

20-22

55

COMMENT

November 1, 2000

To: Comment File

From: D. Dow *DND*

Re: Meeting on proposed regulatory framework with Mark Brickell and Dennis Shea

On October 31, 2000, Mark Brickell and Dennis Shea met with Commission staff (Andrew Lowenthal, Bob Paul, Paul Architzel, Laura Richards, and De'Ana Dow) to provide supplemental comments to J.P. Morgan's comment letter (August 21, 2000). Mr. Brickell provided suggested revisions to the framework in hardcopy and explained his proposals.

RECEIVED
C.F.T.C.

*00 NOV 2 AM 7 07

RECEIVED C.F.T.C.
RECORDS SECTION

RECEIVED
O.F.T.C.
OFFICE OF THE SECRETARIAT
*00 NOV 1 PM 12 01