

Charles A. Fishkin  
Director, Office of Risk Assessment  
United States Securities and Exchange Commission

Charles A. Fishkin was named to serve as Director of the Office of Risk Assessment for the U.S. Securities and Exchange Commission in July 2004. As Director, Fishkin helped launch the Commission's new Office of Risk Assessment, which reports to Chairman William H. Donaldson. The mission of the office is help the SEC anticipate emerging and resurgent risks across the corporate and financial landscape. In executing this mission, Fishkin manages the SEC's overall risk assessment program and coordinates risk assessment activities across the organization. Prior to joining the SEC, Fishkin was Vice President of Firmwide Risk for Fidelity Investments, based in Boston, Massachusetts, and also held a range of positions in New York City in risk management and financial services that span the front, middle and back offices of financial services companies. Previously, he was also a member of the board of directors of the International Association of Financial Engineers and helped found its Operational Risk Committee. Throughout his career, he has written and spoken extensively on risk management issues. He is in the final stages of completing his book on risk management entitled *The Shape of Risk*. Fishkin is originally from Nebraska and is a graduate of The University of Chicago. He resides with his family in Wellesley, Massachusetts.

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