

Commodity Futures Trading Commission
CEA CASES

NAME: ANDREW CASCIO

DOCKET NUMBER: 164

DATE: OCTOBER 8, 1969

DOCUMENT TYPE: CEASE AND DESIST ORDER

Docket No. 164

Name: Andrew Cascio

Effective On or about October 8, 1969

Order

Effective on the date of service of this order upon the respondent, Andrew Cascio, he shall, while engaged as futures commission merchant, cease and desist from failing to segregate and account separately for customers' funds as required by section 4d of the Commodity exchange Act (7 U.S.C. 6d, Supp. IV, 1969) and sections 1.20, 1.21 and 1.22 of the regulations thereunder (17 CFR 1.20, 1.21, 1.22) and from failing to prepare and maintain reports and records pertaining to the transactions and positions of customers as required by section 4g of the Commodity Exchange Act (7 U.S.C. 6g, Supp. IV, 1969) and sections 1.32 and 1.35 of the regulations thereunder (17 CFR 1.32 1.35).

Done at Washington, D. C.

September 5, 1969

Thomas J. Flavin

Judicial Officer

LOAD-DATE: June 16, 2008

