

SELECTED FCM FINANCIAL DATA AS OF
September 30, 2009
FROM REPORTS FILED BY
October 31, 2009

	Futures Commission Merchant	B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Seg Required 4d(a)(2)	Customer Amount Pt. 30 Required
		(a)	(b)			(c)	(d)	(e)	(f)
1	3DFOREX LLC	N	NFA	09/30/2009	1,360,720	500,000	860,720	0	0
2	ACM USA LLC	N	NFA	09/30/2009	774,119	500,000	274,119	0	0
3	ADM INVESTOR SERVICES INC	N	CBOT	09/30/2009	164,046,188	93,388,623	70,657,565	1,960,259,247	86,997,658
4	ADVANCED MARKETS LLC	N	NFA	09/30/2009	20,608,259	20,000,000	608,259	0	0
5	ADVANTAGE FUTURES LLC	N	CME	09/30/2009	18,669,695	7,152,168	11,517,527	288,295,993	25,114,799
6	AIG CLEARING CORPORATION	N	NYME	09/30/2009	230,675,258	941,824	229,733,434	0	0
7	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC*	Y	NFA	09/30/2009	20,468,279	7,257,790	13,210,489	0	0
8	ALPARI (US) LLC	N	NFA	09/30/2009	20,739,123	20,000,000	739,123	0	0
9	AMERIPRISE ADVISOR SERVICES INC	Y	NFA	09/30/2009	69,222,414	6,350,918	62,871,496	0	0
10	BANC OF AMERICA SECURITIES LLC	Y	CME	09/30/2009	2,956,749,679	114,211,778	2,842,537,901	0	0
11	BARCLAYS CAPITAL INC	Y	NYME	09/30/2009	6,153,041,931	616,745,073	5,536,296,858	4,341,083,037	2,092,500,005
12	BGC FINANCIAL LP	Y	NFA	09/30/2009	35,316,118	500,000	34,816,118	0	0
13	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	09/30/2009	298,598,600	175,294,065	123,304,535	1,300,838,568	525,456,239
14	BNP PARIBAS SECURITIES CORP	Y	CBOT	09/30/2009	1,654,176,620	73,235,160	1,580,941,460	319,785,867	0
15	CADENT FINANCIAL SERVICES LLC	N	CME	09/30/2009	2,390,343	500,000	1,890,343	14,786,211	25,027
16	CANTOR FITZGERALD & CO	Y	CBOT	09/30/2009	172,702,286	11,621,632	161,080,654	11,305,403	0
17	CAPITAL MARKET SERVICES LLC	N	NFA	09/30/2009	24,437,205	20,000,000	4,437,205	0	0
18	CIBC WORLD MARKETS CORP	Y	CME	09/30/2009	1,336,455,351	1,500,000	1,334,955,351	0	0
19	CITADEL SECURITIES LLC	Y	CME	09/30/2009	450,240,522	1,000,000	449,240,522	0	0
20	CITIGROUP GLOBAL MARKETS INC	Y	CBOT	09/30/2009	9,112,297,835	723,113,520	8,389,184,315	10,735,789,643	1,381,433,808
21	COMMONWEALTH FOREIGN EXCHANGE INC	N	NFA	09/30/2009	875,190	500,000	375,190	0	0
22	COMPASS PROFESSIONAL SERVICES LLC	Y	NFA	09/30/2009	3,247,935	500,000	2,747,935	0	0
23	COUNTRY HEDGING INC	N	NFA	09/30/2009	14,312,373	6,992,951	7,319,422	100,870,141	31,905
24	CREDIT SUISSE SECURITIES (USA) LLC	Y	CBOT	09/30/2009	8,784,995,728	741,081,421	8,043,914,307	3,690,729,253	1,493,694,484
25	CROSSLAND LLC	N	CBOT	09/30/2009	5,917,357	4,715,142	1,202,215	59,907,142	30,372,034
26	CUNNINGHAM COMMODITIES LLC	N	CBOT	09/30/2009	3,189,411	614,697	2,574,714	32,488,577	27,772
27	CX CAPITAL MARKETS LLC	N	NFA	09/30/2009	778,103	500,000	278,103	6,294,117	0
28	DAIWA SECURITIES AMERICA INC	Y	CME	09/30/2009	259,340,284	1,000,000	258,340,284	5,377,273	46,698
29	DEUTSCHE BANK SECURITIES INC	Y	CBOT	09/30/2009	7,904,732,029	523,272,944	7,381,459,085	10,838,526,204	1,309,234,699
30	DORMAN TRADING LLC	N	CME	09/30/2009	7,886,686	1,060,450	6,826,236	91,343,035	75,263
31	EAGLE MARKET MAKERS INC	N	CBOT	09/30/2009	4,780,421	500,000	4,280,421	5,719,142	0
32	EASY FOREX US LTD	N	NFA	09/30/2009	20,571,936	20,000,000	571,936	0	0
33	ELECTRONIC BROKERAGE SYSTEMS LLC	Y	NFA	09/30/2009	8,978,602	500,000	8,478,602	0	0
34	ENSKILDA FUTURES LTD	N	CME	09/30/2009	22,952,458	16,217,499	6,734,959	264,800,462	0
35	FARR FINANCIAL INC	N	NFA	09/30/2009	1,064,934	615,869	449,065	32,822,095	0
36	FCSTONE LLC	N	CME	09/30/2009	63,171,960	34,752,599	28,419,361	799,067,343	6,612,739
37	FOREX CAPITAL MARKETS LLC	N	NFA	09/30/2009	53,636,719	20,000,000	33,636,719	0	0
38	FOREX CLUB FINANCIAL COMPANY INC	N	NFA	09/30/2009	23,739,580	20,000,000	3,739,580	0	0
39	FORTIS CLEARING AMERICAS LLC	Y	CBOT	09/30/2009	184,183,624	53,717,016	130,466,608	1,066,302,727	53,840,464
40	FRIEDBERG MERCANTILE GROUP INC	N	NFA	09/30/2009	3,365,697	500,000	2,865,697	4,511,793	0
41	FRONTIER FUTURES INC	N	NFA	09/30/2009	1,146,179	779,878	366,301	26,513,340	0

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42	FUTURES TECH LLC	N	NFA	09/30/2009	848,520	500,000	348,520	0	0
43	FX SOLUTIONS LLC	N	NFA	09/30/2009	44,055,493	20,000,000	24,055,493	0	0
44	GAIN CAPITAL GROUP LLC	N	NFA	09/30/2009	85,491,631	20,000,000	65,491,631	0	0
45	GENESIS FUTURES LLC	N	NFA	09/30/2009	762,467	500,000	262,467	0	0
46	GFS FOREX & FUTURES INC	N	NFA	09/30/2009	3,407,874	500,000	2,907,874	0	0
47	GILDER GAGNON HOWE & CO LLC	Y	NFA	09/30/2009	15,600,800	500,000	15,100,800	0	0
48	GLOBAL FUTURES & FOREX LTD	N	NFA	09/30/2009	75,893,697	20,000,000	55,893,697	318,894	201,866
49	GOLDMAN SACHS & CO	Y	CBOT	09/25/2009	13,413,993,253	1,936,805,551	11,477,187,702	17,101,562,058	6,795,475,899
50	GOLDMAN SACHS EXECUTION & CLEARING LP	Y	CME	09/25/2009	1,948,268,419	113,159,476	1,835,108,943	1,048,907,061	27,740,642
51	HOTSPOT FXR LLC	N	NFA	09/30/2009	6,471,059	500,000	5,971,059	0	0
52	HSBC SECURITIES USA INC	Y	CME	09/30/2009	1,417,095,786	68,935,136	1,348,160,650	603,916,581	100,331,536
53	I TRADE FX LLC	N	NFA	09/30/2009	675,808	500,000	175,808	0	0
54	ICAP FUTURES LLC	N	NFA	09/30/2009	13,523,171	500,000	13,023,171	0	0
55	IG MARKETS INC	N	NFA	09/30/2009	30,823,918	20,000,000	10,823,918	0	0
56	IKON GLOBAL MARKETS INC	N	NFA	09/30/2009	21,694,630	20,000,000	1,694,630	1,792,716	455,706
57	INSTINET LLC	Y	NFA	09/30/2009	120,948,120	1,500,000	119,448,120	0	0
58	INTEGRATED BROKERAGE SERVICES LLC	N	NFA	09/30/2009	1,090,891	500,000	590,891	0	0
59	INTERACTIVE BROKERS LLC	Y	NFA	09/30/2009	753,008,503	74,118,335	678,890,168	370,571,412	143,357,893
60	INTERBANK FX LLC	N	NFA	09/30/2009	34,550,285	20,000,000	14,550,285	0	0
61	ITG DERIVATIVES LLC	Y	NFA	09/30/2009	12,490,336	500,000	11,990,336	0	0
62	JP MORGAN CLEARING CORP	Y	CME	09/30/2009	5,347,240,631	1,469,346,384	3,877,894,247	513,821,774	213,573,006
63	JP MORGAN FUTURES INC	N	NYME	09/30/2009	2,267,030,197	1,066,967,353	1,200,062,844	13,990,606,006	1,955,041,288
64	JP MORGAN SECURITIES INC	Y	NFA	09/30/2009	8,730,099,474	554,389,984	8,175,709,490	0	0
65	LADENBURG THALMANN & CO INC	Y	NFA	09/30/2009	2,397,020	500,000	1,897,020	0	0
66	LEK SECURITIES CORPORATION	Y	NFA	09/30/2009	12,855,005	1,500,000	11,355,005	216,040	0
67	LIMESTONE TRADING LLC	N	NFA	09/30/2009	20,231,501	500,000	19,731,501	0	0
68	LINN GROUP THE	N	NFA	09/30/2009	2,880,166	500,000	2,380,166	32,361,716	5,873
69	LPL FINANCIAL CORPORATION	Y	NFA	09/30/2009	85,171,905	6,064,876	79,107,029	0	0
70	MACQUARIE FUTURES USA INC	N	CBOT	09/30/2009	68,354,445	37,523,696	30,830,749	658,585,255	523,688
71	MAREX USA LIMITED	N	NFA	09/30/2009	1,547,928	500,000	1,047,928	0	0
72	MB TRADING FUTURES INC	N	NFA	09/30/2009	22,625,607	20,000,000	2,625,607	0	0
73	MBF CLEARING CORP	N	NYME	09/30/2009	11,098,059	2,021,760	9,076,299	80,335,594	8,274,753
74	MCVEAN TRADING & INVESTMENTS LLC	N	NFA	09/30/2009	7,636,407	2,265,845	5,370,562	559,718,572	0
75	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	09/30/2009	5,373,285,468	613,763,800	4,759,521,668	8,465,411,329	1,186,470,280
76	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	09/30/2009	1,343,920,301	100,887,865	1,243,032,436	1,036,233,996	27,127,503
77	MF GLOBAL INC	Y	CME	09/30/2009	626,854,329	356,128,249	270,726,080	7,498,925,160	529,099,154
78	MG FINANCIAL LLC	N	NFA	09/30/2009	5,141,398	500,000	4,641,398	0	0
79	MID CO COMMODITIES INC	N	NFA	09/30/2009	11,210,499	1,986,369	9,224,130	20,132,802	0
80	MITSUBISHI UFJ SECURITIES USA INC	Y	NFA	09/30/2009	71,641,783	1,064,120	70,577,663	0	0
81	mitsui BUSSAN COMMODITIES USA INC	N	NYME	09/30/2009	7,437,176	2,021,436	5,415,740	0	0
82	MIZUHO SECURITIES USA INC	Y	CME	09/30/2009	346,566,623	42,924,401	303,642,222	709,493,063	259,881,982
83	MORGAN KEEGAN & COMPANY INC	Y	NFA	09/30/2009	374,501,315	9,531,107	364,970,208	0	0

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84	MORGAN STANLEY & CO INCORPORATED	Y	CME	09/30/2009	9,727,954,500	1,027,144,294	8,700,810,206	4,606,330,117	3,744,012,699
85	MORGAN STANLEY SMITH BARNEY LLC	Y	NFA	09/30/2009	353,630,678	500,000	353,130,678	0	0
86	NATIXIS SECURITIES NORTH AMERICA INC	Y	NFA	09/30/2009	212,931,028	500,000	212,431,028	0	0
87	NEUBERGER BERMAN LLC	Y	NFA	09/30/2009	90,621,791	1,500,000	89,121,791	0	0
88	NEWEDGE USA LLC	Y	NYME	09/30/2009	2,381,986,997	1,307,856,839	1,074,130,158	18,504,161,682	7,381,387,020
89	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	09/30/2009	972,563,298	2,427,972	970,135,326	0	0
90	OANDA CORPORATION	N	NFA	09/30/2009	162,177,870	20,000,000	142,177,870	0	0
91	OPEN E CRY LLC	N	NFA	09/30/2009	3,103,617	883,543	2,220,074	67,743,288	778,617
92	OPPENHEIMER & CO INC	Y	NFA	09/30/2009	169,492,086	21,630,675	147,861,411	30,483,893	0
93	OPTIONSPRESS INC	Y	NFA	09/30/2009	87,870,423	11,722,778	76,147,645	53,231,321	941,117
94	PENSON GHCO	N	CBOT	09/30/2009	19,652,668	13,763,134	5,889,534	399,135,380	27,049,122
95	PEREGRINE FINANCIAL GROUP INC	N	NFA	09/30/2009	32,234,022	20,000,000	12,234,022	331,876,422	32,443,984
96	PIONEER FUTURES INC	N	NYME	09/30/2009	1,348,112	500,000	848,112	0	0
97	PRUDENTIAL BACHE COMMODITIES LLC	N	CBOT	09/30/2009	232,974,000	161,664,520	71,309,480	2,599,411,000	328,754,000
98	RAND FINANCIAL SERVICES INC	N	CME	09/30/2009	57,316,779	10,844,774	46,472,005	115,547,400	48,395,900
99	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	09/30/2009	278,092,175	27,233,373	250,858,802	0	0
100	RBC CAPITAL MARKETS CORPORATION	Y	CME	09/30/2009	1,086,825,909	107,369,460	979,456,449	675,066,773	842,826,368
101	RBS SECURITIES INC	Y	CBOT	09/30/2009	1,929,624,000	166,880,000	1,762,744,000	1,298,010,000	131,126,000
102	RJ OBRIEN ASSOCIATES LLC	N	CME	09/30/2009	113,105,457	62,082,971	51,022,486	2,026,952,330	32,518,523
103	ROBBINS FUTURES INC	N	NFA	09/30/2009	572,959	500,000	72,959	2,141,631	0
104	ROSENTHAL COLLINS GROUP LLC	N	CME	09/30/2009	49,768,451	35,530,284	14,238,167	1,232,090,874	26,175,815
105	ROSENTHAL GLOBAL SECURITIES LLC	Y	NFA	09/30/2009	36,795,655	725,768	36,069,887	0	0
106	SANFORD C BERNSTEIN & CO LLC	Y	NFA	09/30/2009	147,718,360	10,841,531	136,876,829	0	0
107	SANTANDER INVESTMENT SECURITIES INC	Y	NFA	09/30/2009	82,145,212	605,606	81,539,606	0	0
108	SHAY GRAIN CLEARING COMPANY	N	KCBT	09/30/2009	2,573,977	500,000	2,073,977	11,716,813	0
109	SMW TRADING COMPANY INC	N	CME	09/30/2009	33,853,971	500,000	33,353,971	4,690,009	0
110	STEPHENS INC	Y	NFA	09/30/2009	51,050,124	14,544,885	36,505,239	0	0
111	STERLING COMMODITIES CORP	N	NYME	09/30/2009	8,367,979	740,573	7,627,406	28,584,081	952,054
112	TCA FUTURES LLC	N	NFA	09/30/2009	881,080	500,000	381,080	0	0
113	TD AMERITRADE INC	Y	NFA	09/30/2009	263,957,138	500,000	263,457,138	0	0
114	TENCO INC	N	CBOT	09/30/2009	46,878,165	7,818,909	39,059,256	155,068,515	0
115	TERRA NOVA FINANCIAL LLC	Y	NFA	09/30/2009	11,301,401	1,500,000	9,801,401	0	0
116	TIMBER HILL LLC	Y	CME	09/30/2009	1,039,658,271	22,124,393	1,017,533,878	253,516,571	0
117	TOWER RESEARCH CAPITAL EUROPE LLC	N	NFA	09/30/2009	2,796,502	500,000	2,296,502	0	0
118	TRADELINK LLC	Y	CBOT	09/30/2009	27,991,495	500,000	27,491,495	0	0
119	TRADESTATION SECURITIES INC	Y	NFA	09/30/2009	93,576,563	3,245,035	90,331,528	0	0
120	TRADITION SECURITIES AND FUTURES INC	N	NFA	09/30/2009	780,509	500,000	280,509	0	0
121	TRANSMARKET GROUP LLC	Y	CBOT	09/30/2009	12,846,486	500,000	12,346,486	0	0
122	TRILAND USA INC	N	NYME	09/30/2009	37,569,681	11,294,976	26,274,705	137,611,676	42,124,801
123	UBS FINANCIAL SERVICES INC	Y	NFA	09/30/2009	1,093,484,937	94,616,738	998,868,199	126,419,957	1,361,242
124	UBS SECURITIES LLC	Y	CBOT	09/30/2009	13,232,147,521	939,642,103	12,292,505,418	10,479,400,579	6,012,794,708
125	VELOCITY FUTURES LLC	N	NFA	09/30/2009	1,264,060	500,000	764,060	42,536,027	960,110

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126	VISION FINANCIAL MARKETS LLC	Y	CME	09/30/2009	21,557,893	7,915,519	13,642,374	280,998,781	1,572,602
127	WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	Y	NFA	09/30/2009	23,654,538	1,910,041	21,744,497	0	0
128	WELLS FARGO ADVISORS LLC	Y	NFA	09/30/2009	681,331,259	1,500,000	679,831,259	0	0
129	WELLS FARGO SECURITIES LLC	Y	CME	09/30/2009	2,125,182,371	1,004,775	2,124,177,596	0	0
130	WHITE COMMERCIAL CORPORATION	N	NFA	09/30/2009	2,235,787	500,000	1,735,787	0	0
131	WSD FINANCIAL USA INC	N	NFA	09/30/2009	1,243,954	500,000	743,954	0	0
132	YORK BUSINESS ASSOCIATES LLC	N	NFA	09/30/2009	1,655,907	500,000	1,155,907	49,040,226	1,494,484
	Total							132,202,091,988	36,910,693,829

