

SELECTED FCM FINANCIAL DATA AS OF
October 31, 2010
FROM REPORTS FILED BY
November 30, 2010

1 of 6

		Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer	Total Amount
	Futures Commission Merchant / Retail Foreign Exchange			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	of Retail Forex
	Dealer							4d(a)(2)	Pt. 30 Required	Obligation
		(a)	(b)			(c)	(d)	(e)	(f)	(g)
1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	10/31/2010	227,996,311	82,064,479	145,931,832	1,490,608,031	212,801,563	0
2	ADM INVESTOR SERVICES INC	FCM	CBOT	10/31/2010	296,781,516	151,423,388	145,358,128	2,501,637,951	56,749,060	3,768,899
3	ADVANCED MARKETS LLC	RFED	NFA	10/31/2010	20,558,887	20,000,000	558,887	0	0	1,868,394
4	ADVANTAGE FUTURES LLC	FCM	CME	10/29/2010	21,105,825	10,630,523	10,475,302	282,647,464	40,798,702	0
5	ADVANTAGE SECURITIES LLC	FCM BD	NFA	10/31/2010	4,037,140	1,000,000	3,037,140	0	0	0
6	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC*	FCM	NFA	09/30/2010	62,728,255	10,405,027	52,323,228	0	0	
7	ALPARI (US) LLC	RFED	NFA	10/31/2010	20,528,986	20,000,000	528,986	0	0	4,931,654
8	AMERIPRISE FINANCIAL SERVICES INC	FCM BD	NFA	10/31/2010	157,764,177	1,000,000	156,764,177	0	0	0
9	AMP GLOBAL CLEARING LLC	FCM	NFA	10/31/2010	1,373,191	1,000,000	373,191	21,421,343	72,202	0
10	BARCLAYS CAPITAL INC	FCM BD	NYME	10/31/2010	6,009,682,979	668,685,062	5,340,997,917	4,654,601,753	1,811,372,950	0
11	BGC FINANCIAL LP	FCM BD	NFA	10/31/2010	41,905,030	1,000,000	40,905,030	0	0	0
12	BNP PARIBAS COMMODITY FUTURES INC	FCM	NYME	10/31/2010	346,196,164	165,164,454	181,031,710	1,449,477,483	423,812,327	0
13	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	10/31/2010	1,845,270,169	73,460,975	1,771,809,194	449,798,136	0	0
14	BNY MELLON CLEARING LLC	FCM	CME	10/31/2010	78,623,905	4,724,684	73,899,221	976,437	0	0
15	CANTOR FITZGERALD & CO	FCM BD	CBOT	10/31/2010	204,141,291	1,589,955	202,551,336	6,613,259	0	0
16	CAPITAL MARKET SERVICES LLC	RFED	NFA	10/31/2010	22,661,289	20,000,000	2,661,289	0	0	4,906,398
17	CIBC WORLD MARKETS CORP	FCM BD	CME	10/31/2010	672,505,051	4,678,200	667,826,851	0	0	0
18	CITADEL SECURITIES LLC	FCM BD	CME	10/31/2010	343,882,933	1,000,000	342,882,933	0	0	0
19	CITIGROUP GLOBAL MARKETS INC	FCM BD	CBOT	10/31/2010	9,112,631,863	746,749,469	8,365,882,394	10,317,314,991	1,390,820,123	0
20	COMMONWEALTH FOREIGN EXCHANGE INC	FCM	NFA	10/31/2010	1,714,103	1,000,000	714,103	0	0	0
21	COMPASS PROFESSIONAL SERVICES LLC	FCM BD	NFA	10/31/2010	3,322,611	1,000,000	2,322,611	0	0	0
22	COUNTRY HEDGING INC	FCM	NFA	10/31/2010	28,357,910	19,308,985	9,048,925	212,225,888	20,576	0
23	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	10/31/2010	10,181,054,395	964,491,994	9,216,562,401	5,169,383,876	1,677,607,547	0
24	CROSSLAND LLC	FCM	CBOT	10/31/2010	7,765,752	5,938,028	1,827,724	82,720,502	28,204,773	0
25	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	10/31/2010	3,469,228	1,000,000	2,469,228	38,984,776	34,283	0
26	CX CAPITAL MARKETS LLC	FCM	NFA	10/31/2010	2,339,761	1,000,000	1,339,761	25,166,290	0	0
27	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	10/31/2010	218,791,431	1,722,582	217,068,849	9,113,270	40,979	0
28	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	10/31/2010	5,902,645,451	593,279,071	5,309,366,380	12,364,529,687	1,202,966,305	0
29	DORMAN TRADING LLC	FCM	CME	10/31/2010	6,485,899	1,000,000	5,485,899	90,670,878	1,351,644	0
30	EAGLE MARKET MAKERS INC	FCM	CBOT	10/29/2010	6,211,659	1,000,000	5,211,659	5,487,224	0	0
31	EASY FOREX US LTD	FCM	NFA	10/31/2010	2,824,643	1,000,000	1,824,643	0	0	0
32	ELECTRONIC BROKERAGE SYSTEMS LLC	FCM BD	NFA	10/31/2010	7,765,427	1,000,000	6,765,427	0	0	0
33	ENSKILDA FUTURES LTD	FCM	CME	10/31/2010	29,866,158	18,708,258	11,157,900	264,764,305	0	0
34	FARR FINANCIAL INC	FCM	NFA	10/31/2010	1,894,992	1,000,000	894,992	42,793,788	202,645	0
35	FCSTONE LLC	FCM	CME	10/31/2010	91,297,920	67,852,963	23,444,957	1,350,002,012	12,422,053	16,163,189
36	FOREX CAPITAL MARKETS LLC	RFED	NFA	10/31/2010	38,040,727	26,082,622	11,958,105	0	0	131,652,445
37	FOREX CLUB FINANCIAL COMPANY INC	RFED	NFA	10/31/2010	20,981,111	20,000,000	981,111	0	0	2,282,360
38	FRIEDBERG MERCANTILE GROUP INC	FCM	NFA	10/31/2010	3,383,828	1,000,000	2,383,828	7,478,501	363,127	0
39	FRONTIER FUTURES INC	FCM	NFA	10/31/2010	1,817,436	1,394,021	423,415	33,372,285	0	0
40	FUTURES TECH LLC	FCM	NFA	10/31/2010	1,574,976	1,000,000	574,976	0	0	0
41	FX SOLUTIONS LLC	RFED	NFA	10/31/2010	35,522,655	20,659,493	14,863,162	0	0	23,189,864
42	FXDIRECTDEALER LLC	RFED	NFA	10/31/2010	26,999,467	21,589,857	5,409,610	0	0	41,797,143
43	GAIN CAPITAL GROUP LLC	RFED	NFA	10/31/2010	75,889,600	26,542,098	49,347,502	0	0	140,841,951

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44	GENESIS FUTURES LLC	FCM	NFA	10/31/2010	1,518,609	1,000,000	518,609	0	0	0
45	GLOBAL FUTURES & FOREX LTD	RFED	NFA	10/31/2010	79,094,164	26,033,164	53,061,000	303,197	190,557	90,014,921
46	GOLDMAN SACHS & CO	FCM BD	CBOT	10/31/2010	10,934,639,756	1,986,799,767	8,947,839,989	20,075,006,459	7,094,987,451	0
47	GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD	CME	10/31/2010	1,958,080,818	121,717,704	1,836,363,114	1,284,386,969	21,723,052	0
48	HSBC SECURITIES USA INC	FCM BD	CME	10/31/2010	1,091,231,476	109,194,521	982,036,955	896,916,369	75,228,464	0
49	ICAP FUTURES LLC	FCM	NFA	10/31/2010	9,094,428	1,000,000	8,094,428	0	0	0
50	IG MARKETS INC	FCM	NFA	10/31/2010	29,592,620	20,000,000	9,592,620	74,185	0	1,211,449
51	IKON GLOBAL MARKETS INC	FCM	NFA	10/29/2010	21,238,730	1,000,000	20,238,730	15,170,255	108,434	0
52	INSTINET LLC	FCM BD	NFA	10/31/2010	91,883,313	1,000,000	90,883,313	0	0	0
53	INSTITUTIONAL LIQUIDITY LLC	FCM	NFA	10/31/2010	21,105,528	20,000,000	1,105,528	0	0	0
54	INTEGRATED BROKERAGE SERVICES LLC	FCM	NFA	10/31/2010	1,814,143	1,000,000	814,143	0	0	0
55	INTERACTIVE BROKERS LLC	FCM BD	NFA	10/31/2010	1,100,381,655	147,466,488	952,915,167	589,998,243	214,974,710	0
56	INTERBANK FX LLC	RFED	NFA	10/31/2010	26,717,981	21,285,321	5,432,660	0	0	35,706,430
57	IRONBEAM INC	FCM	NFA	10/31/2010	1,486,210	1,000,000	486,210	0	0	0
58	ITG DERIVATIVES LLC	FCM BD	NFA	10/31/2010	4,971,726	1,000,000	3,971,726	0	0	0
59	JP MORGAN CLEARING CORP	FCM BD	CME	10/31/2010	5,772,530,869	1,717,556,145	4,054,974,724	486,560,114	255,643,669	0
60	JP MORGAN FUTURES INC	FCM	NYME	10/31/2010	2,402,730,882	1,201,810,004	1,200,920,878	15,885,769,505	1,685,787,323	0
61	JP MORGAN SECURITIES LLC	FCM BD	NFA	10/31/2010	7,538,415,016	559,347,606	6,979,067,410	0	0	0
62	LEK SECURITIES CORPORATION	FCM BD	NFA	10/31/2010	10,899,140	1,500,000	9,399,140	253,624	0	0
63	LIMESTONE TRADING LLC	FCM	NFA	10/31/2010	9,169,412	1,000,000	8,169,412	0	0	0
64	LINN GROUP THE	FCM	NFA	10/31/2010	2,343,403	1,350,502	992,901	36,179,086	166,448	0
65	LPL FINANCIAL CORPORATION	FCM BD	NFA	10/31/2010	122,183,773	5,512,197	116,671,576	0	0	0
66	MACQUARIE FUTURES USA INC	FCM	CBOT	10/31/2010	85,261,736	43,993,578	41,268,158	810,295,443	16,550,389	0
67	MAREX USA LIMITED	FCM	NFA	10/31/2010	3,347,533	1,000,000	2,347,533	0	0	0
68	MB TRADING FUTURES INC	RFED	NFA	10/31/2010	25,510,590	21,116,549	4,394,041	0	0	32,330,979
69	MBF CLEARING CORP	FCM	NYME	10/31/2010	11,030,763	1,376,294	9,654,469	74,986,280	6,893,966	0
70	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	10/31/2010	11,883,444	4,026,643	7,856,801	705,236,212	0	0
71	MERRILL LYNCH PIERCE FENNER & SMITH	FCM BD	CBOT	10/31/2010	5,740,227,385	686,745,570	5,053,481,815	8,952,072,052	1,966,290,360	0
72	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	10/29/2010	2,298,471,850	182,605,161	2,115,866,689	1,207,091,795	42,428,209	0
73	MF GLOBAL INC	FCM BD	CME	10/29/2010	593,200,760	444,844,167	148,356,593	9,222,178,453	574,751,918	0
74	MG FINANCIAL LLC	FCM	NFA	10/31/2010	5,083,560	1,000,000	4,083,560	0	0	0
75	MID CO COMMODITIES INC	FCM	NFA	10/31/2010	22,586,894	8,790,929	13,795,965	68,337,673	0	0
76	MITSUBISHI UFJ SECURITIES USA INC	FCM BD	NFA	10/31/2010	86,489,537	1,239,201	85,250,336	0	0	0
77	MITSUMI BUSSAN COMMODITIES USA INC	FCM	NFA	10/31/2010	9,338,802	1,000,000	8,338,802	0	0	0
78	MIZUHO SECURITIES USA INC	FCM BD	CME	10/31/2010	402,809,419	67,943,935	334,865,484	810,828,348	376,529,090	0
79	MORGAN KEEGAN & COMPANY INC	FCM BD	NFA	10/31/2010	413,626,627	8,821,677	404,804,950	0	0	0
80	MORGAN STANLEY & CO INCORPORATED	FCM BD	CME	10/31/2010	7,748,175,035	1,180,078,707	6,568,096,328	5,144,256,774	2,016,875,288	0
81	MORGAN STANLEY SMITH BARNEY LLC	FCM BD	NFA	10/31/2010	1,478,125,578	44,027,730	1,434,097,848	640,574,013	1,428,530,417	0
82	NATIXIS SECURITIES NORTH AMERICA INC	FCM BD	NFA	10/29/2010	202,075,747	1,000,000	201,075,747	0	0	0
83	NEUBERGER BERMAN LLC	FCM BD	NFA	10/31/2010	90,220,904	1,500,000	88,720,904	0	0	0
84	NEWEDGE USA LLC	FCM BD	NYME	10/31/2010	2,416,414,818	1,481,934,929	934,479,889	21,547,412,976	6,869,331,420	0
85	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	10/29/2010	768,731,034	12,559,227	756,171,807	82,617,172	654,325	0
86	OANDA CORPORATION	RFED	NFA	10/31/2010	164,210,568	30,385,013	133,825,555	0	0	0

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		(a)	(b)			(c)	(d)	4d(a)(2)	Pt. 30 Required	Obligation
		(a)	(b)			(c)	(d)	(e)	(f)	(g)
87	OPEN E CRY LLC	FCM	NFA	10/31/2010	3,256,687	1,000,000	2,256,687	83,315,416	881,226	0
88	OPPENHEIMER & CO INC	FCM BD	NFA	10/31/2010	169,880,134	24,708,474	145,171,660	21,615,187	0	0
89	OPTIONSXPRESS INC	FCM BD	NFA	10/31/2010	122,945,095	9,042,710	113,902,385	81,026,679	1,087,663	0
90	PENSON FUTURES	FCM	CBOT	10/31/2010	28,913,876	24,475,381	4,438,495	720,460,249	23,528,280	0
91	PEREGRINE FINANCIAL GROUP INC	FCM	NFA	10/31/2010	36,163,490	21,799,468	14,364,022	463,707,936	27,221,482	45,989,354
92	PIONEER FUTURES INC	FCM	NFA	10/31/2010	2,101,817	1,000,000	1,101,817	0	0	0
93	PRUDENTIAL BACHE COMMODITIES LLC	FCM	CBOT	10/31/2010	219,432,000	181,958,160	37,473,840	2,724,419,000	248,105,000	1,807,000
94	RAND FINANCIAL SERVICES INC	FCM	CME	10/31/2010	69,638,383	21,039,997	48,598,386	299,223,800	39,405,900	0
95	RAYMOND JAMES & ASSOCIATES INC	FCM BD	NFA	10/31/2010	363,937,001	30,107,408	333,829,593	0	0	0
96	RBC CAPITAL MARKETS CORPORATION	FCM BD	CME	10/31/2010	998,933,146	76,849,170	922,083,976	295,882,530	82,960,348	0
97	RBS SECURITIES INC	FCM BD	CBOT	10/31/2010	1,977,099,000	184,370,000	1,792,729,000	1,576,732,000	86,341,000	0
98	RJ OBRIEN ASSOCIATES LLC	FCM	CME	10/31/2010	162,188,912	100,452,747	61,736,165	2,216,362,738	50,694,652	4,531,430
99	ROSENTHAL COLLINS GROUP LLC	FCM	CME	10/31/2010	70,478,746	49,973,292	20,505,454	1,449,022,689	21,243,794	0
100	ROSENTHAL GLOBAL SECURITIES LLC	FCM BD	NFA	10/31/2010	54,919,093	492,331	54,426,762	0	0	0
101	SANFORD C BERNSTEIN & CO LLC	FCM BD	NFA	10/31/2010	165,580,351	14,337,083	151,243,268	0	0	0
102	SANTANDER INVESTMENT SECURITIES INC	FCM BD	NFA	10/29/2010	87,925,411	1,836,487	86,088,924	0	0	0
103	SMW TRADING COMPANY INC	FCM	CME	10/31/2010	26,561,008	1,000,000	25,561,008	1,195,342	0	0
104	STATE STREET GLOBAL MARKETS LLC	FCM BD	CME	10/31/2010	371,243,925	1,000,000	370,243,925	7,426,525	27,019	0
105	STEPHENS	FCM BD	NFA	10/31/2010	76,644,333	11,815,747	64,828,586	0	0	0
106	STERLING COMMODITIES CORP	FCM	NYME	10/31/2010	7,924,796	1,607,685	6,317,111	54,581,557	202,566	0
107	TCA FUTURES LLC	FCM	NFA	10/31/2010	1,638,362	1,000,000	638,362	0	0	0
108	TD AMERITRADE INC	FCM BD	NFA	10/31/2010	175,727,409	1,000,000	174,727,409	0	0	0
109	TENCO INC	FCM	CBOT	10/31/2010	54,276,561	12,698,475	41,578,086	326,302,735	6	0
110	TERRA NOVA FINANCIAL LLC	FCM BD	NFA	10/31/2010	8,666,064	1,500,000	7,166,064	0	0	0
111	TIMBER HILL LLC	FCM BD	CME	10/31/2010	978,922,765	40,948,517	937,974,248	462,122,934	0	0
112	TOWER RESEARCH CAPITAL EUROPE LLC	FCM	NFA	11/30/2010	5,243,674	1,000,000	4,243,674	0	0	0
113	TRADELINK LLC	FCM BD	CBOT	10/31/2010	24,336,311	1,000,000	23,336,311	0	0	0
114	TRADESTATION SECURITIES INC	FCM BD	NFA	10/31/2010	70,600,380	2,723,059	67,877,321	394,321,961	2,169,613	0
115	TRADITION SECURITIES AND FUTURES INC	FCM	NFA	10/29/2010	1,560,155	1,000,000	560,155	0	0	0
116	TRILAND USA INC	FCM	NYME	10/31/2010	23,679,454	15,896,260	7,783,194	191,301,273	16,243,925	0
117	UBS FINANCIAL SERVICES INC	FCM BD	NFA	10/31/2010	1,131,283,090	91,085,506	1,040,197,584	206,732,380	1,227,018	0
118	UBS SECURITIES LLC	FCM BD	CBOT	10/29/2010	11,119,249,796	905,162,286	10,214,087,510	9,517,675,746	4,488,019,784	0
119	VELOCITY FUTURES LLC	FCM	NFA	10/31/2010	1,684,448	1,000,000	684,448	62,361,060	9,407,595	0
120	VISION FINANCIAL MARKETS LLC	FCM BD	CME	10/31/2010	22,690,953	8,623,899	14,067,054	362,960,388	11,672,630	0
121	WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	FCM BD	NFA	10/31/2010	33,028,910	4,920,563	28,108,347	0	0	0
122	WELLS FARGO ADVISORS LLC	FCM BD	NFA	10/31/2010	649,611,300	1,500,000	648,111,300	0	0	0
123	WELLS FARGO SECURITIES LLC	FCM BD	CME	10/31/2010	1,249,473,168	6,359,879	1,243,113,289	0	0	0
124	WHITE COMMERCIAL CORPORATION	FCM	NFA	10/31/2010	2,713,014	1,000,000	1,713,014	0	0	0
125	WSD FINANCIAL USA INC	FCM	NFA	10/31/2010	1,505,697	1,000,000	505,697	0	0	0
126	YORK BUSINESS ASSOCIATES LLC	FCM	NFA	10/31/2010	2,331,253	1,000,000	1,331,253	64,109,789	1,385,030	0

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