

Commodity Futures Trading Commission
CEA CASES

NAME: JUSTIN M. W. SUGAR

DOCKET NUMBER: STIPULATION 65

DATE: APRIL 1, 1964

DOCUMENT TYPE: STIPULATION OF COMPLIANCE

UNITED STATES DEPARTMENT OF AGRICULTURE

BEFORE THE SECRETARY OF AGRICULTURE

In re: Justin M. W. Sugar

Stipulation of Compliance under the Commodity Exchange Act No. 65

The Secretary of Agriculture has reason to believe that Justin M. W. Sugar has violated the provisions of the Commodity Exchange Act (7 U.S.C., Chapter 1) and the regulations of the Secretary of Agriculture promulgated thereunder (17 CFR, Chapter 1). No complaint with respect to such violations has been issued and the said Justin M. W. Sugar is desirous of disposing of the matter by entering into a stipulation of compliance as authorized by section 0.4(a) of the Rules of Practice under the Commodity Exchange Act (17 CFR, 0.0-0.28). Therefore, the said Justin M. W. Sugar stipulates the following facts are true:

(1) Justin M. W. Sugar, an individual whose address is 141 West Jackson Boulevard, Chicago, Illinois, 60604, is now and was at all times mentioned herein a member of the Board of Trade of the City of Chicago, a duly designated contract market under the Commodity Exchange Act.

(2) The registration of Justin M. W. Sugar as floor broker under the Commodity Exchange Act for the calendar year 1963 expired on December 31, 1963, and the said Justin M. W. Sugar did not submit an application for registration as floor broker under the act for the calendar year 1964 until February 5, 1964.

(3) During the period from January 1 through February 5, 1964, Justin M. W. Sugar acted as floor broker by executing for others orders for the purchase or sale of commodities for future delivery on or subject to the rules of the Board of Trade of the City of Chicago and for such services received and accepted compensation.

The Secretary of Agriculture has advised Justin M. W. Sugar that by reason of such acts he has violated section 4e of the act and section 1.8 of the regulations thereunder.

The said Justin M. W. Sugar agrees to desist from such acts and practices in the future. He further understands and agrees that this stipulation shall be admissible as evidence of such acts and practices in any future proceeding based upon acts or practices in addition to those referred to herein which may be brought against him by the Secretary of Agriculture under the provisions of the said act. He also understands that it is the practice of the Commodity Exchange Authority to make stipulations of compliance a matter of public record.

Done at Chicago, Illinois, this 1st day of April 1964

/s/ Justin M. W. Sugar

Justin M. W. Sugar

Witnessed by:

/s/ Roger E. Harper

/s/ G. E. Piala

LOAD-DATE: June 16, 2008

