

Commodity Futures Trading Commission  
CEA CASES

**NAME:** THOMAS C. WILLIS

**DOCKET NUMBER:** 237

**DOCUMENT TYPE:** ISSUANCE OF COMPLAINT

UNITED STATES DEPARTMENT OF AGRICULTURE

Commodity Exchange Authority

Washington, D.C. 20250

ISSUANCE OF COMPLAINT - CEA DOCKET NO. 237

(Administrative Hearing Under the Commodity Exchange Act)

A complaint and notice of hearing has been signed by the Assistant Secretary of Agriculture charging violation of the Commodity Exchange Act by:

Thomas C. Willis

(Chicago, Illinois)

The complaint charges that during July 1973, Thomas C. Willis, a registered floor broker, unlawfully matched numerous customers' buy and sell commodity futures orders.

The issuance of a complaint under the Commodity Exchange Act does not constitute proof of violation of the law. Such violation is established only when there has been a determination that the evidence substantiates allegations in the complaint. A determination is considered as having been made when a decision of the Administrative Law Judge becomes final or, after appeal, a decision is made by the Judicial Officer of the Department. Complaints are filed in the Office of the Hearing Clerk of the Department of Agriculture and are a matter of public record. Pending disposition of a complaint, it is the policy of the CEA not to comment or elaborate on charges contained therein or to discuss evidence on which it is based.

A public hearing on the complaint will be held before an Administrative Law Judge in Chicago, Illinois, at a place, date, and time to be specified at a later date.

**LOAD-DATE:** June 16, 2008

