

Commodity Futures Trading Commission  
CEA CASES

**NAME:** ARTHUR P. GUMZ, DOROTHY GUMZ, FREDRICK D. GUMZ AND PAUL A. GUMZ

**DOCKET NUMBER:** 135

**DOCUMENT TYPE:** ISSUANCE OF COMPLAINT

UNITED STATES DEPARTMENT OF AGRICULTURE

Commodity Exchange Authority

Washington, D. C. 20250

ISSUANCE OF COMPLAINT -- CEA DOCKET NO. 135

(Administrative Hearing under the Commodity Exchange Act)

A complaint and notice of hearing has been signed by the Assistant Secretary of Agriculture, charging violation of the Commodity Exchange Act by:

Arthur P. Gumz,

Dorothy Gumz,

Fredrick D. Gumz and

Paul A. Gumz

(North Judson, Indiana)

The complaint charges that the respondents, acting pursuant to an agreement or understanding, exceeded the limits on speculative positions in potato futures during the period from June 30, 1965, through February 28, 1966. The complaint also charges that Arthur P. Gumz individually exceeded such limits during the period from September 28, 1965, through February 24, 1966.

The issuance of a complaint under the Commodity Exchange Act does not constitute proof of violation of the law. Such violation is established only when the Judicial Officer of the Department has made a determination that the evidence substantiates allegations in the complaint. Complaints are filed in the Office of the Hearing Clerk of the Department of Agriculture and are a matter of public record. Pending disposition by the Judicial Officer, it is the policy of the Commodity Exchange Authority not to comment or elaborate on charges contained in complaints or to discuss evidence on which they are based.

A public hearing on the complaint is scheduled to be held before a referee at 10:00 a.m., local time, May 11, 1966, in Chicago, Illinois.

**LOAD-DATE:** June 12, 2008

