

Commodity Futures Trading Commission
CEA CASES

NAME: WILLIAM LUDWIG

DOCKET NUMBER: 94

DOCUMENT TYPE: ISSUANCE OF COMPLAINT

UNITED STATES DEPARTMENT OF AGRICULTURE

Commodity Exchange Authority

Washington 25, D. C.

ISSUANCE OF COMPLAINT - CEA DOCKET NO. 94

(Administrative Hearing under the Commodity Exchange Act)

A complaint and notice of hearing has been signed by the Assistant Secretary of Agriculture, charging violation of the Commodity Exchange Act by:

William Ludwig

New York, New York

The complaint charges that the respondent exceeded the limits on speculative positions in egg futures on the Chicago Mercantile Exchange on 19 business days during the period from December 8, 1955, through January 24, 1956. The complaint also charges that the respondent failed to file reports and filed false reports with the Commodity Exchange Authority on certain days during the period from June 29, 1955, through January 24, 1956. The respondent concealed his violations by holding and controlling positions in accounts carried in the names of other persons.

The issuance of a complaint under the Commodity Exchange Act does not constitute proof of violation of the law. Such violation is established only when the Judicial Officer of the Department has made a determination that the evidence substantiates allegations in the complaint. Complaints are filed in the Office of the Hearing Clerk of the Department of Agriculture and are a matter of public record. Pending disposition by the Judicial Officer, it is the policy of the Commodity Exchange Authority not to comment or elaborate on charges contained in complaints or to discuss evidence on which they are based.

A public hearing on the complaint is scheduled to be held before a referee at 10:00 a.m., local time, October 25, 1960, in New York, New York.

LOAD-DATE: June 12, 2008

