

SELECTED FCM FINANCIAL DATA AS OF  
December 31, 2007  
FROM REPORTS FILED BY  
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	Futures Commission Merchant	B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Seg Required 4d(a)(2)	Customer Amount Pt. 30 Required
		(a)	(b)			(c)	(d)	(e)	(f)
1	3D FOREX, LLC	N	NFA	12/31/2007	9,082,886	500,000	8,582,886	0	0
2	ABBEY NATIONAL SECURITIES INC	Y	NFA	12/31/2007	146,403,218	26,877,600	119,525,618	382,512,933	0
3	ADM INVESTOR SERVICES INC	N	CBOT	12/31/2007	150,835,746	60,192,858	90,642,888	1,183,469,308	47,089,454
4	ADVANCED MARKETS INC	N	NFA	12/31/2007	5,288,085	5,000,000	288,085	0	0
5	ADVANTAGE FUTURES LLC	N	CME	12/31/2007	19,926,974	3,296,199	16,630,775	148,976,872	18,869,063
6	AG EDWARDS & SONS INC	Y	CBOT	12/31/2007	359,652,571	33,835,363	325,817,208	247,373,652	981,199
7	AIG CLEARING CORPORATION	N	NYME	12/31/2007	292,352,125	37,760,229	254,591,896	13,458,447	0
8	ALARON TRADING CORPORATION	N	CME	12/31/2007	6,293,483	2,988,953	3,304,530	176,682,284	5,898,861
9	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC	Y	NFA	12/31/2007	35,488,454	5,586,798	29,901,656	0	0
10	ALPARI (US) LLC	N	NFA	12/31/2007	8,045,281	5,000,000	3,045,281	0	0
11	BACERA CORPORATION	N	NFA	12/31/2007	5,425,980	5,000,000	425,980	0	0
12	BANC OF AMERICA SECURITIES LLC	Y	CME	12/31/2007	1,981,572,576	425,782,620	1,555,789,956	2,258,638,365	34,713,873
13	BARCLAYS CAPITAL INC	Y	NYME	12/31/2007	1,532,736,098	400,112,202	1,132,623,896	4,110,081,686	1,989,732,333
14	BEAR STEARNS & CO INC	Y	NFA	12/31/2007	(g)	541,736,897	(g)	0	0
15	BEAR STEARNS SECURITIES CORP	Y	CME	12/31/2007	4,633,941,117	1,256,101,018	3,377,840,099	3,633,151,140	804,947,129
16	BGC SECURITIES	Y	NFA	12/31/2007	4,494,744	500,000	3,994,744	0	0
17	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	12/31/2007	163,845,761	102,556,883	61,288,878	1,133,231,656	275,821,880
18	BNP PARIBAS SECURITIES CORP	Y	CBOT	12/31/2007	665,923,761	33,961,711	631,962,050	295,408,877	0
19	CADENT FINANCIAL SERVICES LLC	N	CME	12/31/2007	7,949,539	4,907,978	3,041,561	208,170,835	1,211,405
20	CALYON FINANCIAL INC.	Y	CME	12/31/2007	787,795,446	457,756,627	330,038,819	7,275,104,045	1,439,054,695
21	CANTOR FITZGERALD & CO	Y	CBOT	12/31/2007	228,839,976	4,815,560	224,024,416	8,510,626	0
22	CAPITAL MARKET SERVICES LLC	N	NFA	12/31/2007	13,863,040	5,000,000	8,863,040	0	0
23	CIBC WORLD MARKETS CORP	Y	CME	12/31/2007	1,191,746,487	21,226,722	1,170,519,765	0	0
24	CITIGROUP GLOBAL MARKETS INC	Y	CBOT	12/31/2007	(g)	791,497,056	(g)	10,521,718,101	378,812,566
25	CLIFF LARSON COMPANY THE	N	NFA	12/31/2007	880,453	507,012	373,441	9,524,143	0
26	CMC MARKETS (US) LLC	N	NFA	12/31/2007	8,710,440	5,000,000	3,710,440	0	0
27	COMTRUST INC	N	NFA	12/31/2007	862,690	856,787	5,903	16,227,566	0
28	COUNTRY HEDGING INC	N	NFA	12/31/2007	17,750,368	11,303,367	6,447,001	137,963,135	2,901
29	CREDIT SUISSE SECURITIES (USA) LLC	Y	CBOT	12/31/2007	4,709,689,448	211,221,806	4,498,467,641	2,087,531,419	838,368,749
30	CROSSLAND LLC	N	CBOT	12/31/2007	3,474,623	500,000	2,974,623	16,962,735	0
31	CSC FUTURES INC	N	NFA	12/31/2007	8,051,957	500,000	7,551,957	0	0
32	CUNNINGHAM COMMODITIES LLC	N	CBOT	12/31/2007	3,481,467	677,875	2,803,592	27,783,934	33,850
33	DAIWA SECURITIES AMERICA INC	Y	CME	12/31/2007	203,992,184	2,354,447	201,637,737	6,494,091	1,467,925
34	DEUTSCHE BANK SECURITIES INC	Y	CBOT	12/31/2007	7,366,431,859	292,080,982	7,074,350,877	5,484,304,136	1,111,232,009
35	DIRECT FOREX LLC	N	NFA	12/31/2007	525,000	500,000	25,000	0	0
36	DORMAN TRADING LLC	N	CME	12/31/2007	10,913,048	500,000	10,413,048	45,479,554	14,811
37	DUNAVANT COMMODITY CORP	N	NFA	12/31/2007	37,760,474	1,258,334	36,502,140	0	0
38	E FX OPTIONS LLC	N	NFA	12/31/2007	5,128,233	5,000,000	128,233	0	0
39	EAGLE MARKET MAKERS INC	N	CBOT	12/31/2007	6,192,305	500,000	5,692,305	11,215,335	0
40	EASY FOREX US LTD	N	NFA	12/31/2007	7,604,454	5,000,000	2,604,454	0	0
41	ED & F MAN COMMODITY ADVISORS INC	N	NFA	12/31/2007	1,407,350	500,000	907,350	0	0
42	ELECTRONIC BROKERAGE SYSTEMS LLC	Y	NFA	12/31/2007	10,968,384	500,000	10,468,384	0	0
43	ENSKILDA FUTURES LTD	N	CME	12/31/2007	20,330,152	8,219,087	12,111,065	252,317,451	0
44	FARR FINANCIAL INC	N	NFA	12/31/2007	1,128,683	556,294	572,389	38,925,323	85,585
45	FC STONE LLC	N	CME	12/31/2007	88,887,534	43,595,764	45,291,770	1,114,919,178	6,722,729
46	FIMAT USA LLC	Y	NYME	12/31/2007	715,000,259	425,614,150	289,386,109	7,382,085,231	1,928,517,805

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47	FIRST CAPITOL GROUP LLC	N	NFA	12/31/2007	3,468,273	1,829,598	1,638,675	77,169,534	51,498
48	FOREX CAPITAL MARKETS LLC	N	NFA	12/31/2007	74,656,047	13,344,002	61,312,045	0	0
49	FOREX CLUB FINANCIAL COMPANY INC	N	NFA	12/31/2007	7,557,794	5,000,000	2,557,794	0	0
50	FOREX LLC	N	NFA	12/31/2007	768,858	500,000	268,858	0	0
51	FORTIS CLEARING AMERICAS LLC	Y	CBOT	12/31/2007	173,098,736	39,507,901	133,590,835	1,044,476,750	98,312,240
52	FRIEDBERG MERCANTILE GROUP INC	N	NFA	12/31/2007	7,514,502	5,000,000	2,514,502	5,015,290	23,933
53	FRONTIER FUTURES INC	N	NFA	12/31/2007	1,577,892	718,440	859,452	27,820,403	32,310
54	FUTURES TECH LLC	N	NFA	12/31/2007	872,551	500,000	372,551	0	0
55	FX SOLUTIONS LLC	N	NFA	12/31/2007	17,956,819	5,000,000	12,956,819	0	0
56	GAIN CAPITAL GROUP LLC	N	NFA	12/31/2007	49,661,460	5,462,443	44,199,017	0	0
57	GATEWAY CAPITAL L.L.C.	N	NFA	12/31/2007	966,787	500,000	466,787	0	0
58	GELBER GROUP LLC	N	CBOT	12/31/2007	105,229,866	699,846	104,530,020	57,558,860	195
59	GFS FOREX & FUTURES INC	N	NFA	12/31/2007	10,227,591	1,000,000	9,227,591	0	0
60	GILDER GAGNON HOWE AND CO LLC	Y	NFA	12/31/2007	17,891,487	500,000	17,391,487	0	0
61	GLOBAL FUTURES & FOREX	N	NFA	12/31/2007	69,079,814	7,278,494	61,801,320	303,866	0
62	GLOBAL FUTURES LLC	N	NFA	12/31/2007	8,264,786	500,000	7,764,786	0	0
63	GOLDMAN SACHS & CO	Y	CBOT	12/28/2007	(g)	1,883,151,322	(g)	14,851,730,953	8,758,391,474
64	GOLDMAN SACHS EXECUTION & CLEARING LP	Y	CME	12/28/2007	1,065,292,947	40,981,494	1,024,311,453	796,638,878	12,324,796
65	H & R BLOCK FINANCIAL ADVISORS INC	Y	NFA	12/31/2007	80,383,347	9,210,928	71,172,419	0	0
66	HAGERTY GRAIN CO INC	N	CBOT	12/31/2007	4,444,914	500,000	3,944,914	5,981,060	0
67	HOTSPOT FXR LLC	N	NFA	12/31/2007	6,069,214	5,000,000	1,069,214	0	0
68	HSBC SECURITIES USA INC	Y	CME	12/31/2007	1,113,197,086	66,381,319	1,046,815,767	761,896,677	48,566,142
69	I TRADE FX LLC	N	NFA	12/31/2007	34,026,650	5,000,000	29,026,650	0	0
70	ICAP FUTURES LLC	N	CBOT	12/31/2007	5,466,205	500,000	4,966,205	0	0
71	IFSCL USA INC	N	NFA	12/31/2007	7,980,899	5,000,000	2,980,899	2,054,073	156,909
72	IFX MARKETS INC	N	NFA	12/31/2007	15,546,720	5,000,000	10,546,720	0	0
73	IG MARKETS INC.	N	NFA	12/31/2007	8,045,412	500,000	7,545,412	0	0
74	INSTINET LLC	Y	NFA	12/31/2007	85,025,706	5,418,337	79,607,369	0	0
75	INTEGRATED BROKERAGE SERVICES LLC	N	NFA	12/31/2007	1,860,490	500,000	1,360,490	601,584	0
76	INTERACTIVE BROKERS LLC	Y	EUXUS	12/31/2007	427,311,227	60,374,479	366,936,748	254,410,190	74,655,107
77	INTERBANK FX LLC	N	NFA	12/31/2007	19,232,883	3,503,579	15,729,304	0	0
78	IOWA GRAIN CO	N	CBOT	12/31/2007	18,030,344	12,631,732	5,398,612	339,112,804	277,371
79	ITAU SECURITIES INC	Y	NFA	12/31/2007	13,380,965	500,000	12,880,965	0	0
80	IXIS SECURITIES NORTH AMERICA INC	Y	NFA	12/31/2007	171,179,421	500,000	170,679,421	0	0
81	JP MORGAN FUTURES INC	N	NYME	12/31/2007	1,196,877,587	686,726,354	510,151,233	9,597,006,366	1,302,626,155
82	KOTTKE ASSOCIATES LLC	N	CBOT	12/31/2007	11,420,653	500,000	10,920,653	24,320,744	43,785
83	LADENBURG THALMANN & CO INC	Y	NFA	12/31/2007	26,592,289	500,000	26,092,289	0	0
84	LBS LIMITED PARTNERSHIP	N	CBOT	12/31/2007	821,898	500,000	321,898	0	0
85	LEHMAN BROTHERS INC	Y	CBOT	12/31/2007	(g)	550,093,000	(g)	6,499,548,000	144,783,000
86	LIMESTONE TRADING LLC	N	NFA	12/31/2007	17,169,816	500,000	16,669,816	0	0
87	LINN GROUP ( THE )	N	NFA	12/31/2007	1,939,542	500,000	1,439,542	3,070,138	0
88	LINSCO/PRIVATE LEDGER CORP	Y	NFA	12/31/2007	46,556,215	8,480,161	38,076,054	0	0
89	LOEB PARTNERS CORPORATION	Y	NFA	12/31/2007	24,381,889	500,000	23,881,889	0	0
90	MACQUARIE FUTURES USA INC	N	NFA	12/31/2007	8,460,309	3,470,309	4,990,000	25,622,632	0
91	MB TRADING FUTURES INC.	N	NFA	12/31/2007	7,017,099	5,000,000	2,017,099	0	0
92	MBF CLEARING CORP	N	NYME	12/31/2007	24,797,605	1,406,875	23,390,730	139,126,755	3,590,899
93	MCVEAN TRADING AND INVESTMENTS LLC	N	NFA	12/31/2007	7,384,517	2,941,262	4,443,255	639,696,312	607,891

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94	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	12/28/2007	(g)	599,882,623	(g)	8,724,538,695	1,117,840,756
95	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	12/28/2007	1,669,702,402	129,677,862	1,540,024,539	1,816,000,148	79,599,571
96	MF GLOBAL INC	N	CME	12/31/2007	679,085,042	407,094,815	271,990,227	10,327,580,498	763,878,139
97	MG FINANCIAL LLC	N	NFA	12/31/2007	6,024,267	5,000,000	1,024,267	0	0
98	MID-CO COMMODITIES INC	N	NFA	12/31/2007	5,426,349	4,037,256	1,389,093	44,990,277	0
99	MITSUI BUSSAN COMMODITIES USA INC	N	NYME	12/31/2007	6,584,730	1,059,308	5,525,422	0	0
100	MIZUHO SECURITIES USA INC	Y	CME	12/31/2007	129,152,621	45,059,872	84,092,749	542,695,370	171,873,250
101	MORGAN KEEGAN & COMPANY INC	Y	NFA	12/31/2007	389,401,562	9,802,042	379,599,520	0	0
102	MORGAN STANLEY & CO INCORPORATED	Y	CME	12/31/2007	(g)	1,759,352,685	(g)	5,046,815,782	4,714,203,385
103	NEUBERGER BERMAN LLC	Y	NFA	12/31/2007	205,788,020	5,000,000	200,788,020	0	0
104	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	12/31/2007	996,430,910	2,152,038	994,278,872	2,117	0
105	OANDA CORPORATION	N	NFA	12/31/2007	156,973,617	8,271,982	148,701,635	0	0
106	ODL SECURITIES INC	N	NFA	12/31/2007	6,939,217	5,000,000	1,939,217	0	0
107	OPEN E CRY LLC	N	NFA	12/31/2007	1,413,504	500,000	913,504	36,191,275	570,836
108	OPPENHEIMER & CO INC	Y	NFA	12/31/2007	190,620,220	23,161,426	167,458,794	24,172,253	0
109	OPTIONSXPRESS INC	Y	NFA	12/31/2007	99,554,590	7,183,825	92,370,765	43,971,976	2,873,559
110	PENSON FINANCIAL FUTURES INC	N	NFA	12/31/2007	762,554	500,000	262,554	0	0
111	PENSON GHCO	N	CBOT	12/31/2007	12,992,831	6,871,491	6,121,340	257,150,262	7,288,864
112	PEREGRINE FINANCIAL GROUP INC	N	NFA	12/31/2007	19,790,732	5,153,398	14,637,334	212,390,781	14,216,308
113	PIONEER FUTURES INC	N	NYME	12/31/2007	787,201	500,000	287,201	0	0
114	PRUDENTIAL BACHE COMMODITIES LLC	N	CBOT	12/31/2007	171,680,000	134,299,240	37,380,760	2,334,698,000	222,178,000
115	PUMA FINANCIAL LLC	N	NFA	12/31/2007	829,849	500,000	329,849	7,169,253	0
116	RAND FINANCIAL SERVICES INC	N	CME	12/31/2007	53,429,747	13,179,758	40,249,989	179,048,400	29,593,700
117	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	12/31/2007	320,847,157	33,162,719	287,684,438	0	0
118	RBC CAPITAL MARKETS CORPORATION	Y	CME	12/31/2007	290,764,652	99,902,256	190,862,396	242,951,067	231,270,930
119	RBC DAIN RAUSCHER INC	Y	NFA	12/31/2007	294,045,005	29,318,106	264,726,899	0	0
120	RBS GREENWICH CAPITAL INC	Y	CBOT	12/31/2007	1,483,045,000	113,227,000	1,369,818,000	977,308,000	25,467,000
121	RJ OBRIEN & ASSOCIATES LLC	N	CME	12/31/2007	92,145,446	64,917,551	27,227,895	2,055,207,229	23,200,819
122	ROBBINS FUTURES INC	N	NFA	12/31/2007	539,152	500,000	39,152	3,908,207	0
123	ROSENTHAL COLLINS GROUP LLC	N	CME	12/31/2007	41,568,653	27,341,484	14,227,169	814,462,149	11,261,764
124	ROSENTHAL GLOBAL SECURITIES LLC	Y	CBOT	12/31/2007	5,360,774	530,032	4,830,742	0	0
125	SANFORD C BERNSTEIN & CO LLC	Y	NFA	12/31/2007	138,008,308	9,846,388	128,161,920	0	0
126	SHATKIN ARBOR INC	N	CBOT	12/31/2007	4,491,452	1,113,303	3,378,149	68,869,453	47,500
127	SHAY GRAIN CLEARING COMPANY	N	KCBT	12/31/2007	1,399,492	988,873	410,619	22,617,007	0
128	SMW TRADING COMPANY INC	N	CME	12/31/2007	35,008,130	500,000	34,508,130	9,666,136	1,374
129	SNC INVESTMENTS INC	N	NFA	12/31/2007	507,811	500,000	7,811	0	0
130	SOLID GOLD FINANCIAL SERVICES INC	N	NFA	12/31/2007	-913,015	1,000,000	-1,913,015	0	0
131	STEPHENS INC	Y	NFA	12/31/2007	109,293,003	10,931,287	98,361,716	0	0
132	STERLING COMMODITIES CORP	N	NYME	12/31/2007	9,099,919	786,813	8,313,106	33,054,149	814,406
133	TCA FUTURES LLC	N	NFA	12/31/2007	835,811	500,000	335,811	0	0
134	TENCO INC	N	CBOT	12/31/2007	43,217,706	7,716,805	35,500,901	128,307,191	0
135	TERRA NOVA FINANCIAL, LLC	Y	NFA	12/31/2007	16,038,698	1,554,210	14,484,488	0	0
136	TIMBER HILL LLC	Y	CME	12/31/2007	882,283,241	16,258,304	866,024,937	227,608,073	0
137	TOWER RESEARCH CAPITAL EUROPE LLC	N	NFA	12/31/2007	3,638,189	500,000	3,138,189	0	0
138	TRADELINK LLC	Y	CBOT	12/31/2007	28,475,538	522,776	27,952,762	0	0
139	TRADEMAVEN CLEARING LLC	N	NFA	12/31/2007	829,433	500,000	329,433	4,737,597	55,190
140	TRADESTATION SECURITIES INC	Y	NFA	12/31/2007	77,759,744	2,437,208	75,322,536	0	0



