

## **DANIEL J. ROTH**

Daniel J. Roth is President and Chief Executive Officer of National Futures Association (NFA), the premier independent provider of innovative and efficient regulatory programs that safeguard the integrity of the derivatives market. Mr. Roth joined NFA in September 1983 as an Attorney and has held a number of positions with increasing responsibilities, including General Counsel, Executive Vice President and Chief Operating Officer. His tenure as President and CEO began on January 1, 2003.

During the past 22 years at NFA, Mr. Roth has led the organization on major rulemaking and enforcement initiatives, including strengthened anti-fraud rules, that have led to a dramatic drop in customer complaints in the U.S. futures industry. He has developed strong working relationships with international regulators, law enforcement agencies and members of the congressional committees that legislate the financial services industry.

Prior to coming to NFA, Mr. Roth served as Assistant State's Attorney in the Cook County State's Attorney's Office where he was a member of the Official Misconduct Task Force of the Special Prosecutions Bureau. Prior to that, Mr. Roth was an Associate Attorney in general litigation with the law firm of Sidley & Austin.

Mr. Roth holds a law degree from Loyola School of Law and earned his Bachelor of Arts degree in Communication Arts from the University of Notre Dame. He has served as an adjunct professor at the Loyola School of Law and has authored numerous articles for futures industry publications.