

Commodity Futures Trading Commission
CEA CASES

NAME: FRONTIER COMMODITIES, INC. AND EDWIN J. SCHUFFMAN

DOCKET NUMBER: 191

DOCUMENT TYPE: ISSUANCE OF COMPLAINT

UNITED STATES DEPARTMENT OF AGRICULTURE

Commodity Exchange Authority

Washington, D. C. 20250

ISSUANCE OF COMPLAINT - CEA DOCKET NO. 191

(Administrative Hearing Under the Commodity Exchange Act)

A complaint and notice of hearing has been signed by the Assistant Secretary of Agriculture charging violation of the Commodity Exchange Act by:

Frontier Commodities, Inc.

and Edwin J. Schuffman

(Nashville, Tennessee)

The complaint charges that the respondent corporation was undersegregated on 10 days during the period January 7 - February 4, 1972. It also charges that during the period October 31, 1971 - January 18, 1972, the respondent corporation failed to meet minimum financial requirements prescribed for futures commission merchants. It further charges that it failed to submit required reports to CEA. Mr. Schuffman was president of the respondent corporation at all times material to the complaint.

The issuance of a complaint under the Commodity Exchange Act does not constitute proof of violation of the law. Such violation is established only when the Judicial Officer of the Department has made a determination that the evidence substantiates allegations in the complaint. Complaints are filed in the Office of the Hearing Clerk of the Department of Agriculture and are a matter of public record. Pending disposition by the Judicial Officer, it is the policy of the Commodity Exchange Authority not to comment or elaborate on charges contained in complaints or to discuss evidence on which they are based.

A public hearing on the complaint is scheduled to be held before a referee at 10:00 a.m., local time, on July 19, 1972, in Nashville, Tennessee.

LOAD-DATE: June 16, 2008

