, TX	\$9,600.00 6.560% from 07/14/80 \$25.00 Filing Fee Joint and Several	R81-720-82-188

RESPONDENT	JUDGEMENT	DOCKET NO.
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682. Professional Commodity Services, Inc. Boca Raton, FL NFA ID - 0000761	\$4,417.22 5.740% from 04/29/88 \$25.00 Filing Fee Joint and Several	85-R104
683. *Professional Market Brokerage, Inc. Chicago, IL Date Effective : 01/22/03 NFA ID - 0268415	\$23,000.00 1.510% from 12/12/98 \$125.00 Filing Fee	02-R077
684. Pugliese, Michael L. Brooklyn, NY NFA ID - 0013063	\$26,758.60 6.640% from 10/18/84 \$200.00 Filing Fee Joint and Several	86-R028
	\$41,877.90 6.710% from 03/27/84 \$200.00 Filing Fee	86-R281
	\$1,425.00 7.140% from 01/29/86 \$5,000.00 7.140% from 01/29/86 \$200.00 Filing Fee Joint and Several	86-R170
Pugliese, Michael L. Rockaway, NJ Brooklyn, NY NFA ID - 0013063	\$2,600.00 6.300% from 06/01/88 \$100.00 Filing Fee	85-R242
685. Puncerelli, Kenneth J. N. Miami, FL NFA ID - 0076372	\$4,350.00 7.870% from 07/23/82 \$25.00 Filing Fee Joint and Several	84-R340
686. Quinn and Company, Inc. Salem, MA NFA ID - 0002264	\$8,344.78 11.000% from 09/30/84 \$25.00 Filing Fee	84-R272
687. Quinn, Terence Marblehead, MA NFA ID - 0070534	\$8,344.78 11.000% from 09/30/84 \$25.00 Filing Fee	84-R272

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Quinn, Terence (Continued)	\$30,000.00 16.000% from 01/12/81 \$25.00 Filing Fee Joint and Several	R81-1142-82-247
	\$2,469.48 8.180% from 05/18/83 \$25.00 Filing Fee	84-R243
Quinn, Terence Marblehead, MA	\$10,000.00 11.000% from 01/17/84	84-R374
	\$10,000.00 7.570% from 01/23/84 \$100.00 Filing Fee	84-R468
688. Ramachandran, Chinniah Rego Park, NY NFA ID - 0003608	\$10,000.00 11.000% from 12/14/81	82-R268
689. Rappold, Ray Englewood Cliffs, NJ San Francisco, CA	\$4,800.00 11.000% from 03/01/81 \$25.00 Filing Fee Joint and Several	82-R612
690. Raymond, Allen William Ft. Lauderdale, FL Date Effective : 09/01/00 NFA ID - 0251270	\$3,000.00 6.375% from 02/24/99 \$50.00 Filing Fee	00-R060
691. Regal Commodities, Inc. Ft. Lauderdale, FL NFA ID - 0003056	\$5,000.00 7.710% from 10/28/83 \$25.00 Filing Fee	85-R416
692. Reininger, William J. Inverrary, FL NFA ID - 0082420	\$7,000.00 11.000% from 10/05/82 Joint and Several	83-R591
693. Republic Advisory Corporation Englewood Cliffs, NJ	\$4,002.00 16.000% from 10/28/80 \$25.00 Filing Fee Joint and Several	R81-779-82-132
	\$4,880.20 11.000% from 03/01/81 \$25.00 Filing Fee Joint and Several	82-R612

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
694. Republic Resources of America, Inc. New York, NY	\$42,000.00 12.000% from 10/25/78 Joint and Several	R79-538-80-111
695. Resnick, Benjamin Ft. Lauderdale, FL NFA ID - 0132153	\$8,716.45 11.000% from 08/18/82 Joint and Several	83-R207
696. Revord, Mark E. Dallas, TX NFA ID - 0063213	\$1,974.40 8.450% from 05/27/83 \$25.00 Filing Fee Joint and Several	83-R783
697. Rhoades, Donald E. Miami Shore, FL NFA ID - 0063557	\$8,150.00 7.600% from 01/22/85 \$25.00 Filing Fee Joint and Several	83-R208
Rhoades, Donald E. N. Miami Beach, FL NFA ID - 0063557	\$8,549.00 11.000% from 06/14/81 \$25.00 Filing Fee Joint and Several	83-R078
	\$8,999.80 9.150% from 02/22/82 \$25.00 Filing Fee	84-R013
698. Rice, Allison B. a/k/a Bart Rice Spring Valley, CA Date Effective : 04/13/92 NFA ID - 0223631	\$29,655.28 4.020% from 10/16/89 \$25.00 Filing Fee	91-R257
699. Richards, George, Jr. Richmond, VA Chicago, IL Date Effective : 01/08/90 NFA ID - 0030310	\$20,000.00 7.900% from 03/20/84 \$25.00 Filing Fee	89-R323
700. Richardson, Ernest, Jr. New York, NY NFA ID - 0173671	\$3,368.25 11.000% from 05/01/84 Joint and Several	82-R845
701. Rick, Anthony Lee Boca Raton, FL Date Effective : 11/13/95 NFA ID - 0235626	\$5,433.00 5.070% from 11/02/92	95-R103
702. Rietz, Charles R. Camino, CA NFA ID - 0039149	\$4,370.21 11.000% from 05/31/82 \$25.00 Filing Fee	83-R466

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Rietz, Charles R. (Continued)	\$1,966.93 9.500% from 08/31/82 Joint and Several	83-R719
703. Risman, Inc. Chicago, IL Date Effective : 01/08/90 NFA ID - 0090224	\$20,000.00 7.900% from 03/20/89 \$25.00 Filing Fee	89-R323
704. Roach, Thomas McDonald Colusa, CA Date Effective : 10/09/98 NFA ID - 0239382	\$4,731.14 \$50.00 Filing Fee Joint and Several	98-R133
705. Road, Anthony W. Miami, FL Date Effective : 02/09/89 NFA ID - 0197295	\$1,259.60 8.550% from 11/04/86 \$100.00 Filing Fee Joint and Several	88-R51
706. Robertson, David I. Chicago, IL Date Effective : 12/31/89 NFA ID - 0207644	\$2,223.20 7.900% from 12/09/88 \$25.00 Filing Fee	89-R344
Date Effective : 06/30/90	\$2,570.00 7.970% from 12/06/88 \$25.00 Filing Fee Joint and Several	90-R53
707. Robinson, Jack E. Boston, MA NFA ID - 0058865	\$1,860.50 11.000% from 12/30/83 Joint and Several	82-R304
	\$2,100.00 03/19/81 Varying Int.	R81-1009-81-815
	\$16,918.00 7.700% from 05/21/82 \$25.00 Filing Fee Joint and Several	82-R614
	\$9,436.00 7.700% from 12/17/81 \$25.00 Filing Fee Joint and Several	82-R238

RESPONDENT	JUDGEMENT	DOCKET NO.
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708. Robinson, Marcus S. Honolulu, HI San Diego, CA Date Effective : 12/17/89 NFA ID - 0051893	\$2,397.44 8.270% from 06/22/87 \$100.00 Filing Fee	88-R441
709. Rodriguez, Joseph M. San Diego, CA NFA ID - 0182504	\$48,500.00 7.590% from 01/28/86 \$200.00 Filing Fee Joint and Several	88-R111
Date Effective : 07/24/89	\$10,163.94 9.150% from 10/10/85 \$200.00 Filing Fee	88-R139
710. Rodriguez, Noelani Rita San Diego, CA Date Effective : 07/24/89 NFA ID - 0099412	\$10,163.94 9.150% from 10/10/85 \$200.00 Filing Fee	88-R139
711. Rogers, Frank Paul South Palm Beach, FL Date Effective : 03/09/92 NFA ID - 0090534	\$3,482.50	91-R262
712. Romer, William R. Ft. Lauderdale, FL NFA ID - 0153808	\$3,875.76 9.080% from 07/22/83 \$25.00 Filing Fee	84-R015
713. Romero, Fred Hialeah, FL NFA ID - 0123180	\$3,640.00 11.000% from 12/08/81 Joint and Several	82-R735
714. Rondoni, Peter Francis Chicago, IL Date Effective : 09/12/02 NFA ID - 0266052	\$38,834.00 2.100% from 02/02/99 Joint and Several	01-R077
715. Roodman, Harvey A. Newport Beach, CA NFA ID - 0047171	\$7,017.50 7.910% from 09/26/85 \$25.00 Filing Fee	85-R150
	\$10,000.00 8.180% from 01/17/83 \$25.00 Filing Fee	85-R151
716. Rose, Jack Ft. Lauderdale, FL	\$126,253.00 11.000% from 11/27/84 Joint and Several	83-R47

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Rose, Jack (Continued)	\$15,617.72 6.560% from 09/30/82 \$25.00 Filing Fee Joint and Several	82-R1032
717. Rose, Jonathan R. Boca Raton, FL NFA ID - 0146276	\$10,839.56 7.850% from 02/07/83 \$25.00 Filing Fee Joint and Several	83-R679
718. Rosen, Robert J. Sunrise, FL Date Effective : 09/21/89 NFA ID - 0200677	\$18,942.66 8.750% \$200.00 Filing Fee Joint and Several	88-R292
Date Effective : 09/05/90	\$13,454.01 8.090% from 02/13/89 \$200.00 Filing Fee Joint and Several	90-R51
719. Rosenberg, Samuel Ft. Lauderdale, FL NFA ID - 0020517	\$1,930.00 6.180% from 06/18/87 \$25.00 Filing Fee	83-R756
720. Rosenthal, Martin B. Ft. Lauderdale, FL NFA ID - 0058527	\$13,026.14 8.320% from 01/26/87	87-R351
721. Ross, David A. N. Miami, FL NFA ID - 0039636	\$29,389.21 6.350% from 07/29/86 \$25.00 Filing Fee Joint and Several	84-R050
722. Rothenberg, Harold Brooklyn, NY NFA ID - 0055820	\$23,800.00 12.000% from 11/01/79	R80-497-80-335
723. Rouse Woodstock, Inc. a/k/a Oppenheimer Rouse Futures, Inc. New York, NY NFA ID - 0001756	\$20,000.00 8.180% from 07/12/83 \$200.00 Filing Fee Joint and Several	84-R470
724. Roy, Dennis Los Angeles, CA Jersey City, NJ	\$24,095.00 12.000% from 02/24/84	83-R7

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Roy, Dennis (Continued)	\$2,734.91 11.000% from 05/01/83 Joint and Several	83-R5
725. Rubenstein, Bruce D. Marlboro, NJ NFA ID - 0153851	\$3,390.00 6.560% from 07/29/81 \$25.00 Filing Fee	82-R1025
726. Russell, Raymond D. Pembroke Pines, FL	\$3,611.73 11.000% from 07/01/84 \$25.00 Filing Fee Joint and Several	83-R55
727. Russo, Sal Hallandale, FL	\$6,000.00 20.000% from 10/20/80 \$25.00 Filing Fee Joint and Several	R81-751-81-800
728. Ryan Bradley & Co., Inc. Ft. Lauderdale, FL Date Effective : 10/30/90 NFA ID - 0206272	\$7,270.96 7.880% from 02/21/90 Joint and Several \$100 in costs.	90-R198
729. S. S. Associates Ann Arbor, MI NFA ID - 0105626	\$9,000.00 11.000% from 08/31/81 \$25.00 Filing Fee	82-R353
730. Sabatini, Mark Newport Beach, CA	\$805.60 7.910% from 11/02/82 \$25.00 Filing Fee	83-R483
731. Sadeh, Robert A. Ft. Lauderdale, FL Date Effective : 07/17/89 NFA ID - 0203859	\$2,184.00 9.150% from 01/29/88 \$100.00 Filing Fee Joint and Several	88-R212
732. Saffer, Michael A. a/k/a Safer, Mike New York, NY NFA ID - 0016877	\$6,619.18 7.870% from 05/05/83 \$25.00 Filing Fee Joint and Several	84-R014
733. Safinya, Goudarz North Miami Beach, FL Date Effective : 08/28/96 NFA ID - 0206993	\$1,714.33 \$50.00 Filing Fee	95-R094

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
734. Sage Group, Inc., The Irvine, CA Date Effective : 12/23/90 NFA ID - 0088644	\$13,909.90 \$200.00 Filing Fee Joint and Several	88-R343
735. Sanborn, Lee W. Woburn, MA NFA ID - 0069590	\$19,000.00 11.000% from 03/15/81 Joint and Several	R81-768-81-794
736. Sanders, John Los Angeles, CA	\$2,430.00 9.170% from 05/15/85 \$25.00 Filing Fee Joint and Several	83-R113
737. Sands, Deborah N. Miami Beach, FL	\$8,578.60 11.000% from 05/01/82 \$25.00 Filing Fee	83-R259
738. Sanet, Jay New York, NY	\$4,925.00 11.000% from 12/04/81 \$25.00 Filing Fee Joint and Several	82-R287
739. Sartain, Glen A. Goleta, CA San Diego, CA NFA ID - 0095322	\$5,894.25 5.720% from 11/30/84 \$25.00 Filing Fee Joint and Several	85-R339
740. Sasson, Frank Elie N. Miami, FL	\$2,497.50 11.000% from 09/16/81 \$25.00 Filing Fee	82-R377
741. Satz, Barry El Portal, FL Date Effective : 02/09/90 NFA ID - 0082743	\$5,575.00 9.200% from 09/04/86 \$200.00 Filing Fee Joint and Several	88-R088
Date Effective : 02/20/91	\$9,110.78 7.020% from 09/08/87 \$200.00 Filing Fee Joint and Several	88-R290
742. Sawyer, Steven J. Ft. Lauderdale, FL NFA ID - 0034326	\$170,000.00 8.180% from 02/04/82	84-R244
	\$8,000.00 9.150% from 11/12/80 \$25.00 Filing Fee Joint and Several	83-R348

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Sawyer, Steven J. (Continued)	\$344,500.00 7.570% from 08/01/80 \$25.00 Filing Fee	84-R246
743. Schaefer, Richard J. Homewood, IL Date Effective : 02/02/90 NFA ID - 0083825	\$4,732.00 7.690% from 09/01/87 Joint and Several	88-R437
744. Schecter, Hugh S. North Miami Beach, FL Date Effective : 02/21/90 NFA ID - 0007201	\$20,221.26 7.660% from 06/24/88 Joint and Several	89-R132
745. Schmid, Bradley Thomas San Diego, CA Date Effective : 04/27/00 NFA ID - 0259971	\$3,250.00 6.287% from 01/12/98 \$50.00 Filing Fee	98-R162
746. Schwartz, Marty New York, NY	\$10,000.00 12.000% from 06/04/80 \$25.00 Filing Fee Joint and Several	R81-184-81-264
747. Sciortino, Gerald J. Ft. Lauderdale, FL NFA ID - 0087517	\$1,513.50 9.500% from 09/19/83 \$25.00 Filing Fee Joint and Several	84-R40
748. Scribner, Paula Ellen La Mesa, CA Date Effective : 06/29/92 NFA ID - 0181107	\$130,120.00 8.190% from 03/19/86 \$200.00 Filing Fee Joint and Several	88-R321
749. Seide, Mary Jane Hollywood, FL NFA ID - 0074358	\$5,149.32 11.000% from 12/01/81 \$25.00 Filing Fee	82-R805
750. Seigel, Gene Stewart a/k/a Gene Stewart New York, NY NFA ID - 0028192	\$12,000.00 7.600% from 07/16/80 \$25.00 Filing Fee	R81-1161-82-43
751. Serfling, Scott R. Chicago, IL Date Effective : 11/17/89 NFA ID - 0081413	\$22,157.20 7.590% from 02/11/85	87-R148

RESPONDENT	JUDGEMENT	DOCKET NO.
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752. Sexauer Commodities & Agri-Marketing, Inc. Sioux Falls, SD Date Effective : 08/21/92 NFA ID - 0178570	\$148,228.50 7.510% from 08/12/85 \$200.00 Filing Fee Joint and Several	87-R330
753. Sexauer, Thomas J. Sioux Falls, SD Date Effective : 08/21/92 NFA ID - 0085758	\$148,228.50 7.510% from 08/12/85 \$200.00 Filing Fee Joint and Several	87-R330
754. Shand, Calbert C. Plantation, FL Date Effective : 02/10/92 NFA ID - 0204169	\$4,594.00 4.980% from 04/18/89 \$25.00 Filing Fee	91-R249
755. Shane, Larry Ft. Lauderdale, FL	\$6,360.00 6.350% from 05/13/80 \$25.00 Filing Fee Joint and Several	R81-10-81-197
756. Shane, Larry Hallandale, FL	\$16,500.00 11.000% from 06/10/80 \$25.00 Filing Fee	R81-1292-82-136
757. Shapiro, Deborah Ann N. Miami Beach, FL NFA ID - 0025383	\$8,578.60 11.000% from 05/01/82 \$25.00 Filing Fee	83-R259
758. Sharp, Thomas R. Ft. Lauderdale, FL Date Effective : 06/12/89 NFA ID - 0184739	\$18,942.66 8.750% \$200.00 Filing Fee Joint and Several	88-R292
Date Effective : 09/17/89	\$4,855.51 8.850% from 06/02/88 \$25.00 Filing Fee	89-R146
Date Effective : 11/30/89	\$7,289.00 8.270% from 09/23/86 \$25.00 Filing Fee Joint and Several	89-R333
Date Effective : 07/11/90	\$18,237.00 8.700% from 04/01/87 \$200.00 Filing Fee Joint and Several	89-R202

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Sharp, Thomas R. (Continued) Date Effective : 09/05/90	\$13,454.01 8.090% from 02/13/89 \$200.00 Filing Fee Joint and Several	90-R51
Sharp, Thomas R. Landlakes, FL Ft. Lauderdale, FL NFA ID - 0184739	\$8,645.18 8.320% from 08/25/86	88-R355
Sharp, Thomas R. Sunrise, FL Dania, FL Ft. Lauderdale, FL Date Effective : 12/08/89 NFA ID - 0184739	\$5,911.50 8.190% from 04/27/87 \$25.00 Filing Fee	87-R365
759. Shatner, Donald G. Chicago, IL NFA ID - 0013597	\$1,500.00 9.080% from 02/16/84 \$100.00 Filing Fee	84-R435
	\$4,675.90 7.870% from 02/24/84 \$25.00 Filing Fee Joint and Several	84-R331
	\$14,087.85 6.180% from 06/21/83 \$200.00 Filing Fee Joint and Several	84-R426
	\$20,000.00 6.180% from 08/26/83 \$200.00 Filing Fee Joint and Several	84-R443
760. Shaw, Kenneth P. N. Miami, FL NFA ID - 0008390	\$3,555.55 11.000% from 04/30/82 \$25.00 Filing Fee Joint and Several	83-R398
	\$34,466.00 7.850% from 09/15/82 Joint and Several	84-R054
761. Shaw, Richard M. Boston, MA NFA ID - 0059229	\$8,894.32 7.850% from 10/05/81 \$25.00 Filing Fee Joint and Several	82-R556

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Shaw, Richard M. Norwell, MA NFA ID - 0059229	\$4,191.25 12.000% from 11/01/81 \$25.00 Filing Fee Joint and Several	82-R484
	\$2,257.70 12.000% from 11/01/81 \$25.00 Filing Fee Joint and Several	82-R477
	\$2,876.07 11.000% from 01/15/82 \$25.00 Filing Fee	82-R583
	\$99,967.49 7.850% from 09/16/81 \$25.00 Filing Fee	82-R352
	\$6,347.58 10.080% from 11/02/81 \$25.00 Filing Fee Joint and Several	82-R264
762. Shehadeh, Imad Chicago, IL Date Effective : 12/03/91 NFA ID - 0215520	\$9,186.00 5.570% from 06/30/89 \$25.00 Filing Fee	91-R202
763. Shelton, Hope Stacey Aventura, FL Date Effective : 06/24/93 NFA ID - 0048685	\$6,000.00 3.280% from 11/02/88 \$100.00 Filing Fee	91-R076
764. Shun Pun Limited New York, NY Date Effective : 07/18/94 NFA ID - 0089769	\$70,800.00 5.020% from 01/01/90 \$25.00 Filing Fee	94-R059
	Date Effective : 10/03/94 \$60,000.00 5.310% from 09/01/93 \$100.00 Filing Fee	94-R098
765. Siegel, David H. Ft. Lauderdale, FL	\$19,475.00 11.000% from 05/27/80	83-R198
	\$16,500.00 11.000% from 06/10/80 \$25.00 Filing Fee	R81-1292-82-136

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Siegel, David H. (Continued)	\$7,500.00 6.560% from 07/07/80 \$25.00 Filing Fee Joint and Several	82-R233
766. Siegel, David H. Hallandale, FL	\$15,815.00 12.000% from 10/09/80 Joint and Several	R81-906-81-693
	\$8,650.00 11.000% from 07/11/80 \$25.00 Filing Fee Joint and Several	R81-380-81-455
	\$9,000.00 12.000% from 05/23/80 \$25.00 Filing Fee	R81-802-82-737
767. Siegel, David H., Inc. Ft. Lauderdale, FL	\$19,475.00 11.000% from 05/27/80 \$25.00 Filing Fee	83-R198
768. Siegel, David H., Inc. Hallandale, FL	\$6,350.00 11.000% from 03/11/81 \$25.00 Filing Fee	83-R383
	\$16,500.00 11.000% from 06/10/80 \$25.00 Filing Fee	R81-1292-82-136
	\$15,815.00 12.000% from 10/09/80 Joint and Several	R81-906-81-693
	\$7,500.00 6.560% from 07/07/80 \$25.00 Filing Fee Joint and Several	82-R233
	\$6,360.00 6.350% from 05/13/80 \$25.00 Filing Fee Joint and Several	R81-10-81-197
769. Siekerman, Lee Beverly Hills, CA NFA ID - 0013461	\$3,000.00 11.000% from 03/01/83 \$25.00 Filing Fee	83-R572

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Siekerman, Lee Chicago, IL NFA ID - 0013461	\$10,000.00 9.170% from 11/09/83 \$25.00 Filing Fee	84-R234
770. Silverman, Gene D. Glenside, PA Date Effective : 05/29/90 NFA ID - 0203417	\$6,165.00 8.360% from 12/21/88 \$25.00 Filing Fee Joint and Several	90-R99
771. Silvers, Mel North Miami, FL Date Effective : 08/30/94 NFA ID - 0057883	\$1,895.00 Joint and Several	93-R159
772. Simon, Bob New York, NY	\$640.00 20.000% from 12/04/80 \$25.00 Filing Fee Joint and Several	R81-392-81-490
773. Sinclair Global Brokerage Corp. New York, NY NFA ID - 0001007	\$1,459.00 7.910% from 11/18/81 \$25.00 Filing Fee Joint and Several	84-R166
774. Sinclair, James, Trading Corp. New York, NY NFA ID - 0088601	\$9,311.75 7.030% from 01/04/80 \$25.00 Filing Fee	R81-600-81-686
775. Singer, Marvin Emanuel San Francisco, CA NFA ID - 0095729	\$995.56 7.190% from 06/15/86 \$25.00 Filing Fee Joint and Several	86-R155
776. Siu, Frank C.W. Houston, TX	\$8,576.00 11.000% from 09/24/82 \$25.00 Filing Fee	83-R379
777. SLACK, JON RICHARD FORT LAUDERDALE, FL Date Effective : 01/16/01 NFA ID - 0280529	\$23,283.45 6.241% from 03/11/99 \$125.00 Filing Fee	00-R079
778. Slavicek, William A. Glen Ellyn, IL NFA ID - 0076061	\$8,542.00 7.030% from 01/04/83 Joint and Several	83-R300
Slavicek, William A. Wheaton, IL NFA ID - 0076061	\$5,000.00 11.000% from 11/30/82 Joint and Several	83-R294

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Slavicek, William A. (Continued)	\$5,000.00 11.000% from 11/30/82 Joint and Several	83-R293
779. Small, Mike Aaron Deerfield Beach, FL Date Effective : 01/25/02 NFA ID - 0257896	\$28,241.79 1.990% from 04/12/00 \$125.00 Filing Fee	01-R067
780. Smith, David R. Davie, FL Date Effective : 02/09/89 NFA ID - 0180834	\$4,274.98 8.550% from 04/06/86 \$25.00 Filing Fee	87-R245
781. Smith, Harold K. Detroit, MI	\$3,124.66 11.000% from 08/31/82 \$25.00 Filing Fee	83-R588
782. Smith, Jackie Ft. Lauderdale, FL	\$1,080.00 11.000% from 04/07/82 Joint and Several	83-R583
783. Smith, Lynn San Diego, CA NFA ID - 0074771	\$5,551.91 7.870% from 02/02/83 \$25.00 Filing Fee	83-R470
784. Smith, Mark Jeffrey Newport Beach, CA Date Effective : 03/16/00 NFA ID - 0023585	\$14,600.00	92-R068
785. Smith, Philip New York, NY	\$6,777.00 11.000% from 01/05/83	R80-4-80-415
	\$4,750.00 11.000% from 04/05/79	R80-784-81-430
	\$4,750.00 11.000% from 04/05/79	R80-784-82-447
	\$4,750.00 11.000% from 04/05/79	R80-784-81-603
786. Smith, Phillip M. New York, NY	\$38,945.00 7.060% from 05/01/79 \$25.00 Filing Fee Joint and Several	R79-493-80-47

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
787. Soltes, John Z. Ontario, Canada Ann Arbor, MI NFA ID - 0080821	\$9,000.00 11.000% from 08/31/81 \$25.00 Filing Fee Joint and Several	82-R353
788. Sorkin, Harold New York, NY	\$2,500.00 11.000% from 03/17/82 Joint and Several	83-R608
	\$37,500.00 11.000% from 10/05/81	83-R265
	\$5,350.00 11.000% from 12/31/82 \$25.00 Filing Fee	83-R465
	\$2,500.00 11.000% from 02/04/81	83-R494
	\$2,675.00 11.000% from 06/06/82	83-R341
	\$10,350.00 11.000% from 01/07/83 Joint and Several	83-R318
	\$21,859.00 11.000% from 08/31/82	83-R331
	\$2,700.00 16.000% from 09/01/81 \$25.00 Filing Fee Joint and Several	83-R333
	\$2,675.00 11.000% from 09/25/81	83-R362
	\$17,850.00 11.000% from 04/09/82 Joint and Several	83-R295
	\$8,800.00 Joint and Several	83-R243
789. Sparks, Steven D. Queens Village, NY NFA ID - 0029167	\$129,750.25 7.220% from 12/17/82 \$200.00 Filing Fee Joint and Several	85-R012

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
790. Spear Street Trading, Inc. San Francisco, CA NFA ID - 0182400	\$1,220.56 5.790% from 02/21/86 \$25.00 Filing Fee Joint and Several	86-R155
791. Spectra, Ltd. New York, NY	\$8,800.00 Joint and Several	83-R243
792. Spiegel, Roy D. San Diego, CA Date Effective : 01/13/92 NFA ID - 0021358	\$181,600.00 8.750% from 06/13/85 Joint and Several	87-R435
793. Springer, Joseph R. San Mateo, CA Date Effective : 10/30/90 NFA ID - 0181209	\$34,194.00 7.950% from 05/06/87 \$200.00 Filing Fee	89-R245
794. Springer, Michael D. Dunwoody, GA NFA ID - 0065818	\$5,000.00 11.000% from 03/19/82 \$25.00 Filing Fee Joint and Several	82-R730
795. Squadrito, Salvatore R. Ft. Lauderdale, FL Date Effective : 03/15/89 NFA ID - 0199437	\$7,500.00 8.320% from 06/27/87 \$25.00 Filing Fee Joint and Several	87-R390
796. Stamm, Leslie Tilden Margate, FL Date Effective : 04/29/93 NFA ID - 0084568	\$5,185.00 4.040% from 04/26/88 \$25.00 Filing Fee Joint and Several	90-R188
	Date Effective : 12/27/93	\$1,750.00 92-R055
797. Stanford Management Corporation Ft. Lauderdale, FL NFA ID - 0107010	\$19,000.00 11.000% from 06/17/81 Joint and Several	83-R124
	\$19,475.00 11.000% from 05/27/80	83-R198
	\$7,200.00 12.000% from 12/01/80 \$25.00 Filing Fee	82-R162
	\$5,243.80 20.000% from 04/28/82 16.000% from 01/06/83 11.000% from 01/07/83	82-R893

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Stanford Management Corporation (Continued)	\$4,925.24 12.000% from 04/15/81 \$25.00 Filing Fee Joint and Several	82-R470
	\$8,650.00 11.000% from 07/11/80 \$25.00 Filing Fee Joint and Several	R81-380-81-455
	\$2,823.02 16.000% from 01/01/81 Joint and Several	R81-705-81-677
	\$6,000.00 20.000% from 10/20/80 \$25.00 Filing Fee Joint and Several	R81-751-81-800
	\$1,100.00 11.000% from 12/31/80 \$25.00 Filing Fee	R81-474-81-594
	\$14,200.00 11.000% from 10/10/80 \$25.00 Filing Fee	R81-1382-82-511
	\$8,525.00 20.000% from 01/01/81 Joint and Several	R81-576-81-589
	\$7,500.00 11.000% from 12/04/80 \$25.00 Filing Fee	R81-889-82-83
	\$911.35 16.000% from 04/05/81 \$25.00 Filing Fee	R81-1130-82-62
	\$12,000.00 11.000% from 08/01/80 Joint and Several	R80-449-81-585
	\$6,454.63 11.000% from 01/04/81 \$25.00 Filing Fee Joint and Several	R81-1125-82-57

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Stanford Management Corporation (Continued)	\$12,569.00 12.000% from 08/01/80 \$25.00 Filing Fee	R81-1185-82-37
	\$10,200.00 11.000% from 10/31/80 Joint and Several	R81-1247-82-165
	\$9,839.08 11.000% from 09/05/80 \$25.00 Filing Fee Joint and Several	R81-1023-82-471
	\$10,000.00 16.000% from 10/15/80 \$25.00 Filing Fee Joint and Several	R82-16-82-357
	\$6,000.00 20.000% from 09/19/80 \$25.00 Filing Fee	R81-147-81-670
	\$9,335.91 8.570% from 08/25/81 \$25.00 Filing Fee	82-R649
	\$5,149.32 11.000% from 12/01/81 \$25.00 Filing Fee	82-R805
	\$21,552.71 16.000% from 09/15/80 \$25.00 Filing Fee	82-R620
	\$170,000.00 8.180% from 02/04/82	84-R244
	\$6,000.00 9.500% from 05/08/81 \$25.00 Filing Fee	84-R452
	\$7,456.42 7.060% from 11/13/80 Joint and Several	R81-1379-82-210
	\$18,402.34 7.060% from 09/09/80 Joint and Several	R81-729-81-757

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Stanford Management Corporation (Continued)	\$7,465.45 7.030% from 05/22/81 \$25.00 Filing Fee Joint and Several	R81-884-81-826
Stanford Management Corporation Ft. Lauderdale, FL Hallandale, FL NFA ID - 0107010	\$7,500.00 6.560% from 07/07/80 \$25.00 Filing Fee Joint and Several	82-R233
Stanford Management Corporation Hallandale, FL NFA ID - 0107010	\$8,276.00 12.000% from 10/23/80 \$25.00 Filing Fee Joint and Several	82-R209
a/k/a Stanford Management Company	\$344,500.00 7.570% from 08/01/80 \$25.00 Filing Fee	84-R246
	\$14,687.61 7.000% from 12/05/80 \$25.00 Filing Fee Joint and Several	82-R467
798. Stanford Strategic Industrial Metals, Inc. Hallandale, FL	\$19,000.00 11.000% from 06/17/81 Joint and Several	83-R124
799. Stanford, Peter Gaston Playa Del Rey, CA NFA ID - 0063263	\$4,559.75 10.000% from 01/04/83	84-R372
800. Staryk, Michael Frederick Winter Haven, FL Date Effective : 04/07/00 NFA ID - 0227615	\$22,639.10 5.997% from 04/14/98 to 11/10/99 \$12,639.10 5.997% from 11/11/99 \$125.00 Filing Fee	99-R048
801. Stein, Murray L. Miami Beach, FL Date Effective : 11/01/92 NFA ID - 0176153	\$20,000.00 5.420% from 08/01/89	91-R142
802. Steinberg, Allan B. Marietta, GA NFA ID - 0083066	\$2,500.00 11.000% from 03/15/81 \$25.00 Filing Fee	85-R182

RESPONDENT	JUDGEMENT	DOCKET NO.
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803. Steinert, Steven I. Boston, MA NFA ID - 0124053	\$8,999.80 9.150% from 02/22/82 \$25.00 Filing Fee	84-R013
804. Stephens, E. David Commodities Corp. Lake Success, NY Date Effective : 04/13/92 NFA ID - 0086898	\$42,117.00 4.020% from 08/07/89 \$100.00 Filing Fee	92-R024
Stephens, E. David Commodities Corp. Lake Success, NY Garden City, NY Date Effective : 04/16/92 NFA ID - 0086898	\$21,127.29 8.240% from 06/30/88 \$200.00 Filing Fee Joint and Several	89-R050
805. STEPHENS, JAMES EDWARD, III AMERICUS, GA Date Effective : 08/13/01 NFA ID - 0289825	\$7,689.92 \$50.00 Filing Fee	01-R035
Date Effective : 03/28/02	\$6,615.95 \$50.00 Filing Fee	01-R092
Date Effective : 05/28/02	\$9,615.00 2.580% from 08/01/00 \$50.00 Filing Fee Joint and Several	02-R012
806. Stephens, Neil Associates, Inc. Providence, RI NFA ID - 0103622	\$3,002.90 \$25.00 Filing Fee varying interest	82-R483
	\$7,000.00 20.000% from 01/18/82 Joint and Several	82-R349
	\$14,000.00 20.000% from 01/21/82 Joint and Several	82-R329
	\$2,691.59 \$25.00 Filing Fee varying interest	82-R344
	\$14,000.00 20.000% from 01/18/82 Joint and Several	82-R323

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Stephens, Neil Associates, Inc. (Continued)	\$2,538.66 \$25.00 Filing Fee varying interest	82-R379
	\$7,000.00 20.000% from 01/18/82 Joint and Several	82-R350
	\$2,896.48 \$25.00 Filing Fee varying interest	82-R339
	\$2,905.75 12.000% from 11/30/81 to 01/31/82 20.000% from 02/01/82 to 12/31/82 16.000% from 01/01/83 to 06/30/83 11.000% from 07/01/83 \$25.00 Filing Fee	82-R378
807. Sterk, Danny Coral Springs, FL Date Effective : 11/25/93 NFA ID - 0200399	\$7,489.00 3.430% from 12/22/89 \$100.00 Filing Fee Joint and Several	92-R031
808. Sterling Investments of America Ashland, OR Date Effective : 09/20/96 NFA ID - 0257300	\$12,731.00 5.890% from 08/18/93 \$125.00 Filing Fee Joint and Several	95-R083
Date Effective : 09/20/96	\$12,731.00 5.890% from 08/18/93 \$125.00 Filing Fee Joint and Several	95-R082
Date Effective : 10/10/97	\$10,020.00 5.560% from 02/15/94 \$50.00 Filing Fee Joint and Several	96-R129
809. Sterling Investments of America, Inc. Ashland, OR Date Effective : 03/26/97 NFA ID - 0257299	\$25,598.90 5.610% from 03/07/95 \$125.00 Filing Fee	95-R154

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Sterling Investments of America, Inc. Ashland, OR Date Effective : 09/23/96 NFA ID - 0252354	\$38,651.00 5.890% from 03/28/94 \$250.00 Filing Fee Joint and Several	96-R028
Date Effective : 11/25/96	\$69,111.00 5.900% from 03/25/94 Joint and Several	96-R029
810. Stewart, Sean New York, NY	\$8,800.00 Joint and Several	83-R243
811. STIREK, MARK ANTHONY LAS VEGAS, NV Date Effective : 11/27/98 NFA ID - 0084957	\$990.00 4.730% from 10/20/97 \$125.00 Filing Fee	98-R147
812. Stockton Commodities a/k/a Incline Financial Incline Village, NV Date Effective : 05/21/92 NFA ID - 0087438	\$1,222.00 6.390% from 08/10/88 \$25.00 Filing Fee Joint and Several	90-R107
813. Stone, Matthew New York, NY	\$8,800.00 Joint and Several	83-R243
814. Strategic Futures d/b/a Marcus Stephen Curvin Nashville, TN Date Effective : 12/01/94 NFA ID - 0243816	\$3,651.78 5.690% from 06/23/93 \$125.00 Filing Fee	94-R155
815. Sullivan, Brian E. Miami, FL NFA ID - 0051371	\$6,350.00 11.000% from 03/11/81 \$25.00 Filing Fee	83-R383
816. Sullivan, Kevin Joseph Sacramento, CA Date Effective : 11/16/92 NFA ID - 0177947	\$6,732.00 6.260% from 06/06/89 \$200.00 Filing Fee	91-R150
817. Sullivan, William M. Evanston, RI NFA ID - 0022412	\$7,900.00 7.220% from 12/22/83 \$200.00 Filing Fee Joint and Several	85-R409

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
818. Sutton Ross Associates, Inc. Mamaroneck, NY NFA ID - 0103332	\$2,500.00 16.000% from 11/17/80 \$25.00 Filing Fee	R81-1114-82-77
819. Sutton, Ralph A. Batavia, IL Date Effective : 01/11/91 NFA ID - 0003562	\$14,269.32 7.740% from 02/14/84 \$200.00 Filing Fee Joint and Several	86-R075
820. Svagdis, Edward J. Norwell, MA	\$5,500.00 6.640% from 06/17/80 \$25.00 Filing Fee Joint and Several	82-R375
821. Syfrett, Freddie G. Dallas, GA	\$8,500.00 9.000% from 05/09/79 \$25.00 Filing Fee	R77-406-79-41
822. Symex Trading Group d/b/a Simon Todd Allen Lutz, FL Date Effective : 07/06/92 NFA ID - 0205450	\$5,324.00 4.550% from 05/02/89	92-R001
823. Taiyo General USA, Inc. New York, NY Date Effective : 02/23/00 NFA ID - 0249843	\$176,548.77 5.670% from 07/16/97 \$250.00 Filing Fee	99-R186
824. Talamantes, Christopher John Whittier, CA Date Effective : 02/06/02 NFA ID - 0279711	\$7,000.00 2.350% from 09/15/98 \$125.00 Filing Fee	01-R073
825. Tan, Dennis K. Phoenix, AZ Date Effective : 03/09/89 NFA ID - 0180440	\$1,000.00 9.200% from 08/01/87 \$25.00 Filing Fee Joint and Several	88-R408
Date Effective : 05/03/89	\$5,000.00 9.320% from 12/15/87 \$25.00 Filing Fee	88-R340
Date Effective : 06/19/89	\$4,135.00 9.510% from 03/03/87 \$25.00 Filing Fee Joint and Several	88-R392

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Tan, Dennis K. (Continued) Date Effective : 02/02/90	\$4,048.68 7.690% from 02/01/88 \$200.00 Filing Fee	88-R337
826. Tara Securities Corp. D/B/A Financial Group Pompano Beach, FL Date Effective : 09/17/89 NFA ID - 0177594	\$4,855.51 8.850% from 06/02/88 \$25.00 Filing Fee	89-R146
Tara Securities Corp. Sunrise, FL Date Effective : 06/12/89 NFA ID - 0177594	\$18,942.66 8.750% \$200.00 Filing Fee Joint and Several	88-R292
Date Effective : 09/05/90	\$13,454.01 8.090% from 02/13/89 \$200.00 Filing Fee Joint and Several	90-R51
827. Tauschek, Richard Patrick Oakland, NJ Date Effective : 04/27/90 NFA ID - 0190524	\$20,031.00 7.970% from 03/25/90 \$25.00 Filing Fee	90-R083
828. Taylor, Cynthia M. Medfield, MA NFA ID - 0077474	\$10,325.21 11.000% from 01/12/81 \$25.00 Filing Fee Joint and Several	82-R638
829. TEC Industries, Inc. Greenwich, CT	\$13,034.69 11.000% from 05/01/81	R81-1255-82-179
830. Tedesco, William Lee Irvine, CA Date Effective : 12/29/94 NFA ID - 0226657	\$2,911.36 5.690% from 11/13/90 \$100.00 Filing Fee Joint and Several	92-R103
831. Thaler, Elliot Edgewater, NJ Date Effective : 11/04/91 NFA ID - 0077846	\$6,800.00 5.680% from 06/20/88 \$200.00 Filing Fee Joint and Several	90-R200
832. Thiessen, Russell James Menifee, CA Santa Ana, CA Date Effective : 06/19/92 NFA ID - 0068571	\$4,380.00 7.690% from 07/23/87 \$100.00 Filing Fee	88-R327

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
833. Thomas, Jack New York, NY	\$10,000.00 9.150% from 03/06/79 \$25.00 Filing Fee Joint and Several	R80-1167-81-110
834. Thomas, Jefferson B. Winthrop, MA NFA ID - 0131702 - 0086697	\$24,455.00 6.300% from 09/30/82 \$200.00 Filing Fee Joint and Several	85-R085
835. Thomas, Ryan Ft. Lauderdale, FL	\$7,456.42 7.060% from 11/13/80 Joint and Several	R81-1379-82-210
836. Thompson, R.B. Associates, Inc. Boston, MA	\$9,500.00 11.000% from 12/01/80 \$25.00 Filing Fee Joint and Several	R81-1295-82-292
	\$3,445.75 11.000% from 03/01/82 \$25.00 Filing Fee Joint and Several	82-R814
837. Thompson, Richard B. a/k/a Thompson, R.B. Boston, MA NFA ID - 0070763	\$30,000.00 16.000% from 01/12/81 \$25.00 Filing Fee Joint and Several	R81-1142-82-247
Thompson, Richard B. a/k/a Thompson, R.B. Londonberry, NH NFA ID - 0070763	\$3,445.75 11.000% from 03/01/82 \$25.00 Filing Fee Joint and Several	82-R814
838. Tien, Ron K. Boston, MA NFA ID - 0038432	\$9,436.00 7.700% from 12/17/81 \$25.00 Filing Fee Joint and Several	82-R238
839. Tinnerman, Gayle San Diego, CA	\$3,694.00 11.000% from 08/24/81 \$25.00 Filing Fee Joint and Several	83-R191
840. Titan Trading Group, Inc. Sunrise, FL	\$1,513.50 9.500% from 09/19/83 \$25.00 Filing Fee Joint and Several	84-R040

RESPONDENT	JUDGEMENT	DOCKET NO.
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841. Tomaszewski, Steven M. Elmhurst, IL Date Effective : 04/20/90 NFA ID - 0199509	\$9,724.00 8.190% from 12/22/87 \$100.00 Filing Fee	88-R363
842. Top Step Trading, Inc. Chicago, IL Date Effective : 09/12/02 NFA ID - 0289742	\$38,834.00 2.100% from 02/02/99 Joint and Several	01-R077
843. Toscano, Dominick J. N. Miami, FL New York, NY Date Effective : 02/13/89 NFA ID - 0183087	\$8,000.00 8.550% from 08/28/86 \$100.00 Filing Fee Joint and Several	88-R165
Toscano, Dominick J. N. Miami, FL Date Effective : 08/31/89 NFA ID - 0183087	\$6,762.74 8.850% from 09/16/86 \$25.00 Filing Fee Joint and Several	88-R404
Toscano, Dominick J. New York, NY Date Effective : 05/24/89 NFA ID - 0183087	\$19,752.00 9.430% from 09/11/86 Joint and Several	87-R209
844. TRADE TECHNOLOGIES, LLC IRVINE, CA Date Effective : 01/03/01 NFA ID - 0287346	\$3,759.00 6.241% from 05/29/99 \$50.00 Filing Fee Joint and Several	00-R110
845. TRADELINE BROKERAGE SERVICES, LLC IRVINE, CA Date Effective : 02/20/01 NFA ID - 0269265	\$4,144.60 6.025% from 05/12/98 \$125.00 Filing Fee	00-R124
846. Traders International, Inc. New Fairfield, CT NFA ID - 0088596 - 0106841	\$19,600.00 9.080% from 10/17/80 \$25.00 Filing Fee Joint and Several	82-R018
Traders International, Inc. Inverrary, FL NFA ID - 0088596	\$4,000.00 11.000% from 12/31/82	83-R357
	\$2,065.28 11.000% from 03/15/82	83-R323

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Traders International, Inc. (Continued)	\$47.33 11.000% from 10/29/82 \$25.00 Filing Fee	83-R306
	\$7,000.00 11.000% from 10/05/82 \$25.00 Filing Fee	83-R591
Traders International, Inc. Lauderhill, FL NFA ID - 0088596	\$19,877.10 11.000% from 01/08/82 \$25.00 Filing Fee	82-R811
	\$1,000.00 11.000% from 01/09/83 \$25.00 Filing Fee	83-R311
847. Trans-American Commodity Corporation Boston, MA NFA ID - 0106386	\$1,860.50 11.000% from 12/30/83 \$25.00 Filing Fee Joint and Several	82-R304
	\$2,100.00 03/19/81 Varying Int. \$25.00 Filing Fee	R81-1009-81-815
	\$16,918.00 7.700% from 05/21/82 \$25.00 Filing Fee Joint and Several	82-R614
	\$9,436.00 7.700% from 12/17/81 \$25.00 Filing Fee Joint and Several	82-R238
848. TRENDSYSTEMS, INC. AMERICUS, GA Date Effective : 08/13/01 NFA ID - 0289823	\$7,689.92 \$50.00 Filing Fee	01-R035
Date Effective : 03/28/02	\$6,615.95 \$50.00 Filing Fee	01-R092
Date Effective : 05/28/02	\$9,615.00 2.580% from 08/01/00 \$50.00 Filing Fee Joint and Several	02-R012

RESPONDENT	JUDGEMENT	DOCKET NO.
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849. Treyz, Peter S., Jr. Greenwich, CT NFA ID - 0011871	\$6,300.00 5.770% from 03/19/84 \$100.00 Filing Fee	86-R156
850. Trinity Financial Group, Inc. Ft. Lauderdale, FL Date Effective : 06/06/94 NFA ID - 0231027	\$155.23 4.220% from 01/17/92 \$25.00 Filing Fee	93-R030
Trinity Financial Group, Inc. Tampa, FL Date Effective : 03/28/94 NFA ID - 0231027	\$5,174.98	93-R068
Trinity Financial Group, Inc. Tampa, FL Ft. Lauderdale, FL Date Effective : 04/04/94 NFA ID - 0231027	\$2,045.00 3.670% from 09/30/91 \$100.00 Filing Fee Joint and Several	93-R200
Trinity Financial Group, Inc. Tampa, FL Date Effective : 05/12/94 NFA ID - 0231027	\$5,555.00 3.740% from 07/07/91 \$100.00 Filing Fee	93-R045
Date Effective : 10/30/97	\$111,052.72 5.600% from 10/21/92 \$50.00 Filing Fee	94-R169
851. Trivette, Donald Gray Union Grove, NC Date Effective : 12/08/99 NFA ID - 0028388	\$4,164.12 5.285% from 05/12/97 \$50.00 Filing Fee	99-R168
Date Effective : 12/13/99	\$29,866.52 5.285% from 01/03/95 \$125.00 Filing Fee	99-R171
852. Turcotte, Thomas S. Waltham, MA NFA ID - 0022865	\$1,000.00 20.000% from 12/15/82	R81-916-82-187
	\$1,000.00 20.000% from 12/15/82	R81-1123-82-311

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Turcotte, Thomas S. (Continued)	\$10,000.00 6.300% from 03/27/81 \$25.00 Filing Fee Joint and Several	83-R486
853. Turino, John M. Whitestone, NY NFA ID - 0100712	\$18,622.34 8.180% from 07/26/84	85-R030
	\$4,942.77 7.570% from 08/30/84	85-R295
	\$8,075.00 7.710% from 05/19/86 \$25.00 Filing Fee	85-R037
	\$6,264.12 7.850% from 08/01/84	85-R097
	\$17,290.54 6.310% from 09/26/82 \$25.00 Filing Fee Joint and Several	83-R212
	\$4,500.00 5.630% from 10/16/86	85-R111
	\$5,727.92 5.630% from 10/15/86 \$100.00 Filing Fee	85-R108
	\$3,110.00 5.790% from 11/20/86	85-R241
854. TUROCZI, JANOS NEW YORK, NY Date Effective : 11/02/98 NFA ID - 0268058	\$11,331.98 5.271% from 04/18/96 \$125.00 Filing Fee	97-R152
855. U.S. Trading, Inc. New York, NY Date Effective : 02/09/89 NFA ID - 0178730	\$7,167.66 8.550% from 04/24/86 \$200.00 Filing Fee	88-R200
856. Ugino, Michael V. Chicago, IL Date Effective : 05/15/91 NFA ID - 0183870	\$20,000.00 6.460% from 06/14/88 Joint and Several	90-R163

RESPONDENT	JUDGEMENT	DOCKET NO.
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857. Umamoto, Daniel J. San Jose, CA NFA ID - 0089647	\$2,330.90 11.000% from 10/19/82	83-R370
858. United States Investment Company, Ltd. Woburn, MA	\$9,000.00 20.000% from 12/16/82 \$25.00 Filing Fee Joint and Several	R81-1123-82-311
	\$19,000.00 11.000% from 03/15/81 Joint and Several	R81-786-81-794
	\$9,000.00 20.000% from 12/15/82 \$25.00 Filing Fee Joint and Several	R81-916-82-187
	\$10,000.00 6.300% from 03/27/81 \$25.00 Filing Fee Joint and Several	83-R486
859. Universal Precious Metals, Inc. Ft. Lauderdale, FL	\$63,030.70 11.000% from 06/30/82 \$25.00 Filing Fee	83-R375
860. Urevich, Edward John Stuart, FL Date Effective : 11/19/99 NFA ID - 0277821	\$9,580.00 5.224% from 02/19/98 \$50.00 Filing Fee	98-R199
861. *US Options Corp. Miami, FL Date Effective : 09/08/03 NFA ID - 0274665	\$3,427.00 \$50.00 Filing Fee	02-R035
862. Valley Business Group, Ltd. Allentown, PA NFA ID - 0002429	\$6,837.25 7.570% from 09/30/84	85-R034
863. Varbero, Vincent Patrick Brooklyn, NY Date Effective : 12/17/97 NFA ID - 0254216	\$16,000.00 5.600% from 05/11/95 \$125.00 Filing Fee Joint and Several	97-R082

RESPONDENT	JUDGEMENT	DOCKET NO.
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864. Varbero, Vincent Patrick d/b/a Gallant Trading Grp Lisle, IL Date Effective : 12/17/97 NFA ID - 0260057	\$16,000.00 5.600% from 05/11/95 \$125.00 Filing Fee Joint and Several	97-R082
865. Vasquez, David A. Huntington Beach, CA Date Effective : 05/21/91 NFA ID - 0091796	\$11,500.00 6.460% from 03/20/91	90-R238
Date Effective : 10/30/91	\$99,706.96 5.680% from 11/15/89	90-R240
866. Vermeulen, Blaine G. Fort Lauderdale, FL Date Effective : 02/24/91 NFA ID - 0217944	\$1,810.78 Joint and Several	90-R241
867. Vernello, Martin J. Coral Springs, FL NFA ID - 0180647	\$3,751.00 7.010% from 03/06/87 \$25.00 Filing Fee	88-R144
868. Verrilli Altschuler Schwartz, Inc. New York, NY NFA ID - 0002013	\$30,859.30 9.170% from 11/05/82 \$25.00 Filing Fee Joint and Several	83-R492
869. Vilinsky, Stanley N. Miami, FL	\$3,900.00 12.000% from 06/05/80 \$25.00 Filing Fee Joint and Several	R78-112-78-278
870. Viola, Joseph J. Phoenix, AZ NFA ID - 0091122	\$5,950.00 5.740% from 11/30/84 \$100.00 Filing Fee Joint and Several	87-R029
871. Vitti, Albert New York, NY	\$20,700.00 11.000% from 07/30/79 \$25.00 Filing Fee Joint and Several	R79-786-80-167
872. *Voss, Kenneth Lee Foothill Ranch, CA Date Effective : 01/21/03 NFA ID - 0292864	\$7,753.00 2.320% from 12/03/99 \$125.00 Filing Fee	01-R086

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
*Voss, Kenneth Lee (Continued) Date Effective : 05/02/03	\$6,665.50 \$2,853.00 1.300% from 12/09/99 \$3,812.50 1.300% from 01/27/00	02-R008
873. Vue Venir Associates, Inc. Old Saybrook, CT NFA ID - 0183486	\$4,744.22 7.540% from 02/20/86 \$25.00 Filing Fee	88-R160
874. Wachholder, Allen Jay Miami, FL Ft. Lauderdale, FL Date Effective : 11/02/92 NFA ID - 0200728	\$1,471.12 6.390% from 11/15/88 \$25.00 Filing Fee	89-R084
875. Walls, Daniel Alan Ft. Lauderdale, FL NFA ID - 0184583	\$14,232.57 6.900% from 11/27/85 \$25.00 Filing Fee	87-R004
876. Warner, Glenn H. Pompano Beach, FL Deerfield Beach, FL Date Effective : 04/15/89 NFA ID - 0063947	\$19,829.79 9.160% from 11/04/86 \$25.00 Filing Fee Joint and Several	88-R072
877. Warren, Richard Jersey City, NJ Hollywood, CA	\$6,975.00 11.000% from 08/22/83 \$25.00 Filing Fee Joint and Several	83-R447
878. Wasserman, Michael Chicago, IL NFA ID - 0052188	\$21,403.69 6.980% from 06/02/82 \$25.00 Filing Fee Joint and Several	83-R697
	\$4,012.00 6.590% from 08/26/83 \$25.00 Filing Fee Joint and Several	85-R389
879. WATERFORD FINANCIAL GROUP, INC. MIAMI, FL Date Effective : 01/16/01 NFA ID - 0281246	\$23,283.45 6.241% from 03/11/99 \$125.00 Filing Fee	00-R079

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
WATERFORD FINANCIAL GROUP, INC. (Continued) Date Effective : 05/04/01	\$13,504.38 6.025% \$125.00 Filing Fee Joint and Several	00-R053
880. Waters, John P. Chandler, AZ Date Effective : 03/09/89 NFA ID - 0099500	\$1,000.00 9.200% from 08/01/87 \$25.00 Filing Fee Joint and Several	88-R408
Date Effective : 05/03/89	\$5,000.00 9.320% from 12/15/87 \$25.00 Filing Fee	88-R340
Date Effective : 06/19/89	\$4,135.00 9.510% from 03/03/87 \$25.00 Filing Fee Joint and Several	88-R392
Date Effective : 02/02/90	\$4,048.68 7.690% from 02/01/88 \$200.00 Filing Fee	88-R337
881. Waters, Patrick Balfe Las Vegas, NV Date Effective : 12/31/91 NFA ID - 0041483	\$6,180.00 5.370% from 12/31/88 \$25.00 Filing Fee Joint and Several	91-R210
882. Waters, Tan & Co., Inc. Tempe, AZ Date Effective : 06/19/89 NFA ID - 0180439	\$4,135.00 9.510% from 03/03/87 \$25.00 Filing Fee Joint and Several	88-R392
Waters, Tan & Company, Inc. Tempe, AZ Date Effective : 03/09/89 NFA ID - 0180439	\$1,000.00 9.200% from 08/01/87 \$25.00 Filing Fee Joint and Several	88-R408
Date Effective : 05/03/89	\$5,000.00 9.320% from 12/15/87 \$25.00 Filing Fee	88-R340

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Waters, Tan & Company, Inc. Tempe, AZ Chandler, AZ Date Effective : 05/27/89 NFA ID - 0180439	\$5,000.00 9.430% from 03/10/87 \$100.00 Filing Fee	88-R276
883. Weinberger, Paul Charles Studio City, CA Date Effective : 12/08/99 NFA ID - 0265074	\$4,632.20 5.285% from 01/08/99 \$50.00 Filing Fee	99-R130
884. Weiss, Kenneth S. Highland Park, IL Date Effective : 07/18/91 NFA ID - 0020147	\$20,000.00 6.460% from 06/14/88 Joint and Several	90-R163
885. Weitman, Edward P. Chicago, IL NFA ID - 0112586	\$2,359.75 11.000% from 07/30/81 \$25.00 Filing Fee	82-R886
	\$725.52 11.000% from 07/01/84 \$25.00 Filing Fee	83-R350
	\$4,440.24 11.000% from 09/24/82 \$25.00 Filing Fee	83-R447
886. Werber, Samuel Rex, NY	\$63,400.00 12.000% from 02/16/84 Joint and Several	82-R183
887. Western Financial Management Camino, CA NFA ID - 0105247	\$4,370.21 11.000% from 05/31/82 \$25.00 Filing Fee	83-R466
	\$1,966.93 9.500% from 08/31/82 Joint and Several	83-R719
888. Weston, David E. Yorktown Heights, NY NFA ID - 0016374	\$63,400.00 12.000% from 02/16/84 Joint and Several	82-R183
889. Weston, David E., & Associates, Inc. Yorktown Heights, NY NFA ID - 0106126	\$63,400.00 12.000% from 02/16/84 Joint and Several	82-R183

RESPONDENT	JUDGEMENT	DOCKET NO.
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890. Wexler, Frederick N. Miami, FL Date Effective : 01/08/90 NFA ID - 0007552	\$6,479.00 7.900% from 06/21/88 \$100.00 Filing Fee Joint and Several	88-R409
Date Effective : 07/11/90	\$96,000.00 8.700% from 12/31/88 \$25.00 Filing Fee Joint and Several	90-R125
891. White, Larry North Miami Beach, FL NFA ID - 0057506	\$12,702.60 9.500% from 04/01/82 \$25.00 Filing Fee Joint and Several	83-R805
892. White, William J. Novato, CA Date Effective : 01/07/89 NFA ID - 0032425	\$7,898.40 7.190% from 09/17/86 \$25.00 Filing Fee Joint and Several	86-R151
893. Wiele, Neville Honolulu, HI NFA ID - 0081522	\$12,072.50 7.910% from 08/03/84 \$25.00 Filing Fee	85-R317
	\$16,356.00 8.180% from 05/25/83 \$25.00 Filing Fee Joint and Several	85-R105
	\$10,000.00 6.180% from 03/14/84 \$100.00 Filing Fee	85-R149
894. Wilcox, Dale L. Irvine, CA NFA ID - 0112570	\$9,593.55 9.170% from 11/30/83	84-R344
895. Wilcox, Ron Los Angeles, CA	\$11,470.00 11.000% from 08/05/82 \$25.00 Filing Fee Joint and Several	83-R147
896. Williams, Eddy A., Jr. New York, NY NFA ID - 0090664	\$8,094.00 11.000% from 01/16/80 \$25.00 Filing Fee Joint and Several	R81-1232-82-513
897. Williams, Glenn F. Miami, FL Date Effective : 02/08/90 NFA ID - 0195361	\$4,881.00 7.690% from 01/19/89 \$25.00 Filing Fee Joint and Several	89-R278

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
898. Wilpadco, Inc. Anchorage, AK NFA ID - 0086334	\$23,878.00 7.030% from 12/19/83 \$25.00 Filing Fee Joint and Several	84-R150
899. Wilson, Dwight Wayne Anchorage, AK NFA ID - 0016556	\$23,878.00 7.030% from 12/19/83 \$25.00 Filing Fee Joint and Several	84-R150
900. Wilson, Mark Long Branch, NJ Date Effective : 10/09/98 NFA ID - 0270011	\$5,950.00 \$50.00 Filing Fee Joint and Several	98-R141
901. Wilson, W.A. New York, NY	\$25,000.00 16.000% from 06/01/80 \$25.00 Filing Fee	R80-1321-81-352
902. Windsor Trading Highwood, IL Date Effective : 01/14/89 NFA ID - 0209016	\$1,959.50 8.550% from 07/13/88 \$25.00 Filing Fee Joint and Several	88-R422
Date Effective : 05/08/90	\$3,176.29 7.970% from 06/30/87 \$25.00 Filing Fee Joint and Several	89-R189
903. Winsett, Rick & Associates Shawnee, OK Date Effective : 06/15/94 NFA ID - 0231127	\$1,000.00 Joint and Several	94-R051
904. Winsett, Rick P. Shawnee, OK Date Effective : 06/15/94 NFA ID - 0182058	\$1,000.00 Joint and Several	94-R051
905. Wolf Commodities Corp., Inc. d/b/a Bear & Wolf Co., Inc. Boca Raton, FL Date Effective : 02/27/98 NFA ID - 0265565	\$27,987.00 5.460% from 05/13/96 \$125.00 Filing Fee	96-R167
Date Effective : 02/27/98	\$15,500.00 5.460% from 06/30/96 \$125.00 Filing Fee	97-R115

RESPONDENT	JUDGEMENT	DOCKET NO.
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Wolf Commodities Corporation, Inc. Boca Raton, FL Date Effective : 09/11/98 NFA ID - 0265565	\$27,000.00 5.413% from 04/24/96 \$50.00 Filing Fee Joint and Several	98-R142
906. Wolf Commodity Corp., Inc. d/b/a Bear & Wolf Corp., Inc. Boca Raton, FL Date Effective : 02/27/98 NFA ID - 0271239	\$15,500.00 5.460% from 06/30/96 \$125.00 Filing Fee	96-R167
d/b/a Bear & Wolf, Co., Inc. Date Effective : 03/02/98	\$56,658.74 5.460% from 10/07/96 \$250.00 Filing Fee	97-R106
907. WOLF FUTURES GROUP, INC. CHICAGO, IL Date Effective : 11/20/98 NFA ID - 0265236	\$13,438.50 5.271% from 09/03/96 \$125.00 Filing Fee Joint and Several	97-R020
908. Wolf, Barry Jay d/b/a Wolf Commodity Corp. Boca Raton, FL Date Effective : 08/19/98 NFA ID - 0265565	\$5,000.00 5.434% from 03/08/96 \$125.00 Filing Fee Joint and Several	98-R090
909. Wolf, Barry Jay Palm Beach, FL Date Effective : 02/17/98 NFA ID - 0263702	\$15,500.00 5.420% from 06/30/96 \$125.00 Filing Fee	96-R167
Date Effective : 02/27/98	\$27,987.00 5.460% from 05/13/96 \$125.00 Filing Fee	97-R115
Date Effective : 03/02/98	\$56,658.74 5.460% from 10/07/96 \$250.00 Filing Fee	97-R106
Date Effective : 08/19/98	\$5,000.00 5.434% from 03/08/96 \$125.00 Filing Fee Joint and Several	98-R090
Date Effective : 09/11/98	\$27,000.00 5.413% from 04/24/96 \$50.00 Filing Fee Joint and Several	98-R142

RESPONDENT	JUDGEMENT	DOCKET NO.
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910. WOLF, SCOTT ALLEN CHICAGO, IL Date Effective : 11/20/98 NFA ID - 0053274	\$13,438.50 5.271% from 09/03/96 \$125.00 Filing Fee Joint and Several	97-R020
911. Wolfe, Scott David San Diego, CA La Jolla, CA Date Effective : 04/22/96 NFA ID - 0088408	\$7,347.00 5.160% from 04/20/94 \$125.00 Filing Fee Joint and Several	95-R015
912. Wolfe, Scott David, CTA, Inc. Day Traders Edge San Diego, CA Date Effective : 04/22/96 NFA ID - 0236622	\$7,347.00 5.160% from 04/20/94 \$125.00 Filing Fee Joint and Several	95-R015
913. Wolfe, William T. Ft. Lauderdale, FL NFA ID - 0092771	\$25,666.00 7.700% from 05/27/82 \$25.00 Filing Fee	83-R375
	\$15,617.72 6.560% from 09/30/82 \$25.00 Filing Fee Joint and Several	82-R1032
914. Wolosky, Jan R. Miami, FL Date Effective : 07/11/90 NFA ID - 0072703	\$96,000.00 8.700% from 12/31/88 \$25.00 Filing Fee Joint and Several	90-R125
	Date Effective : 11/29/91 \$31,172.52 6.390% from 11/15/88 \$25.00 Filing Fee	89-R084
915. Wong, Moses Lung Wah a/k/a Wah, Wong Lung Monterey Park, CA Date Effective : 12/08/94 NFA ID - 0206441	\$6,623.49 5.670% from 08/01/92 \$50.00 Filing Fee	94-R171
	a/k/a Moses Wong Date Effective : 01/17/95 \$23,698.96 6.060% from 12/16/92 \$50.00 Filing Fee	94-R177
916. Word, Calvin L. Smyrna, GA N. Miami, FL NFA ID - 0077624	\$8,871.40 7.540% from 09/09/85 Joint and Several	87-R407

RESPONDENT	JUDGEMENT	DOCKET NO.
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Word, Calvin Lee Smyrna, GA Date Effective : 06/08/92 NFA ID - 0077624	\$17,511.91 4.580% from 04/07/86 Joint and Several	89-R300
917. World Trading Group d/b/a World Trading Group, Inc. Merritt Island, FL Date Effective : 06/28/93 NFA ID - 0088760	\$2,668.14	92-R095
918. Yang, Patrick Daly City, CA Date Effective : 04/22/98 NFA ID - 0259236	\$15,672.00 5.880% from 02/14/95 \$25.00 Filing Fee Joint and Several	95-R152
919. Yarusso, Gary Louis Lake Park, FL Date Effective : 10/04/99 NFA ID - 0272256	\$24,634.39 4.966% from 09/19/96 \$125.00 Filing Fee	98-R160
920. Yeatman, Paul D. Lafayette, CA Date Effective : 04/21/91 NFA ID - 0190550	\$13,846.50 6.210% from 01/22/87 \$25.00 Filing Fee	89-R133
921. Yopp, Wessley M. Cordova, TN	\$4,824.60 7.600% from 10/31/81 \$25.00 Filing Fee	82-R838
922. Yorkstone Research, Inc. Albany, NY Date Effective : 02/09/84 NFA ID - 0107115	\$12,935.00 11.000% from 09/30/81 \$25.00 Filing Fee Joint and Several	82-R459
	\$5,000.00 11.000% from 08/01/81 Joint and Several	82-R236
	\$15,000.00 16.000% from 05/05/82 \$25.00 Filing Fee Joint and Several	82-R1009
	\$45,045.00 7.870% from 04/12/82 \$25.00 Filing Fee Joint and Several	82-R592

RESPONDENT	JUDGEMENT	DOCKET NO.
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923. Young, Stephen J. Honolulu, HI Date Effective : 04/01/90 NFA ID - 0192545	\$23,180.00 7.740% from 01/31/90	90-R34
924. YOUNG, TODD A. BROOKLYN, NY Date Effective : 05/03/01 NFA ID - 0271856	\$15,100.00 6.025% from 07/20/99 \$125.00 Filing Fee	01-R013
925. Yung, Allen W. a/k/a Alan Yung Ft. Lauderdale, FL NFA ID - 0154632	\$45,729.00 8.080% from 01/18/82 \$25.00 Filing Fee Joint and Several	83-R003
926. Zakharoff, Leo O. San Francisco, CA NFA ID - 0162694	\$4,700.00 10.080% from 06/17/81 \$25.00 Filing Fee Joint and Several	R81-991-82-190
	\$14,405.00 11.000% from 02/25/81 \$25.00 Filing Fee Joint and Several	82-R174
927. Zarachoff, Joseph L. Ventura, CA NFA ID - 0062312	\$1,614.00 9.170% from 04/26/85 \$25.00 Filing Fee	84-R068
928. Zeff, Edward Jay Hollywood, FL Date Effective : 11/01/92 NFA ID - 0049287	\$20,000.00 5.420% from 08/01/89	91-R142
929. Zeitlin, William S. Marina Del Rey, CA Date Effective : 04/18/96 NFA ID - 0240120	\$15,000.00 5.160% from 11/19/93 \$50.00 Filing Fee Joint and Several	96-R009
Zeitlin, William S. Marina Del Rey, CA Date Effective : 02/24/95 NFA ID - 0049156	\$100,000.00 7.220% from 03/08/94 \$250.00 Filing Fee Joint and Several	94-R184
Date Effective : 11/16/95	\$16,000.00 5.890% from 02/09/94 \$125.00 Filing Fee Joint and Several	95-R118

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Zeitlin, William S. (Continued) Date Effective : 04/18/96	\$15,000.00 5.160% from 11/19/93 \$50.00 Filing Fee Joint and Several	96-R009
930. Ziegler, Richard M. Sunrise, FL NFA ID - 0121582	\$1,326.71 11.000% from 09/10/82 \$25.00 Filing Fee	83-R276
931. Ziegler, Terry R. Inverrary, FL NFA ID - 0026007	\$2,065.28 11.000% from 03/15/82 Joint and Several	83-R323
Ziegler, Terry R. Lauderhill, FL NFA ID - 0026007	\$3,977.50 9.100% from 06/22/81 Joint and Several	84-R081
	\$2,500.00 7.600% from 12/29/85 \$25.00 Filing Fee Joint and Several	83-R848
932. Zilbert, Marc G. Hollywood, FL NFA ID - 0063530	\$5,617.50 8.080% from 03/02/82 \$25.00 Filing Fee	82-R516
933. Zilch, Lee Hallandale, FL	\$14,200.00 11.000% from 10/10/80 \$25.00 Filing Fee Joint and Several	R81-1382-82-511
934. Zipkin, William L. a/k/a Larry Zipkin Ft. Lauderdale, FL NFA ID - 0055221	\$9,839.08 11.000% from 09/05/80 \$25.00 Filing Fee Joint and Several	R81-1023-82-471
Zipkin, William L. a/k/a Larry Zipkin Hallandale, FL NFA ID - 0055221	\$6,454.63 11.000% from 01/04/81 \$25.00 Filing Fee Joint and Several	R81-1125-82-57
a/k/a Larry Zipkin	\$2,823.02 16.000% from 01/01/81 Joint and Several	R81-705-81-677
a/k/a Larry Zipkin	\$6,350.00 11.000% from 03/11/81 \$25.00 Filing Fee	83-R383

RESPONDENT -----	JUDGEMENT -----	DOCKET NO. -----
Zipkin, William L. a/k/a Larry Zipkin Miami, FL NFA ID - 0055221	\$16,500.00 11.000% from 06/10/80 \$25.00 Filing Fee	R81-1292-82-136
	\$12,005.77 9.150% from 06/01/82 \$25.00 Filing Fee	83-R874
a/k/a Larry Zipkin	\$8,000.00 9.150% from 11/12/80 \$25.00 Filing Fee Joint and Several	83-R348
	\$3,000.00 7.870% from 03/24/83 \$25.00 Filing Fee Joint and Several	84-R162
	\$34,466.00 7.850% from 09/15/82 Joint and Several	84-R054
a/k/a Larry Zipkin	\$7,500.00 6.560% from 07/07/80 \$25.00 Filing Fee Joint and Several	82-R233