

## ROBERT E. PLAZE

### Biographical Details

Robert E. Plaze is Associate Director for Regulation in the Division of Investment Management of the U.S. Securities and Exchange Commission.

Mr. Plaze's responsibilities include the development of policy and rulemaking under the Investment Company and Investment Advisers Acts.

Mr. Plaze has been a member of the Commission staff for the past 21 years during which he has held several positions of responsibility in the Division.

Mr. Plaze has twice received the Commission's Law and Policy Award. He holds an AB (1978) and JD (1983) from Georgetown University and is a member of the District of Columbia Bar.